

# Quantum Monte Carlo simulations of strongly correlated electron systems within dynamical mean-field theory

Nils Blümer, Univ. Mainz

## Outline

Motivation: cooperative phenomena in solids

Approaches for correlated electrons; DFT vs. DMFT

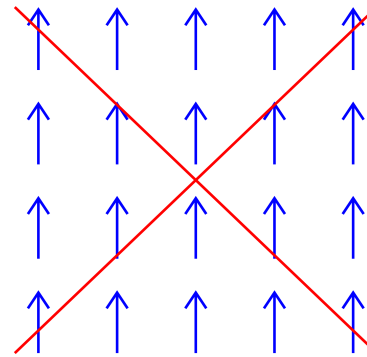
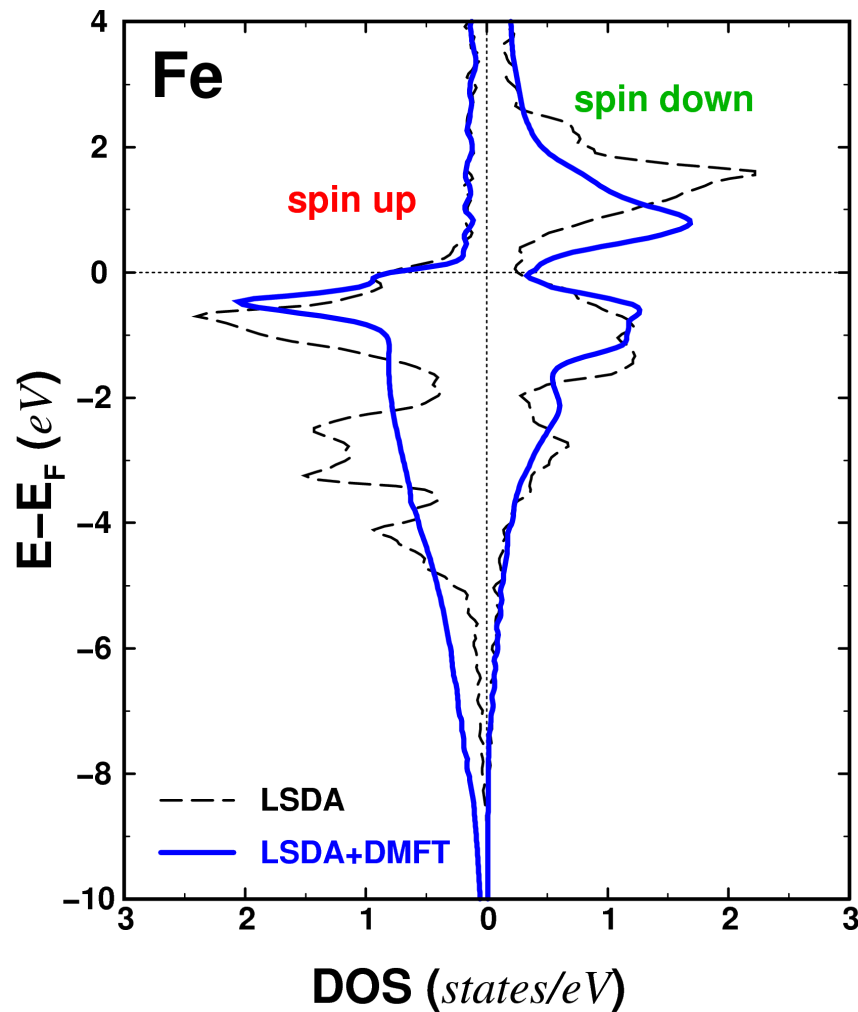
HF-QMC and other DMFT impurity solvers

Orbital-selective Mott transitions

Summary and outlook

# Motivation: cooperative phenomena in solids

## Itinerant ferromagnetism and half-metallicity

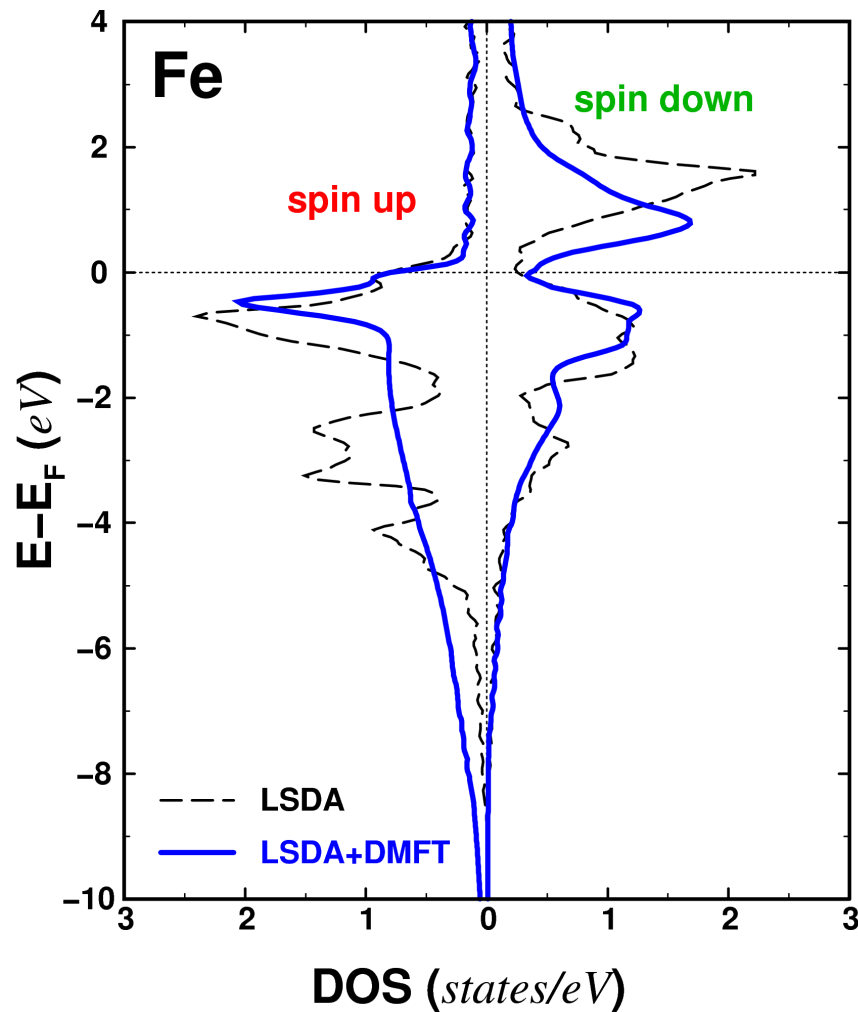


Spin models  
insufficient

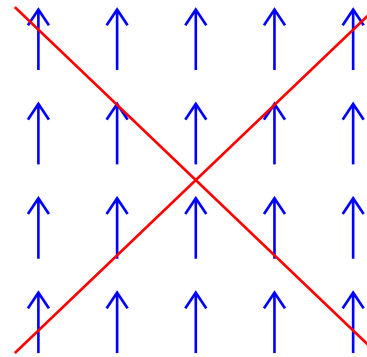
[Chioncel et. al, PRB (2003)]

# Motivation: cooperative phenomena in solids

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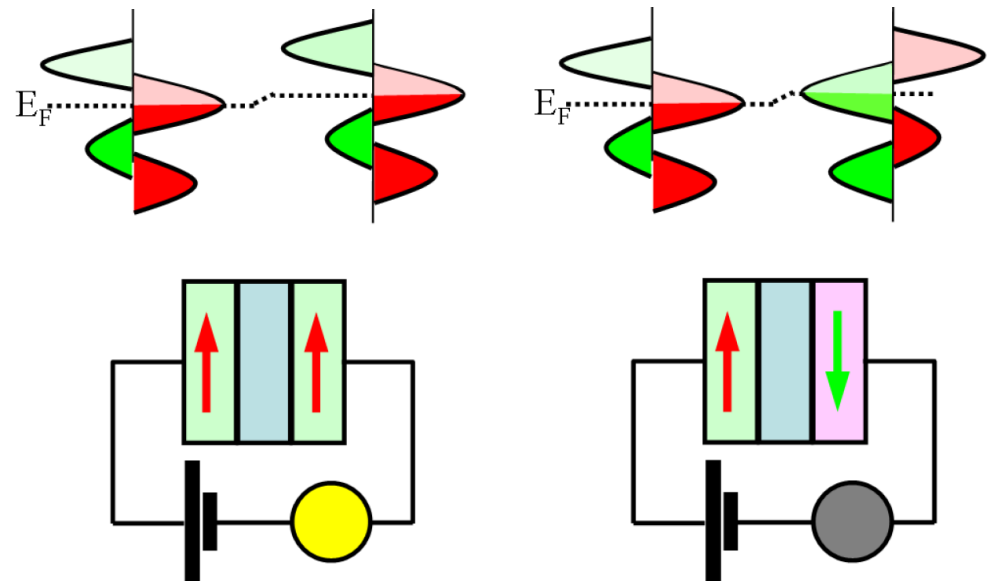


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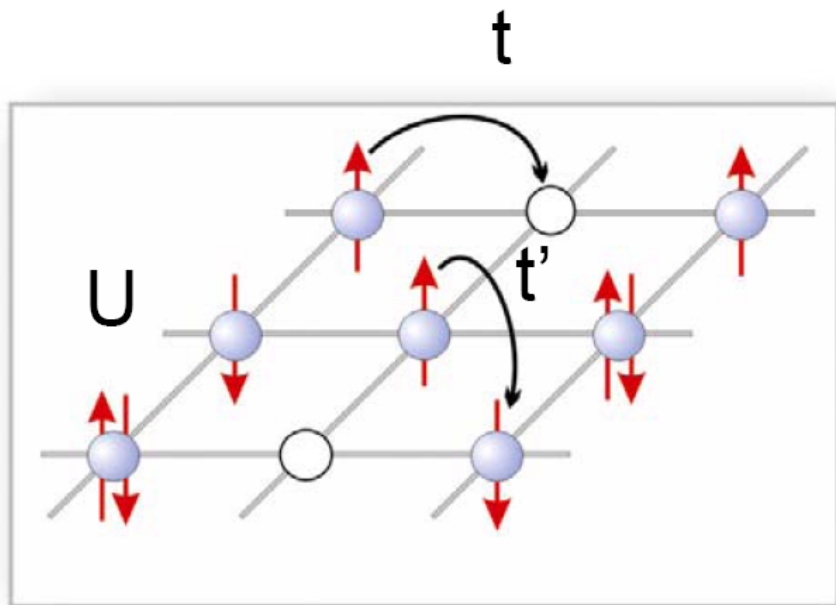
Spin models  
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Technological goal: TMR with half metals

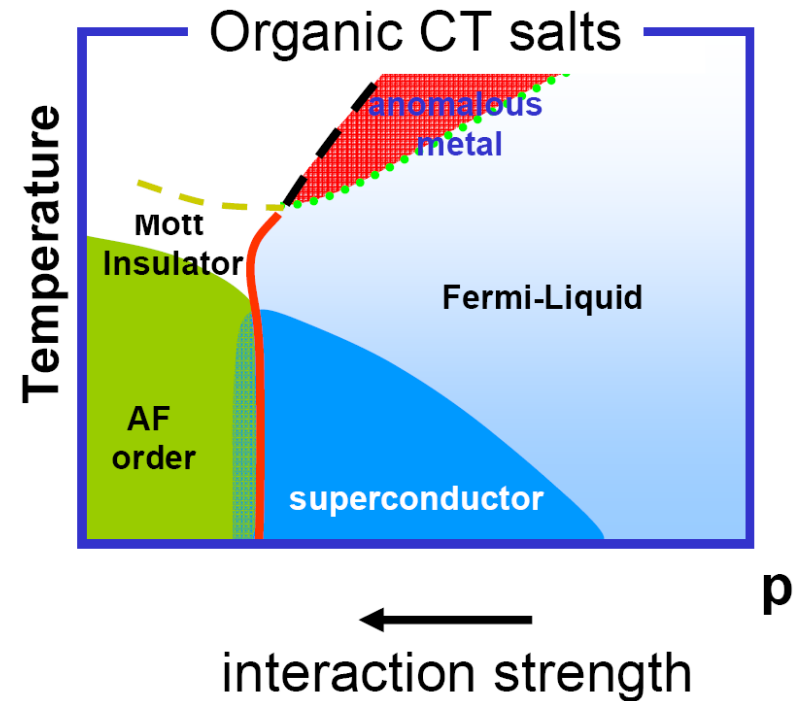
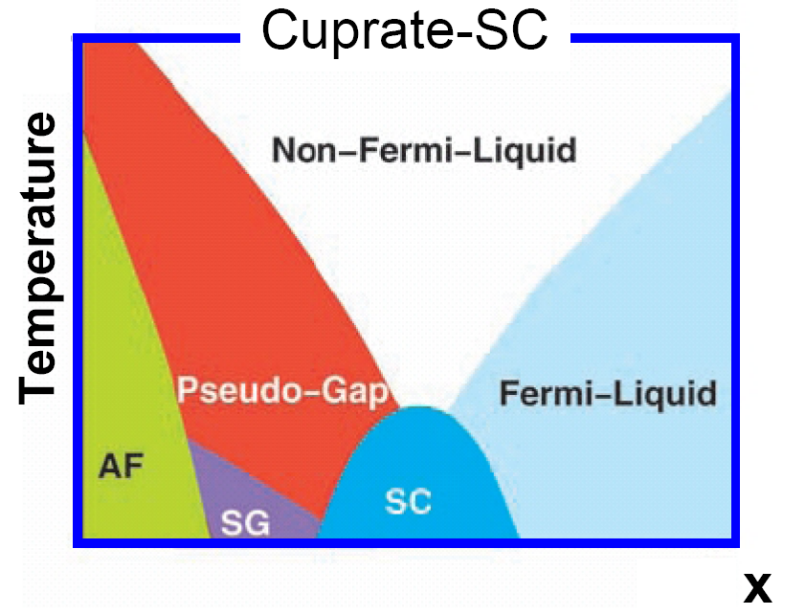


# Complex phases of cuprate and organic superconductors

High- $T_c$  physics contained in 2D Hubbard model?



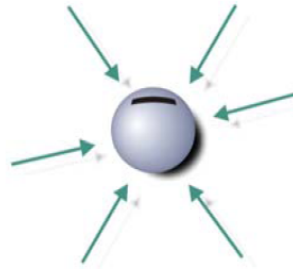
Are antiferromagnetic (AF) and Mott insulating phases essential for superconductivity?



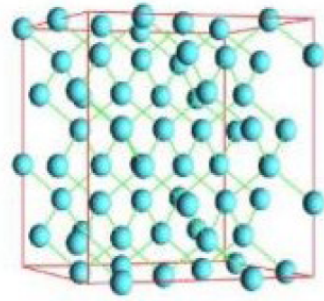
# Interplay of multiple degrees of freedom



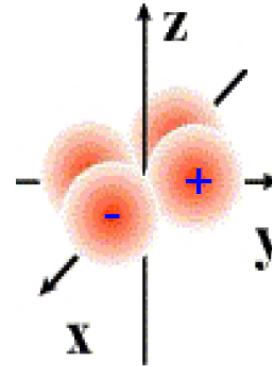
*spin*



*charge*

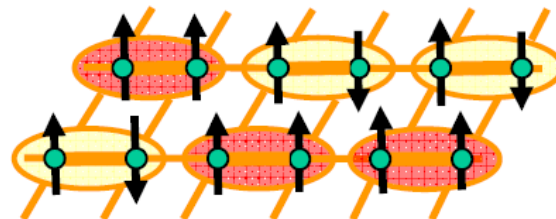
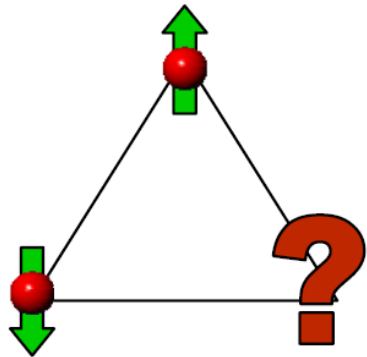


*lattice*



*orbital*

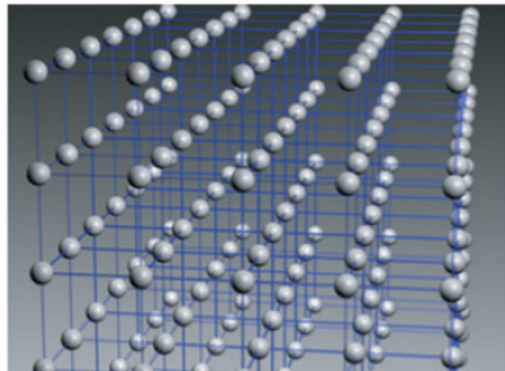
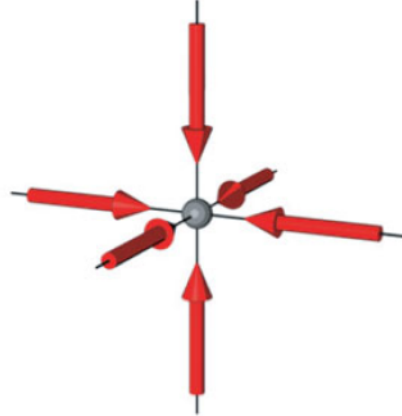
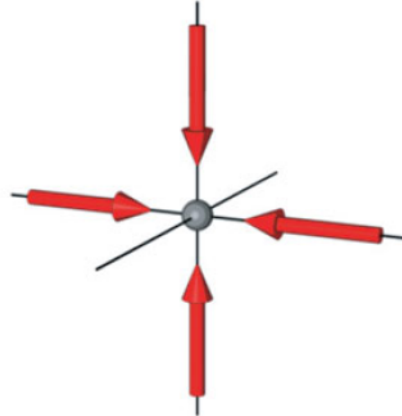
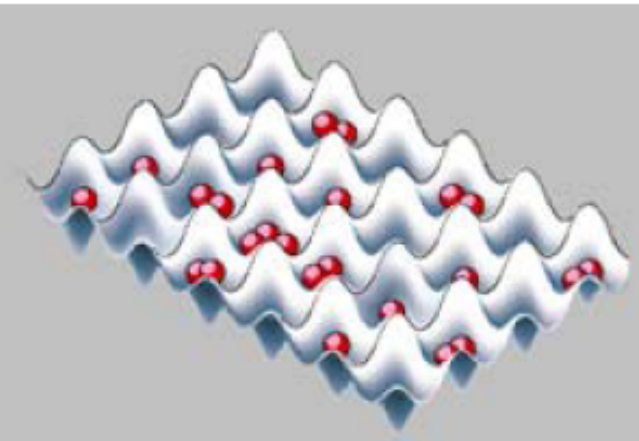
## Frustrated systems, spin liquids, BEC of magnons



# New model systems: ultracold atoms on optical lattices

tunable:

