

Quantum Monte Carlo simulations of ultracold fermions on optical lattices within dynamical mean-field theory

Nils Blümer and Elena Gorelik, Univ. Mainz

Outline

Systems with strong electronic (fermionic) correlations

Approaches for correlated Fermi systems

Auxiliary-field Hirsch-Fye QMC algorithm; Multigrid HF-QMC

Paramagnetic Mott transitions in 3-flavor mixtures

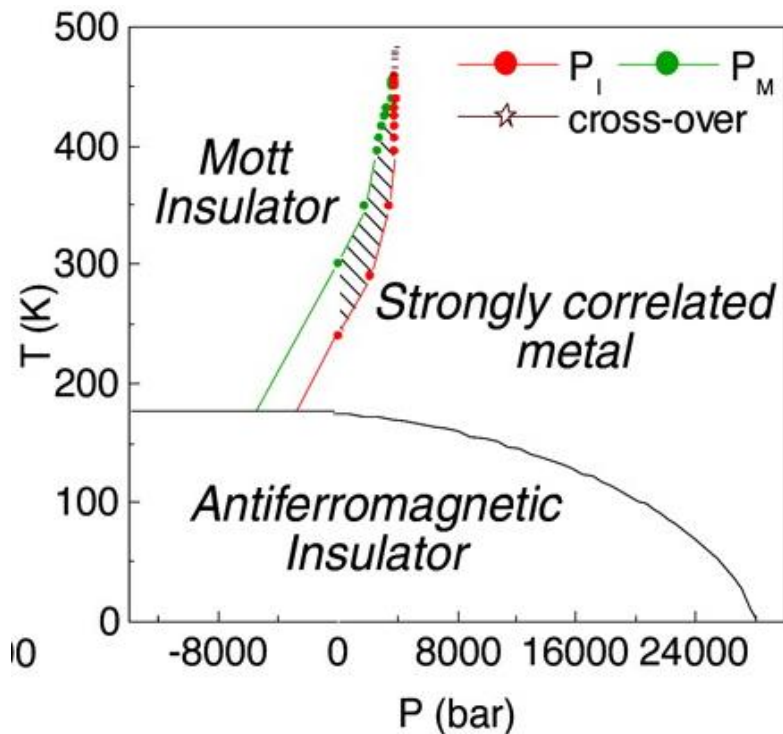
Melting of an antiferromagnet in an optical trap

Systems with strong electronic/fermionic correlations

Paramagnetic Mott metal-insulator transition

Prototype example: V_2O_3 doped with Cr/Ti and/or under pressure

Phase diagram

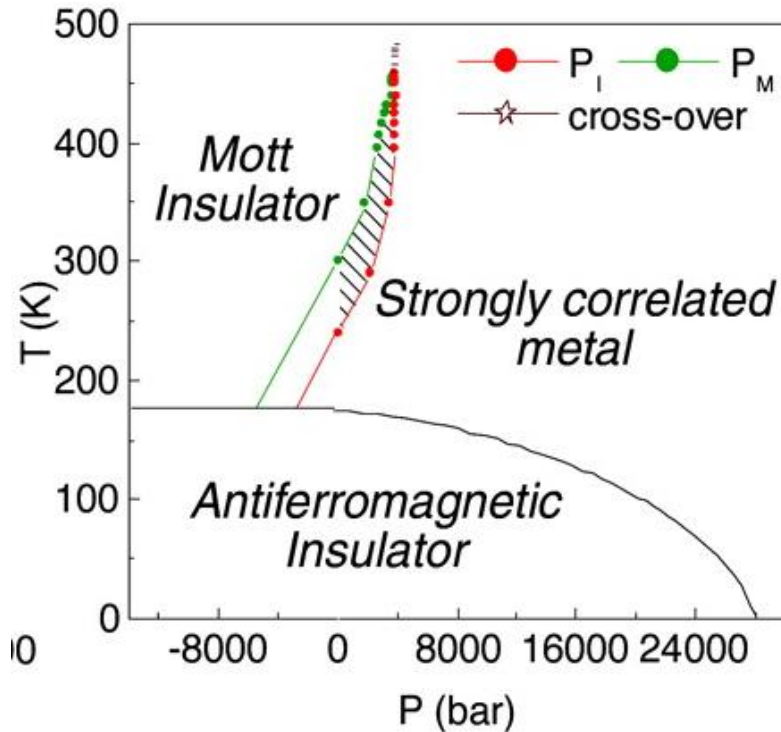


Systems with strong electronic/fermionic correlations

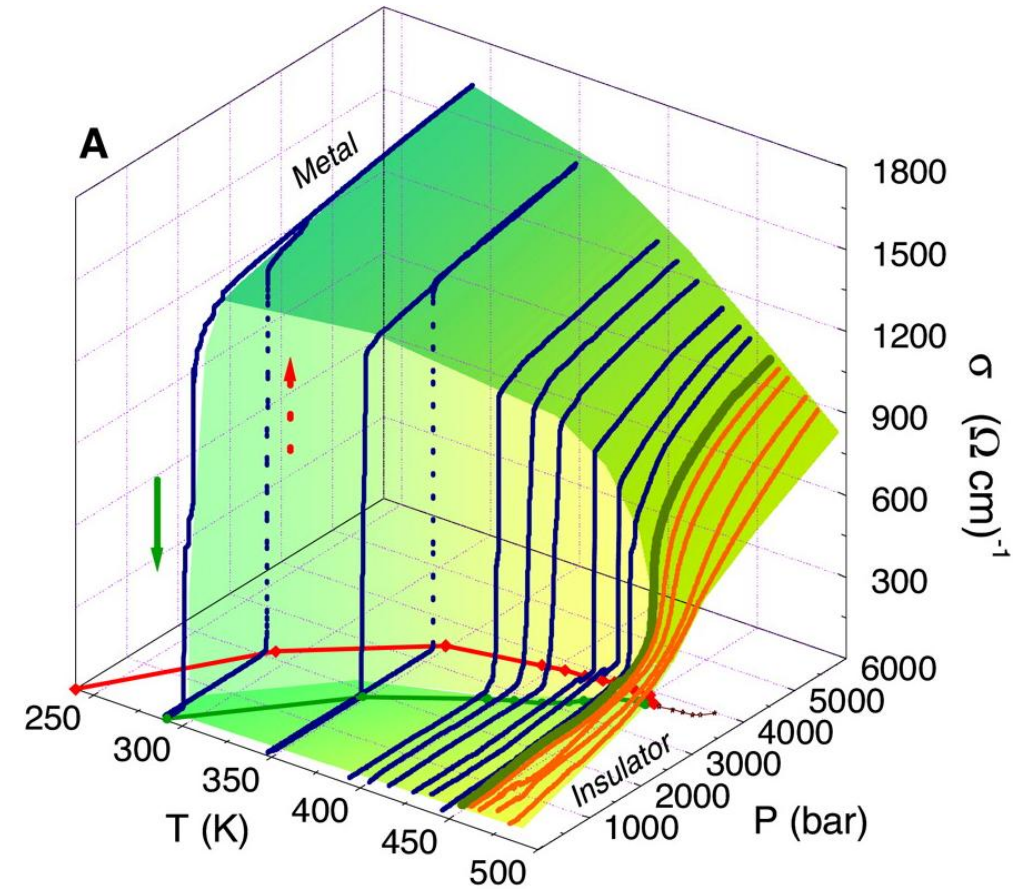
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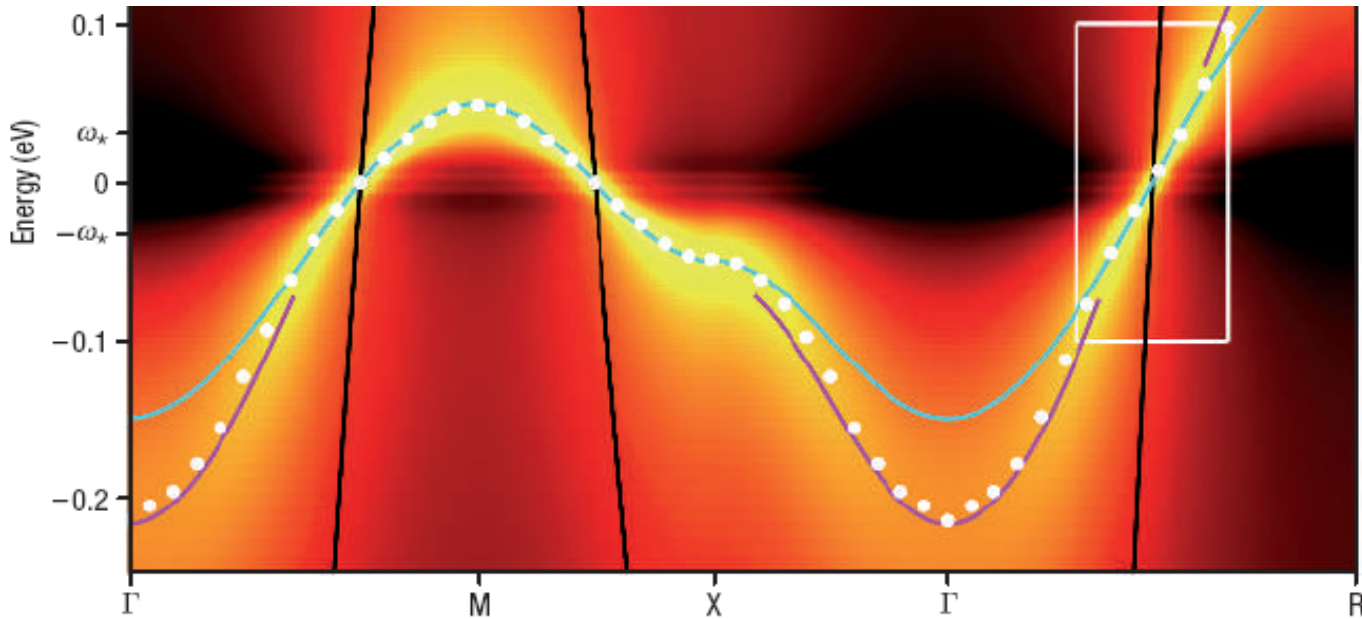
Electrical conductivity



[Limelette et al., Science 302, 89 (2003)]

Ab initio calculations for correlated systems: LDA+DMFT

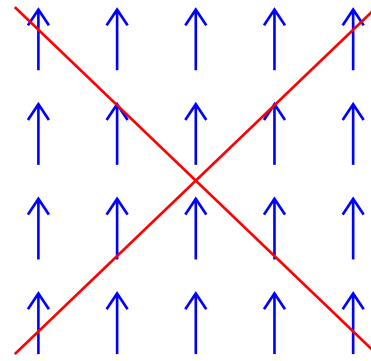
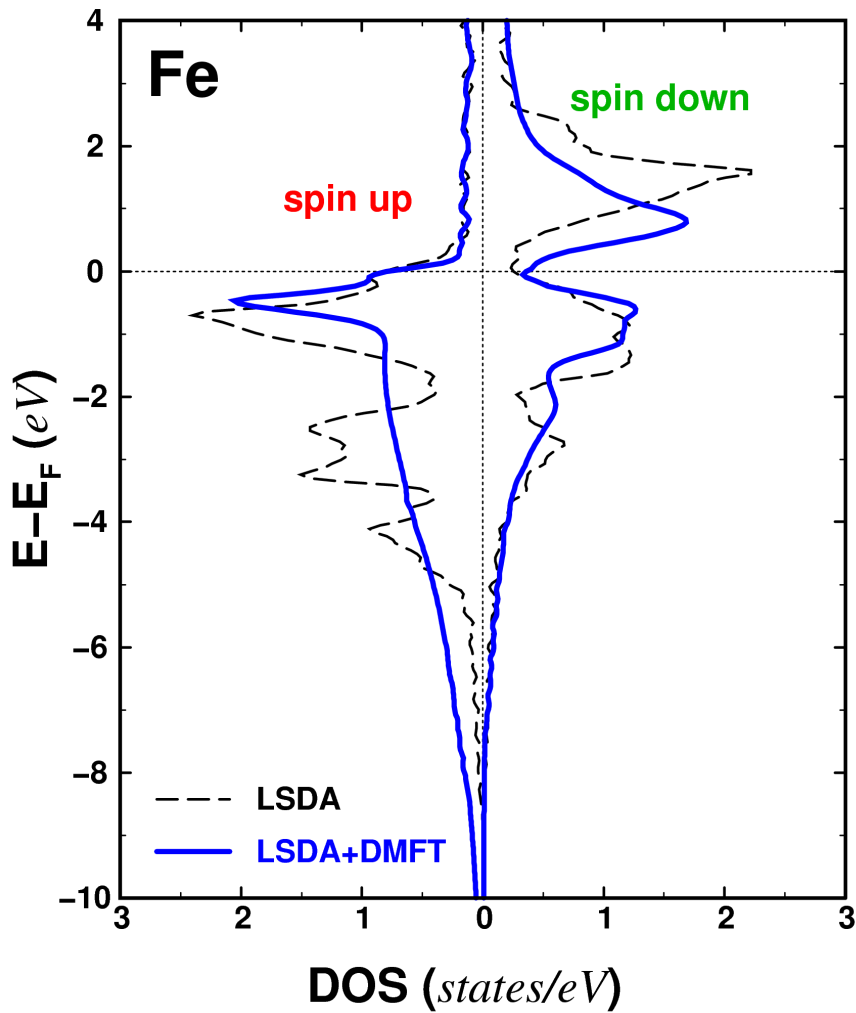
Recent “hot topic”: kinks in photoemission spectra



[Byzucuk et al., Nature Physics (2006)]

Strongly correlated electron systems – close to a Mott transition

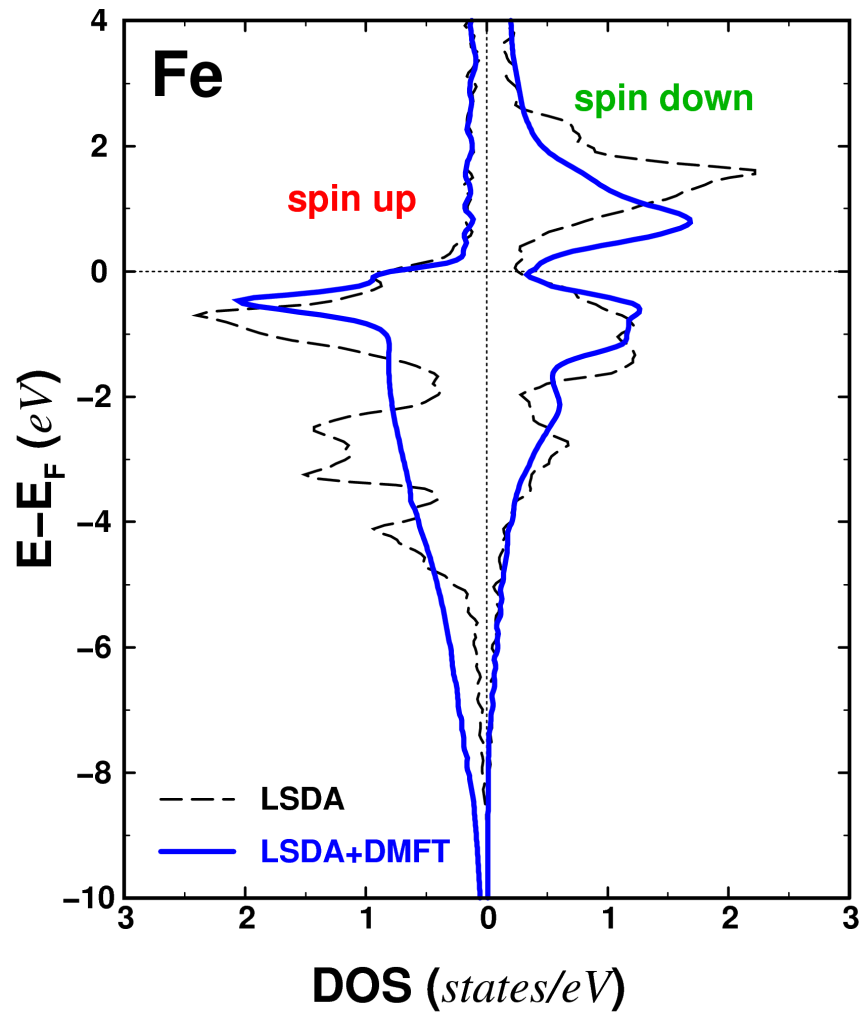
Itinerant ferromagnetism and half-metallicity



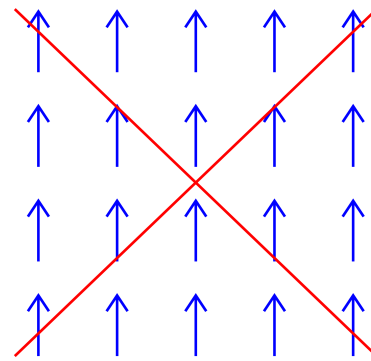
Spin models
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[Chioncel et. al, PRB (2003)]

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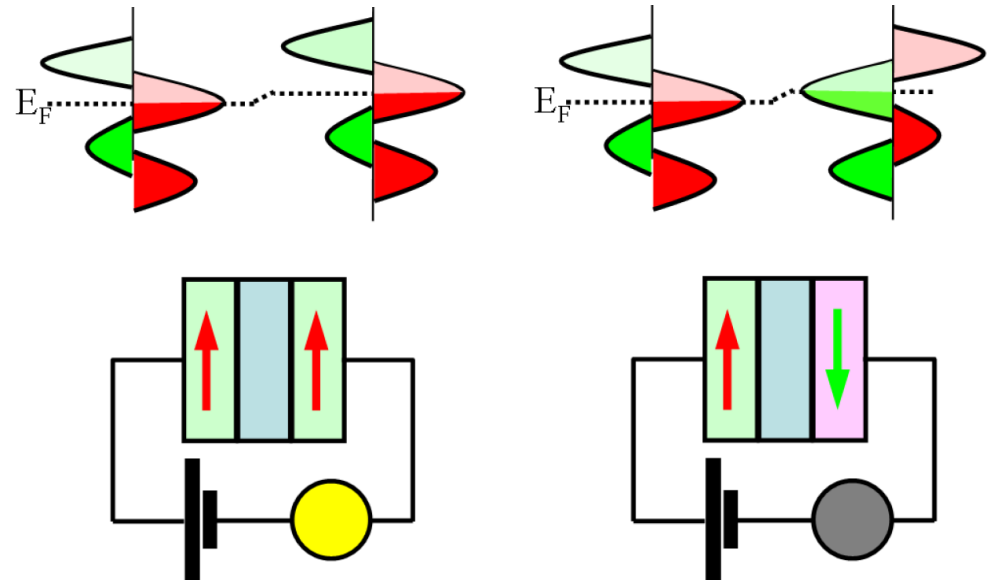


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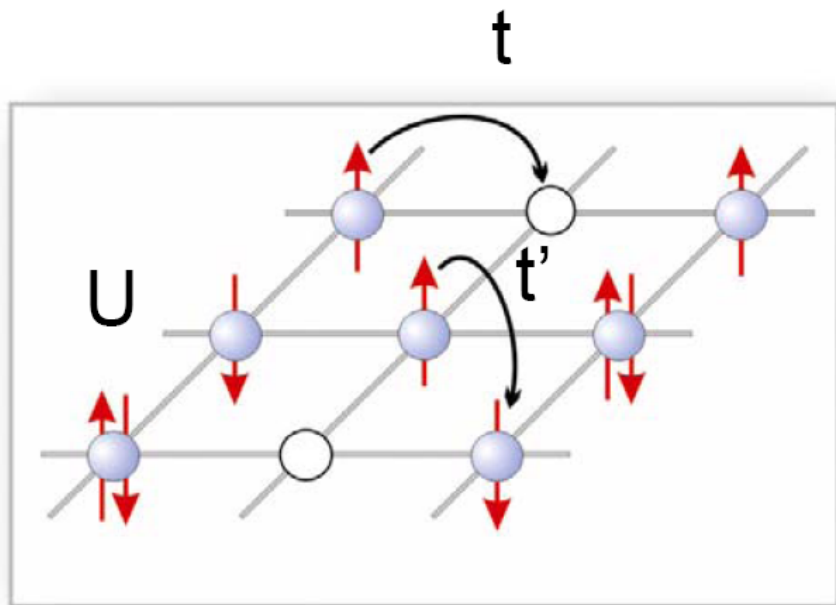
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Technological goal: TMR with half metals