- dimensionality
- statistics
- hopping amplitudes
- interactions

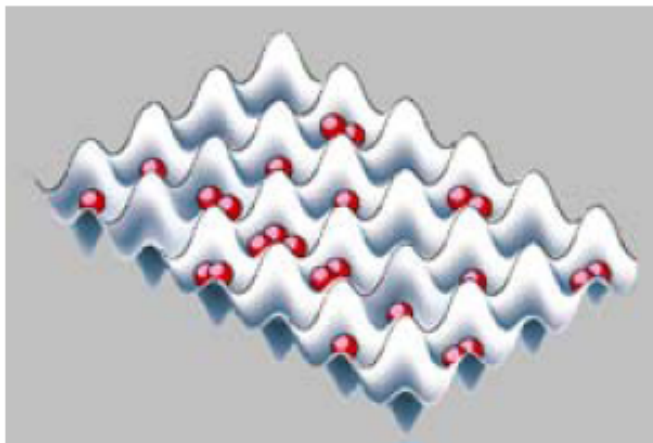


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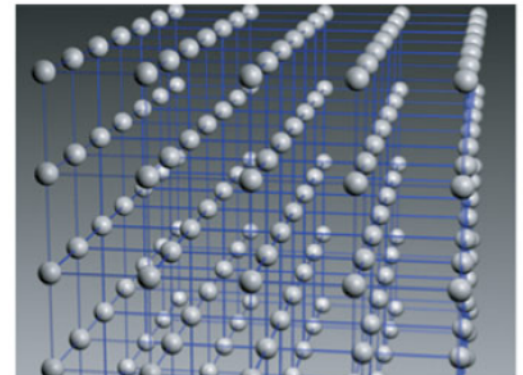
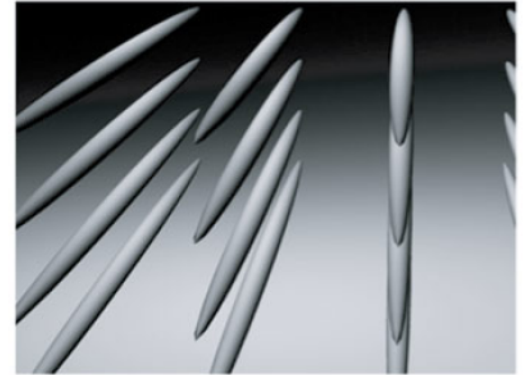
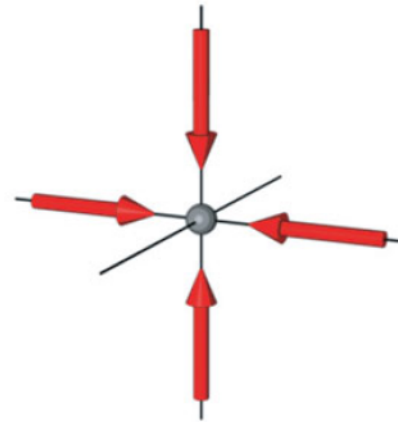
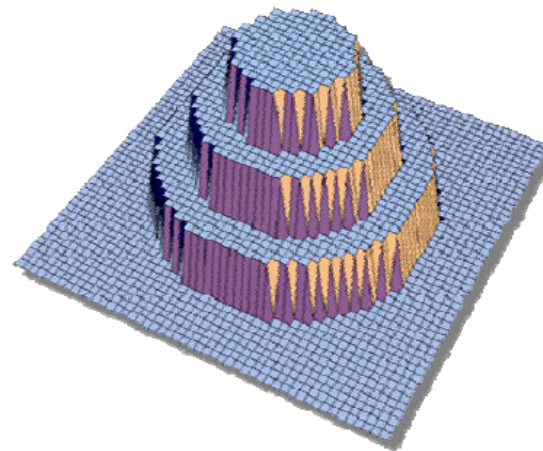
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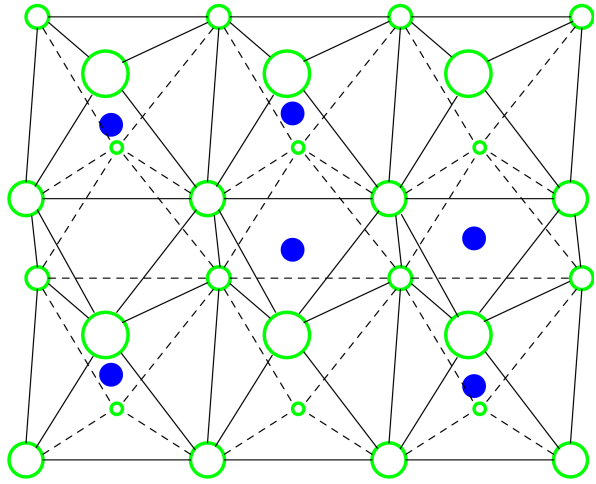
Mott transition (for bosons)



$U$



# Bandwidth control of metal-insulator transitions



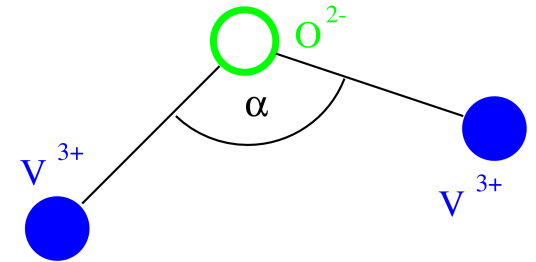
Corundum structure

Hydrostatic pressure or  
isovalent doping change

● lattice spacings

● bond angles

↔ hopping amplitudes

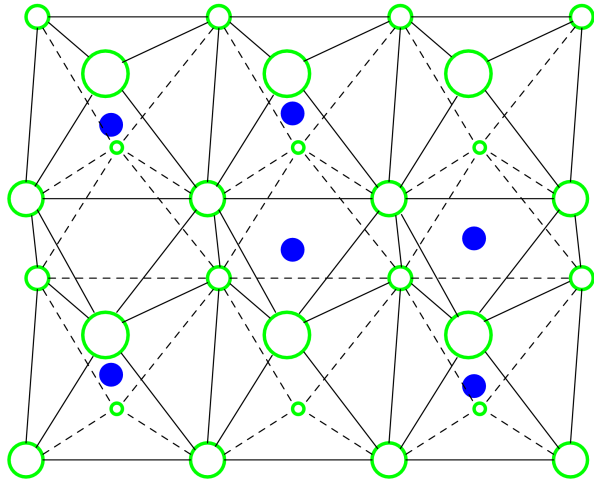


$$\alpha_{Cr} < \alpha_V < \alpha_{Ti}$$

Bond angles for V<sub>2</sub>O<sub>3</sub>  
doped with Cr or Ti



# Bandwidth control of metal-insulator transitions



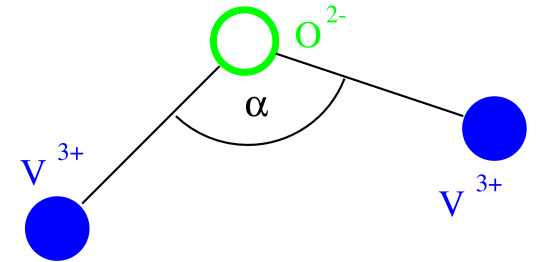
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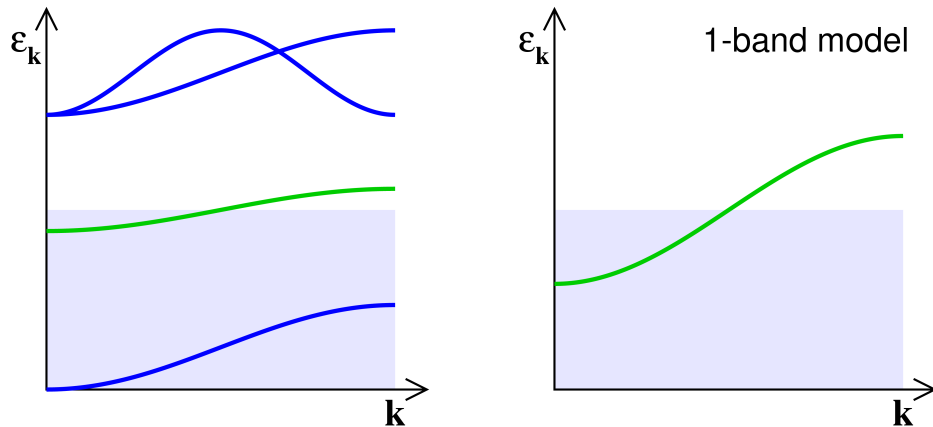
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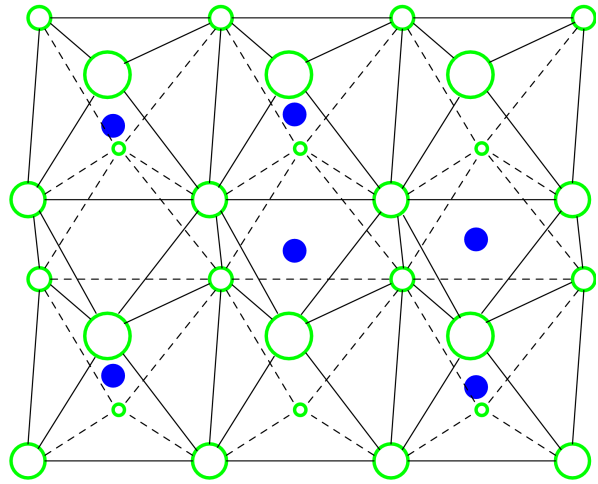
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# Breakdown of Bloch band description at paramagnetic Mott transition



Bloch states near Fermi energy

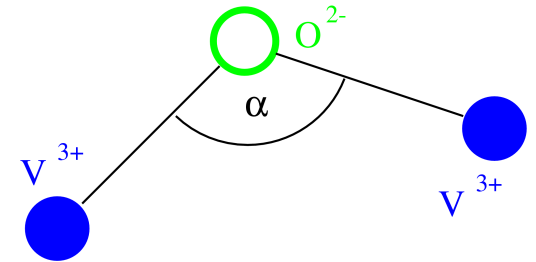
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Corundum structure

Hydrostatic pressure or isovalent doping change

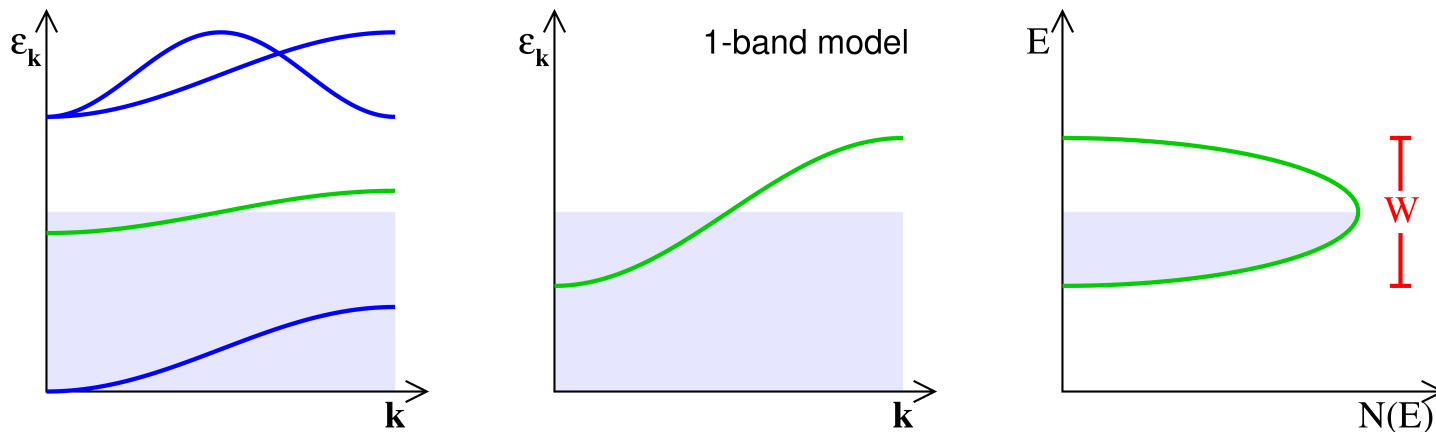
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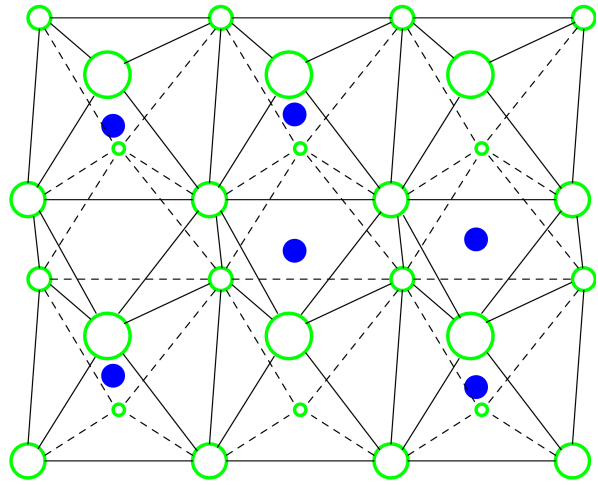
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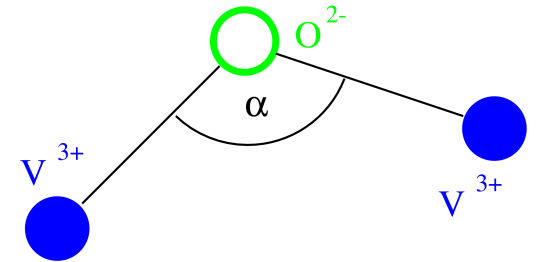
Corundum structure

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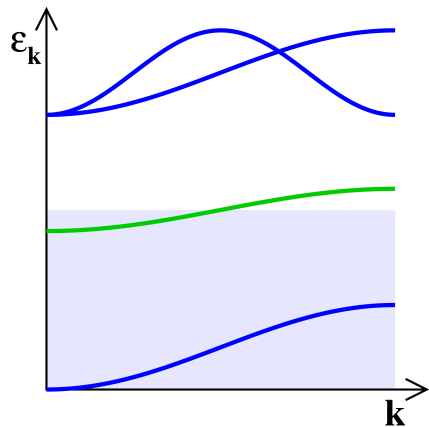
↔ hopping amplitudes



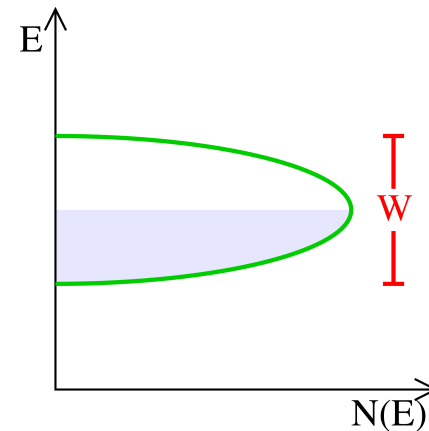
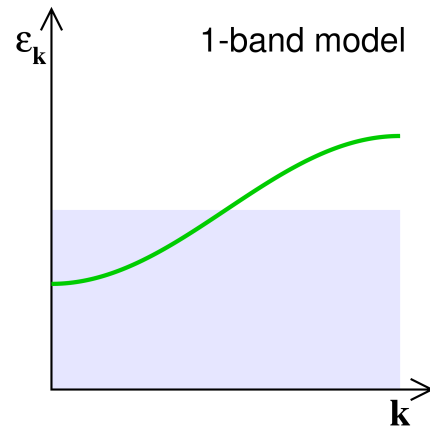
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Bond angles for  $V_2O_3$  doped with Cr or Ti

# Breakdown of Bloch band description at paramagnetic Mott transition



Bloch states near Fermi energy,



band-splitting by Coulomb correlations

# Microscopic modeling I

General Hamiltonian for **nuclei** and **electrons**

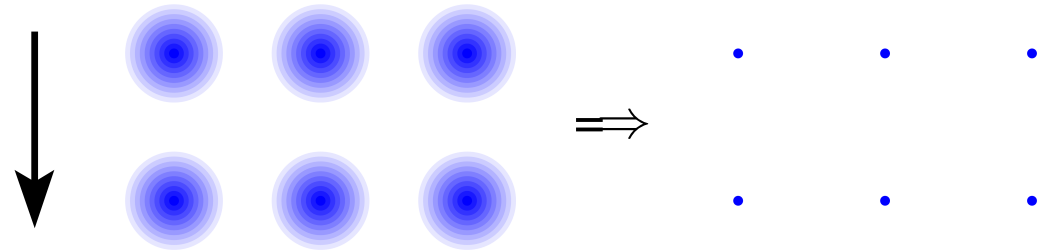
$$H = \sum_{i=1}^{N_e} \frac{\mathbf{p}_i^2}{2m} + \sum_{k=1}^L \frac{\mathbf{P}_k^2}{2M_k} + \sum_{k < l} \frac{Z_k Z_l e^2}{|\mathbf{R}_k - \mathbf{R}_l|} - \sum_{i,k} \frac{Z_k e^2}{|\mathbf{r}_i - \mathbf{R}_k|} + \sum_{i < j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|}$$

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Born-Oppenheimer  
approximation (0<sup>th</sup> order)

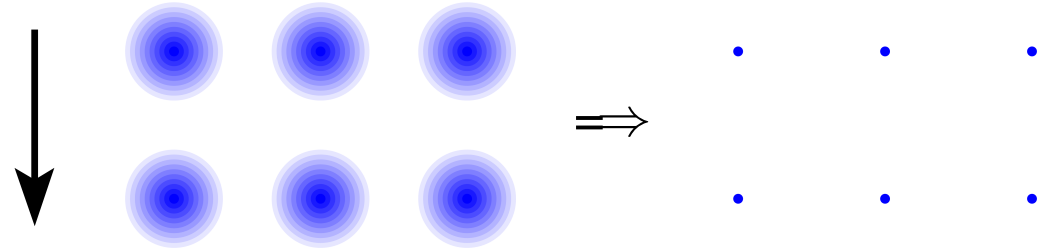


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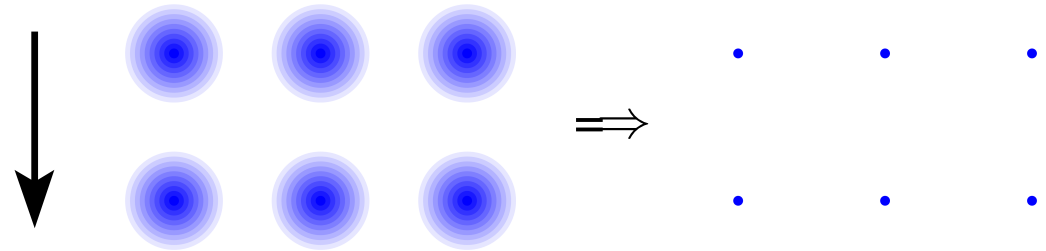
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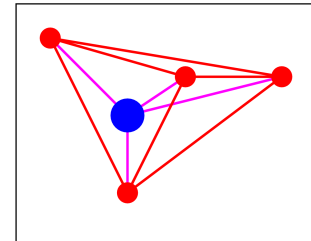
## Classes of theoretical approaches for electronic problem

- continuum methods (density functional theory, variational+diffusion QMC, . . .)
- methods for lattice electrons

# Density functional theory in LDA

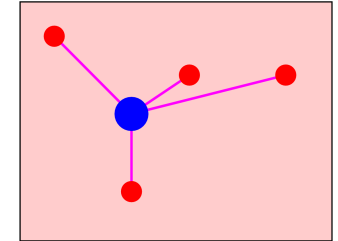
## Density functional theory (DFT)

- exact ground state approach
- based on electron density  $n(\mathbf{r})$
- Kohn-Sham equations solve effective single-particle problem



many-body

DFT  
 $\Rightarrow$



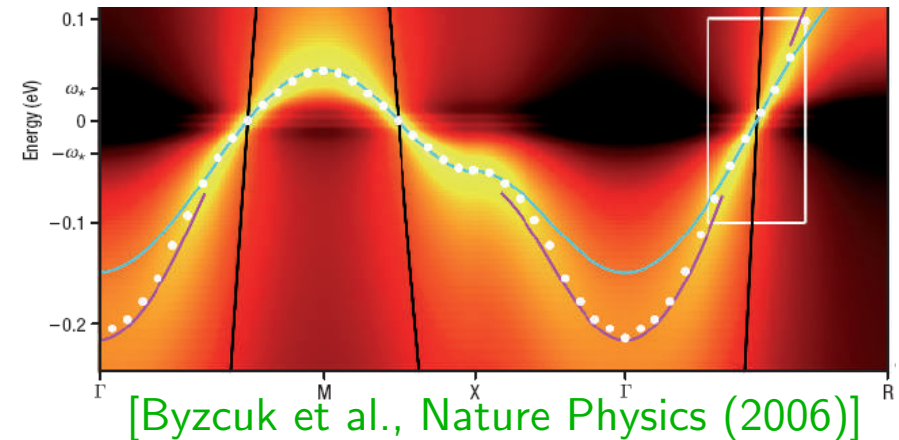
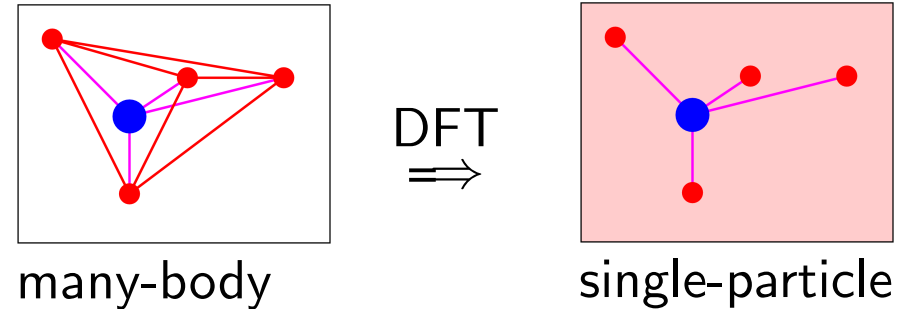
single-particle



# Density functional theory in LDA

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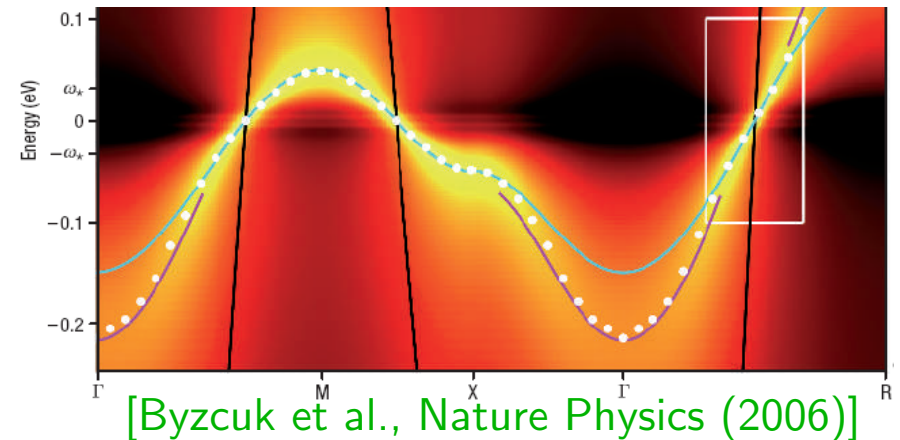
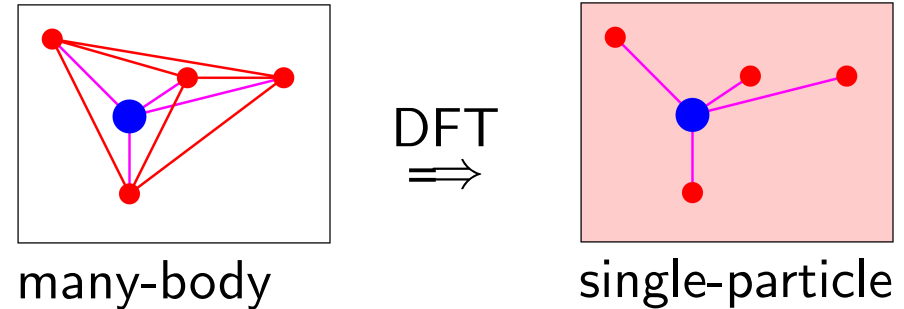
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- result: ground state energy +  $n(\mathbf{r})$
- heuristics: band structure



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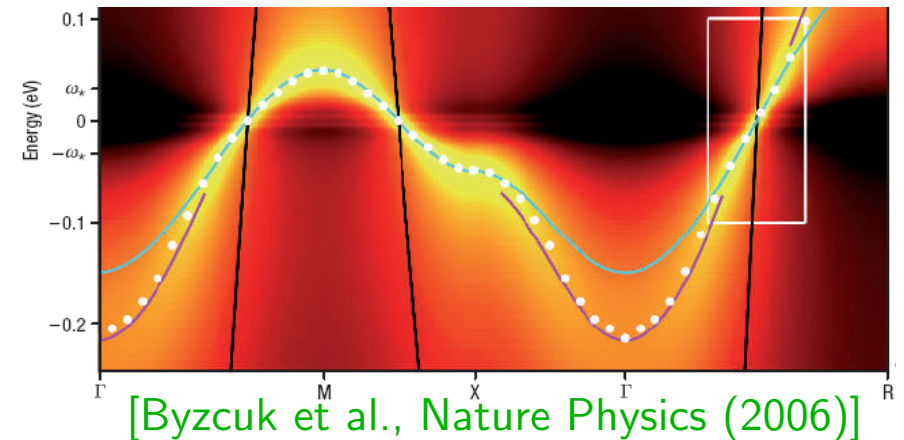
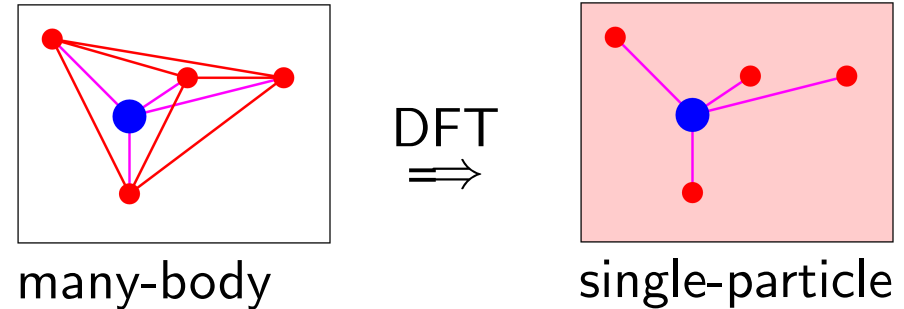
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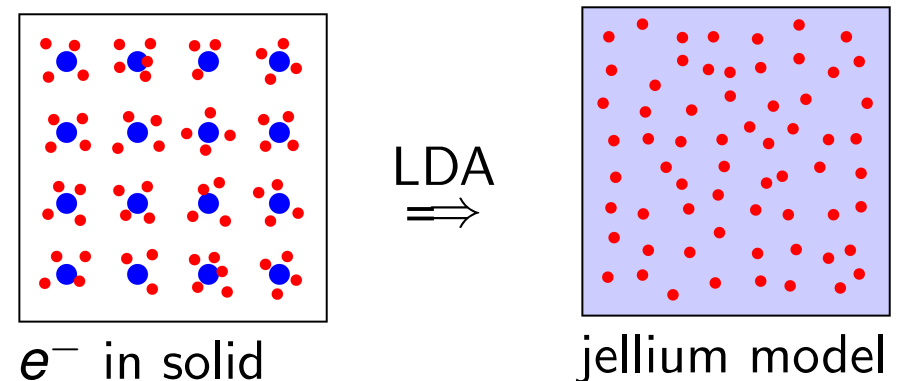
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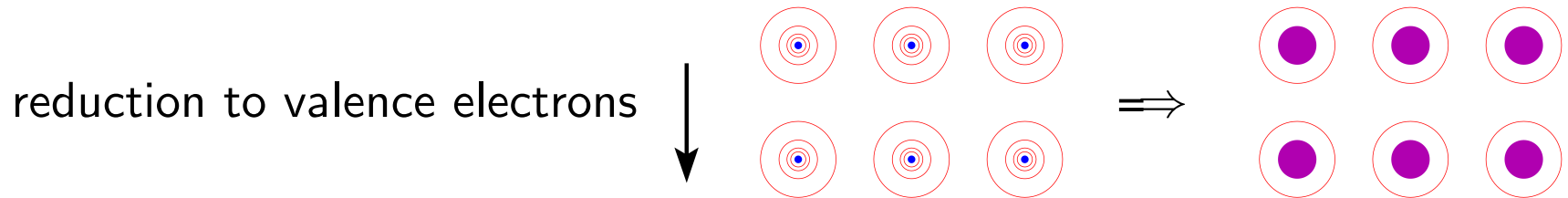
## Local density approximation (LDA)

- exchange-correlation potential from jellium model (parametrized QMC)
- not reliable for correlated systems
- often good results
- basis for LDA+U and LDA+DMFT



# Microscopic modeling II

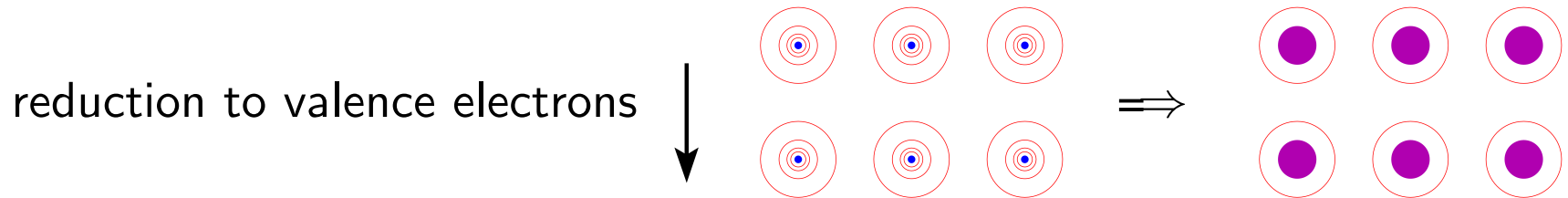
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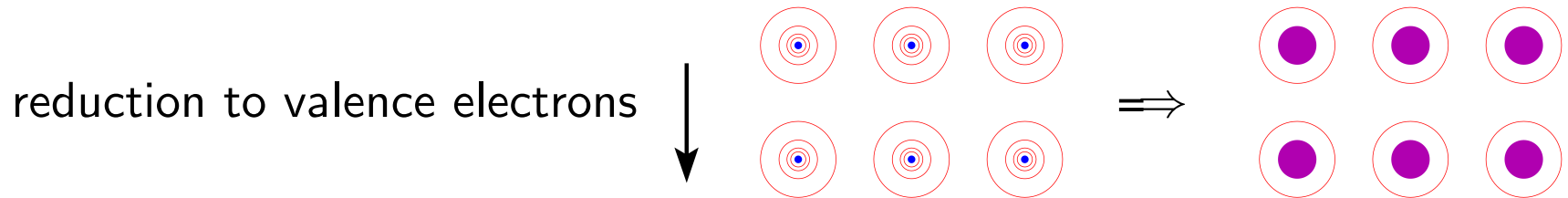
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$$\hat{H} = \sum_{i\nu j\sigma} t_{ij}^{\nu} \hat{c}_{i\nu\sigma}^{\dagger} \hat{c}_{j\nu\sigma} + \frac{1}{2} \sum_{\nu\nu'\mu\mu'} \sum_{ijmn} \sum_{\sigma\sigma'} \mathcal{V}_{ijmn}^{\nu\nu'\mu\mu'} \hat{c}_{i\nu\sigma}^{\dagger} \hat{c}_{j\nu'\sigma'}^{\dagger} \hat{c}_{n\mu'\sigma'} \hat{c}_{m\mu\sigma}$$

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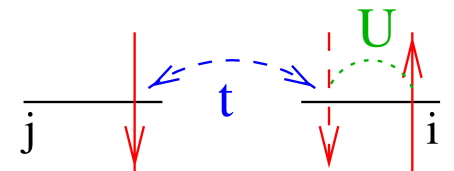
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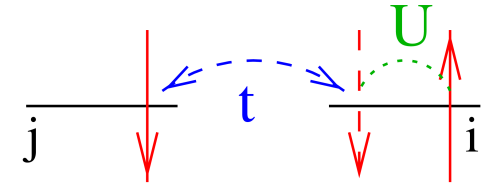
## Hubbard model

$$\hat{H} = \sum_{(i,j),\sigma} t_{ij} (\hat{c}_{i\sigma}^{\dagger} \hat{c}_{j\sigma} + \text{h.c.}) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$



# Approaches for Hubbard-type models

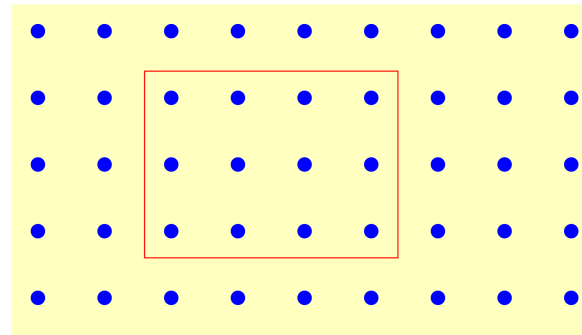
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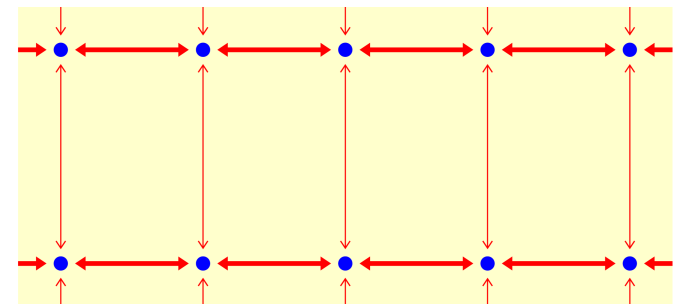
## Perturbation theory

- $U \rightarrow 0$ : Hartree-Fock  
2<sup>nd</sup> order PT, . . . .
- $t/U \rightarrow 0$  (for  $n = 1$ )  
 $\rightsquigarrow$  Heisenberg model

## finite clusters: ED, QMC

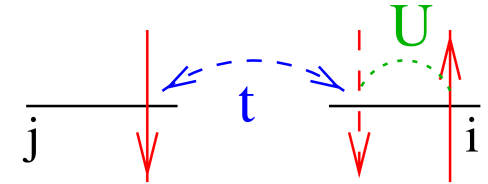


## $d \rightarrow 1$ : Bethe ansatz, DMRG



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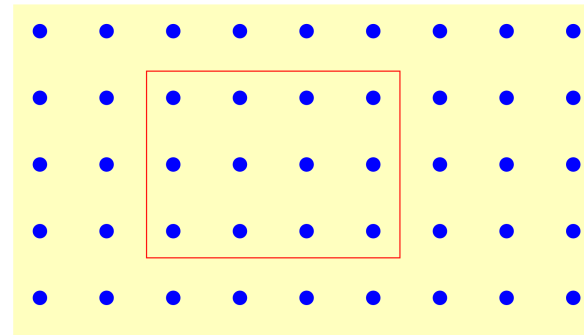
$$\hat{H} = \sum_{(i,j),\sigma} t_{ij} (\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \text{h.c.}) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$



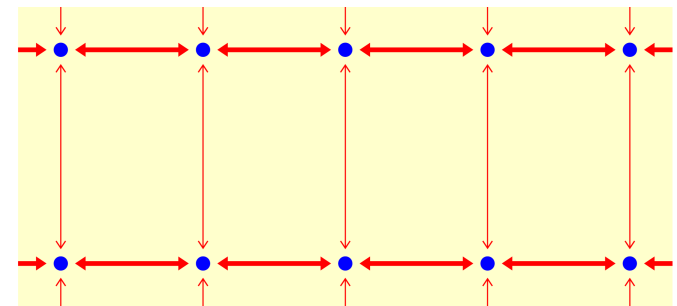
## Perturbation theory

- $U \rightarrow 0$ : Hartree-Fock  
2<sup>nd</sup> order PT, . . .
- $t/U \rightarrow 0$  (for  $n = 1$ )  
 $\rightsquigarrow$  Heisenberg model

## finite clusters: ED, QMC



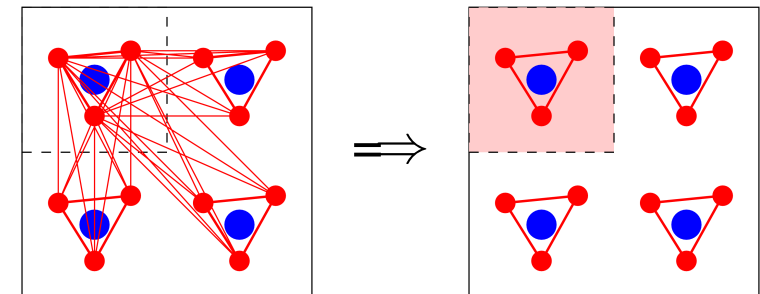
## $d \rightarrow 1$ : Bethe ansatz, DMRG



## Dynamical mean-field theory (DMFT): local self-energy $\Sigma(\mathbf{k}, \omega) \equiv \Sigma(\omega)$

[Metzner, Vollhardt, PRL (1989), Georges, Kotliar, PRL (1992), Jarrell, PRL (1992)]

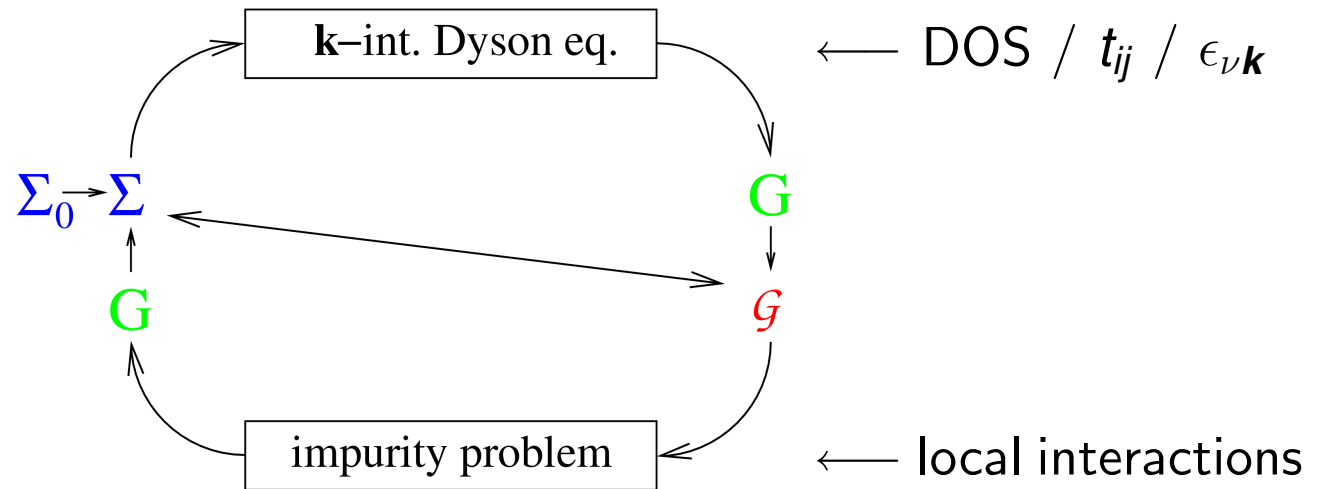
- + non-perturbative  $\rightsquigarrow$  valid at MIT
- + dynamical on-site correlations preserved
- + in thermodynamic limit
- +/- exact for coordination  $Z \rightarrow \infty$