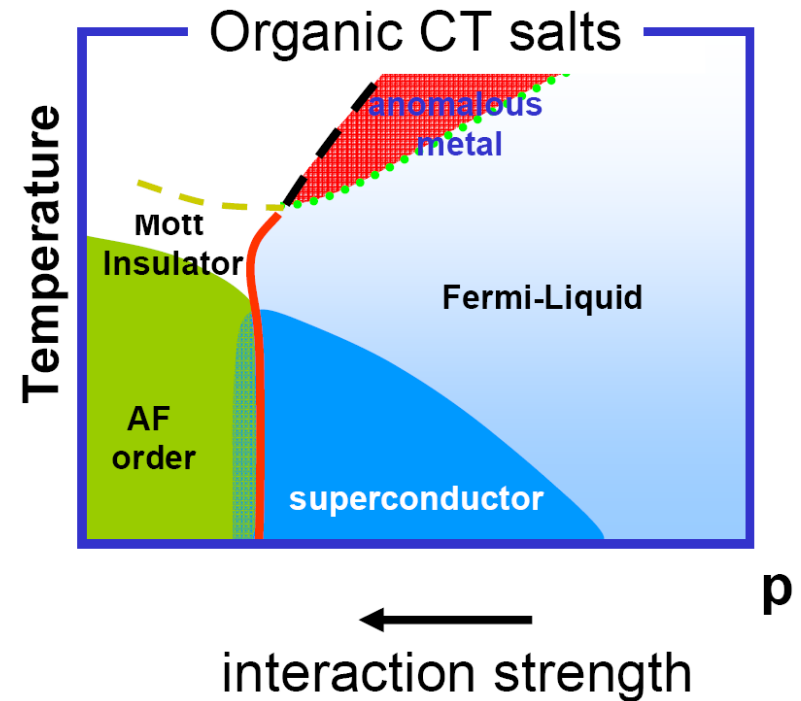
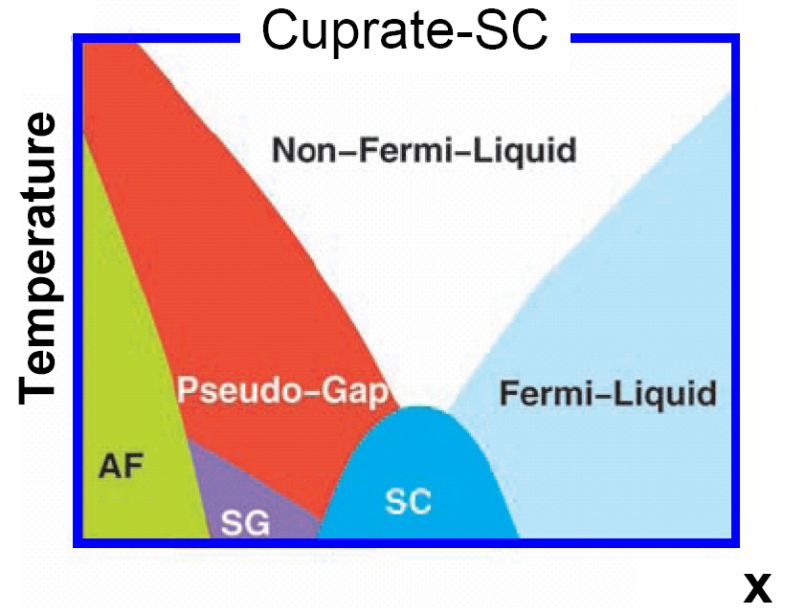


Complex phases of cuprate and organic superconductors

High- T_c physics contained in 2D Hubbard model?



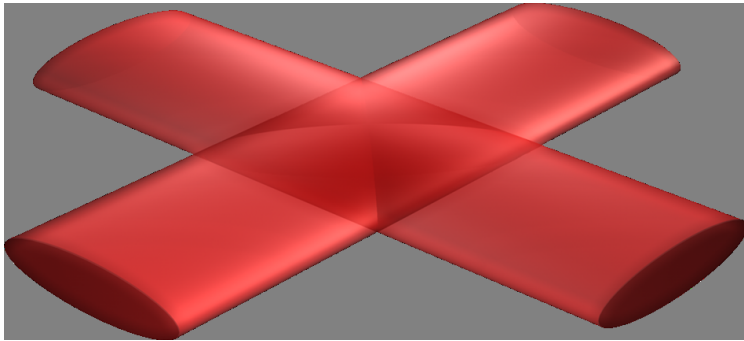
Are antiferromagnetic (AF) and Mott insulating phases essential for superconductivity?



Correlated ultracold quantum gases on optical lattices: basics

Experimental systems: small dilute clouds of about 10^6 ultracold atoms \rightsquigarrow need trap

Optical dipole trap (2 beams)



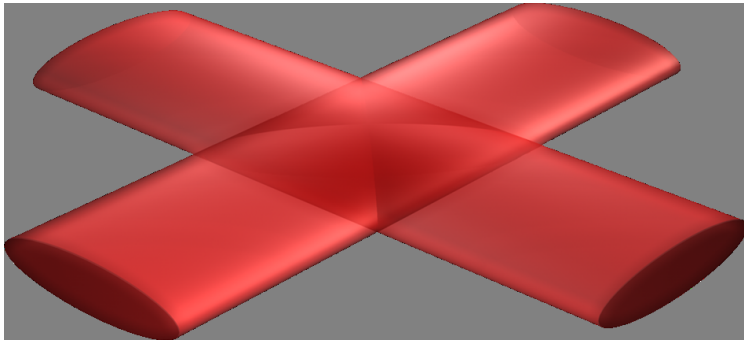
$$V_{\text{dipole}}(\mathbf{r}) = -\mathbf{d} \cdot \mathbf{E}(\mathbf{r}) \propto \alpha(\omega_L) |\mathbf{E}(\mathbf{r})|^2$$

time-averaged
intensity $|\mathbf{E}(\mathbf{r})|^2$

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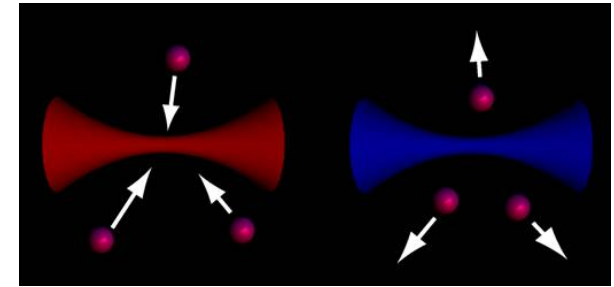
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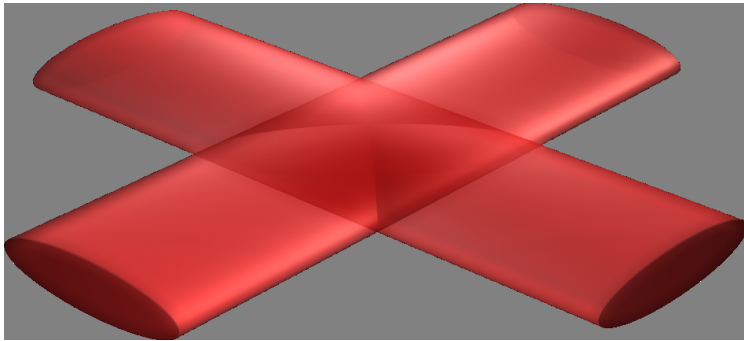
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changes sign at ω_0



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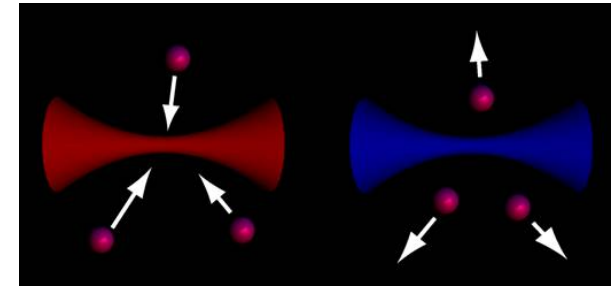
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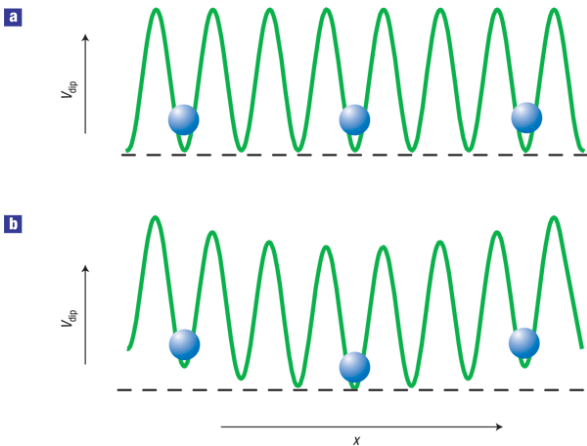
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Standing wave (from coherent counterpropagating beams) \rightsquigarrow modulated potential

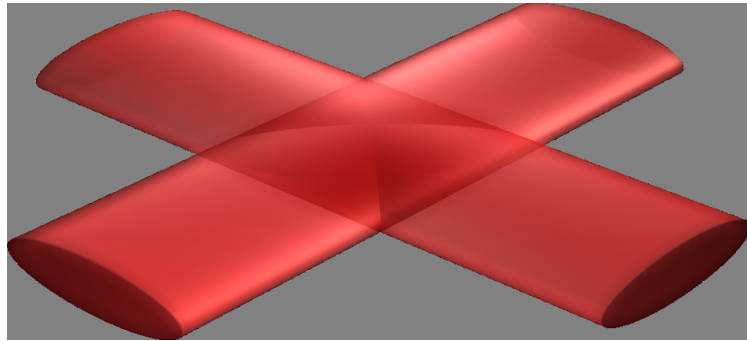


Beam profile: (anti) trapping

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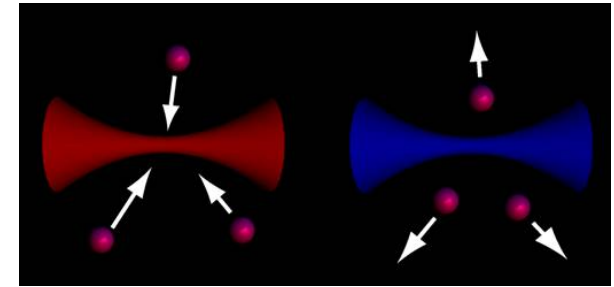
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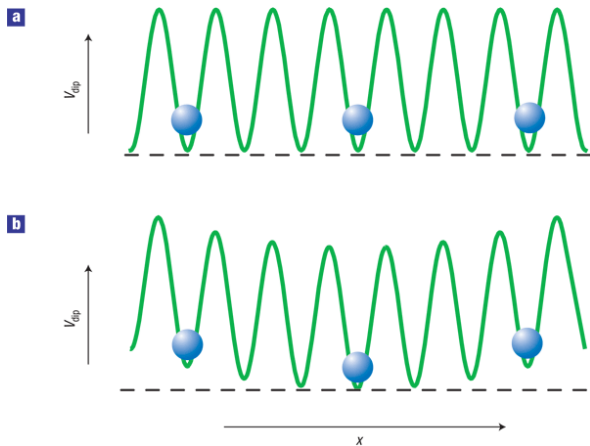
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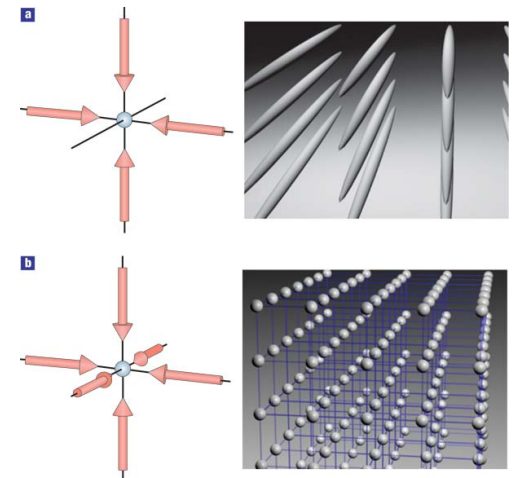


Beam profile: (anti) trapping

1 pair of lasers \rightsquigarrow pancakes

2 pairs of lasers \rightsquigarrow tubes

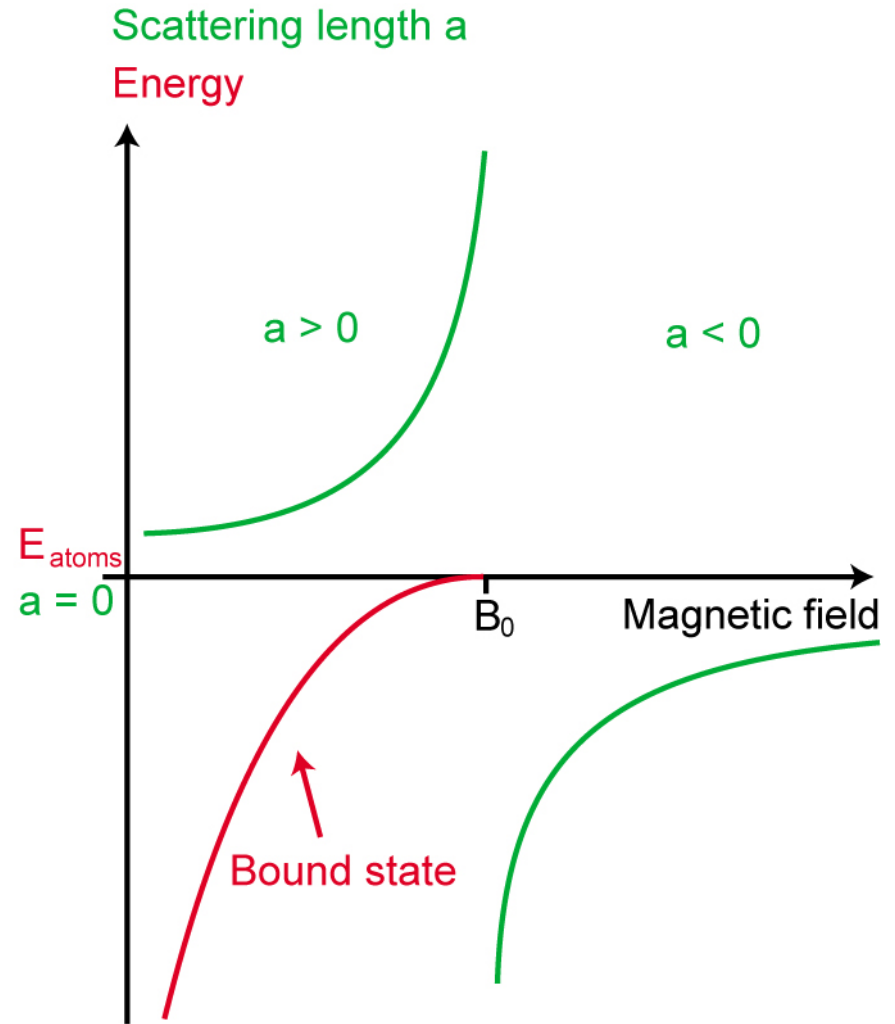
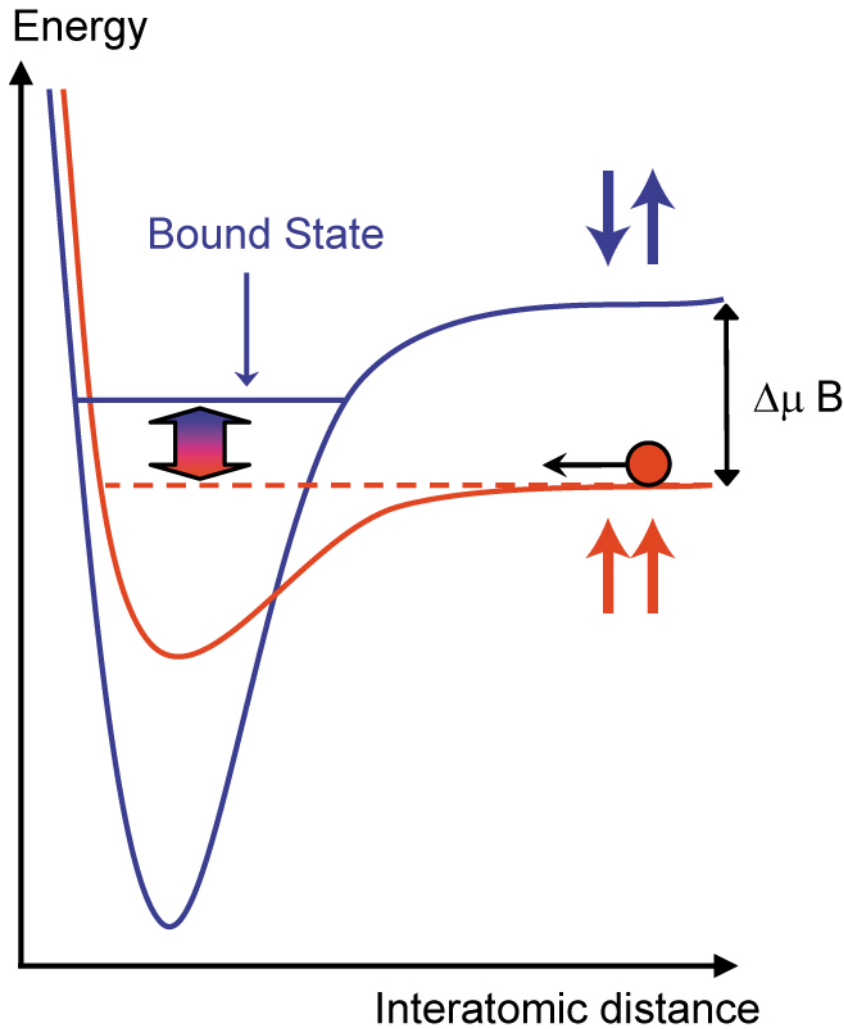
3 pairs of lasers \rightsquigarrow lattice



Interactions can be tuned via Feshbach resonances

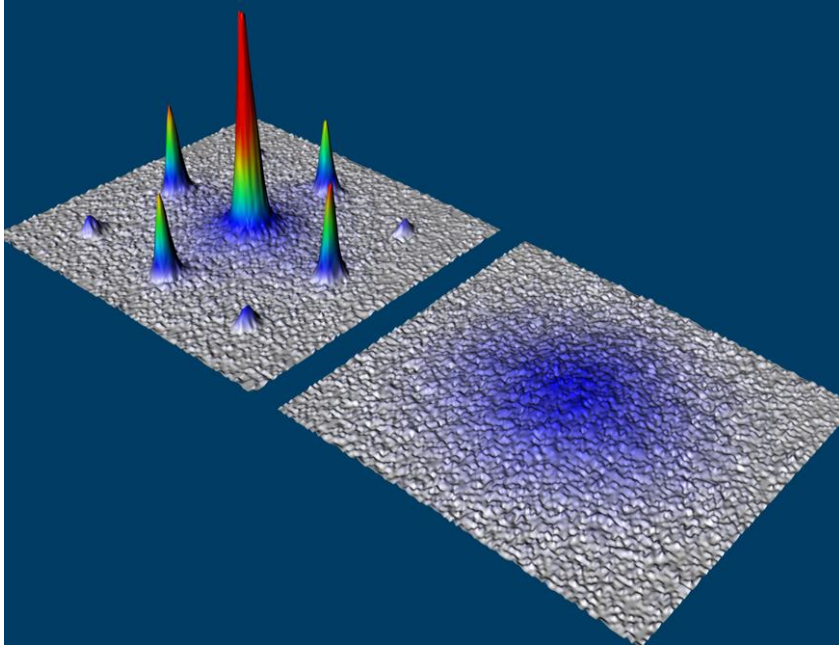
Interactions can be tuned via Feshbach resonances (here in magnetic field \mathbf{B})

short ranged: characterized by scattering length a – both signs possible!



Correlated ultracold quantum gases on optical lattices: bosons

First evidence of strongly correlations in cold atoms: bosonic Mott transition

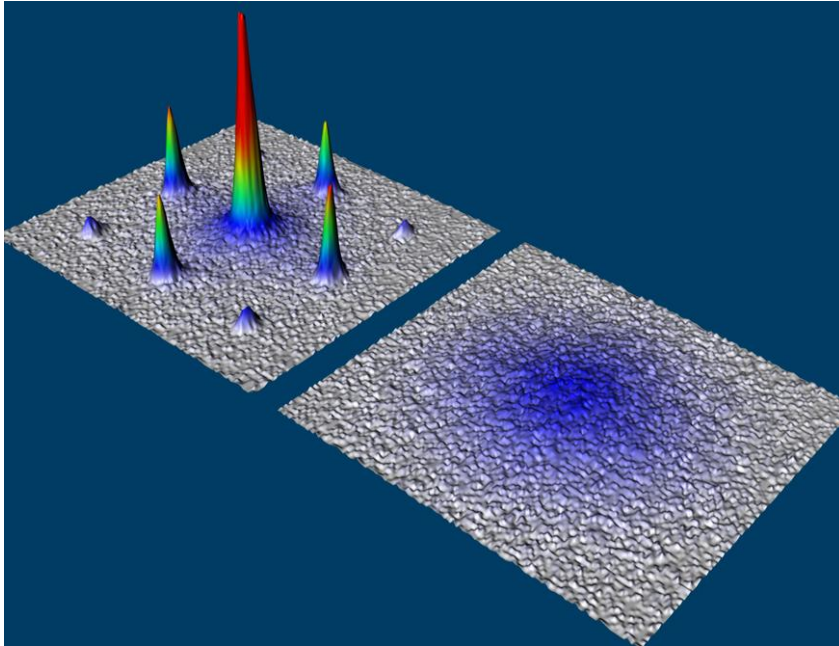


Time-of-flight image – momentum distribution

ultracold bosons on optical lattice
(Bloch group, 2002)

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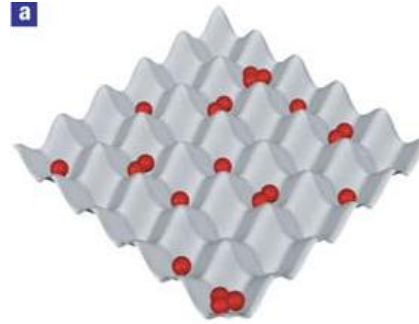
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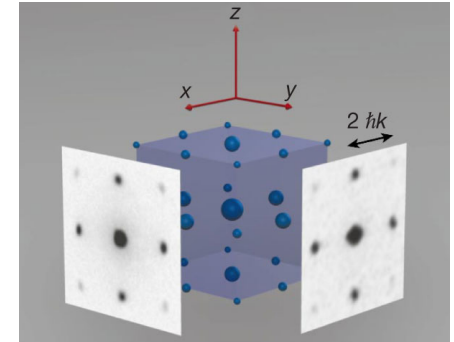
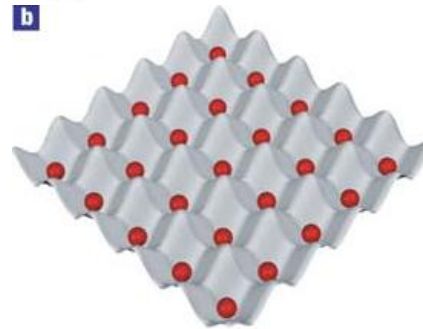
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Time-of-flight image – momentum distribution

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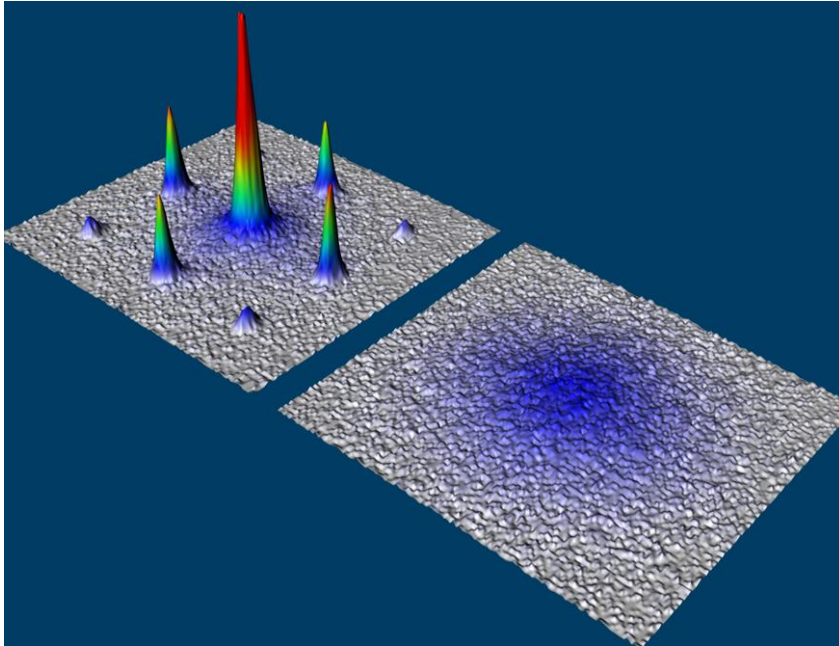


b



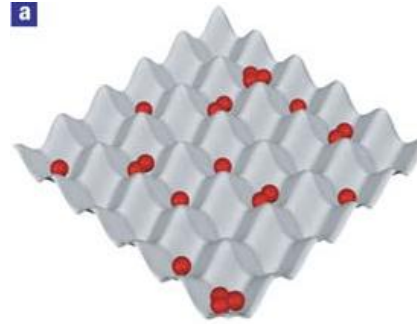
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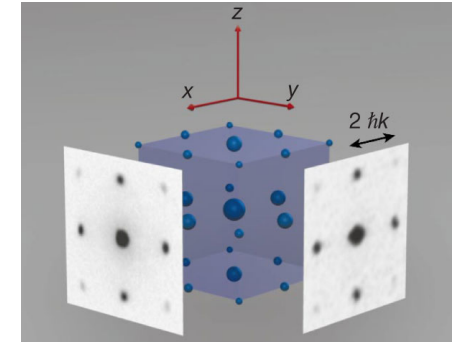
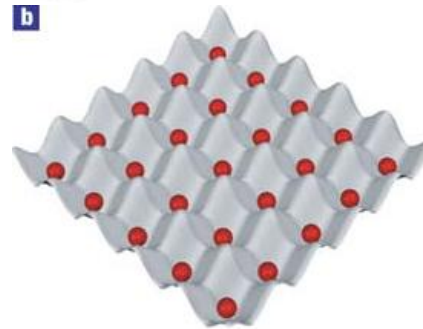


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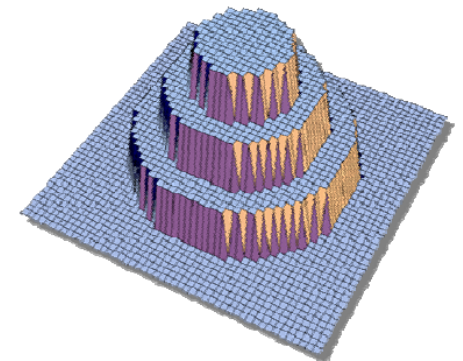


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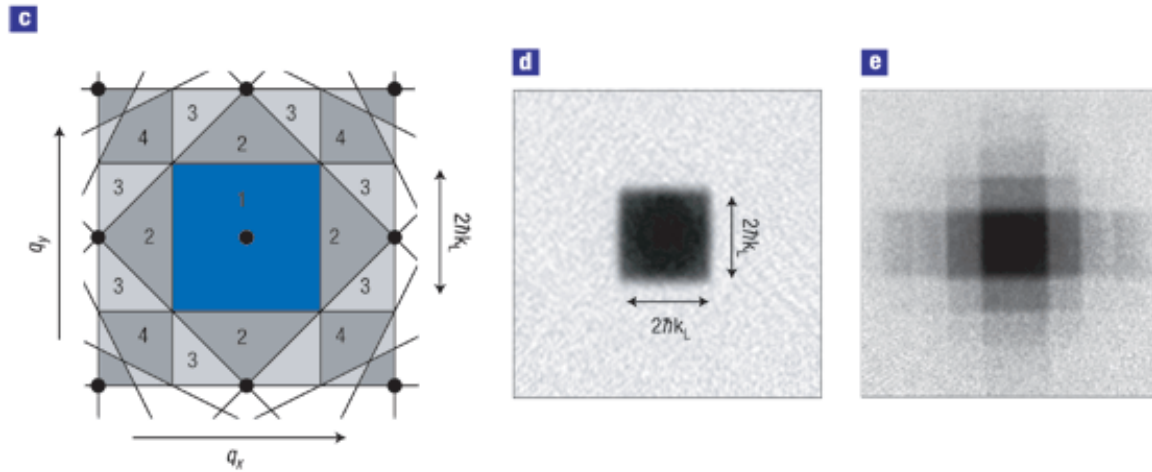
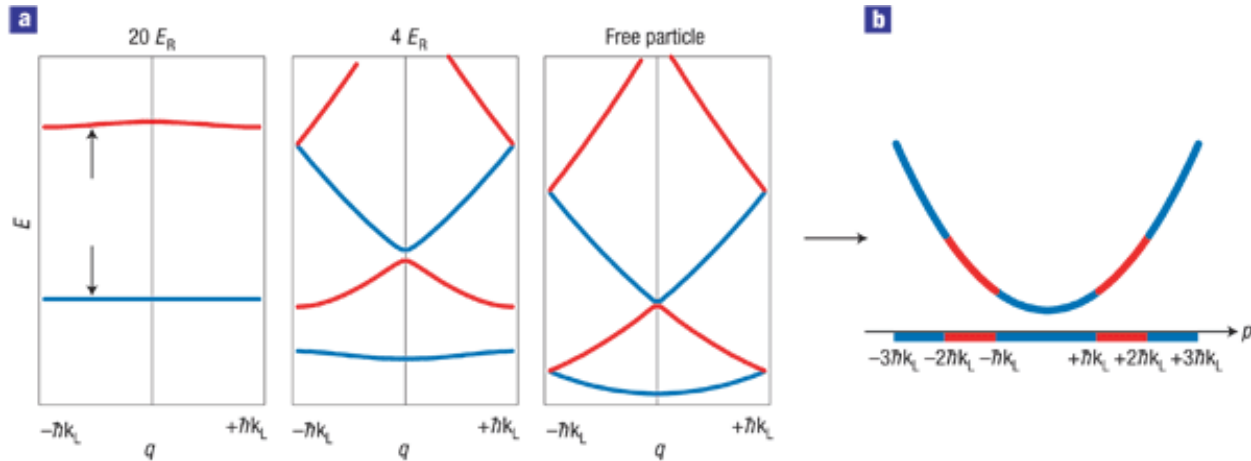
superfluidity destroyed by density constraint at large U ;
trapping potential \rightsquigarrow wedding cake structure



Correlated ultracold quantum gases on optical lattices: fermions

1st step: visualize (noninteracting) band structure in TOF experiment

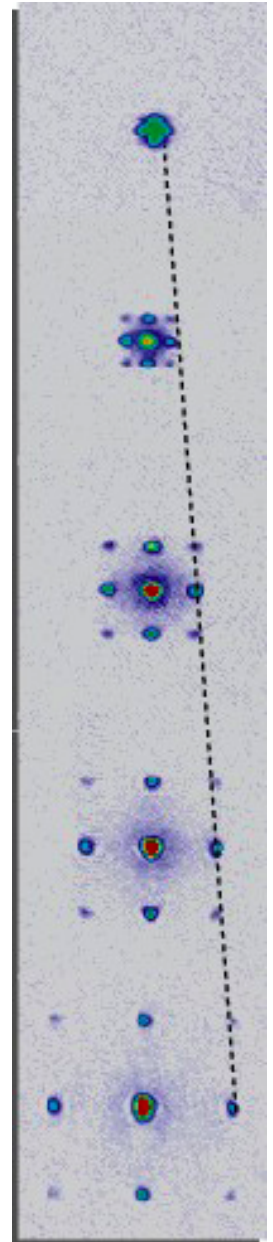
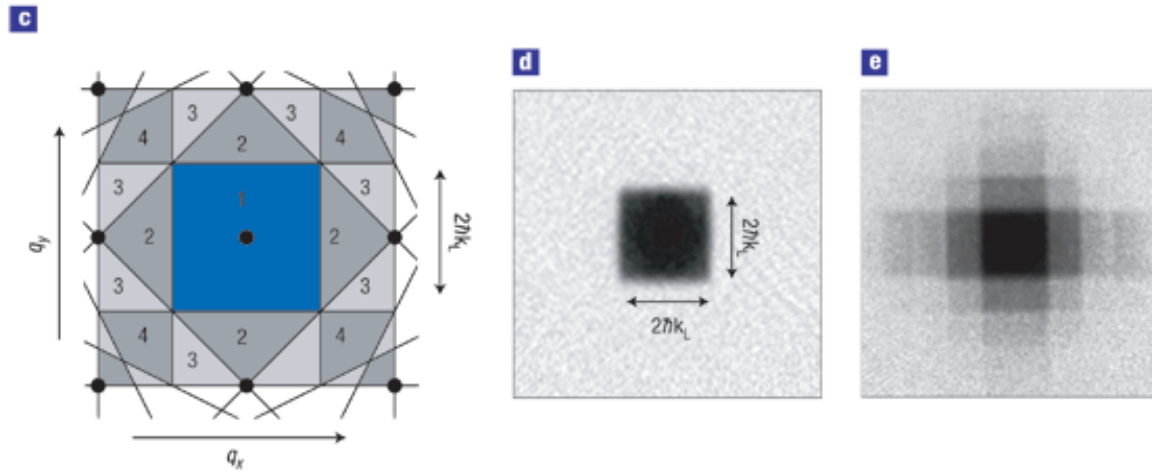
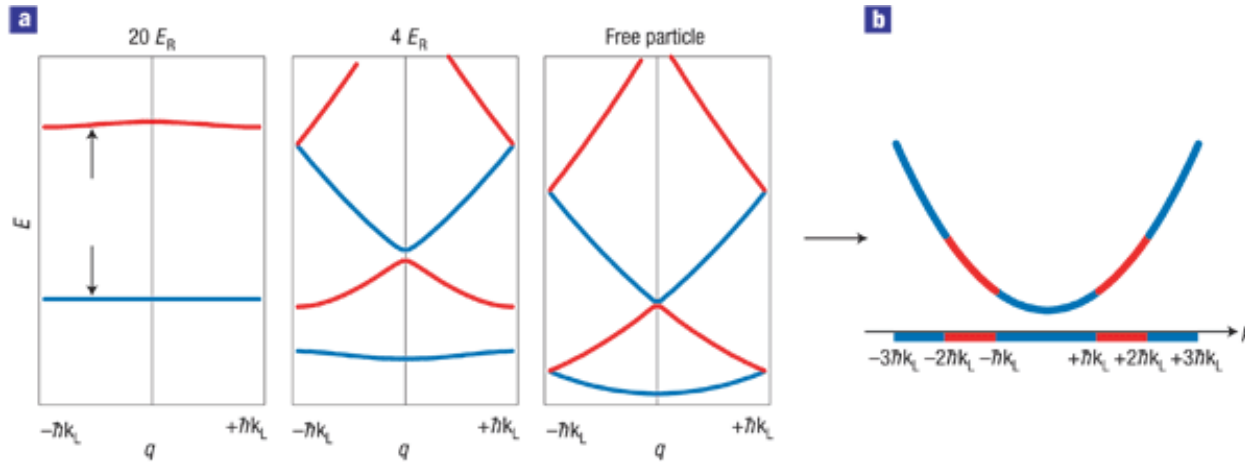
Fermi surface mapping of 1-component system (**spinless fermions**)



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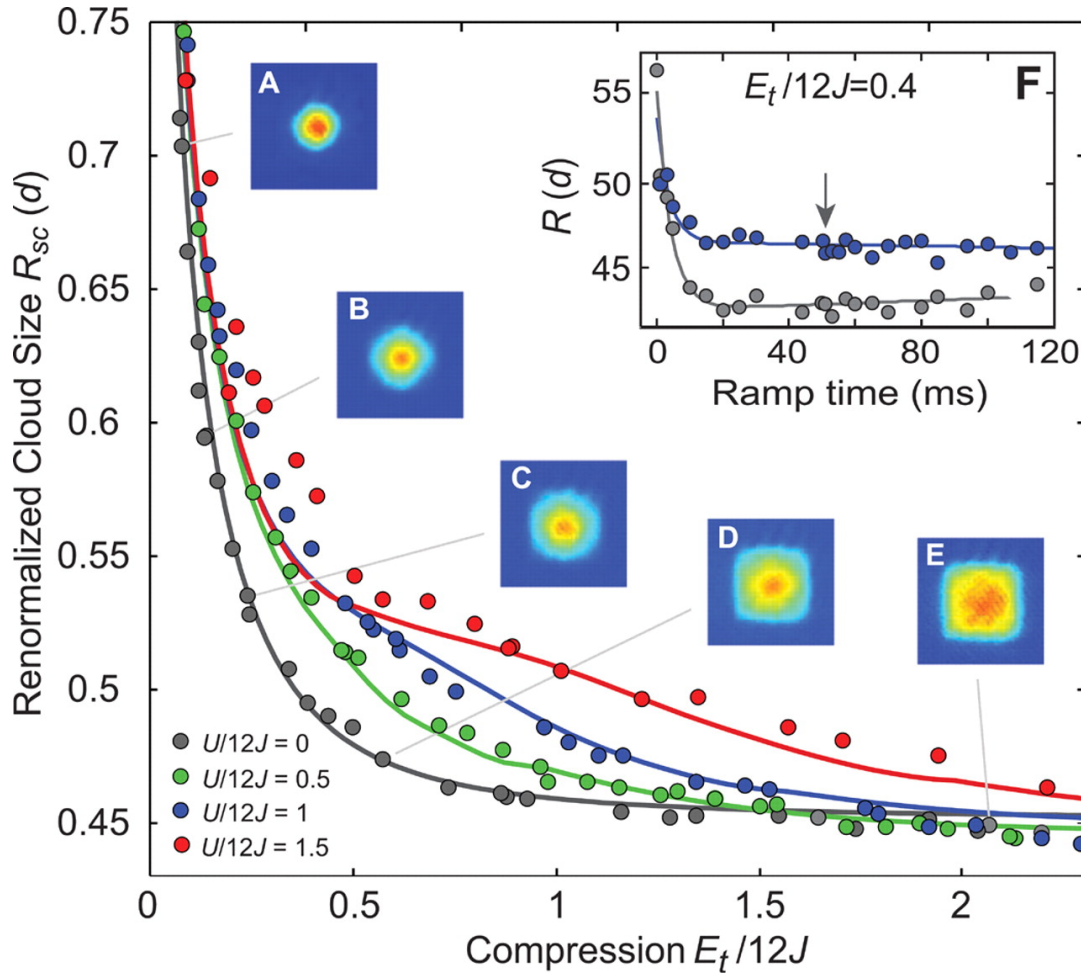
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Fermi surface mapping of 1-component system (**spinless fermions**)



Filled 1st Brillouin zone: **band insulator** [Köhl et al, PRL (2005)]

Recent breakthrough: paramagnetic Mott transition in fermionic 2-flavor mixtures

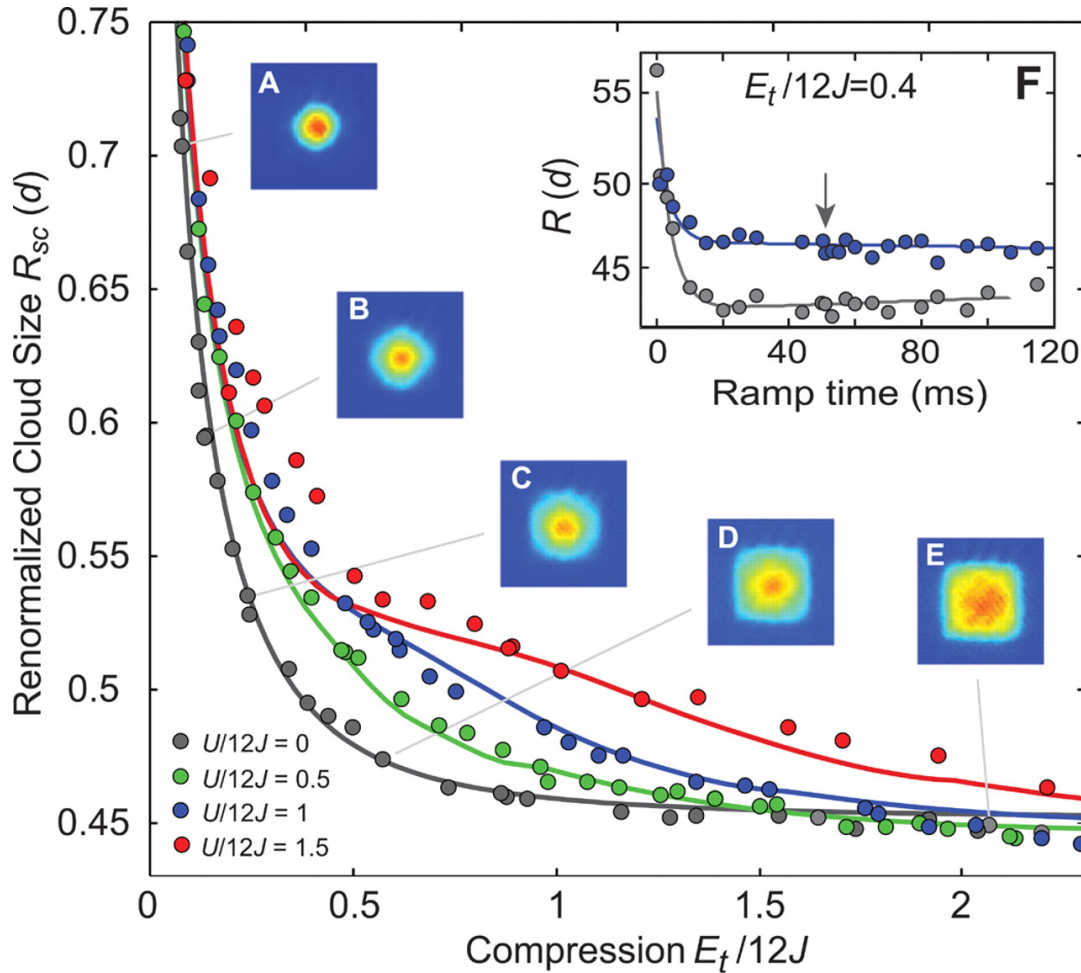


Concept: squeeze atomic cloud by variation of trapping potential (at constant interaction and hopping), measure cloud diameter

incompressible Mott phases should show up as plateaus in cloud size (for $U > U_c$)