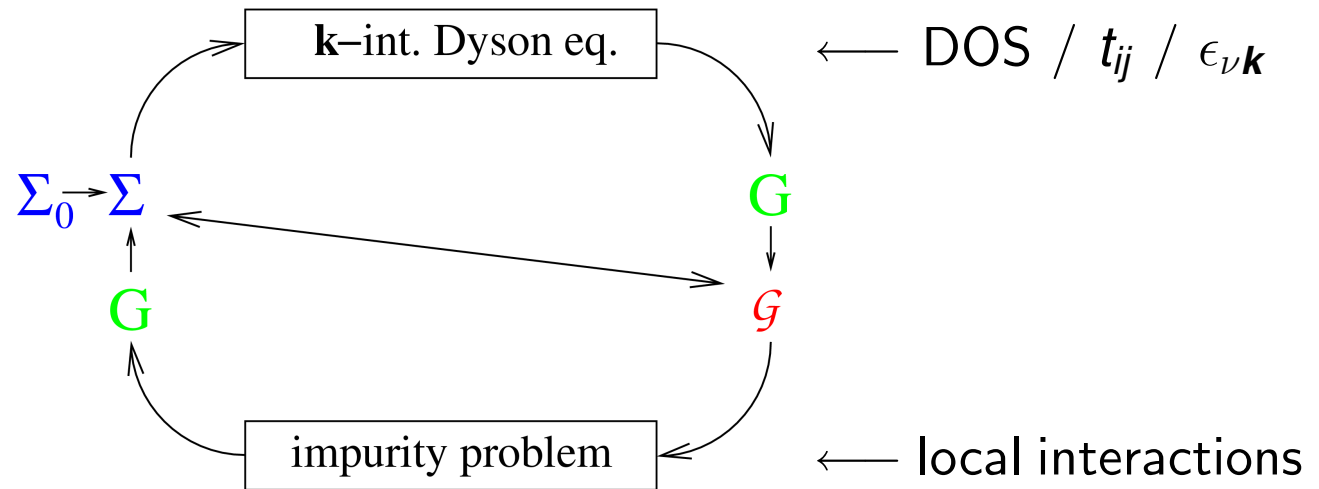
# Iterative solution of DMFT equations

0. Initialize self-energy
1. Solve Dyson equation
2. Solve **single impurity Anderson model (SIAM)**



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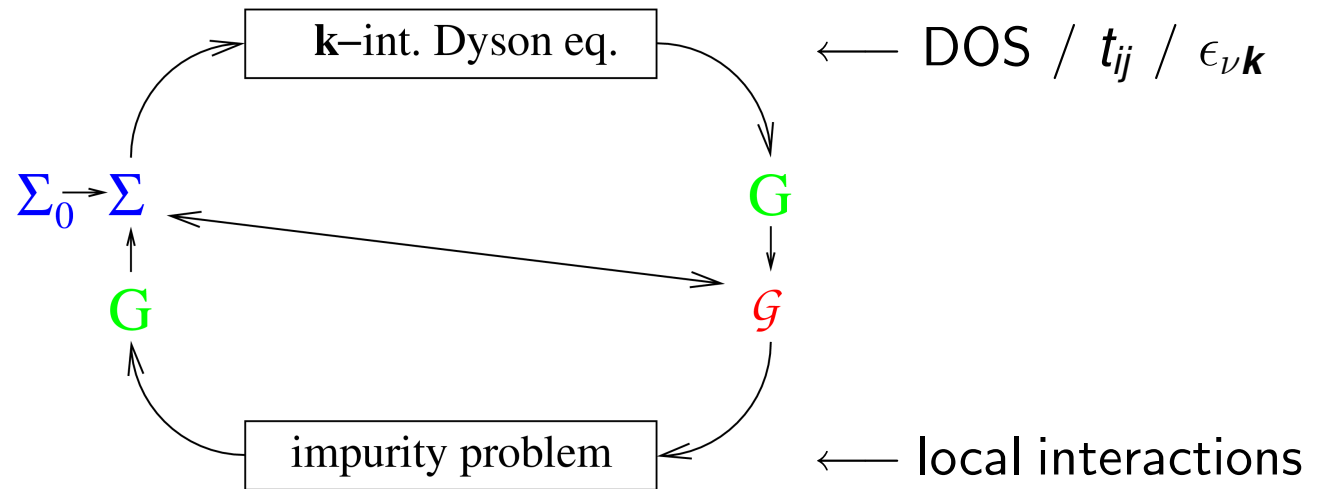


## Impurity solver:

- Iterative perturbation theory (IPT; not controlled)
- Quantum Monte-Carlo (QMC)

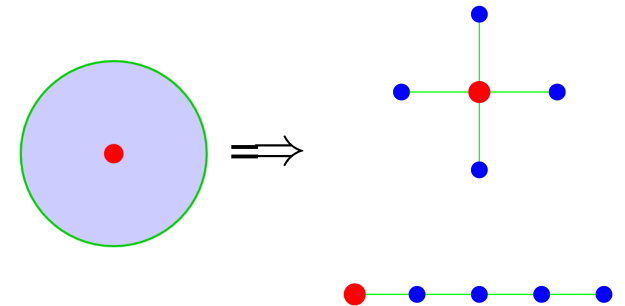
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## Impurity solver:

- Iterative perturbation theory (IPT; not controlled)
- Quantum Monte-Carlo (QMC)
- Exact diagonalization (ED; large finite-size errors)
- Numerical renormalization group (NRG; 1-2 bands)
- Density matrix renormalization group (DMRG)
- Self-energy functional theory (SFT) + ED



# Auxiliary-field QMC algorithm [Hirsch, Fye (1986)]

Green-Funktion  $G$  in imaginary time (fermionic Grassmann variables  $\psi, \psi^*$ ):

$$G_{\sigma}(\tau_2 - \tau_1) \equiv G_{\sigma}(\tau_1, \tau_2) = \frac{1}{Z} \int \mathcal{D}[\psi] \mathcal{D}[\psi^*] \psi_{\sigma}(\tau_1) \psi_{\sigma}^*(\tau_2) e^{\mathcal{A}},$$
$$\mathcal{A} = \mathcal{A}_0 - \frac{U}{2} \sum_{\sigma\sigma'} \int_0^{\beta} d\tau \psi_{\sigma}^*(\tau) \psi_{\sigma}(\tau) \psi_{\sigma'}^*(\tau) \psi_{\sigma'}(\tau)$$

Discretization  $\beta = \Lambda \Delta\tau$ , Trotter decoupling

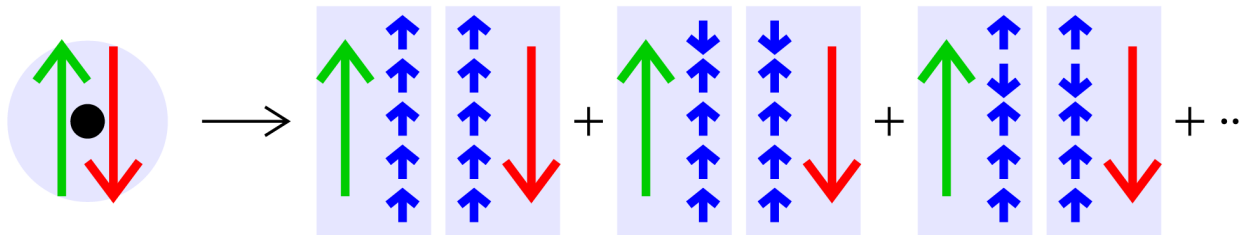
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Wick theorem:

$$G = \frac{\sum M \det\{M\}}{\sum \det\{M\}}$$

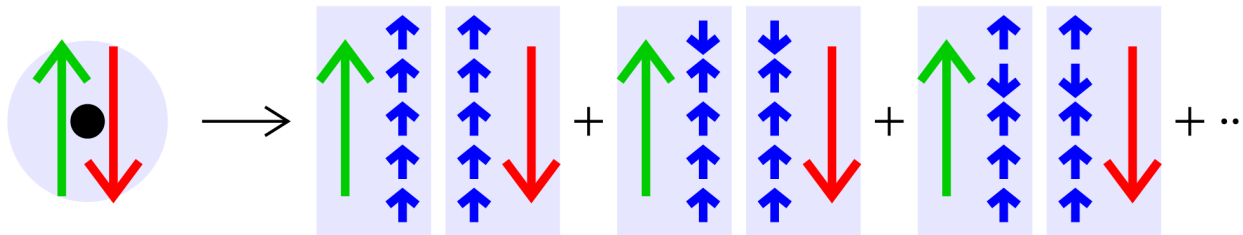
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+ numerically exact,      – effort scales as  $T^{-3}$ ,      – no info for  $\omega \gtrsim \omega_{\text{Nyquist}}$

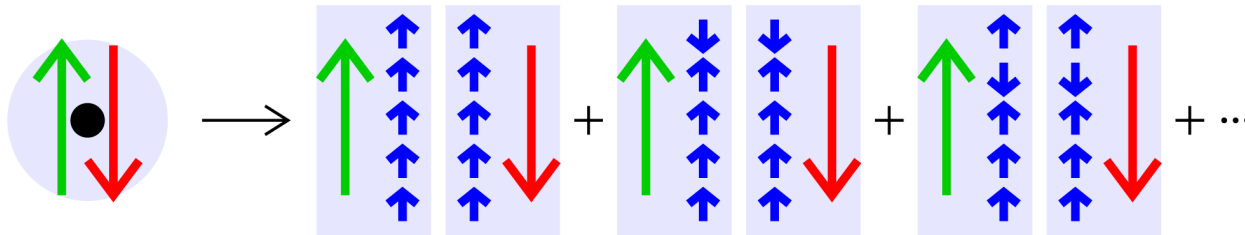
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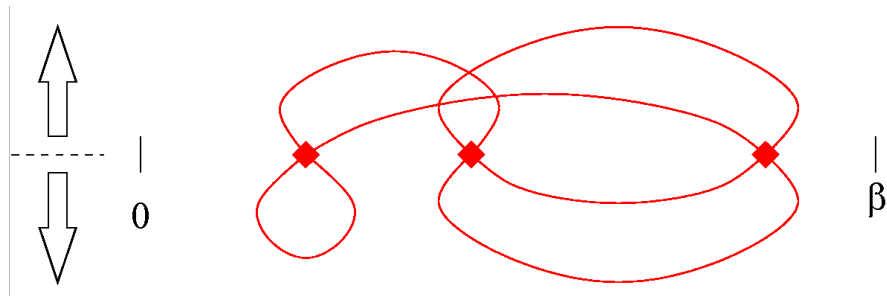
Recent generalizations: projective QMC (PQMC) [Feldbacher, Held, Assaad (2004)]

treating Hund rule spin-flip terms without sign problem

# New development: continuous-time QMC algorithms

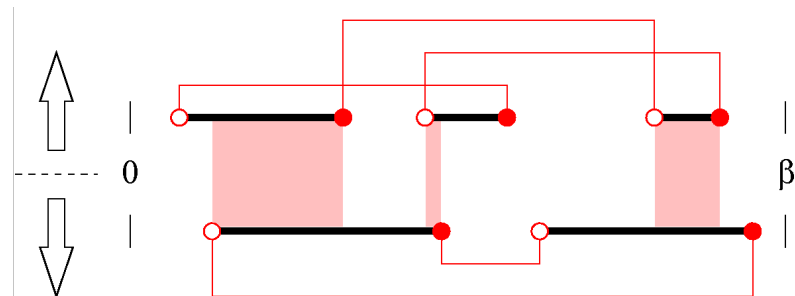
## 1. weak-coupling expansion

[Rubtsov, Savkin, Lichtenstein, PRB (2005)]



## 2. hybridization expansion

[Werner et al., PRL (2006)]



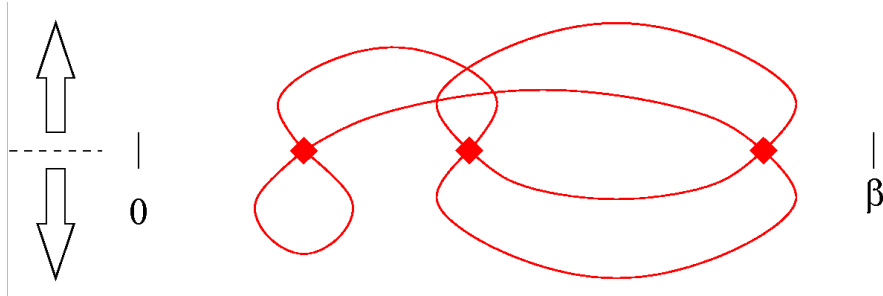
CT-QMC methods: smaller matrices



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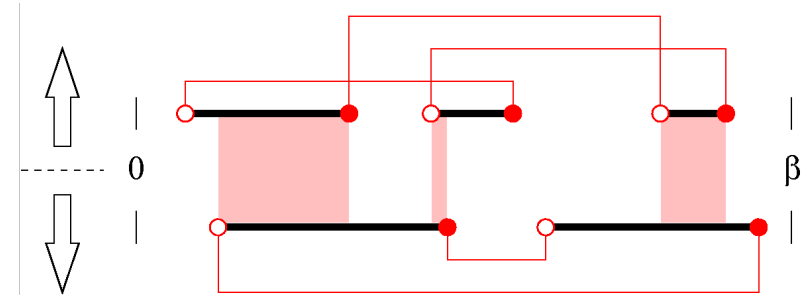
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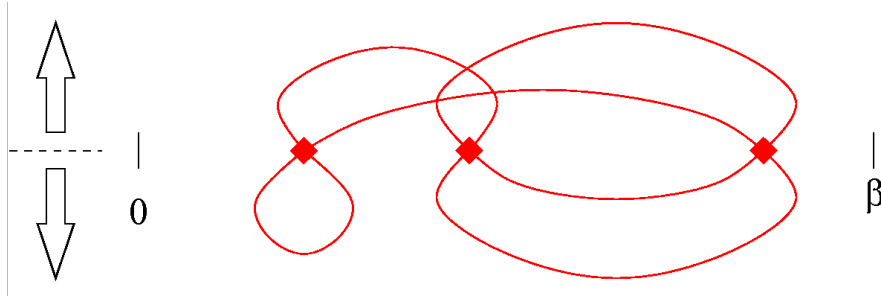
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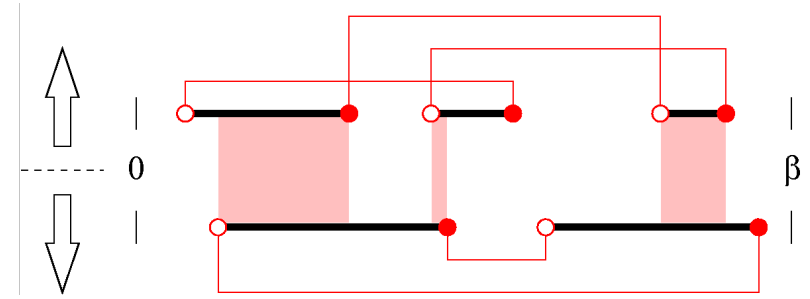
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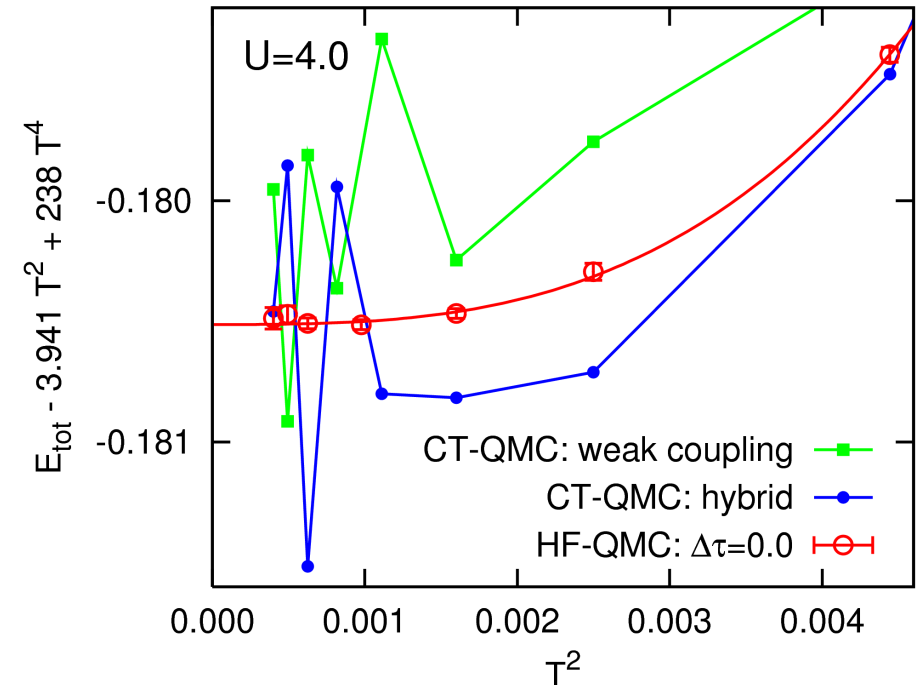
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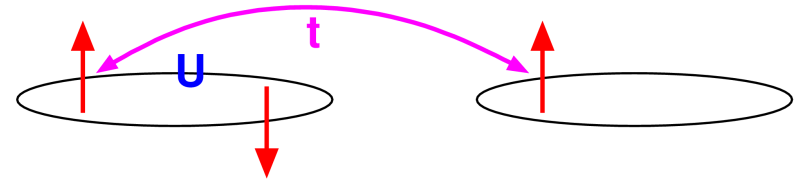
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But: high-precision HF-QMC DMFT solver [Knecht, Blümer, van Dongen (2005)] is competitive, at least after extrapolation  $\Delta\tau \rightarrow 0$  [Blümer, in preparation]