[Schneider et al, Science **322**, 1520 (2008)]

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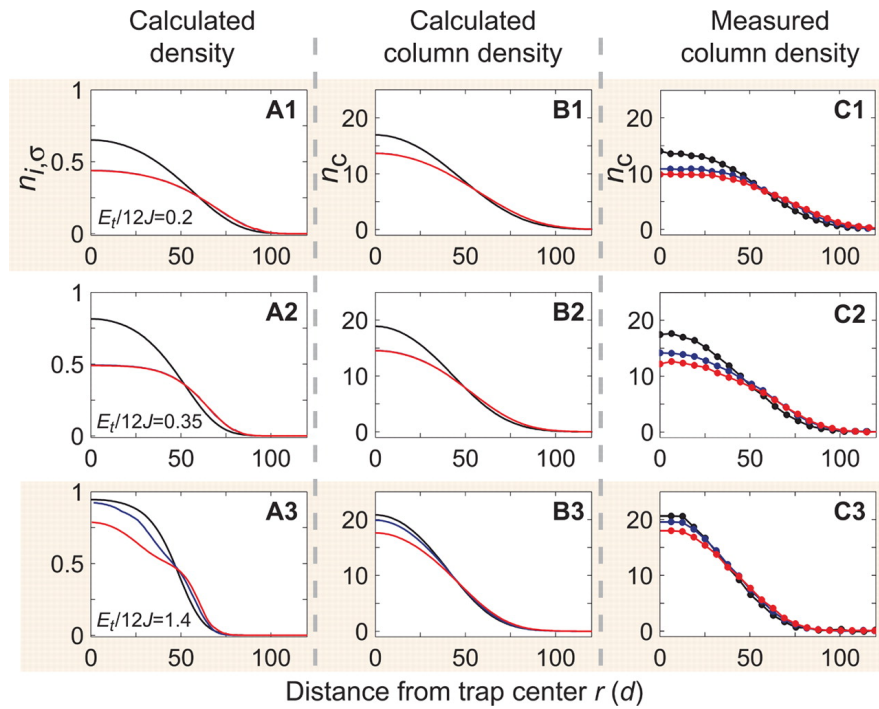
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Problem: measurements integrate over system, edges always metallic

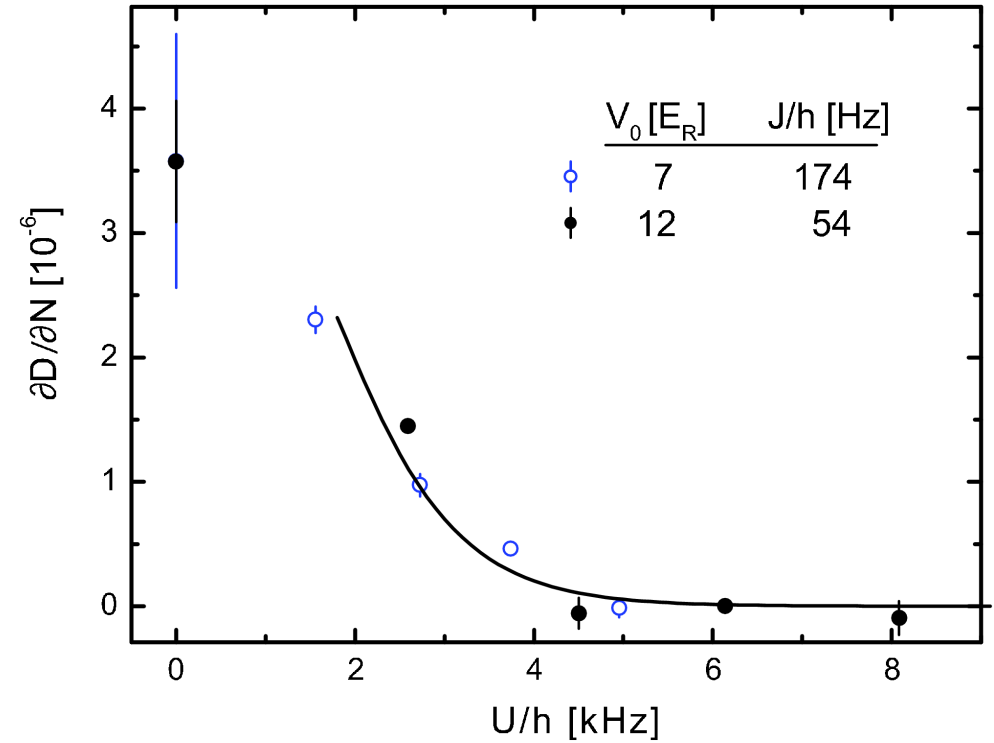
Simulations (here DMFT+NRG) essential for interpretation of data!

[Schneider et al, Science **322**, 1520 (2008)]

Further MIT observables: **column density**, fraction of atoms with **double occupations**

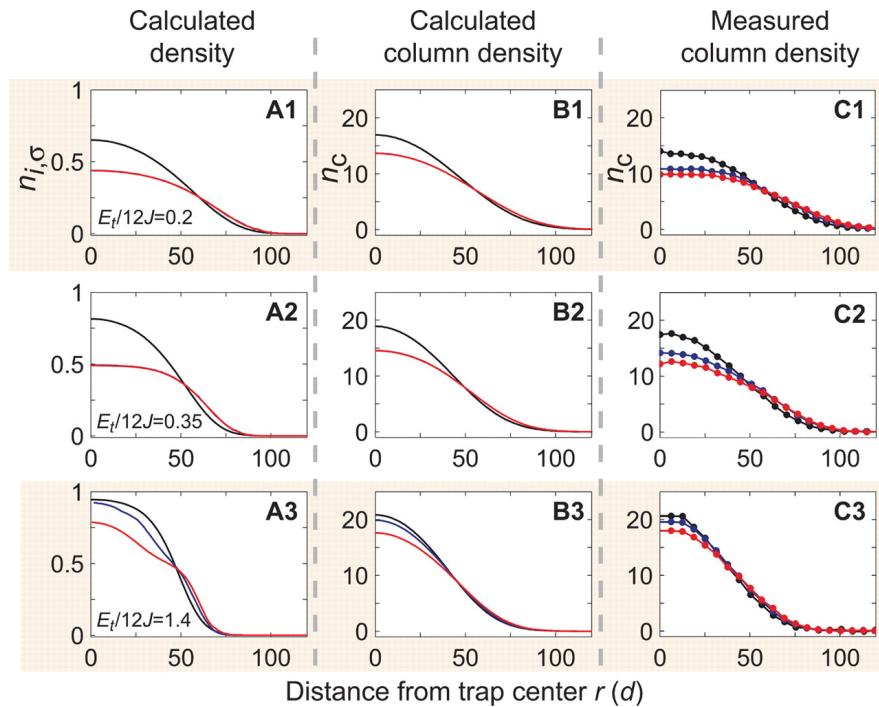


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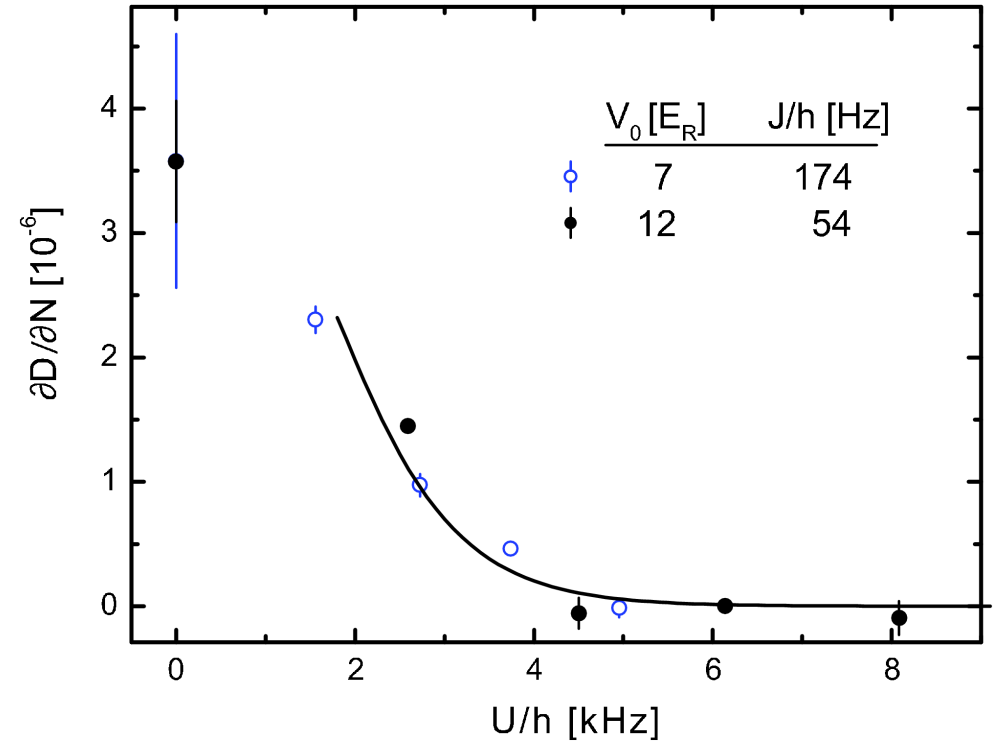


[Jördens et al., Nature (2008)]

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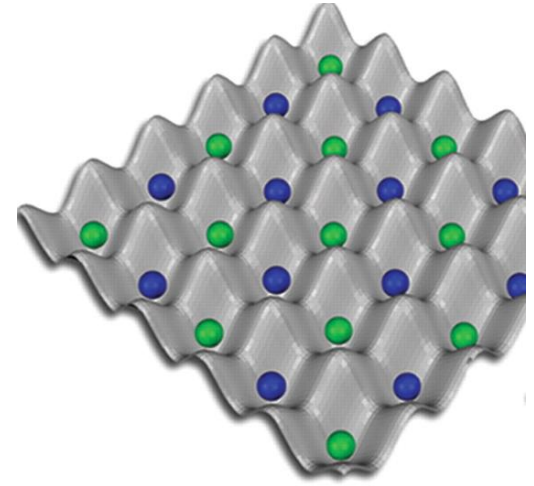
Many other phenomena seen: **superconductivity**, **vortices**, **BEC-BCS crossover**, ...

Urgent todo items:

Antiferromagnetism (staggered order) in ultracold fermions

Problems:

- (i) difficult to reach sufficiently **low temperatures/entropies**
- (ii) **detection** of order parameter is not straightforward

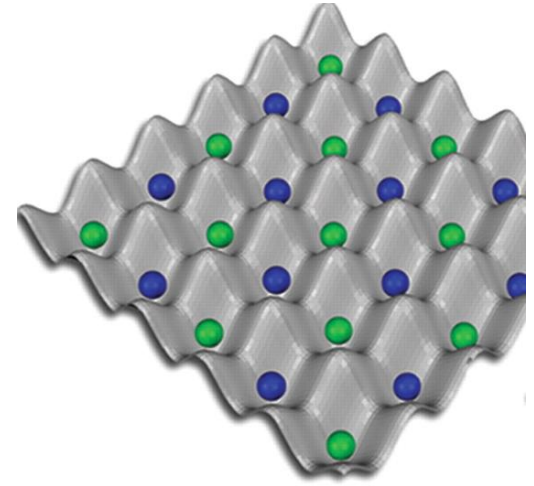


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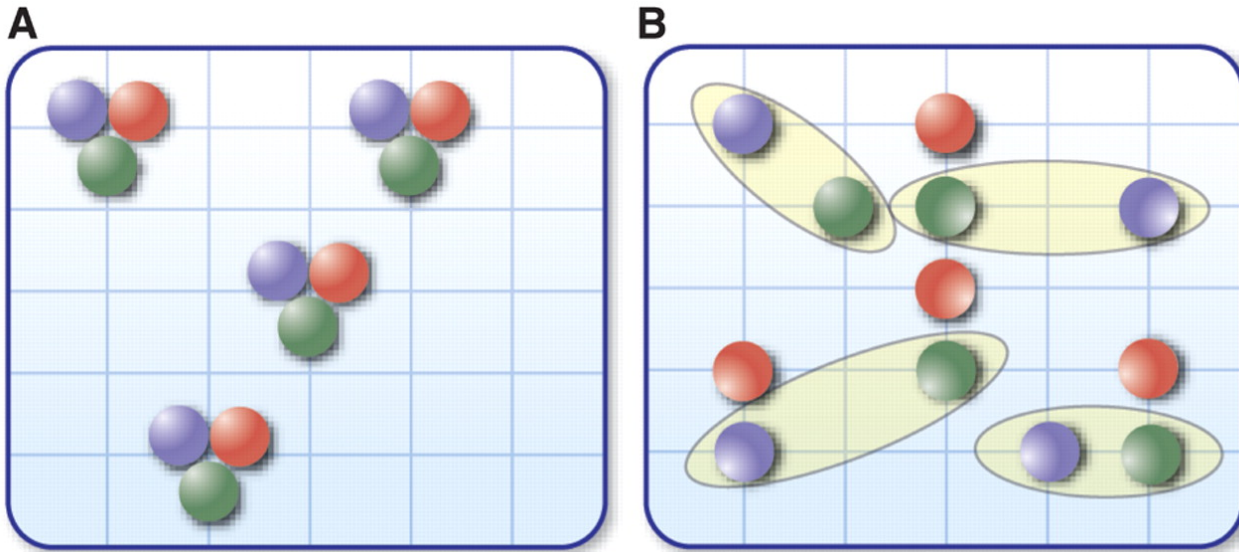
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Multiflavor phenomena, e.g. trions versus color superconductivity



Approaches for correlated Fermi systems

General Hamiltonian for **nuclei** and **electrons**

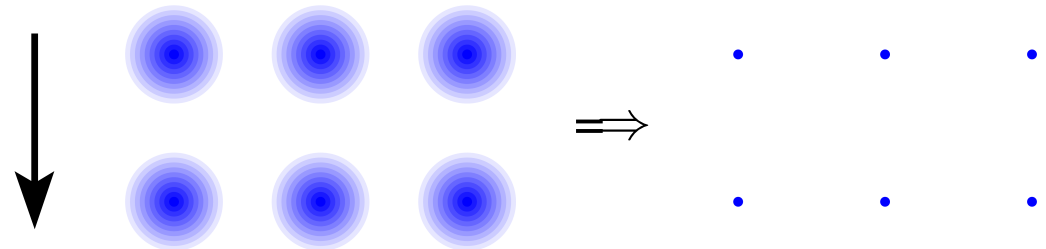
$$H = \sum_{i=1}^{N_e} \frac{\mathbf{p}_i^2}{2m} + \sum_{k=1}^L \frac{\mathbf{P}_k^2}{2M_k} + \sum_{k < l} \frac{Z_k Z_l e^2}{|\mathbf{R}_k - \mathbf{R}_l|} - \sum_{i,k} \frac{Z_k e^2}{|\mathbf{r}_i - \mathbf{R}_k|} + \sum_{i < j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|}$$

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Born-Oppenheimer
approximation (0th order)

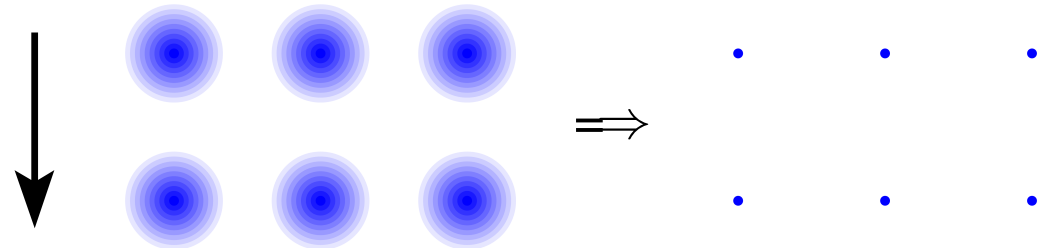


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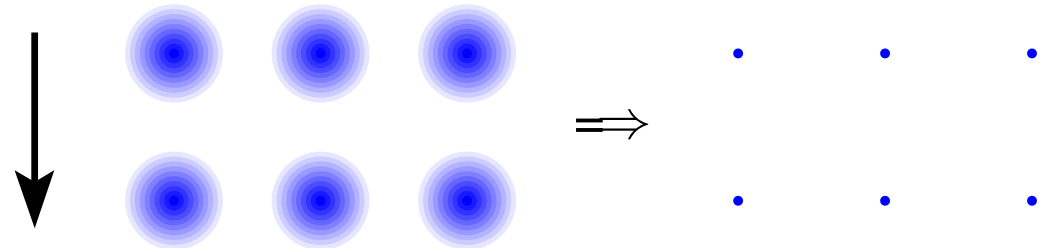
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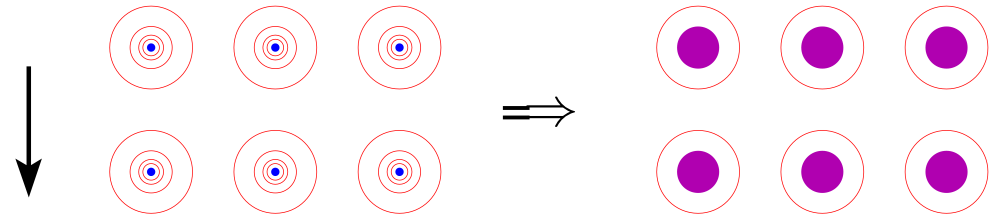
Classes of theoretical approaches for electronic problem

- continuum methods: density functional theory, variational+diffusion QMC, . . .
- methods for lattice electrons

Derivation of lattice models

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reduction to valence electrons

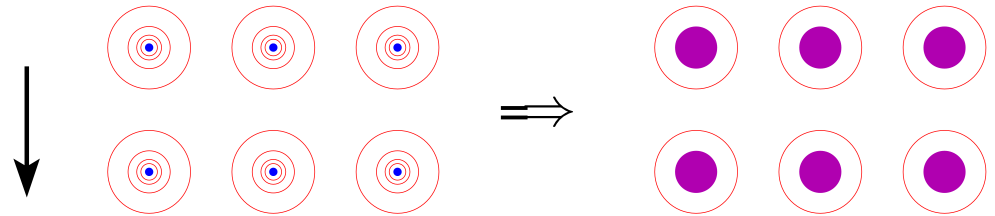


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occupation number formalism

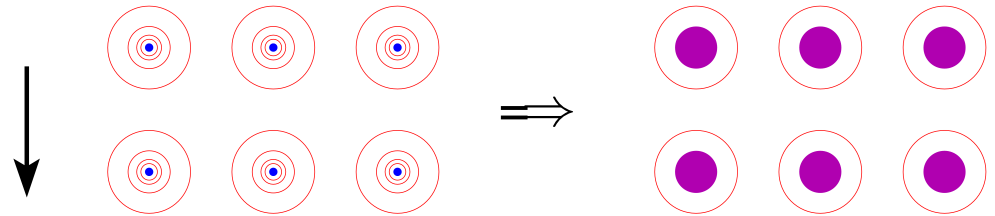
Wannier orbitals

$$\hat{H} = \sum_{i\nu j\sigma} t_{ij}^{\nu} \hat{c}_{i\nu\sigma}^{\dagger} \hat{c}_{j\nu\sigma} + \frac{1}{2} \sum_{\nu\nu'\mu\mu'} \sum_{ijmn} \sum_{\sigma\sigma'} v_{ijmn}^{\nu\nu'\mu\mu'} \hat{c}_{i\nu\sigma}^{\dagger} \hat{c}_{j\nu'\sigma'}^{\dagger} \hat{c}_{n\mu'\sigma'} \hat{c}_{m\mu\sigma}$$

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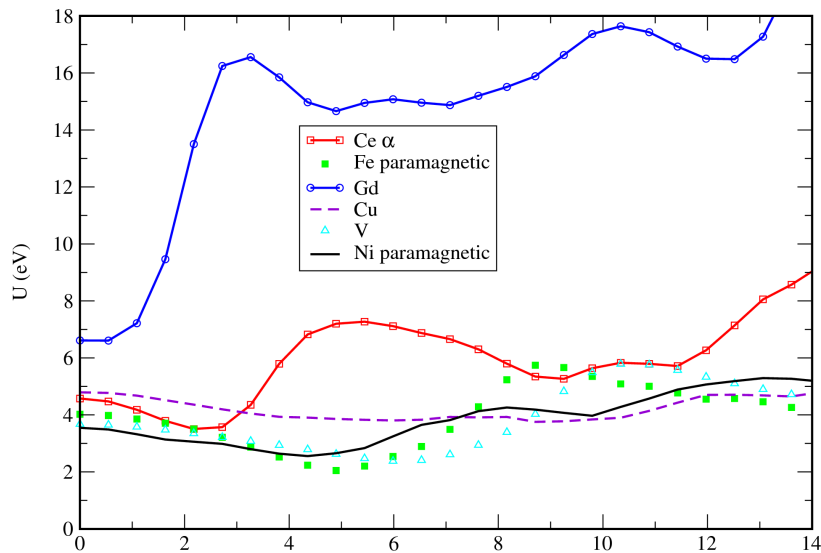
occupation number formalism

Wannier orbitals

$$\hat{H} = \sum_{i\nu j\sigma} t_{ij}^{\nu} \hat{c}_{i\nu\sigma}^{\dagger} \hat{c}_{j\nu\sigma} + \frac{1}{2} \sum_{\nu\nu'\mu\mu'} \sum_{ijmn} \sum_{\sigma\sigma'} v_{ijmn}^{\nu\nu'\mu\mu'} \hat{c}_{i\nu\sigma}^{\dagger} \hat{c}_{j\nu'\sigma'}^{\dagger} \hat{c}_{n\mu'\sigma'} \hat{c}_{m\mu\sigma}$$

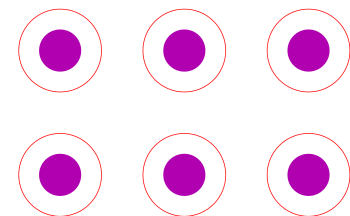
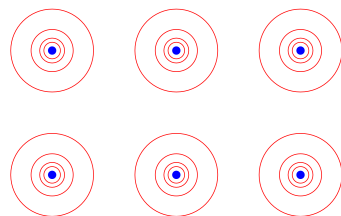
Hubbard model

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$$+ \sum_i V(\mathbf{r}_i) + \sum_{i < j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|}$$

ions



[Aryasetiawan et al, PRB 2006]

$$H = \sum_{i=1}^{N_v} \frac{\mathbf{p}_i^2}{2m} + \sum_{i=1}^{N_v} V^{\text{ion}}(\mathbf{r}_i) + \sum_{i=1}^{N_v-1} \sum_{j=i+1}^{N_v} V^{ee}(\mathbf{r}_i, \mathbf{r}_j) [\omega]$$

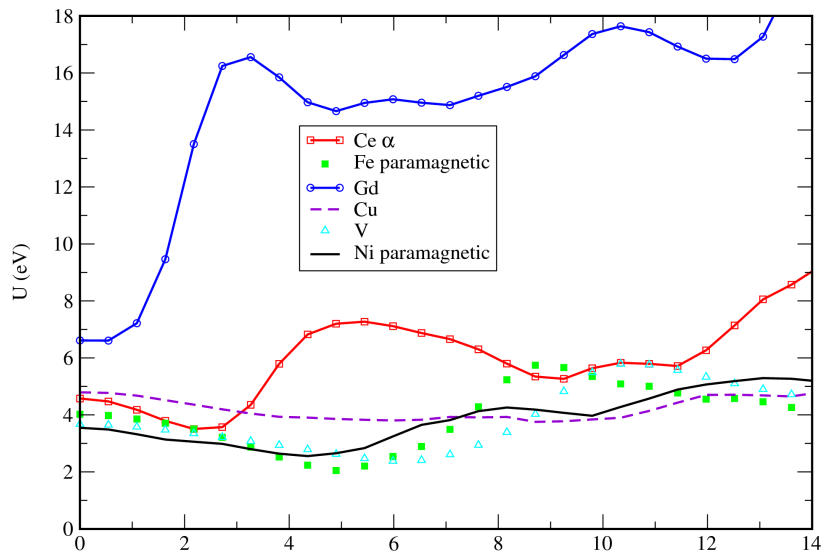
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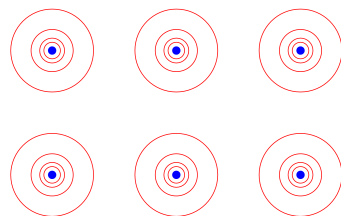
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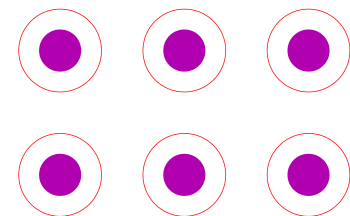


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⇒



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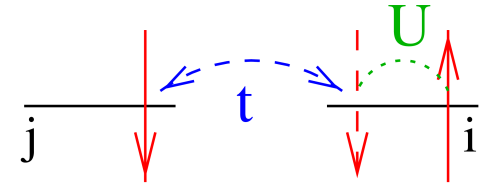
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Note: no core states in quantum gas case!

Approaches for Hubbard-type models

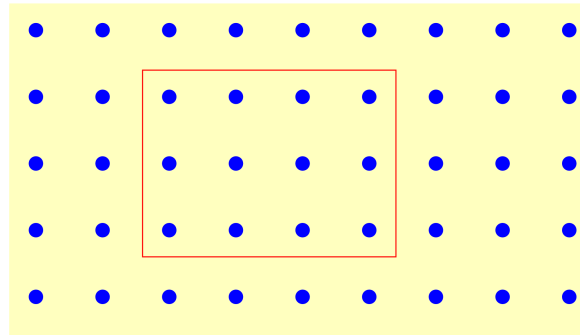
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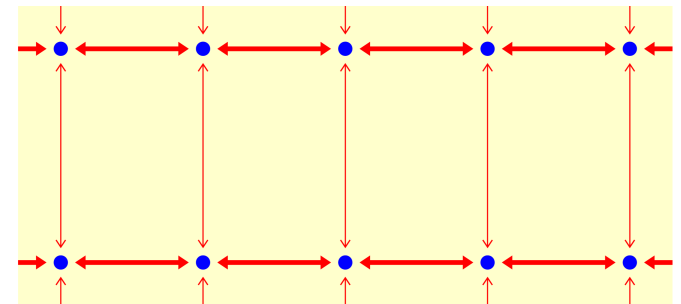
Perturbation theory

- $U \rightarrow 0$: Hartree-Fock
2nd order PT,
- $t/U \rightarrow 0$ (for $n = 1$)
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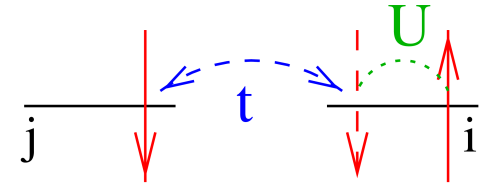


$d \rightarrow 1$: Bethe ansatz, DMRG



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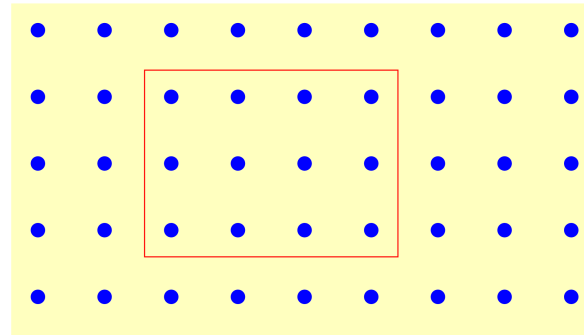
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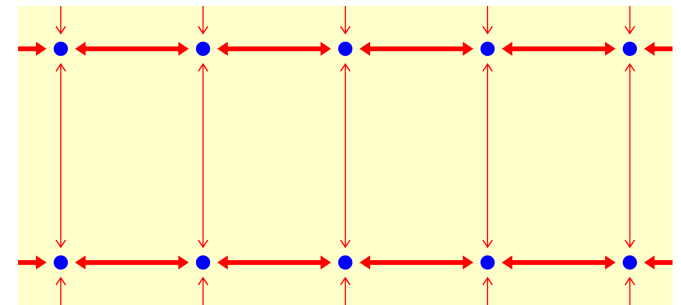
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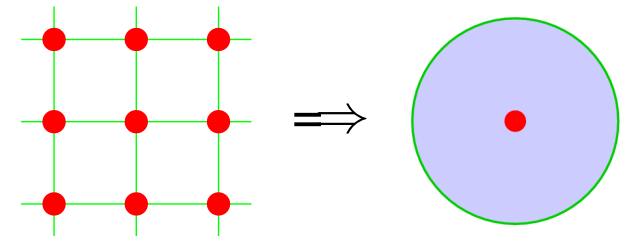
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Dynamical mean-field theory (DMFT): local self-energy $\Sigma(\mathbf{k}, \omega) \equiv \Sigma(\omega)$

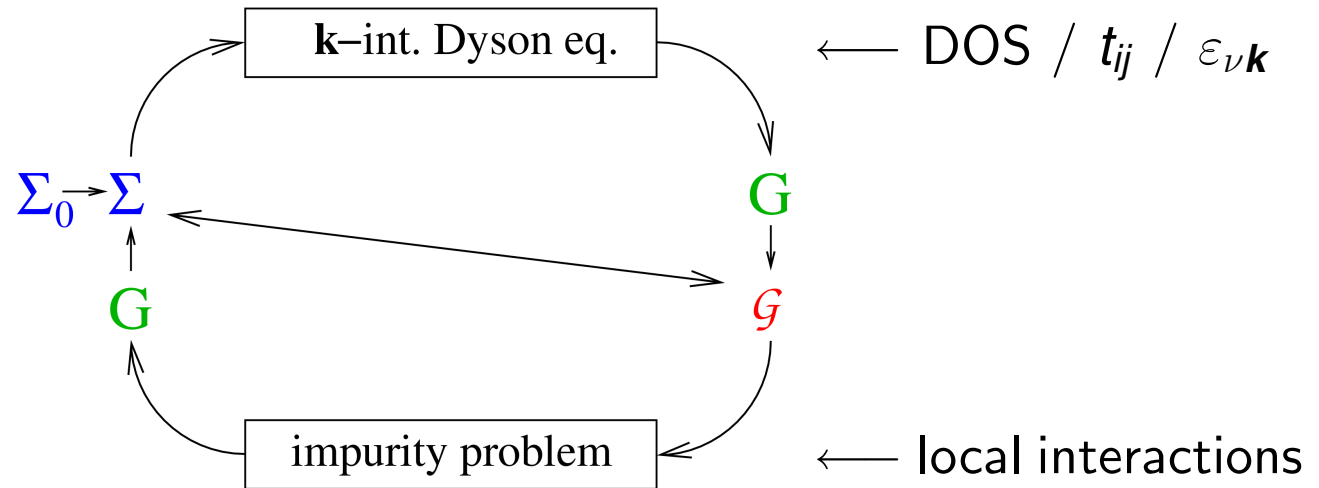
[Metzner, Vollhardt, PRL (1989), Georges, Kotliar, PRL (1992), Jarrell, PRL (1992)]

- + non-perturbative \rightsquigarrow valid at MIT
- + dynamical on-site correlations preserved
- + in thermodynamic limit
- +/- exact for coordination $Z \rightarrow \infty$



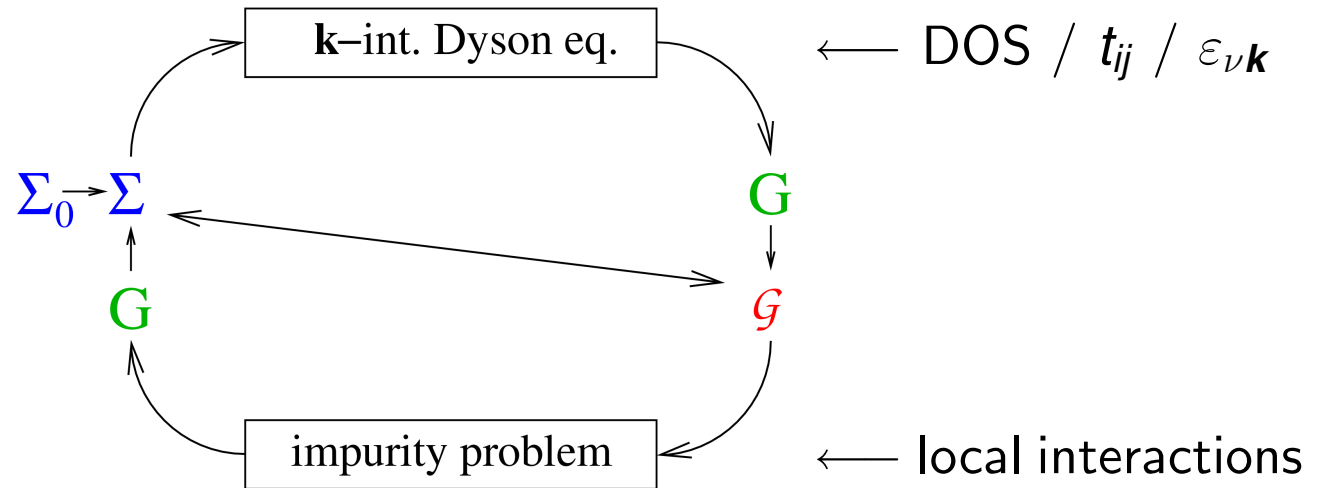
Iterative solution of DMFT equations

0. Initialize self-energy
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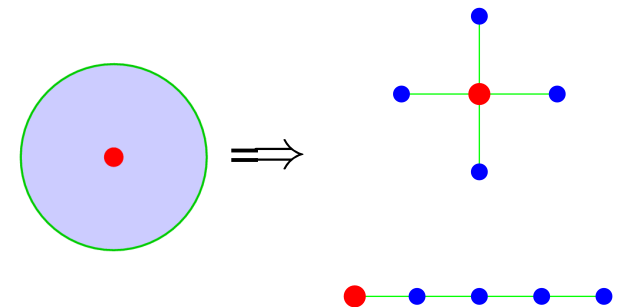
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Impurity solver:

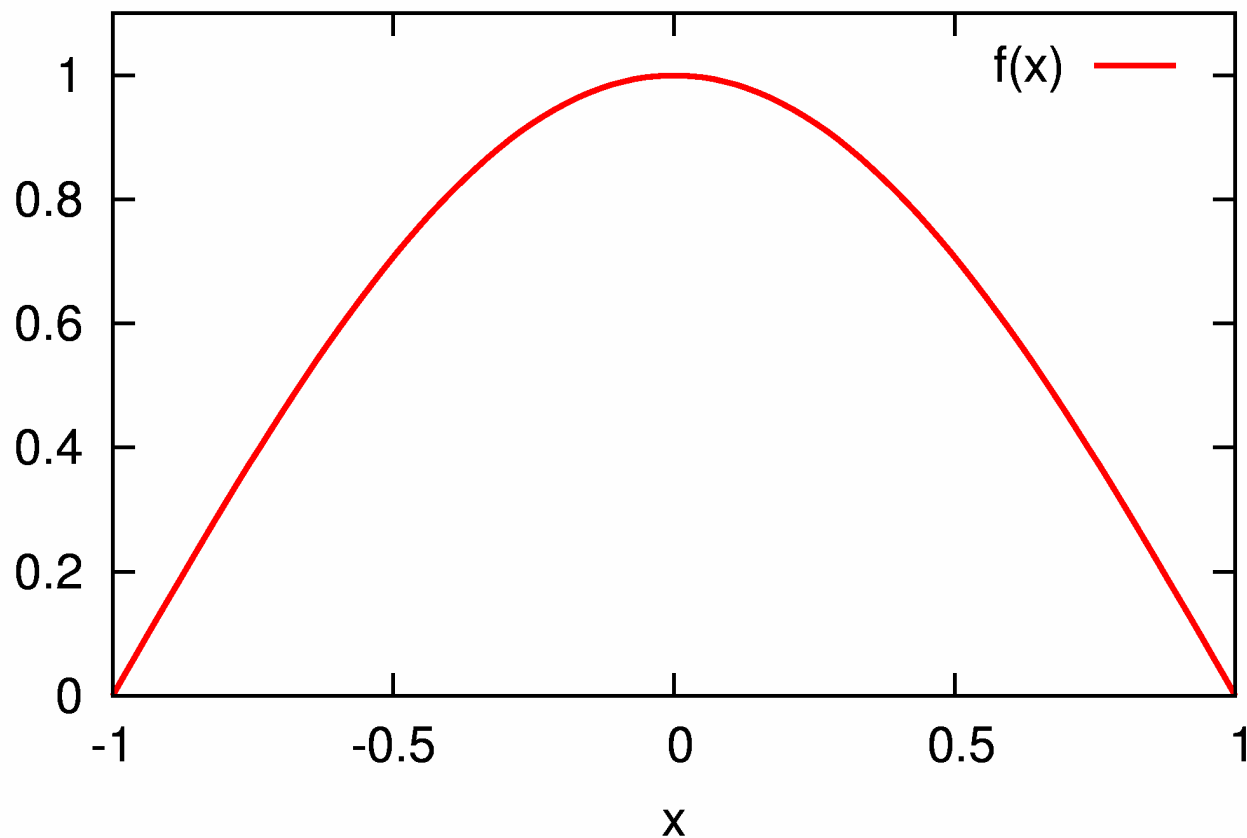
- Iterative perturbation theory (IPT; not controlled)
- Quantum Monte Carlo (QMC)
- Exact diagonalization (ED; large finite-size errors)
- Numerical renormalization group (NRG; 1-2 bands)
- Density matrix renormalization group (DMRG)
- Self-energy functional theory (SFT) + ED



Mathematical excursion: Monte Carlo methods

General task: evaluation of (high-dimensional) sums/integrals

Simple example: quadrature of a convex function (in $d = 1$)