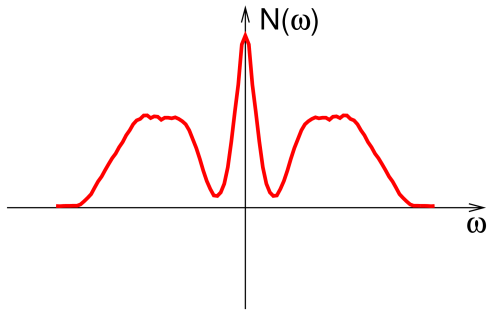


# Orbital-selective Mott transitions

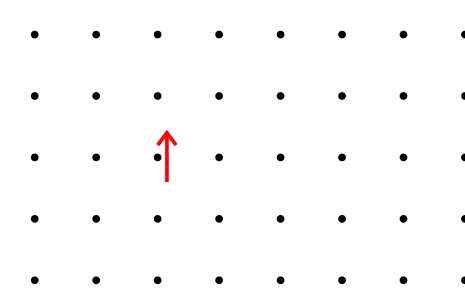
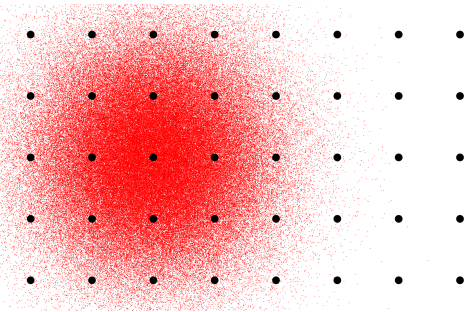
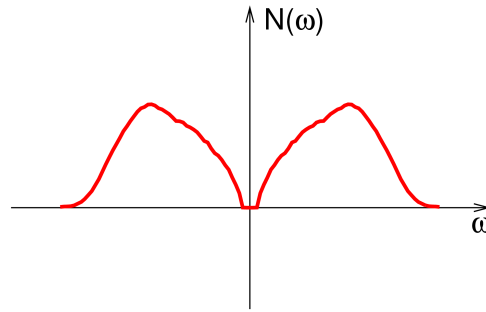
Well-known: Mott transition in frustrated 1-band Hubbard model



localization by interactions

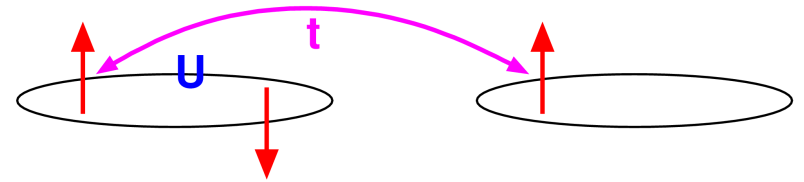


$U > U_c$

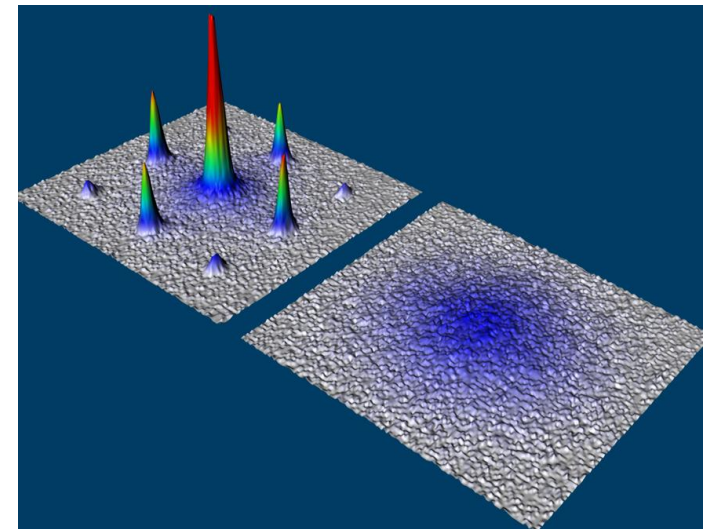
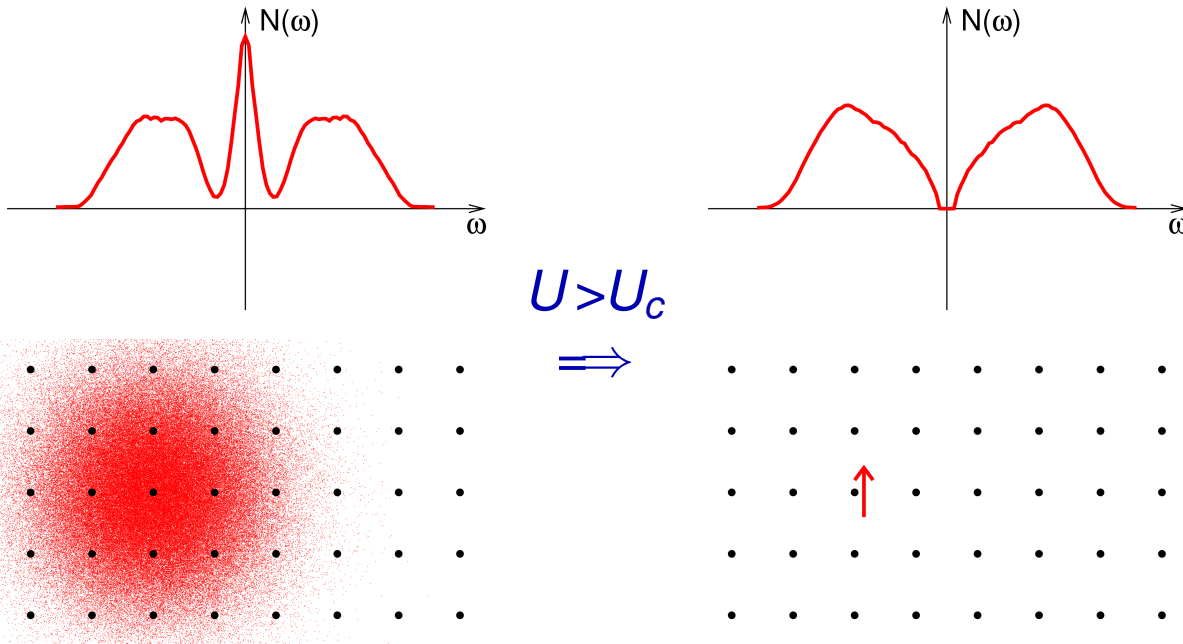


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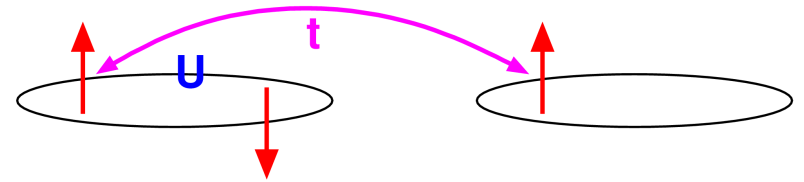
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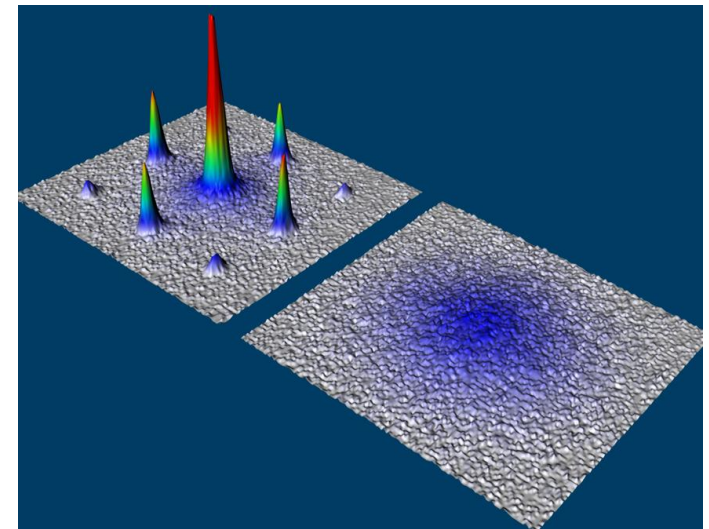
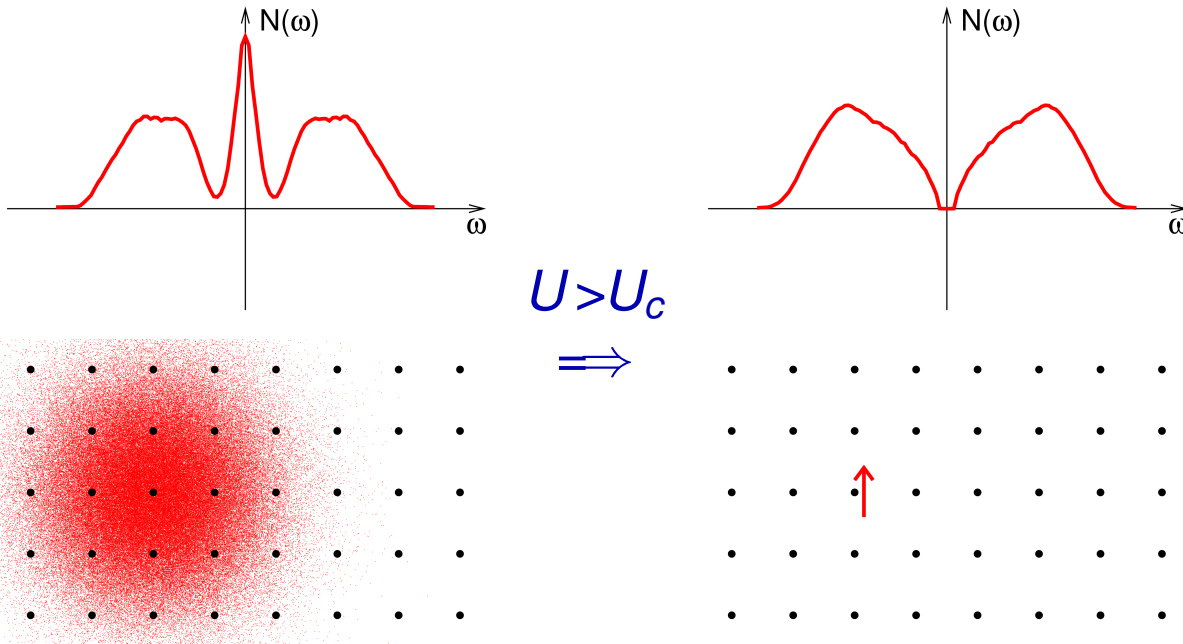
Localization (= decoherence) of ultracold bosons on optical lattice (Bloch group, 2002)

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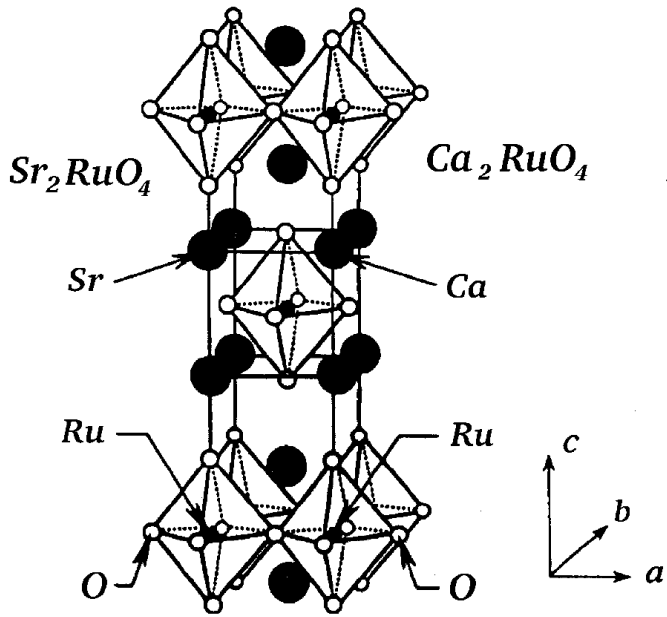
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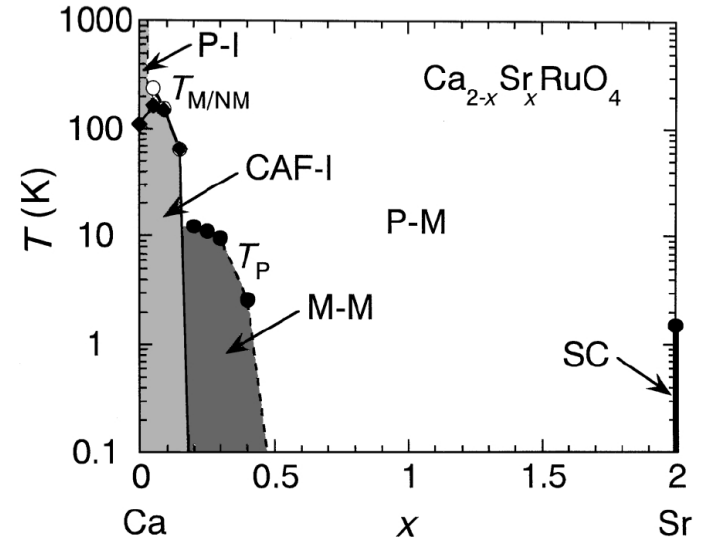
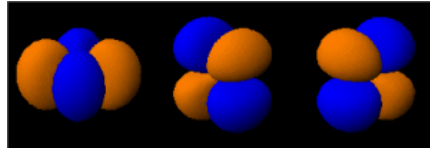
Case of multiple inequivalent orbitals/flavors?

# OSMTs in $\text{Ca}_{2-x}\text{Sr}_x\text{RuO}_4$



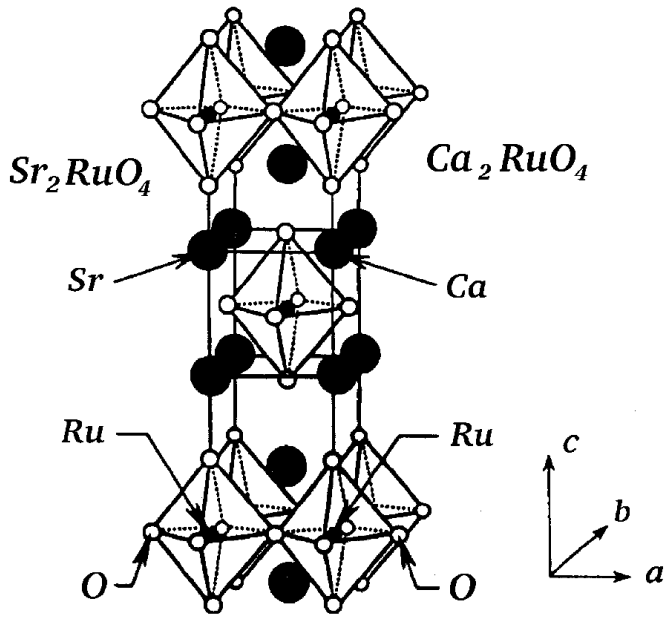
isostructural to  
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4 valence electrons  
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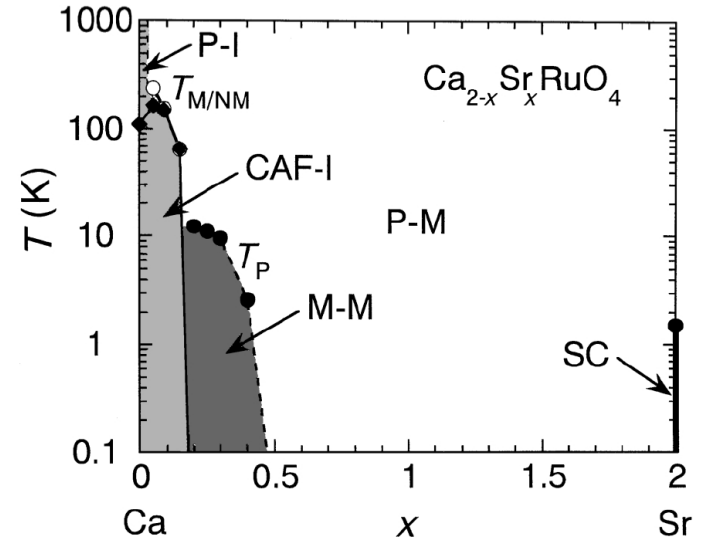
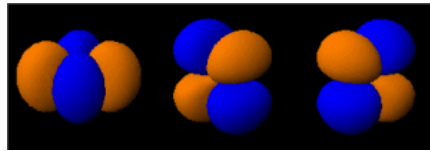
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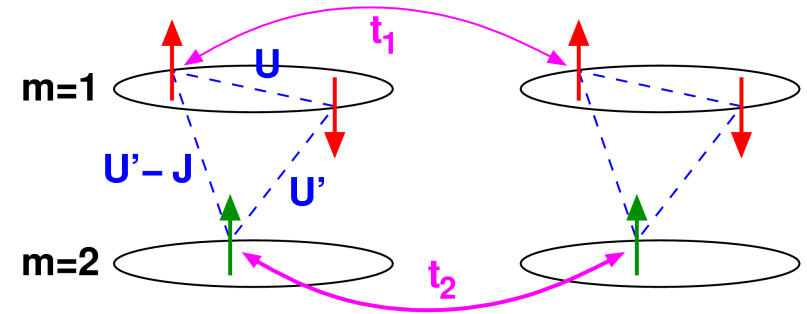
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susceptibility, MR  $\rightsquigarrow$   $S = 1/2$  system (+ easy axis) for  $0.2 < x \lesssim 0.5$  (not  $S = 1$ )

orbital-selective Mott metal-insulator transitions for  $x \approx 0.5$ ,  $x \approx 0.2$  ?