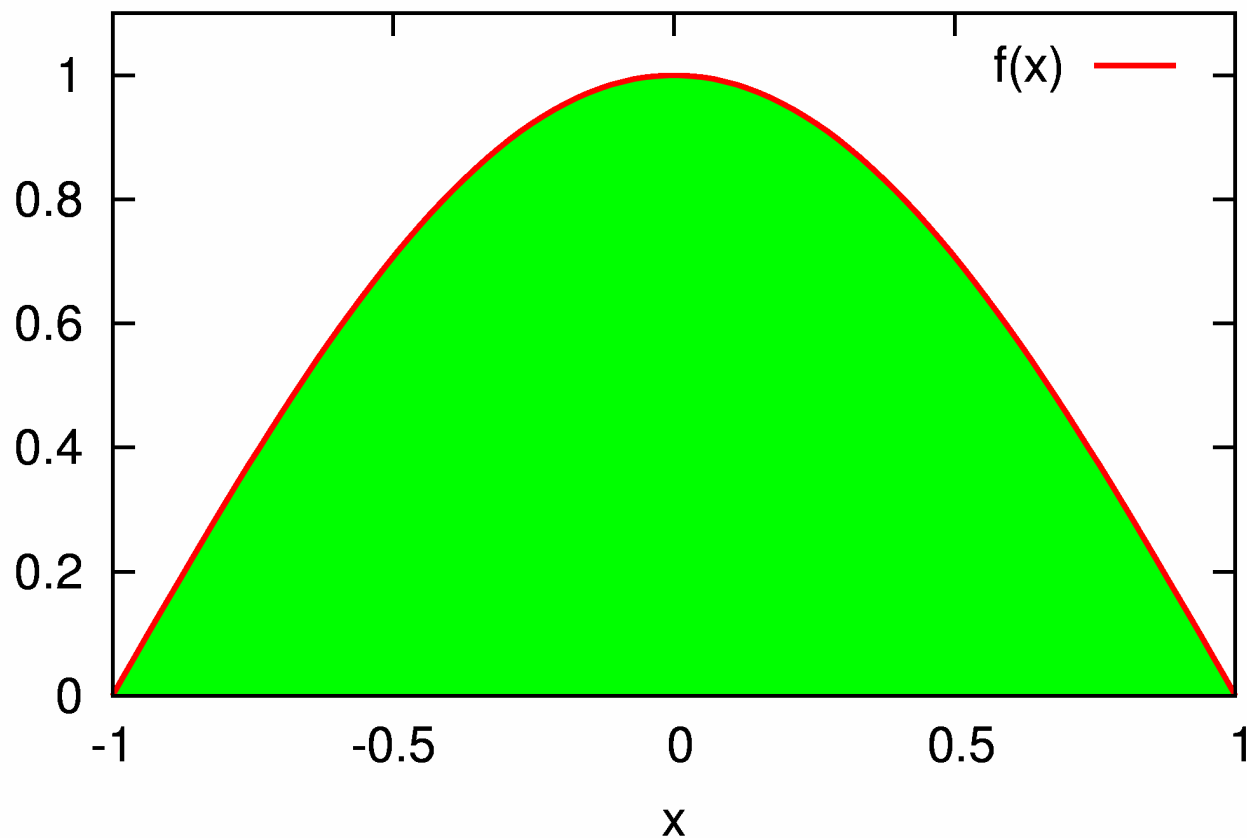


$$I = \int_a^b f(x) dx = ?$$

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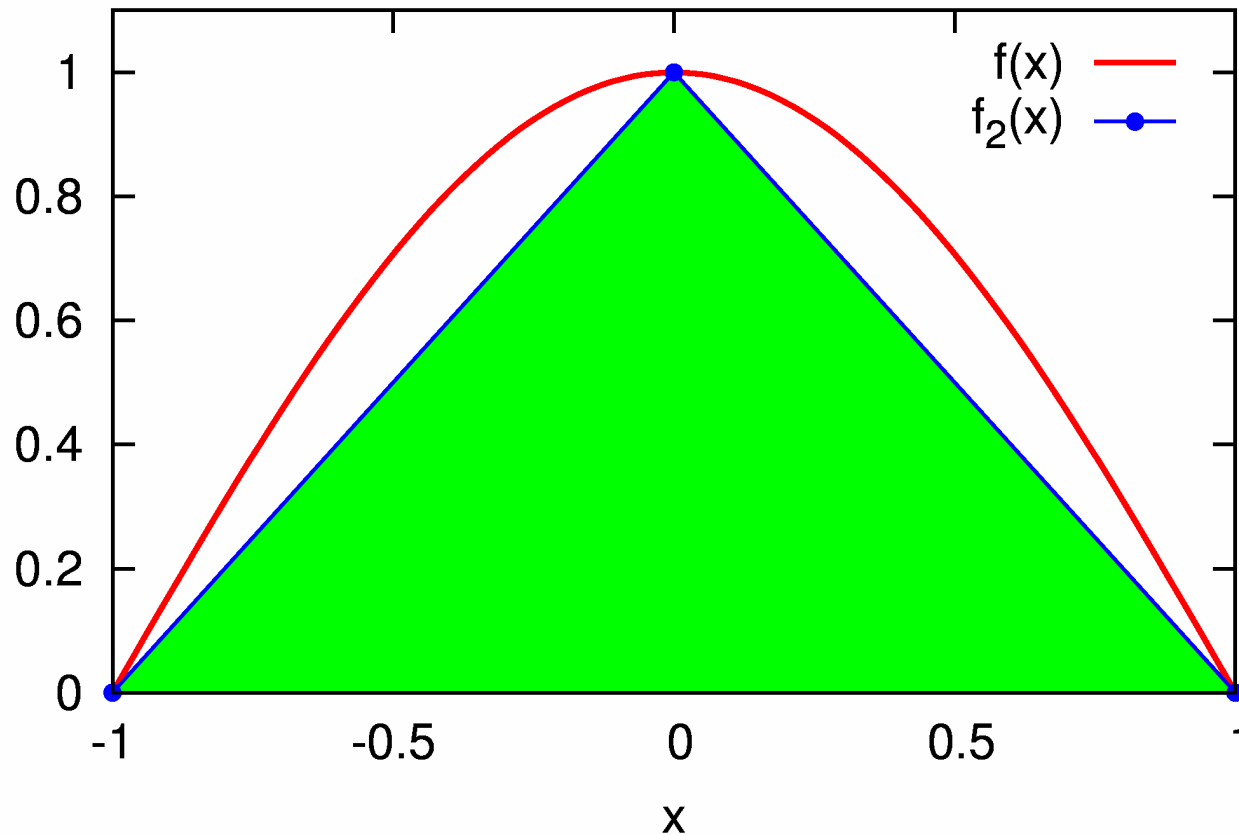


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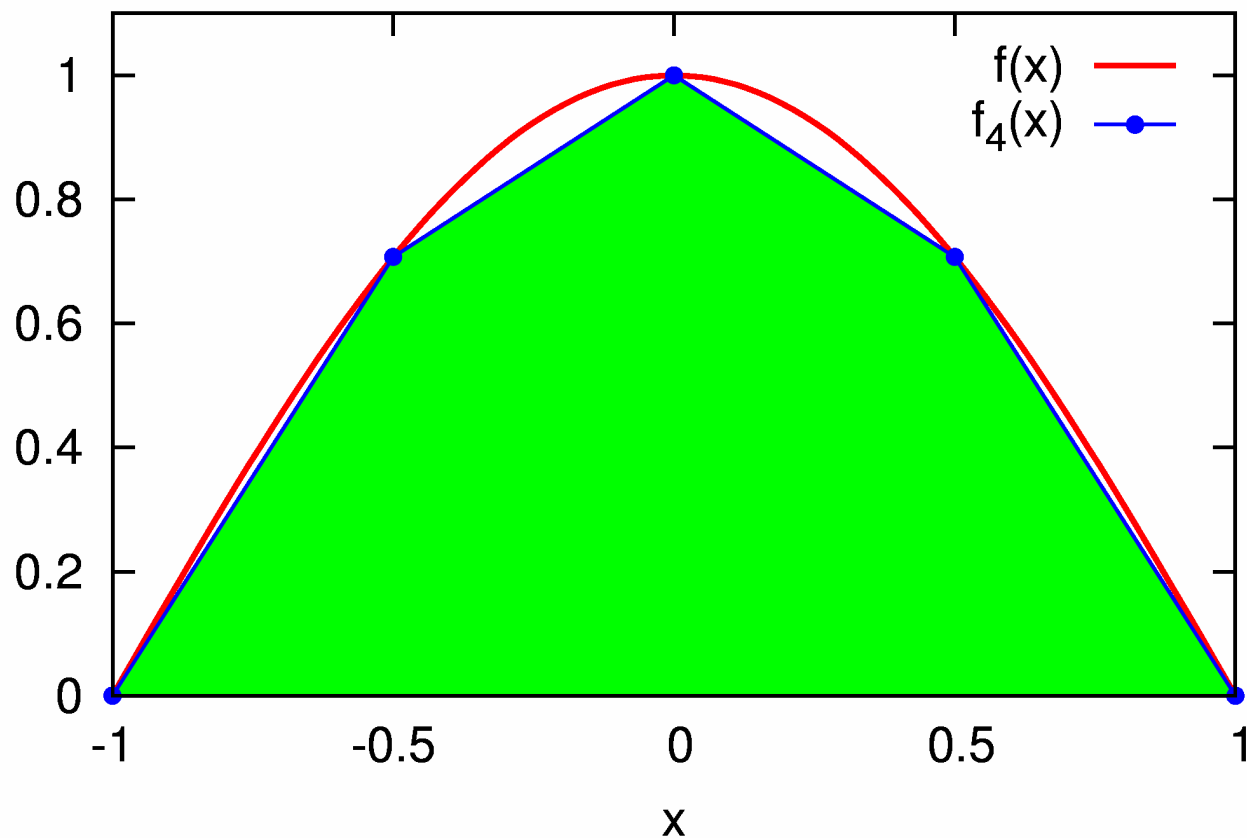
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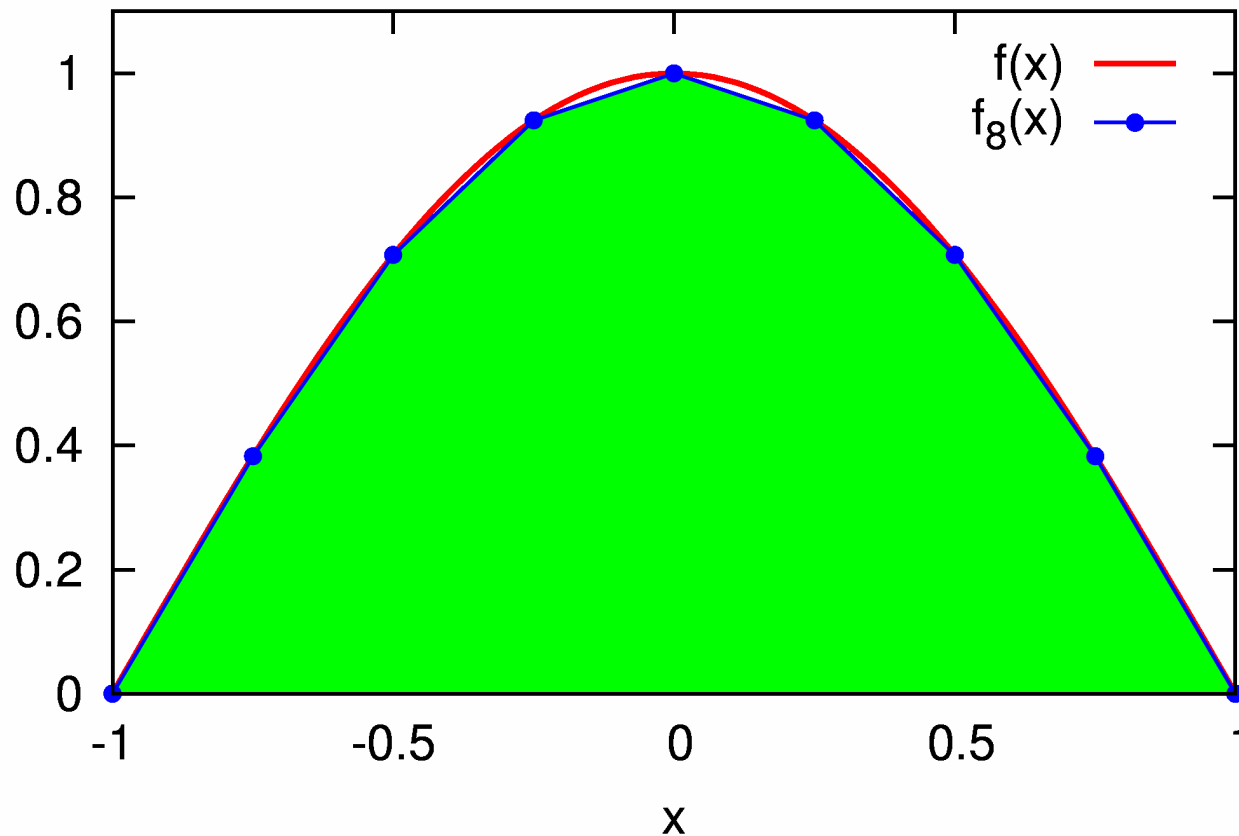
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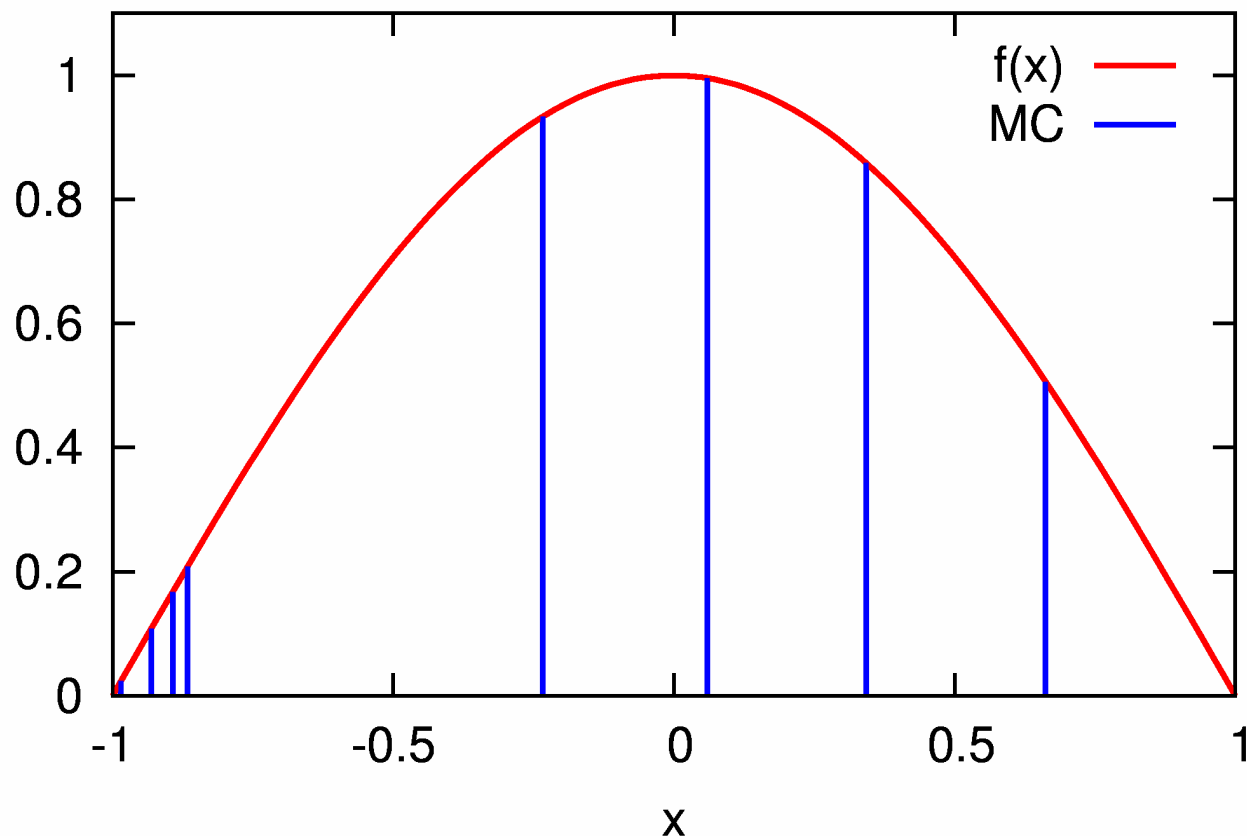
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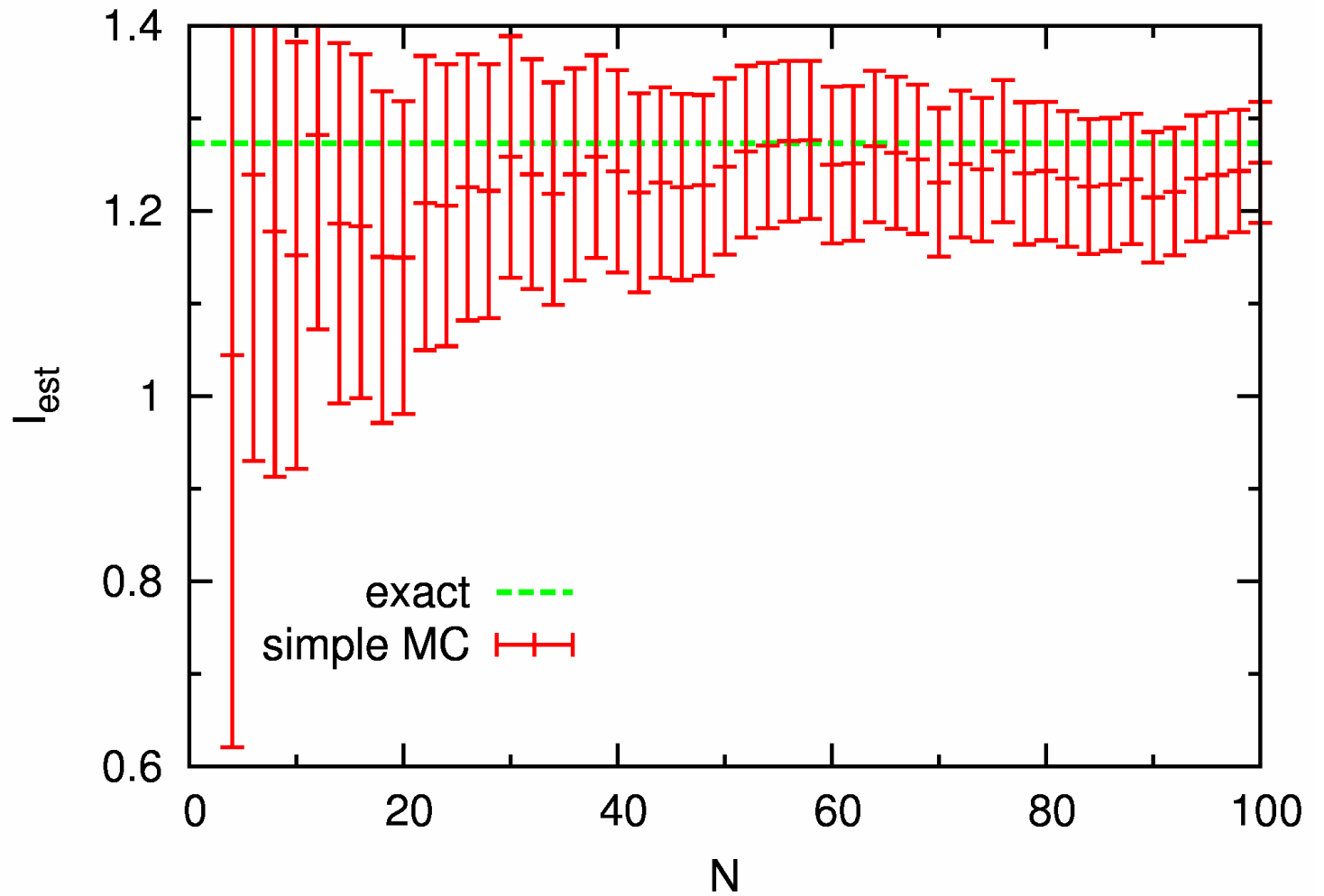


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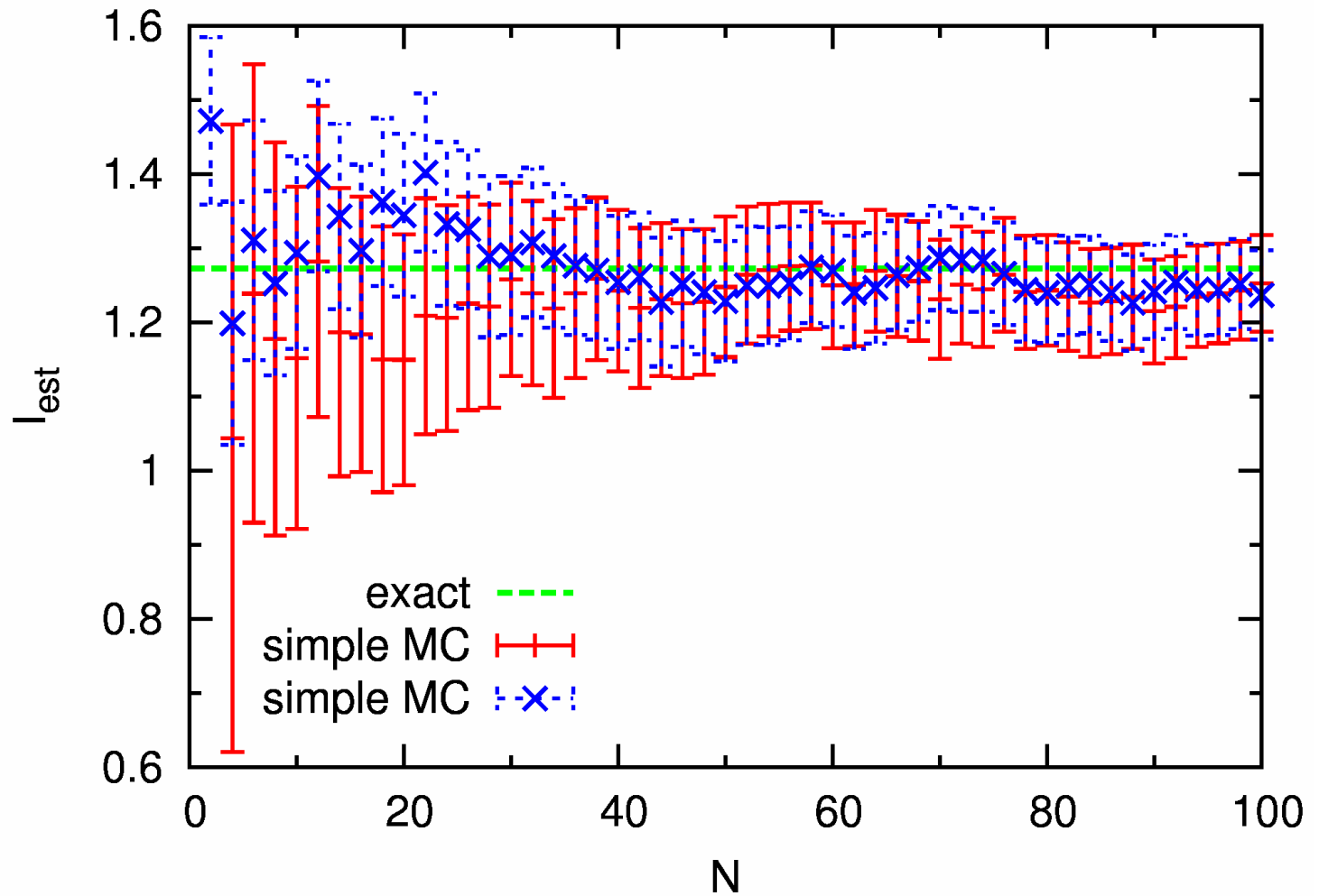
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Convergence of results?