## 2-band model with orbital-dependent hopping

$$H = \sum_{m=1}^2 \left[ - \sum_{\langle ij \rangle \sigma} t_m c_{im\sigma}^\dagger c_{jm\sigma} + U \sum_i n_{im\uparrow} n_{im\downarrow} \right] + \sum_{i\sigma\sigma'} (U' - \delta_{\sigma\sigma'} J_z) n_{i1\sigma} n_{i2\sigma'}$$

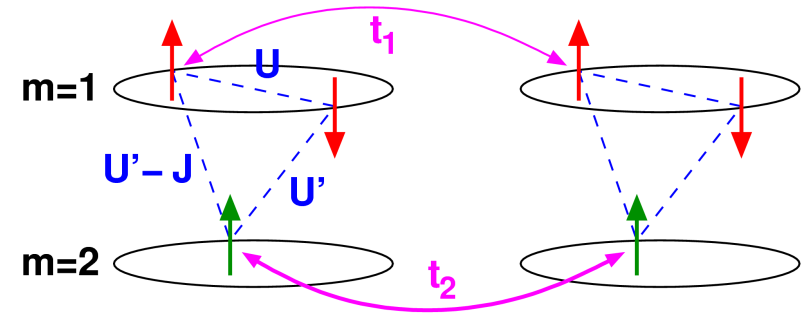


Ising-type Hund couplings with  $t_2/t_1 = 2$  and  $U' = U/2$ ,  $J_z = U/4$  [Liebsch, PRB (2004)]

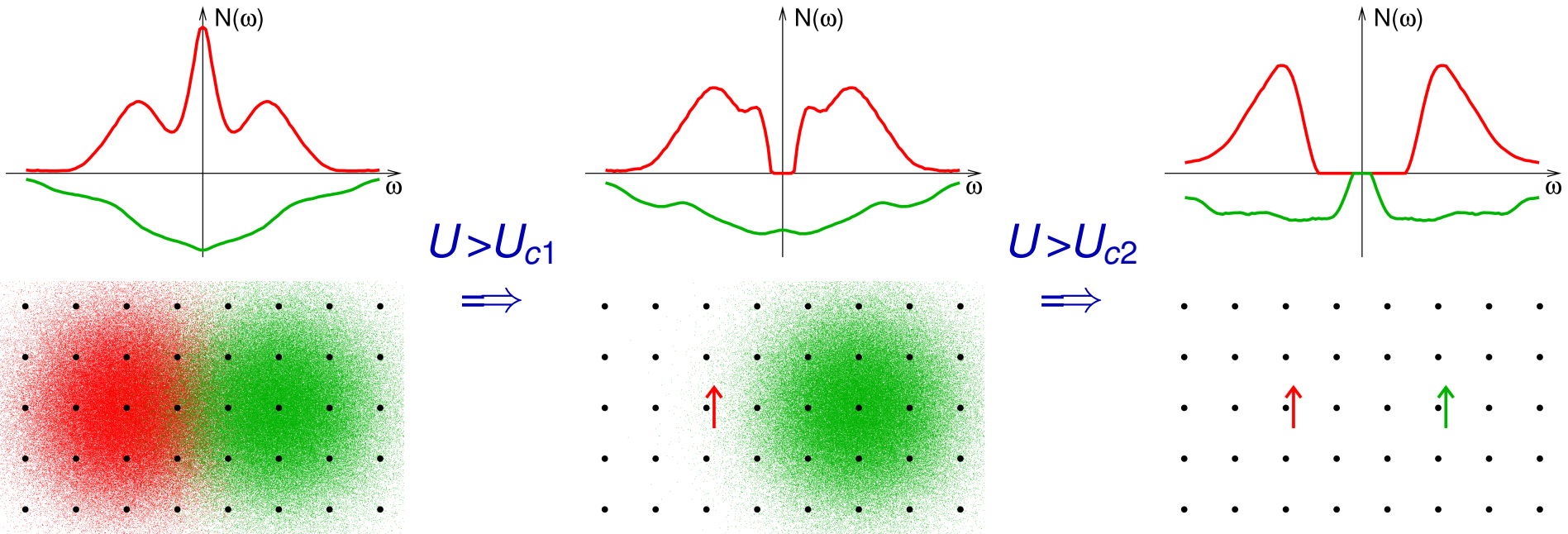


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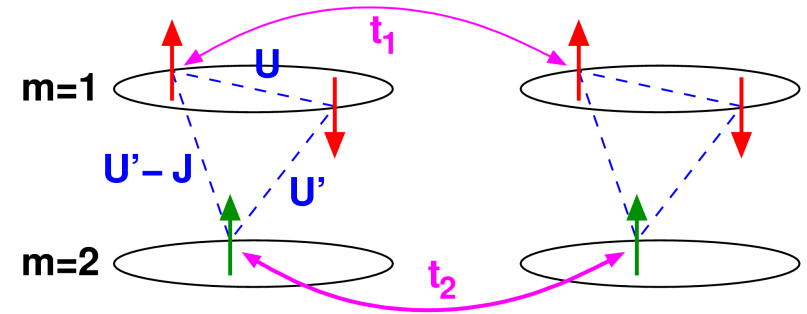
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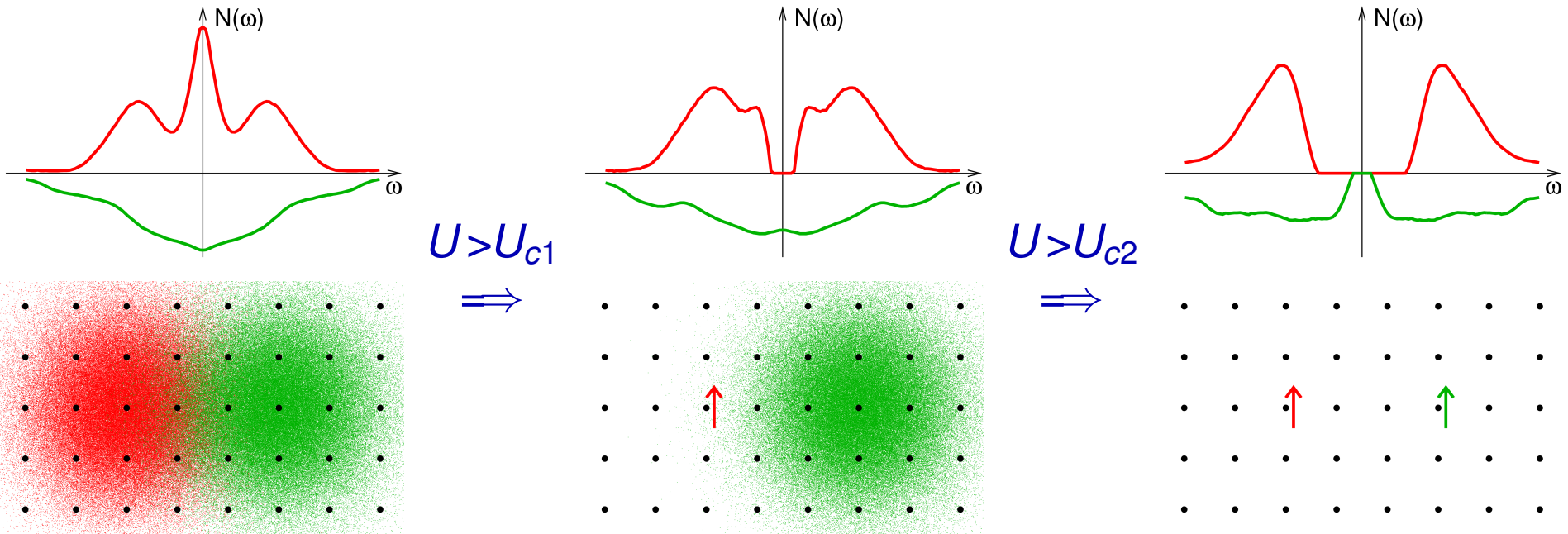
2 phase transitions [Knecht et al. (PRB 2005), de' Medici et al. (PRB 2005), Rüegg et al. (EPJB 2005)]

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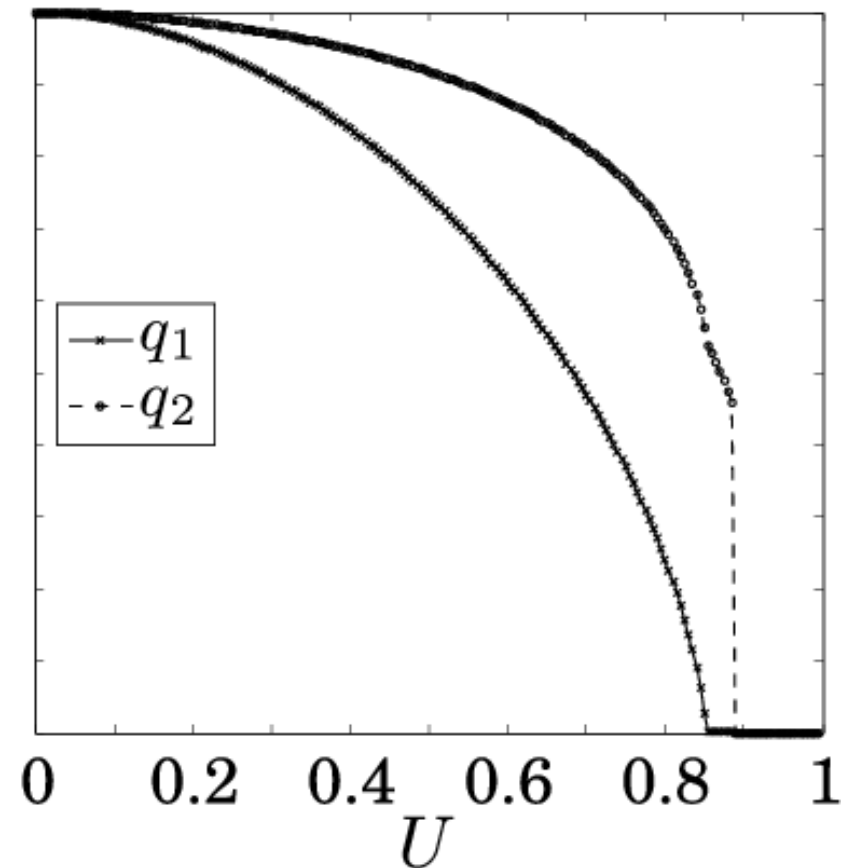
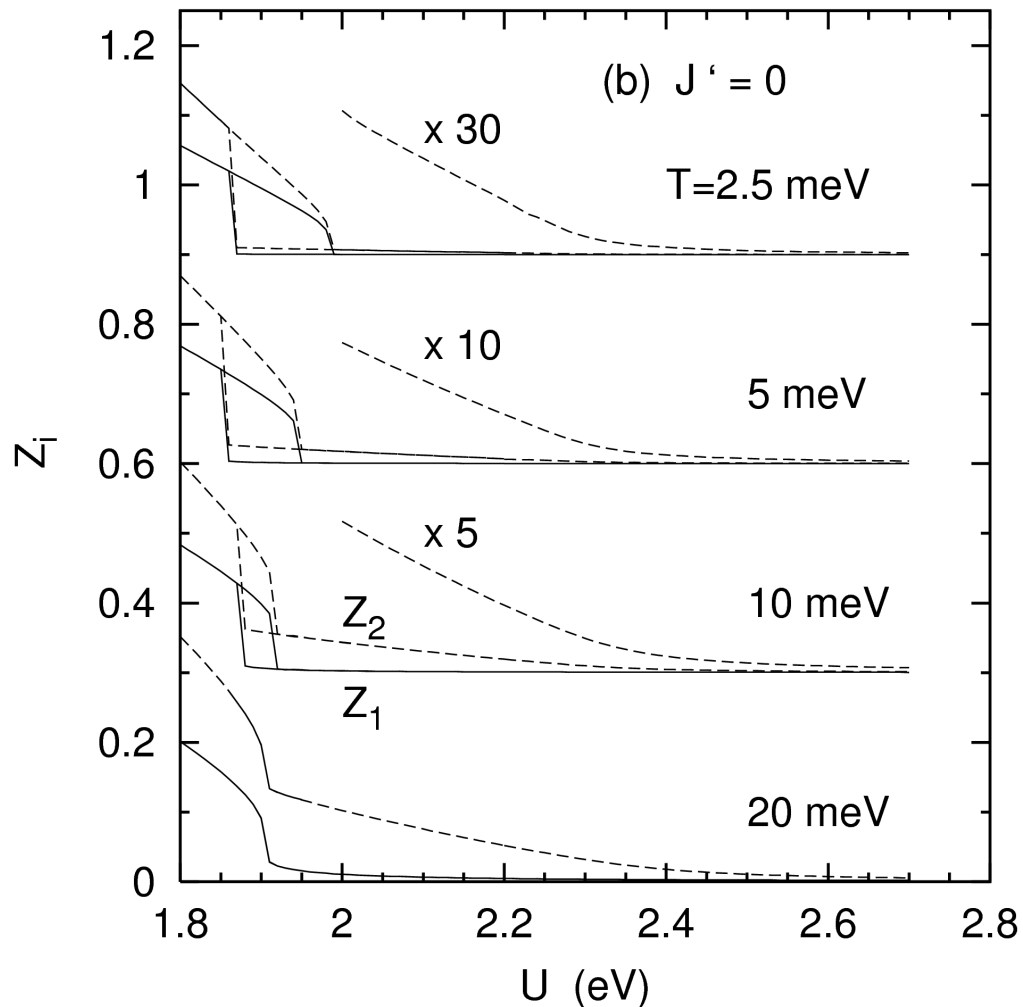
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Character of wide-band transition?

# Order of wide-band transition in anisotropic model

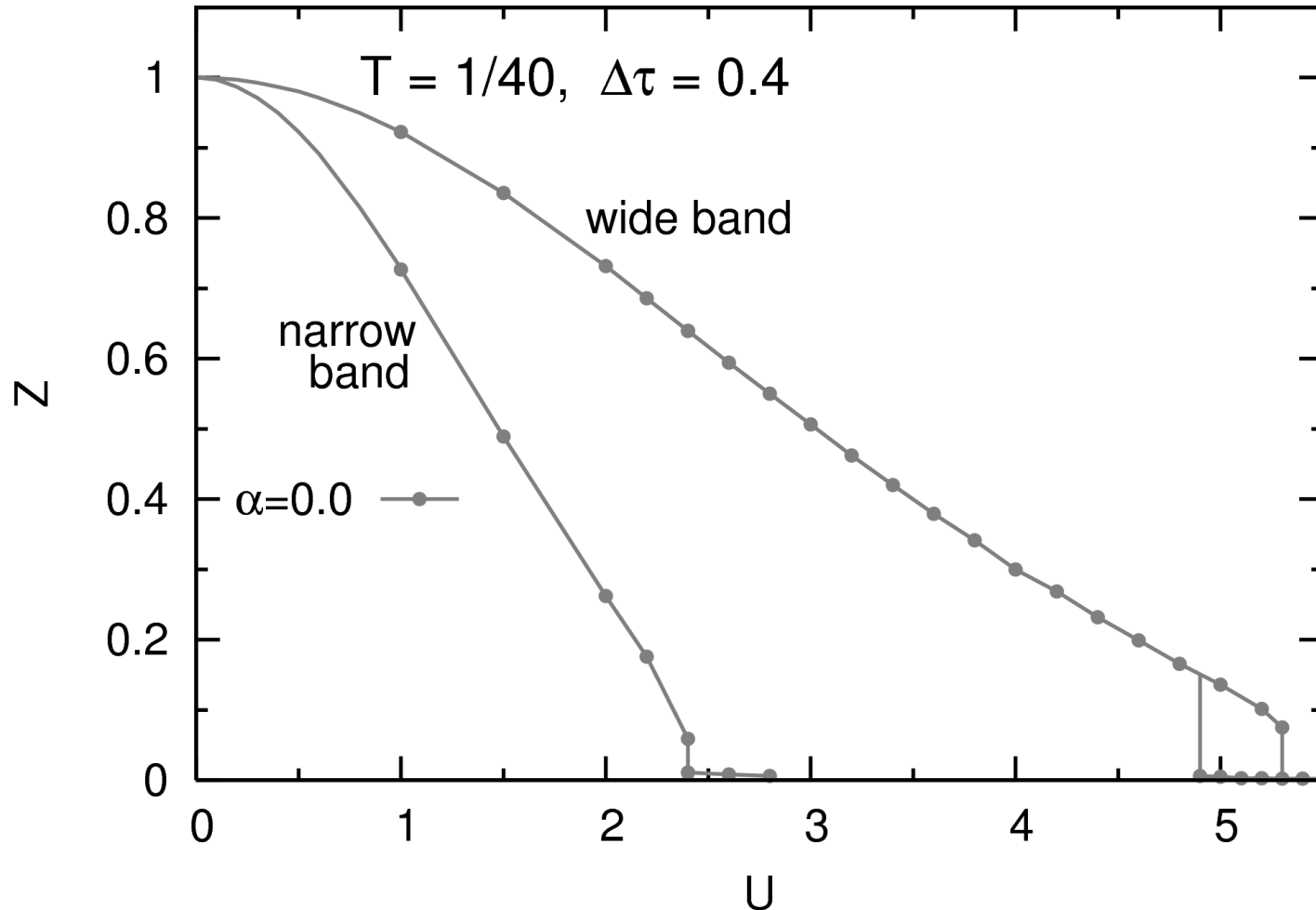


ED  $\rightsquigarrow$  no hysteresis at low  $T$  for wide-band transition [Liebsch, PRL (2005)]

Slave-boson MF  $\rightsquigarrow$  1<sup>st</sup> order wide-band transition (at  $T = 0$ ) [Rüegg, Indergand, Pilgram, Sigrist, EPJB (2005)]

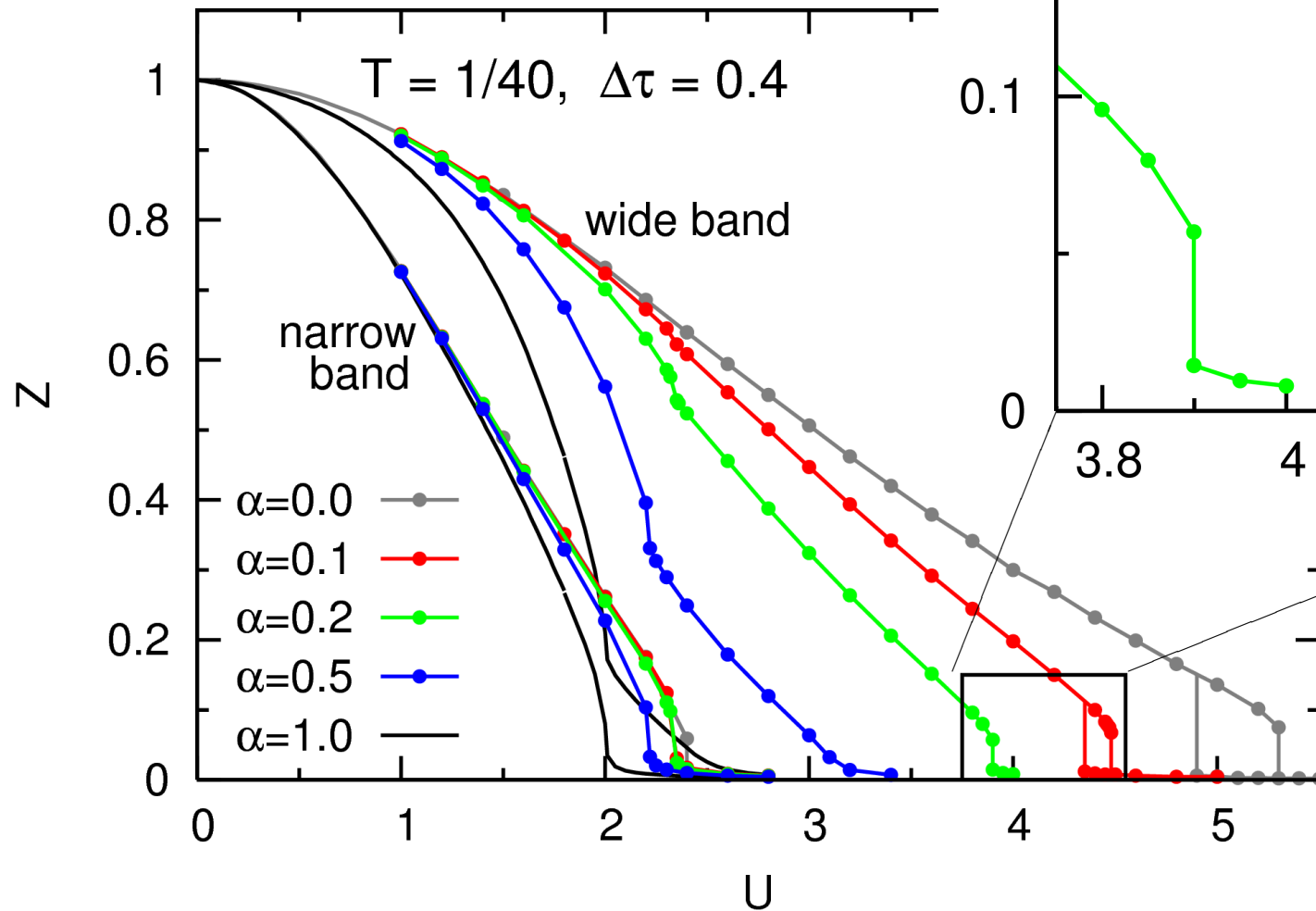
# Systematic study: effect of inter-orbital coupling