In Monte Carlo: errors $\propto \sqrt{1/N}$

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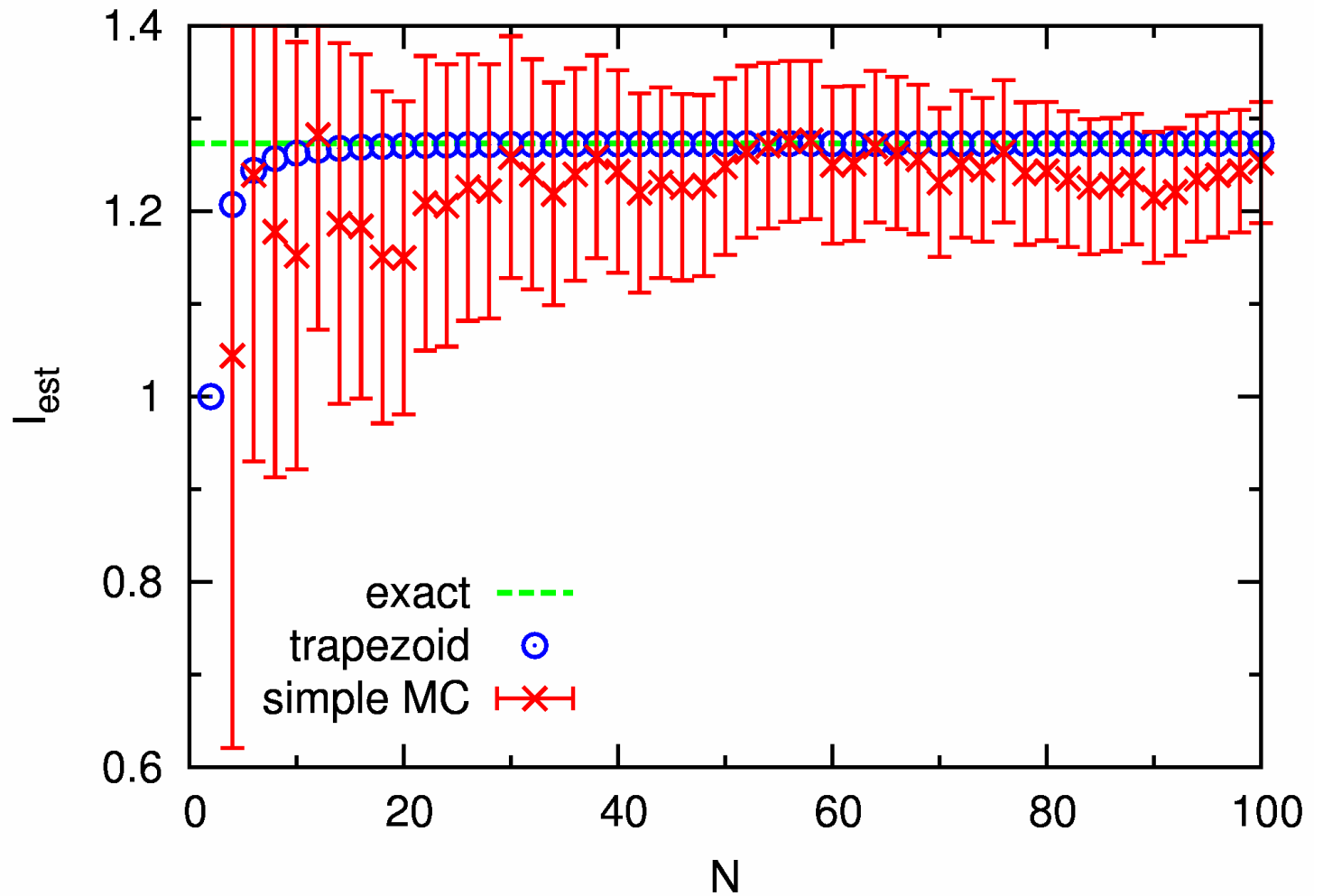


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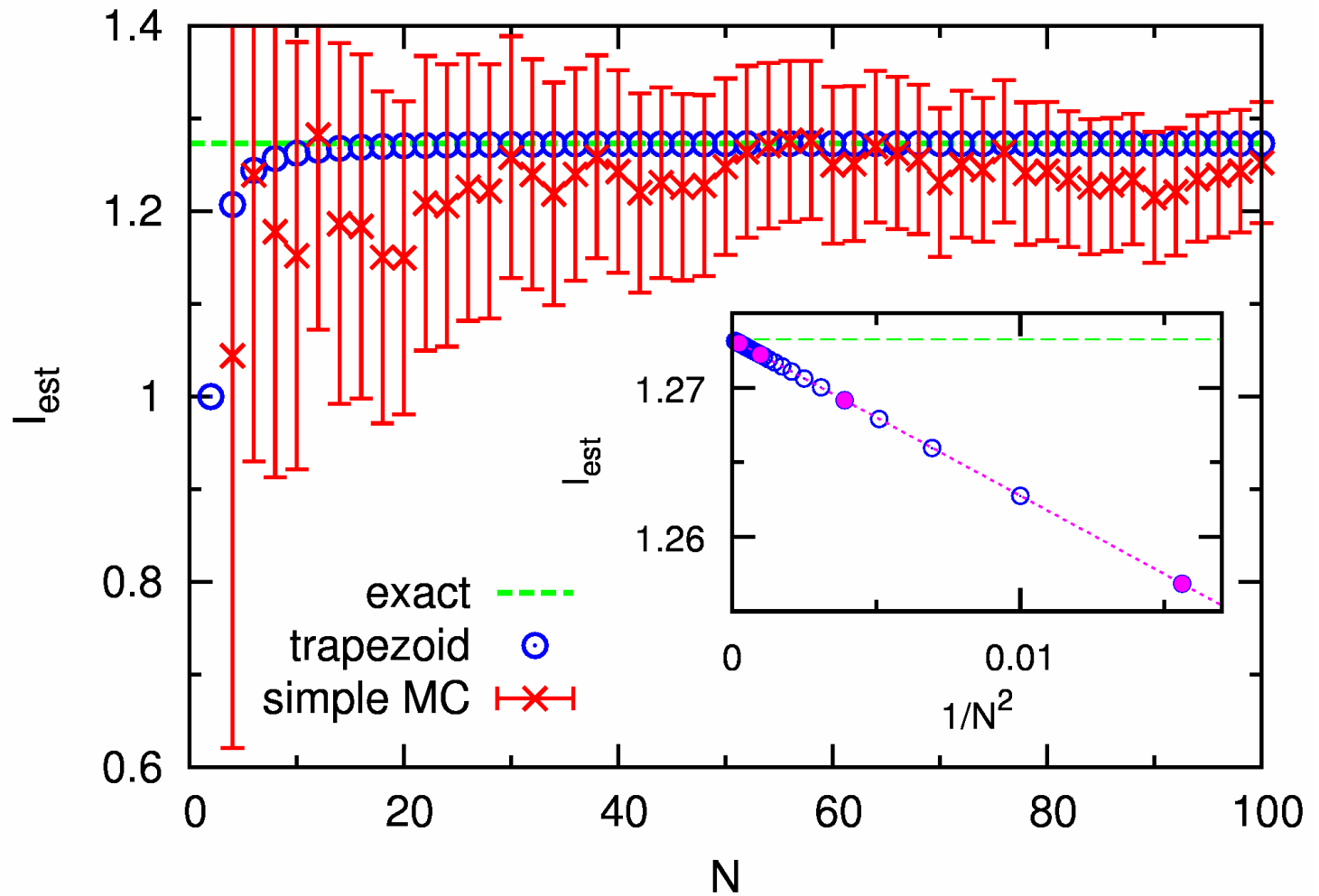


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Application of Monte Carlo in Statistical Physics

$$\langle O \rangle = \sum_i p_i O_i, \quad p_i = \frac{e^{-E_i/(k_B T)}}{\mathcal{Z}} \equiv \frac{\tilde{p}_i}{\mathcal{Z}}, \quad \mathcal{Z} = \sum_i e^{-E_i/(k_B T)}$$

Simple Monte Carlo: Estimation of both sums from a number N of equally probable configurations. **Problem:** typically $\sqrt{\text{var}\{p\}} \gg \bar{p}$.

Solution: approach target prob. distribution by **Markov chain** (needs only p_i/p_j)

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Importance Sampling MC: Probability distribution given by Boltzmann weights p_i . **Problem:** Normalization $1/\mathcal{Z}$ unknown.

Solution: approach target prob. distribution by Markov chain (needs only p_i/p_j)

Auxiliary-field QMC algorithm [Hirsch, Fye (1986)]

Green function G in imaginary time (fermionic Grassmann variables ψ, ψ^*):

$$G_{\sigma}(\tau_2 - \tau_1) = \frac{1}{Z} \int \mathcal{D}[\psi] \mathcal{D}[\psi^*] \psi_{\sigma}(\tau_1) \psi_{\sigma}^*(\tau_2) \exp \left[\mathcal{A}_0 - U \sum_{\sigma\sigma'} \int_0^{\beta} d\tau \psi_{\sigma}^* \psi_{\sigma} \psi_{\sigma'}^* \psi_{\sigma'} \right]$$

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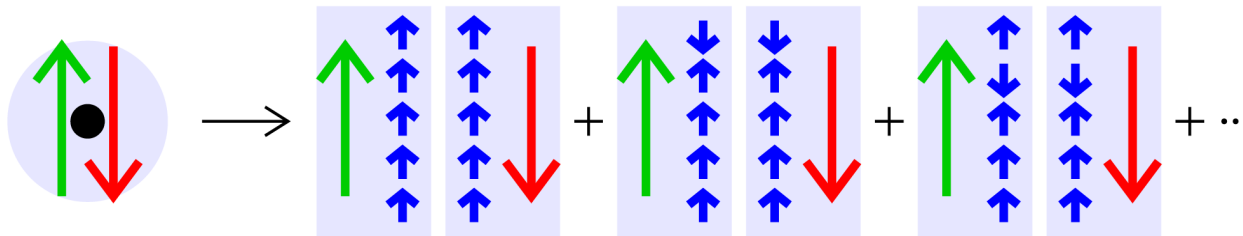
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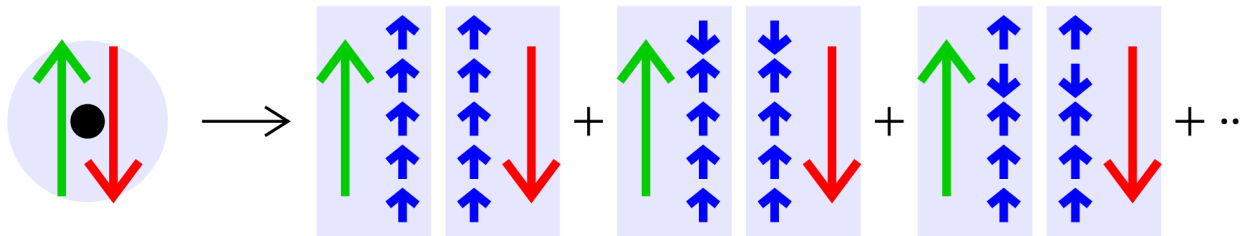
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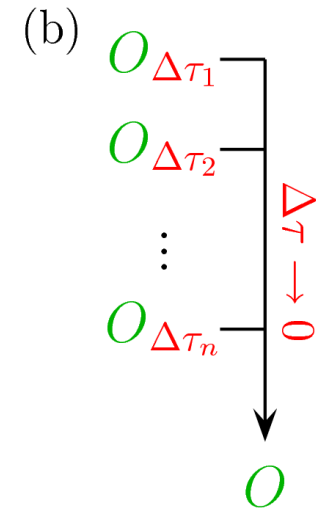
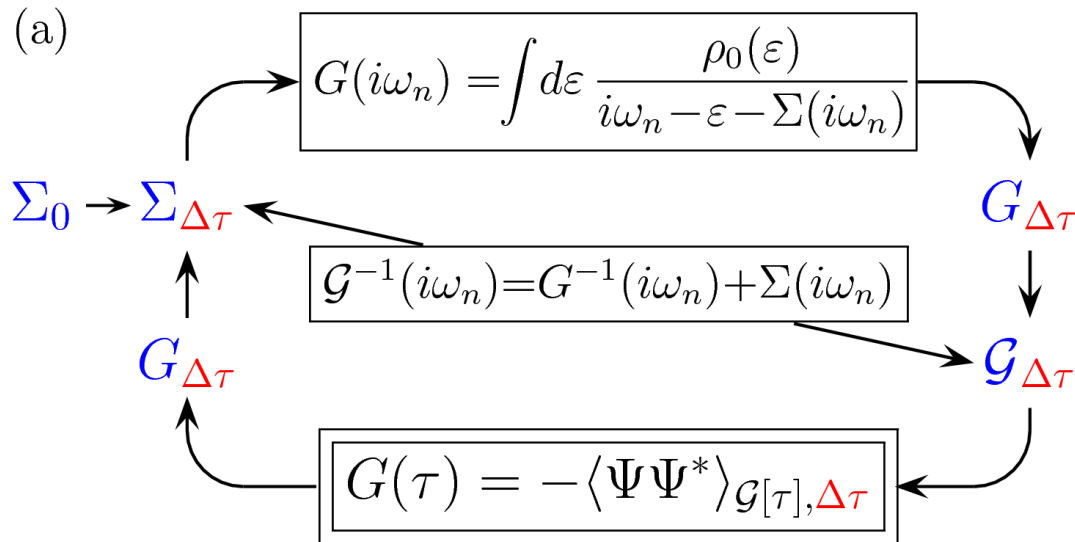
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(iv) MC importance sampling over auxiliary Ising field $\{s\}$: 2^{Λ} configurations

+ numerically exact, + no sign problem, – effort scales as T^{-3}
 (density-type interactions)

Self-consistency
cycle using
conventional
HF-QMC

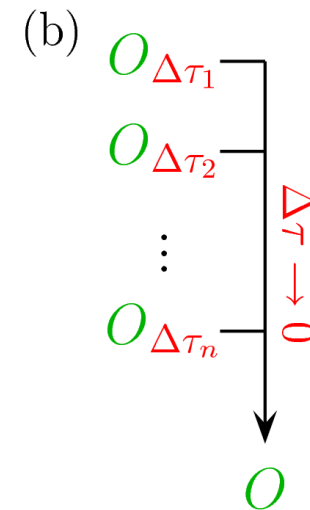
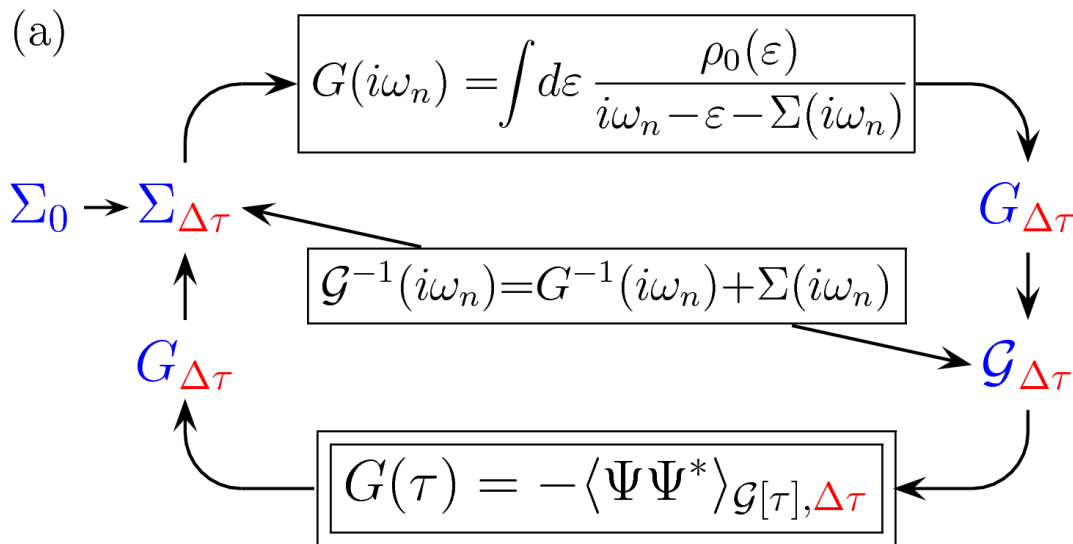
$\Delta\tau \rightsquigarrow$ bias



Extrapolation $\Delta\tau \rightarrow 0$
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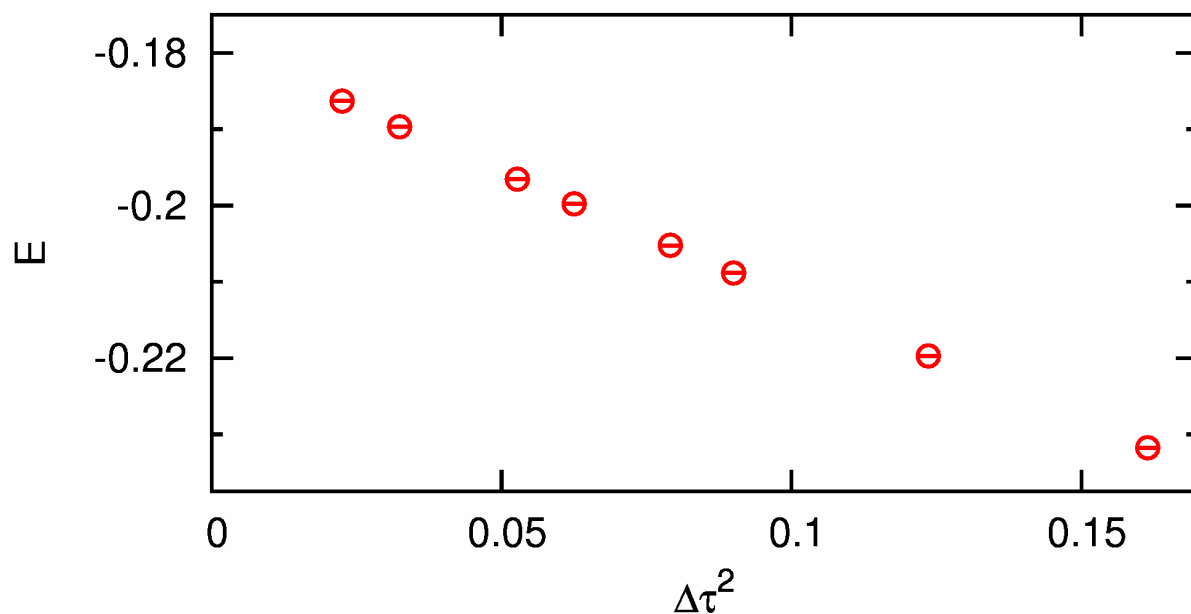
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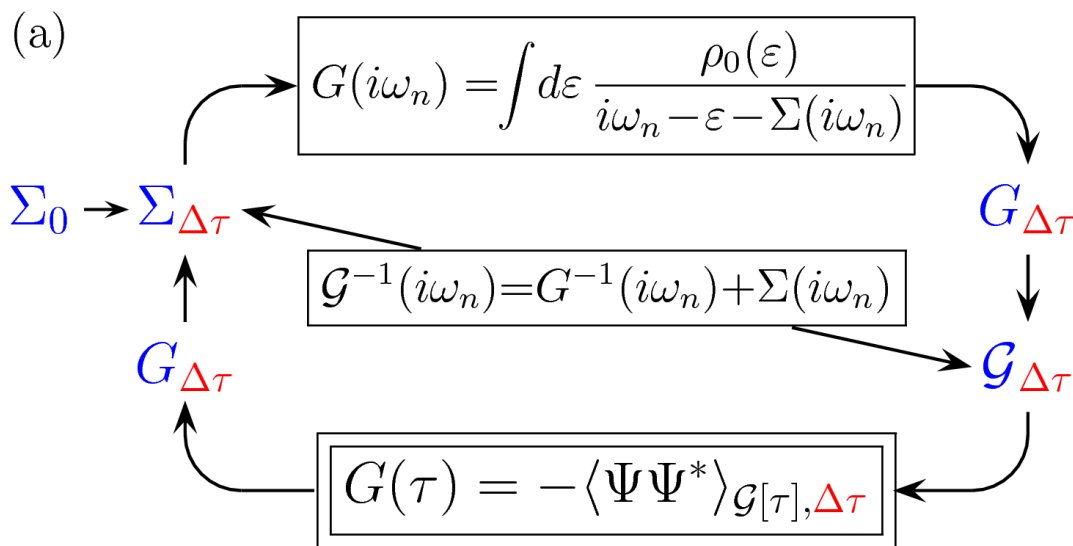
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[NB, PRB (2007)]