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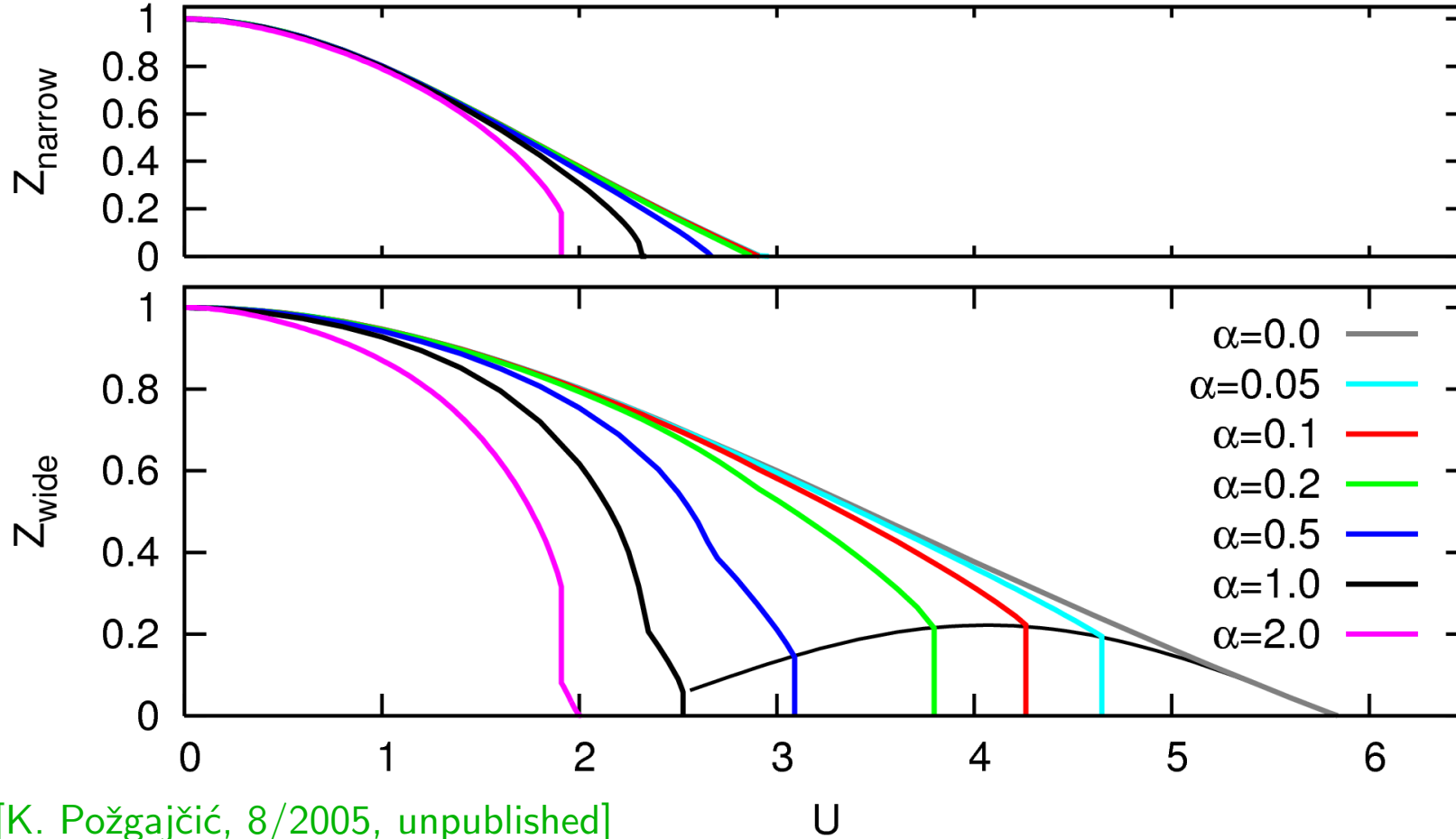
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QMC at  $T = 1/40$ :  
 wide-band OSMT  
 remains 1<sup>st</sup> order  
 for small  $\alpha$

1<sup>st</sup> order at  $T=0$ ?

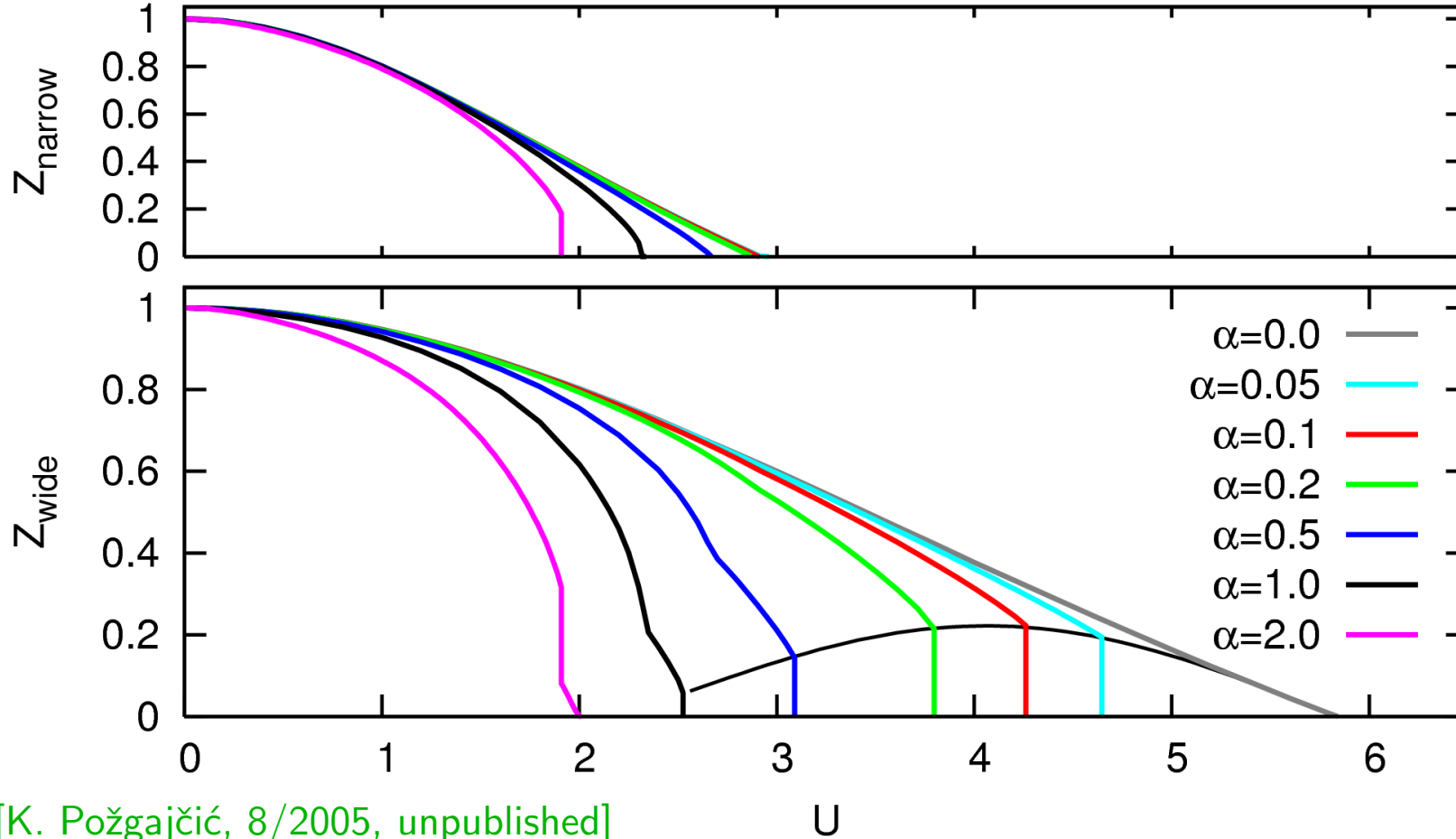
# Self-energy functional theory (SFT+ED) with 1 bath site per orbital



[K. Požgajčić, 8/2005, unpublished]

- 1<sup>st</sup> order wide-band transition for  $0 < \alpha \lesssim 1.5$
- larger  $\alpha$ : 2<sup>nd</sup> order  $\leftrightarrow$  1<sup>st</sup> order

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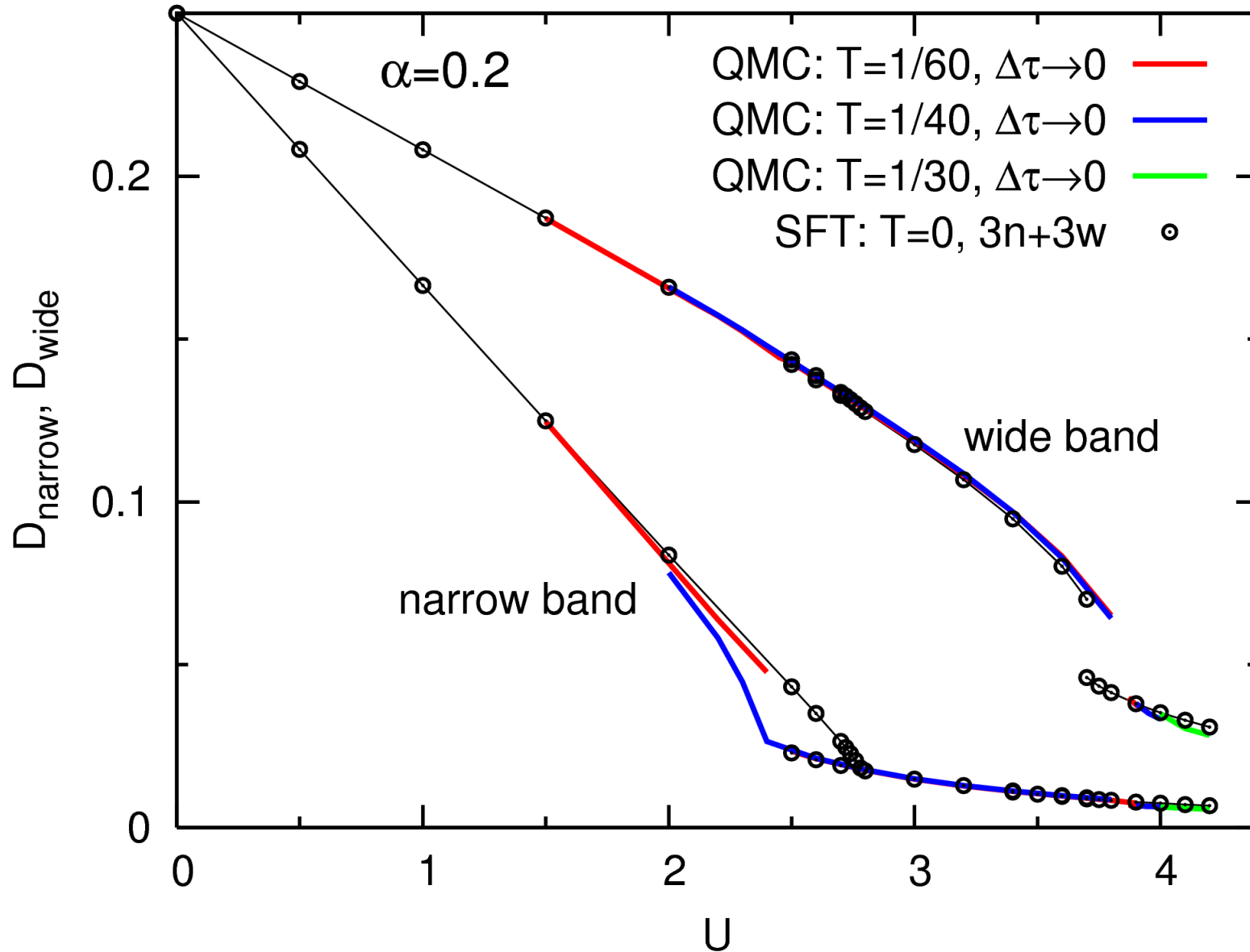
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**Problems:**

- Low-frequency part of  $\Sigma(\omega)$  inconsistent with QMC
- $Z$  ill-defined in OSM phase
- strong finite-size effects

# Double occupancy (1<sup>st</sup> order derivative of $\Omega$ )



Excellent agreement between SFT and QMC

1<sup>st</sup> order at  $T=0$  (at least) for  $0 < \alpha \leq 0.2$



# Summary

Cooperative phenomena in correlated electron systems

Theoretical approaches: (multi-band) Hubbard models, DMFT

Numerical solution: Hirsch-Fye QMC, SFT+ED

Application: orbital-selective Mott transitions

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# Outlook

Band structure calculations for correlated systems

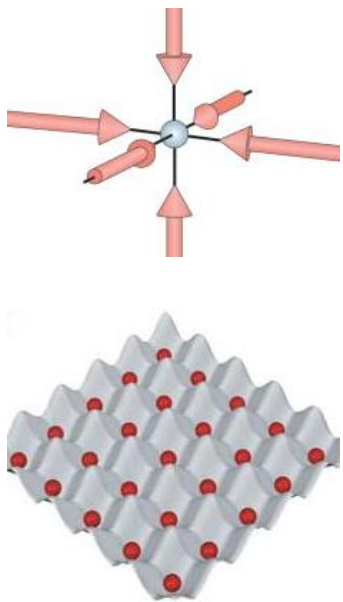
Cluster extensions of DMFT

Ultracold quantum gases on optical lattices . . .

# Starting in 7/2007: SFB/TRR 49 (Frankfurt - Kaiserslautern - Mainz)

## Condensed matter systems with variable many-body interactions

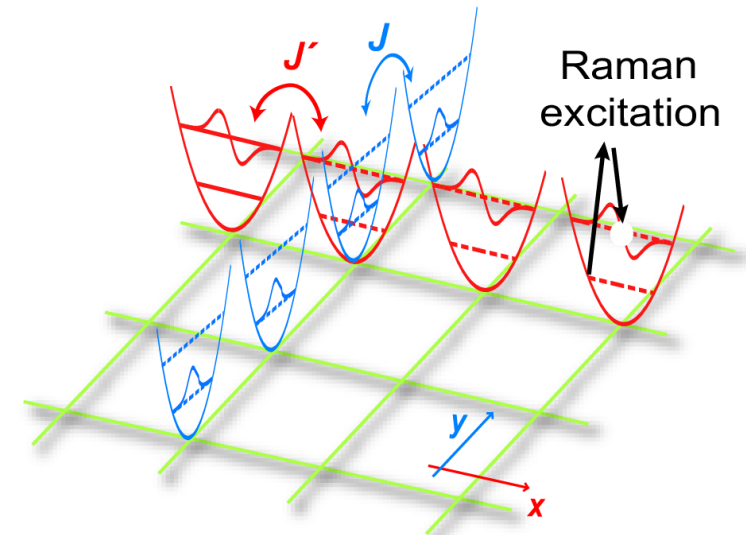
- A1 [Bloch] – Ultracold Fermi mixtures in optical lattices
  - A2 [Kuhr/Bloch] – Spatially addressable quantum gases in optical lattices
  - A3 [Hofstetter] – Inhomogeneous quantum phases in ultracold gases with strong correlations
  - A5 [Fleischhauer/Eggert] – Advanced numerical methods for correlated quantum gases
  - A6 [Blümer] – Flavour-selective Mott transitions of ultracold quantum gases on optical lattices
  - A7 [Hillebrands/Serha] – Collective effects and instabilities of a magnon gas
  - A8 [Kopietz] – Interacting magnons and critical behaviour of bosons
- project area B: real materials



A1 + A6: flavor selectivity in Fermi mixtures of different

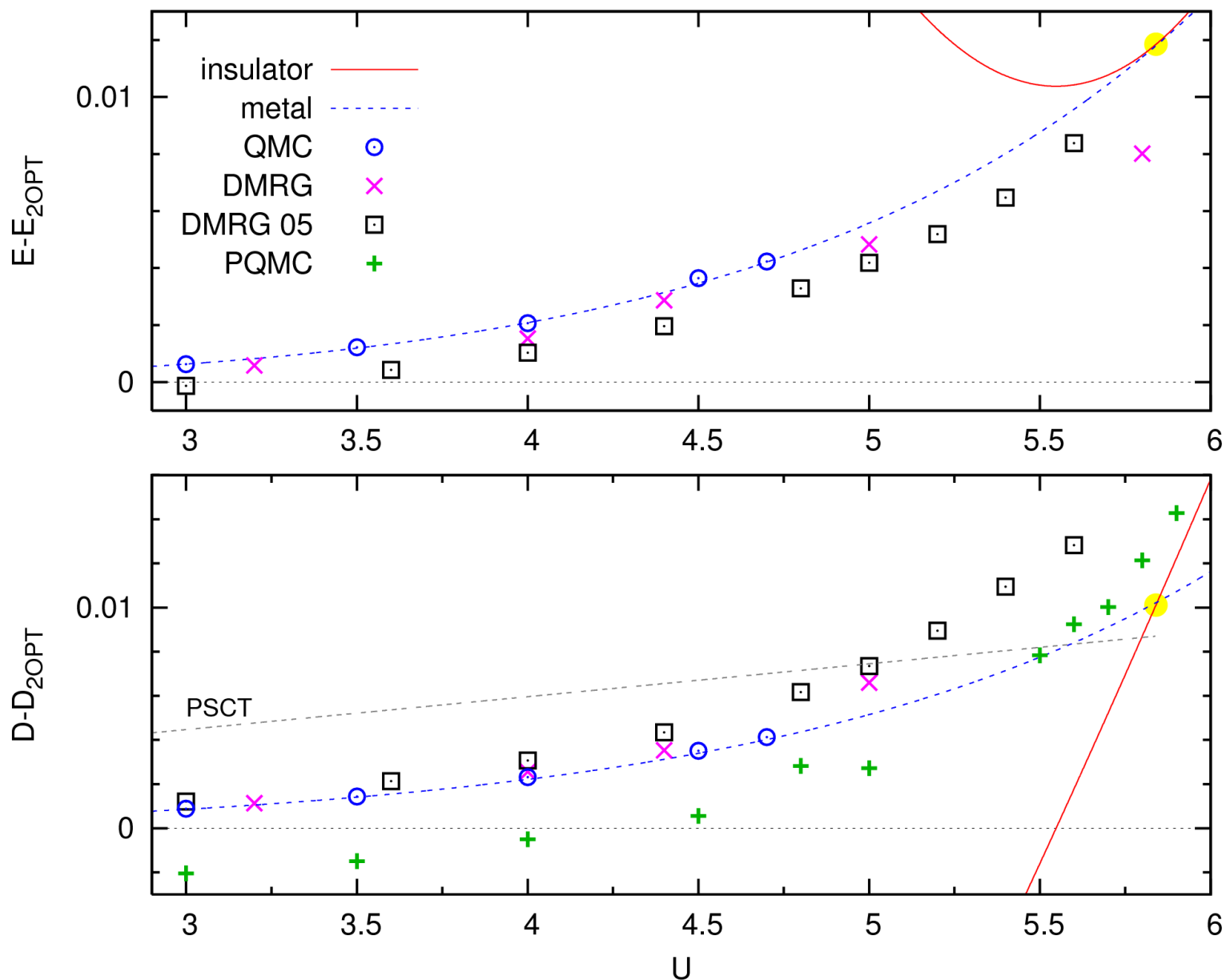
- atomic species:  ${}^6\text{Li}$  and  ${}^{40}\text{K}$
  - hyperfine states
  - vibrational levels
- on optical lattices

Hopping amplitudes tunable and flavor-dependent!

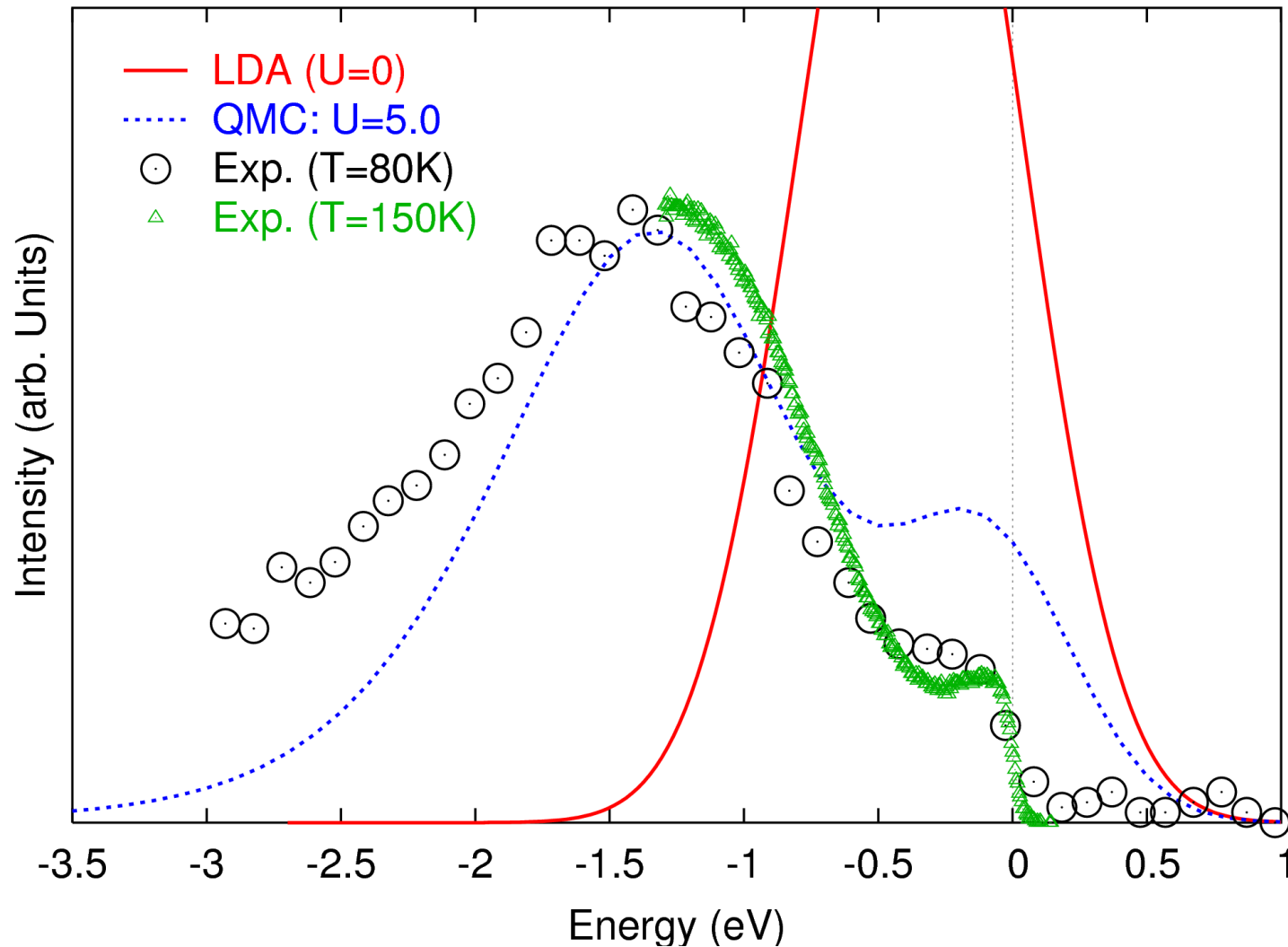


[Müller, Fölling, Widera, Bloch (2007)]

# Precision: HF-QMC vs. ground state methods



# System near Mott transition: $\text{La}_{1-x}\text{Sr}_x\text{TiO}_3$ – photoemission spectra



[Nekrasov, Held, NB, Poteryaev, Anisimov, Vollhardt (2000)]

LDA+DMFT(QMC): Reasonable accuracy, drastic improvement over LDA

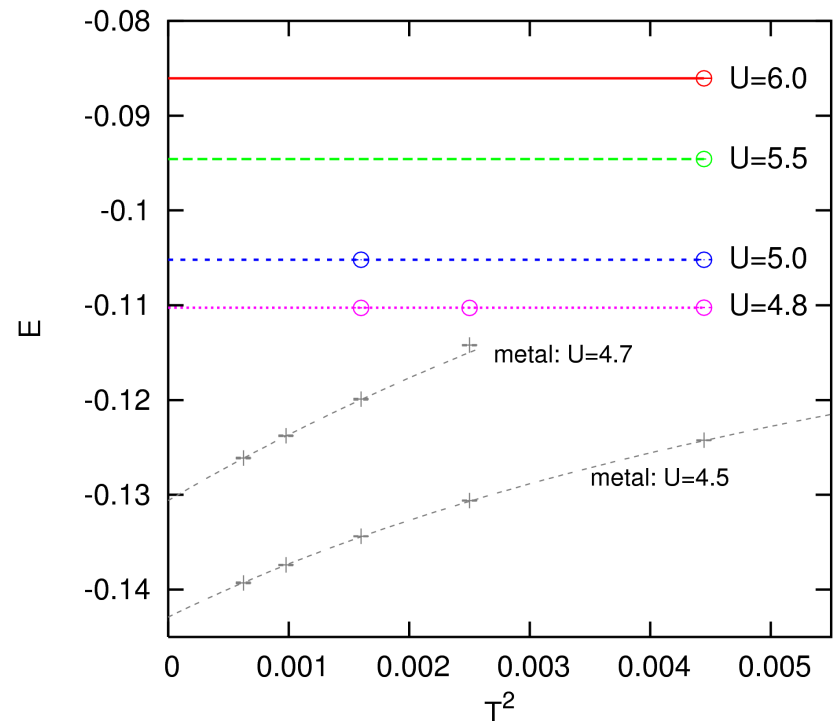
# Critical exponents from QMC and ePT

Ground state energy  $E$  of 1-band Mott insulator from

1. HF-QMC with  $T \rightarrow 0$  extrapolation

$$\left. \begin{array}{l} \Sigma(\omega) = \frac{U^2}{4\omega} + \mathcal{O}(\omega^{-2}) \\ 40 \times 10^7 \text{ sweeps} \\ \text{careful } \Delta\tau \text{ extrapolation} \end{array} \right\} \Delta E \approx 10^{-5}$$

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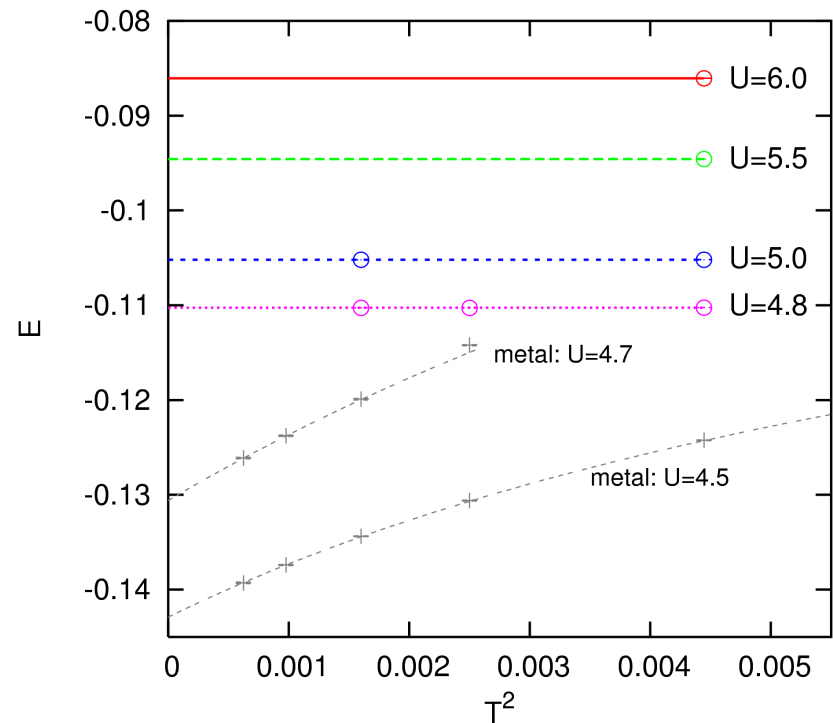
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2.  $T=0$  Kato-Takahashi perturbation theory

$$E_{\text{PT}}(U) = -\frac{1}{2U} - \frac{1}{2U^3} - \frac{19}{8U^5} - \frac{593}{32U^7} - \frac{23877}{128U^9} + \mathcal{O}(U^{-11})$$

10<sup>th</sup> order PT accurate (only) at  $U \gtrsim 6$ :  $\Delta E_{\text{PT}} \leq 10^{-5}$





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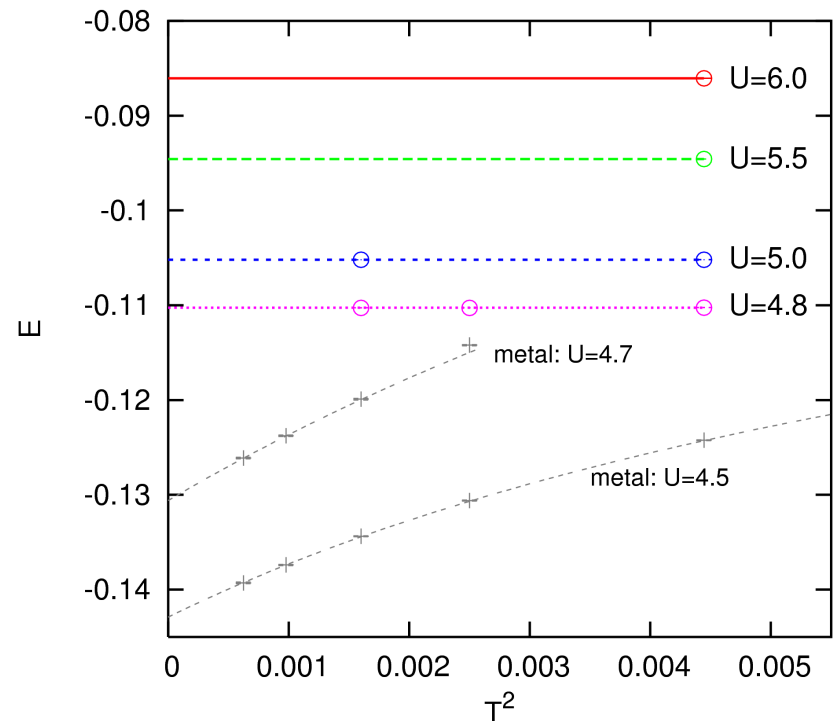
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coefficient ratios: 1      4.8      7.8      10.1

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# Extended perturbation theory: ePT

## Extrapolate coefficients

in PT series  $E_{\text{PT}} = \sum_{i=1}^{\infty} a_{2i} U^{1-2i}$

by fitting ratios

$U_{c1}[2i] \equiv \sqrt{a_{2i+2}/a_{2i}}$  to

$U_{c1}[n] \approx U_{c1} + u_1 n^{-1} + u_2 n^{-2}$

## General consequences:

$U_{c1} = \lim_{i \rightarrow \infty} U_{c1}[2i]$

$a_n \propto n^{\tau} U_{c1}^n$ ;  $\tau = -\frac{u_1}{U_{c1}}$

$E(U) \propto (U - U_{c1})^{\tau-1}$

$D(U) \propto (U - U_{c1})^{\tau-2}$

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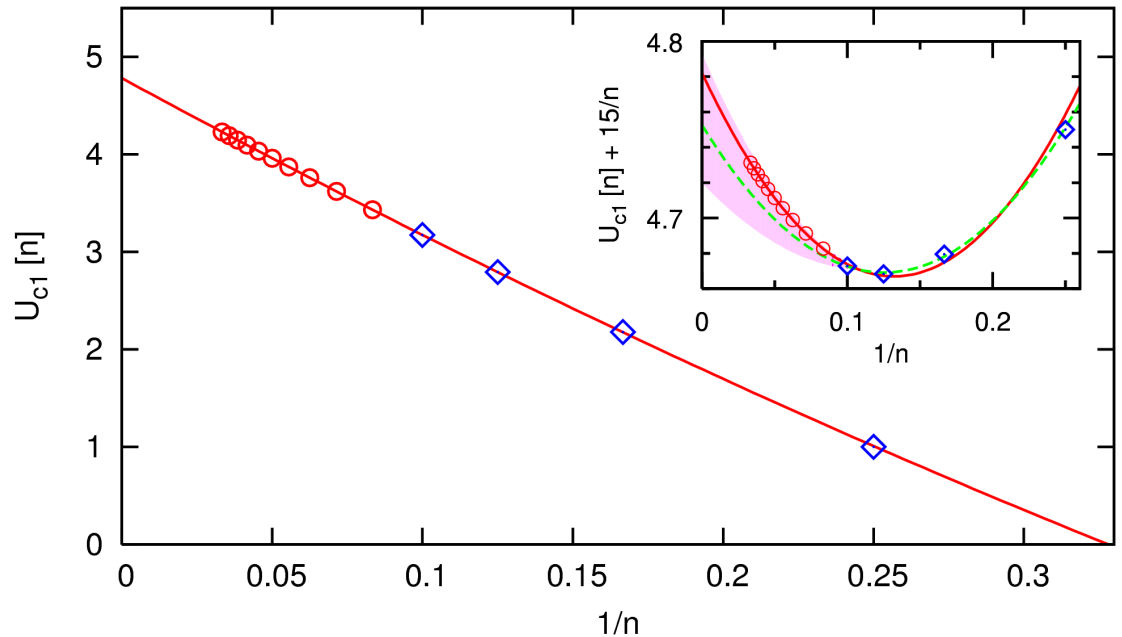
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## Specifics / numerical results of extrapolation:

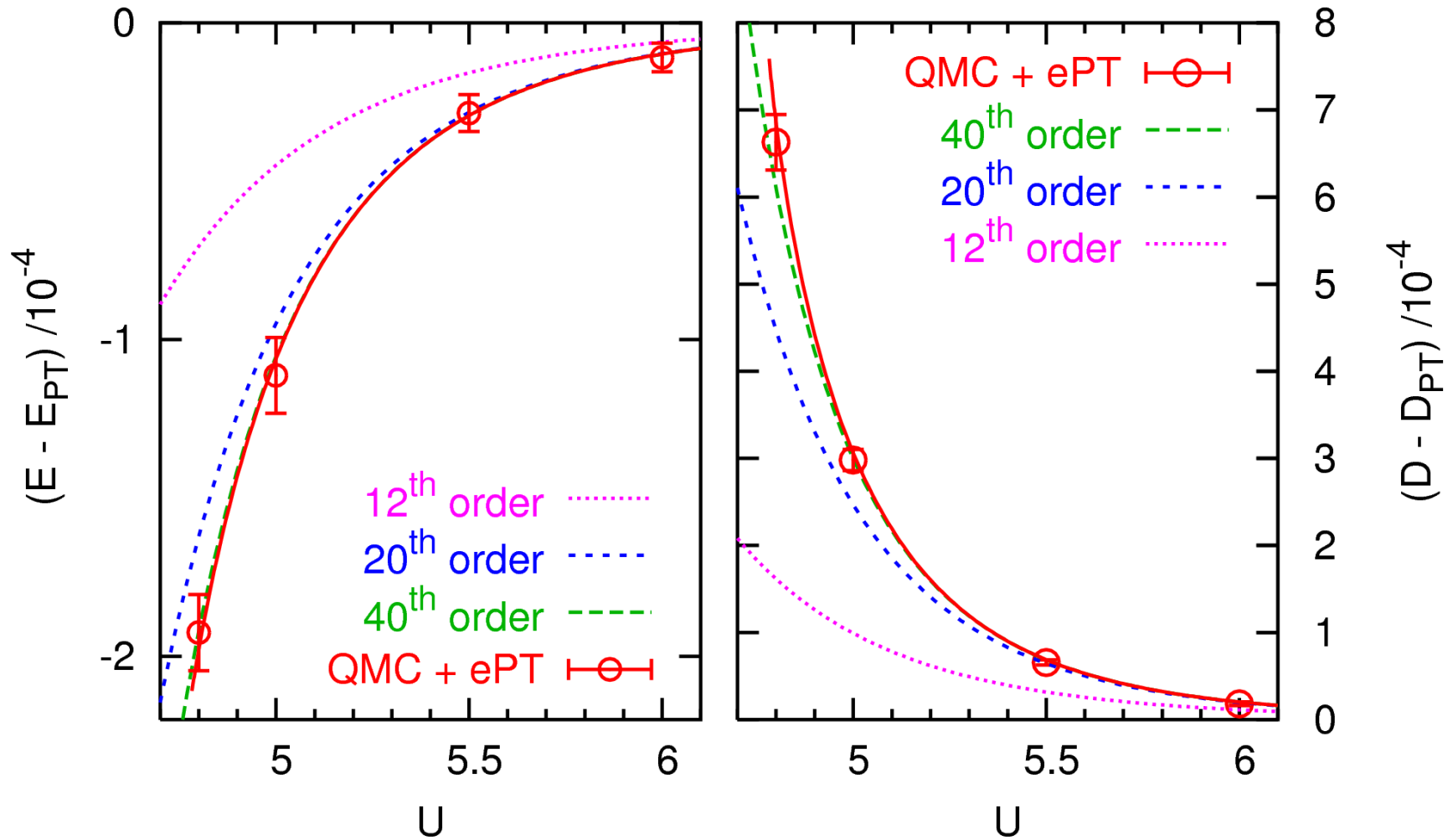
Unrestricted quadratic fit  $\rightsquigarrow \tau \approx 3.44, U_{c1} \approx 4.75$

Comparisons with QMC  $\rightsquigarrow 3.36 \leq \tau \leq 3.53$

Half-integer exponents likely for mean-field theories

**Assume**  $\tau = 3.5 \rightsquigarrow U_{c1} = 4.782, E_{\text{ePT}}(U), D_{\text{ePT}}(U)$

# Comparisons: energy $E$ and double occupancy $D = dE/dU$



Excellent agreement  $\rightsquigarrow$  reliable exponents, fully parametrized benchmark results

[Blümer, Kalinowski, Phys. Rev. B **71**, 195102 (2005)]