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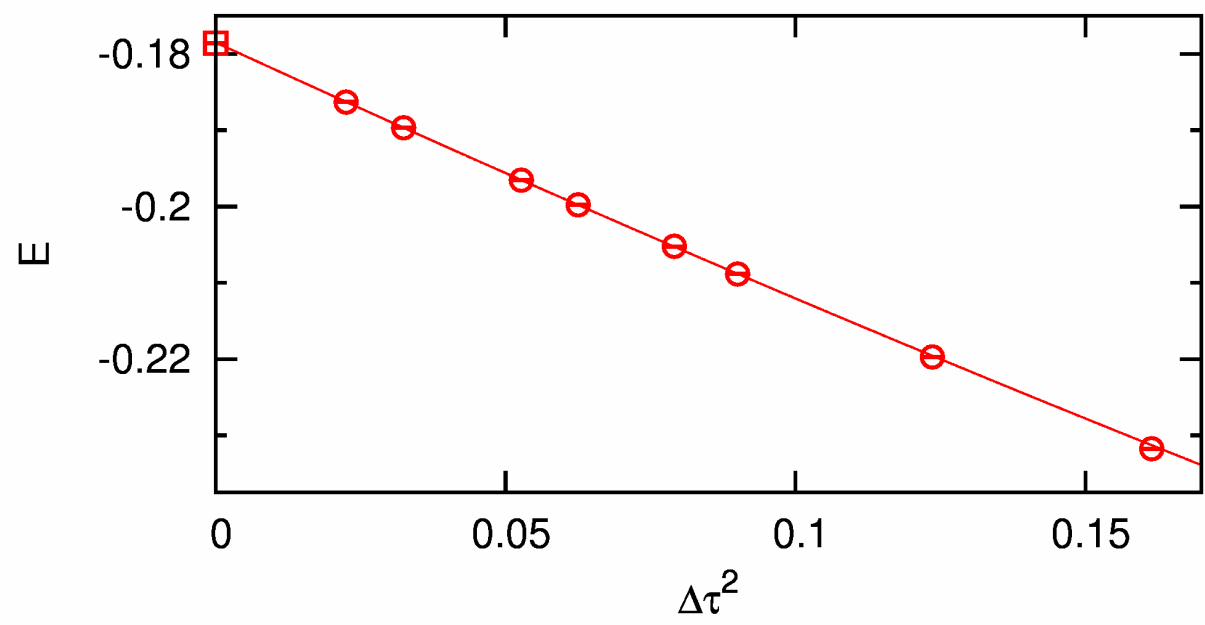
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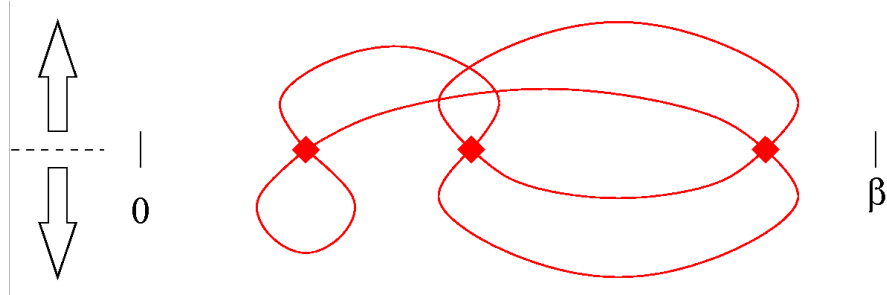
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New development: continuous-time QMC algorithms

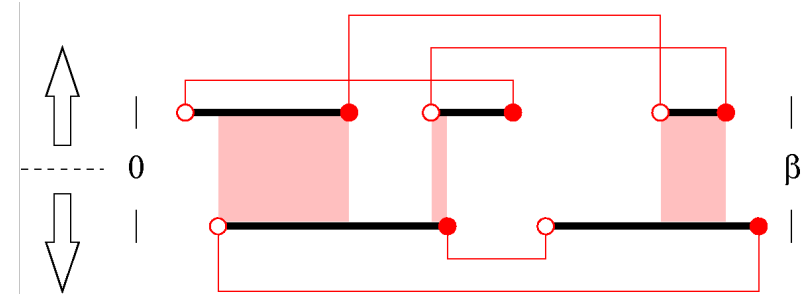
1. weak-coupling expansion

[Rubtsov, Savkin, Lichtenstein, PRB (2005)]



2. hybridization expansion

[Werner et al., PRL (2006)]

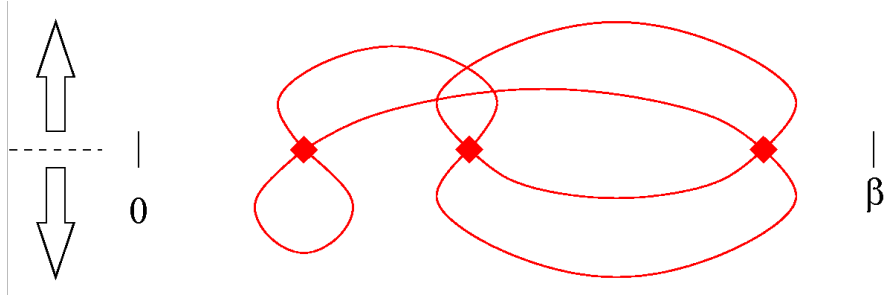


No systematic errors (in principle). Also more efficient than HF-QMC?

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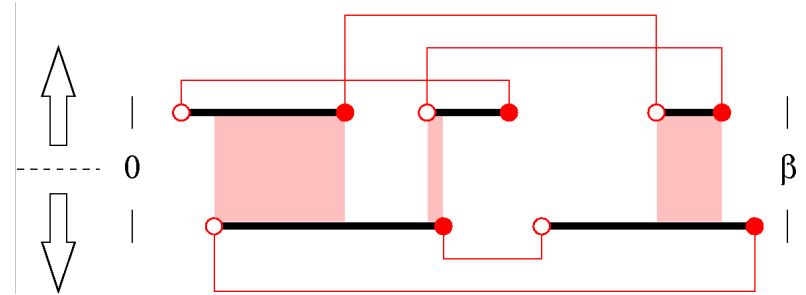
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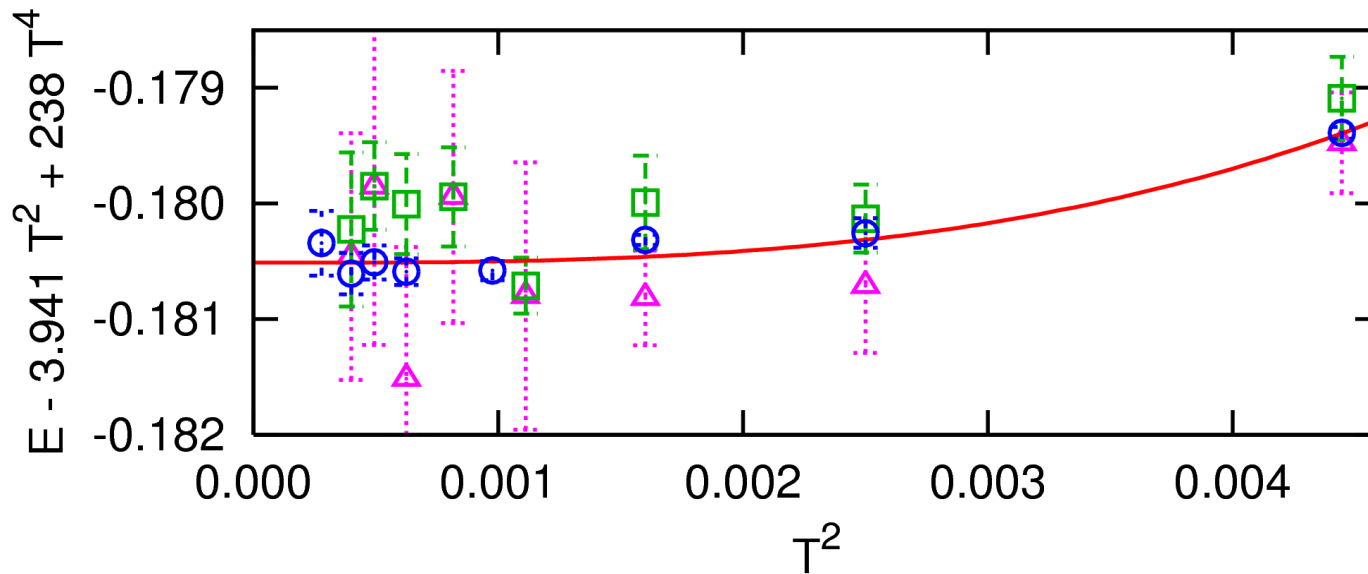


2. hybridization expansion

[Werner et al., PRL (2006)]



No systematic errors (in principle). Also more efficient than HF-QMC? **No!**



Test case:

1 band, $U = W = 4$

○ HF-QMC ($\Delta\tau \rightarrow 0$)

□ weak-coupling CT-QMC

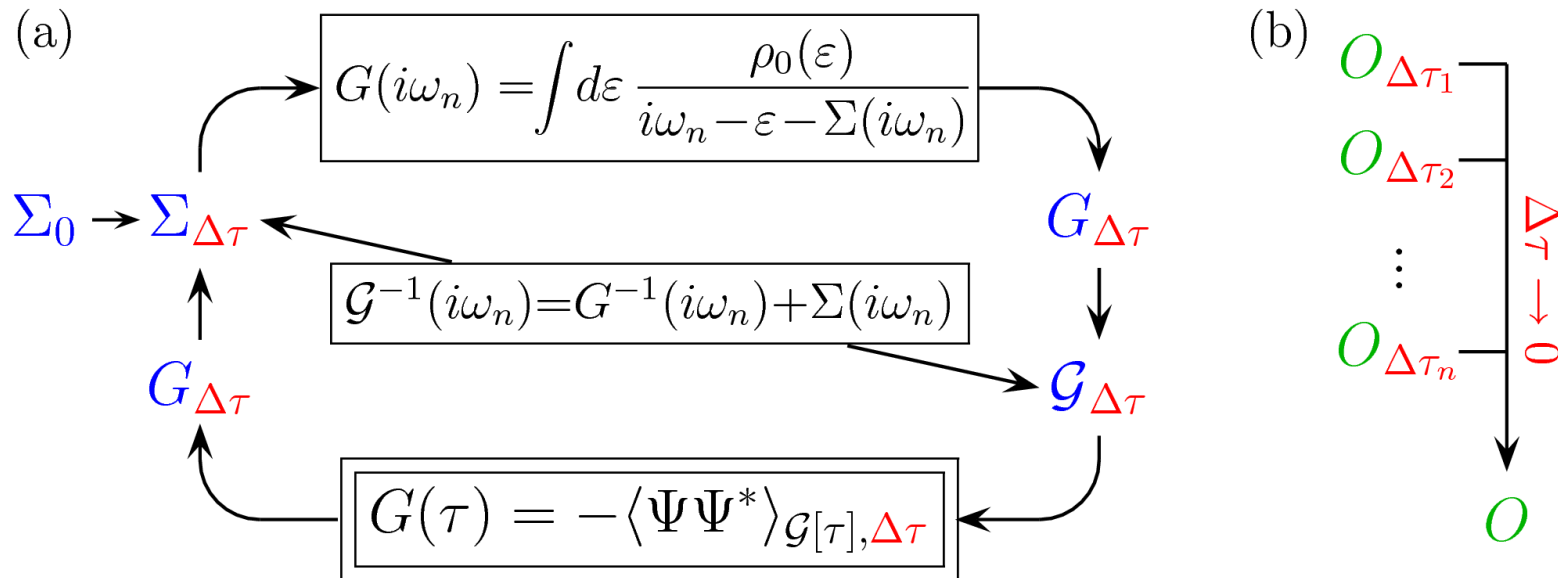
△ hybridization CT-QMC

HF-QMC + extrapolation $\Delta\tau \rightarrow 0$ can be more efficient [NB, PRB 76, 205120 (2007)]

Multigrid Hirsch-Fye quantum Monte Carlo algorithm

State of the art: (a) conventional HF-QMC

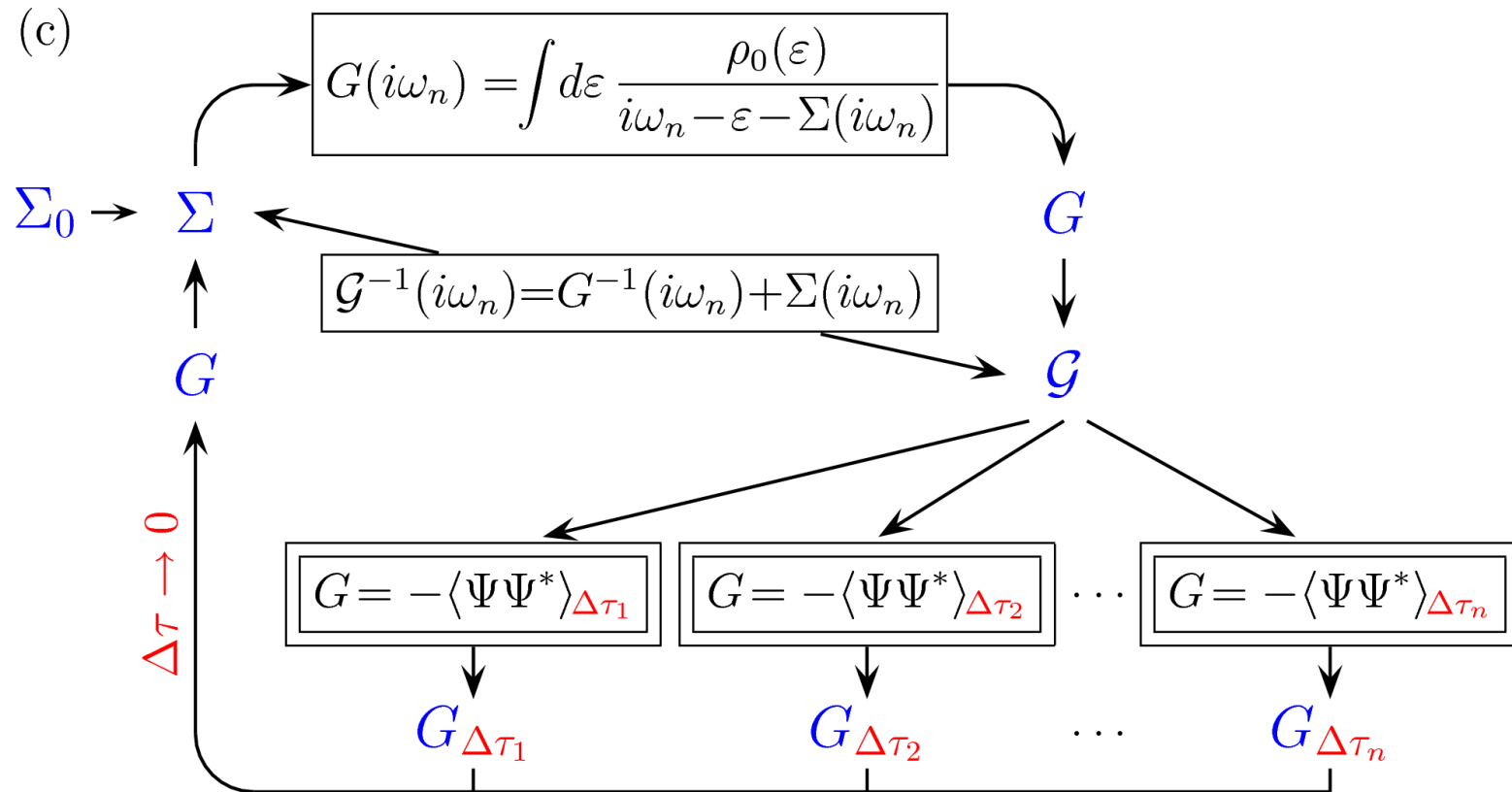
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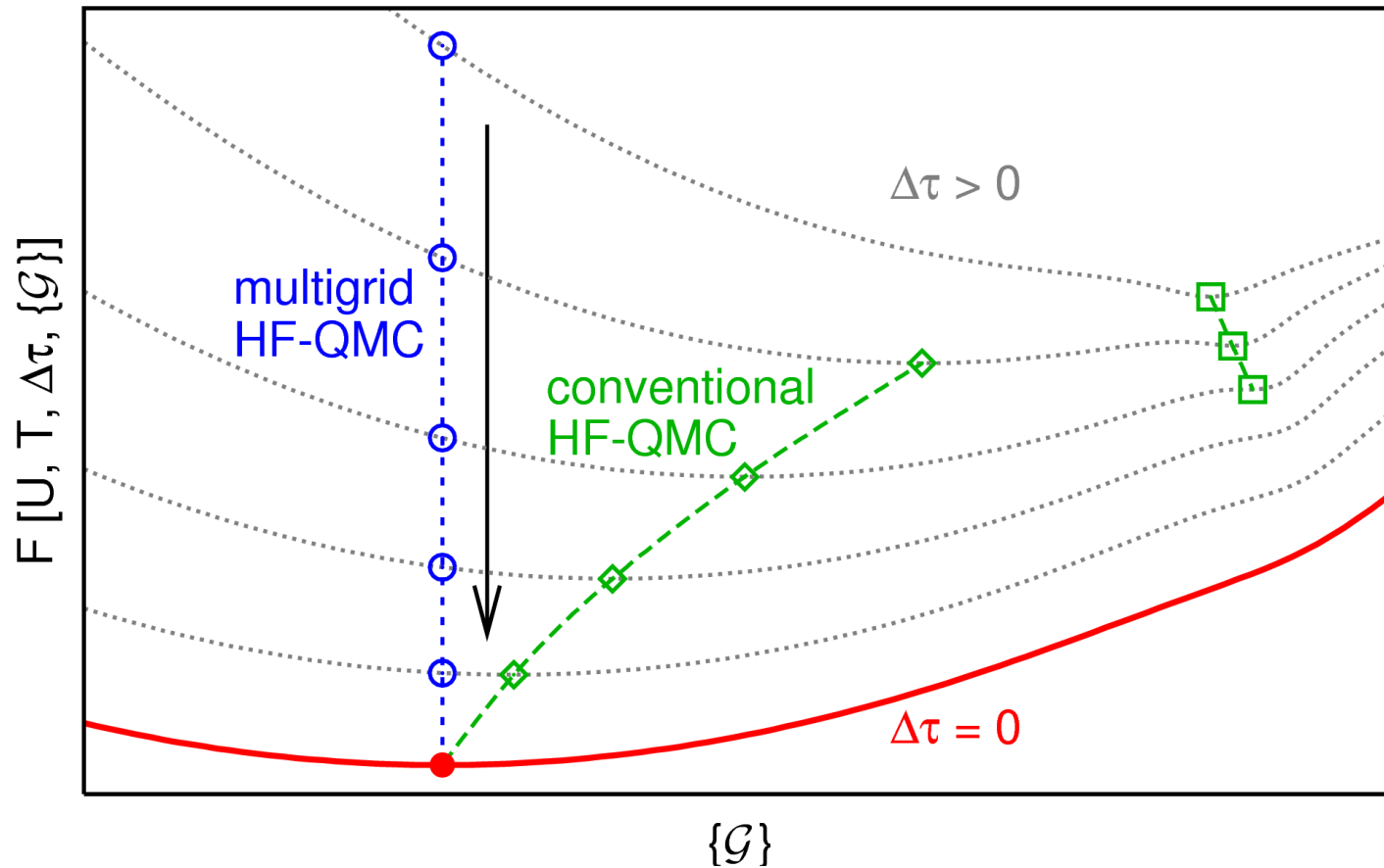
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(c) Multigrid HF-QMC: internal elimination of Trotter error

\rightsquigarrow quasi continuous time algorithm [NB, [arXiv:0801.1222](https://arxiv.org/abs/0801.1222)]

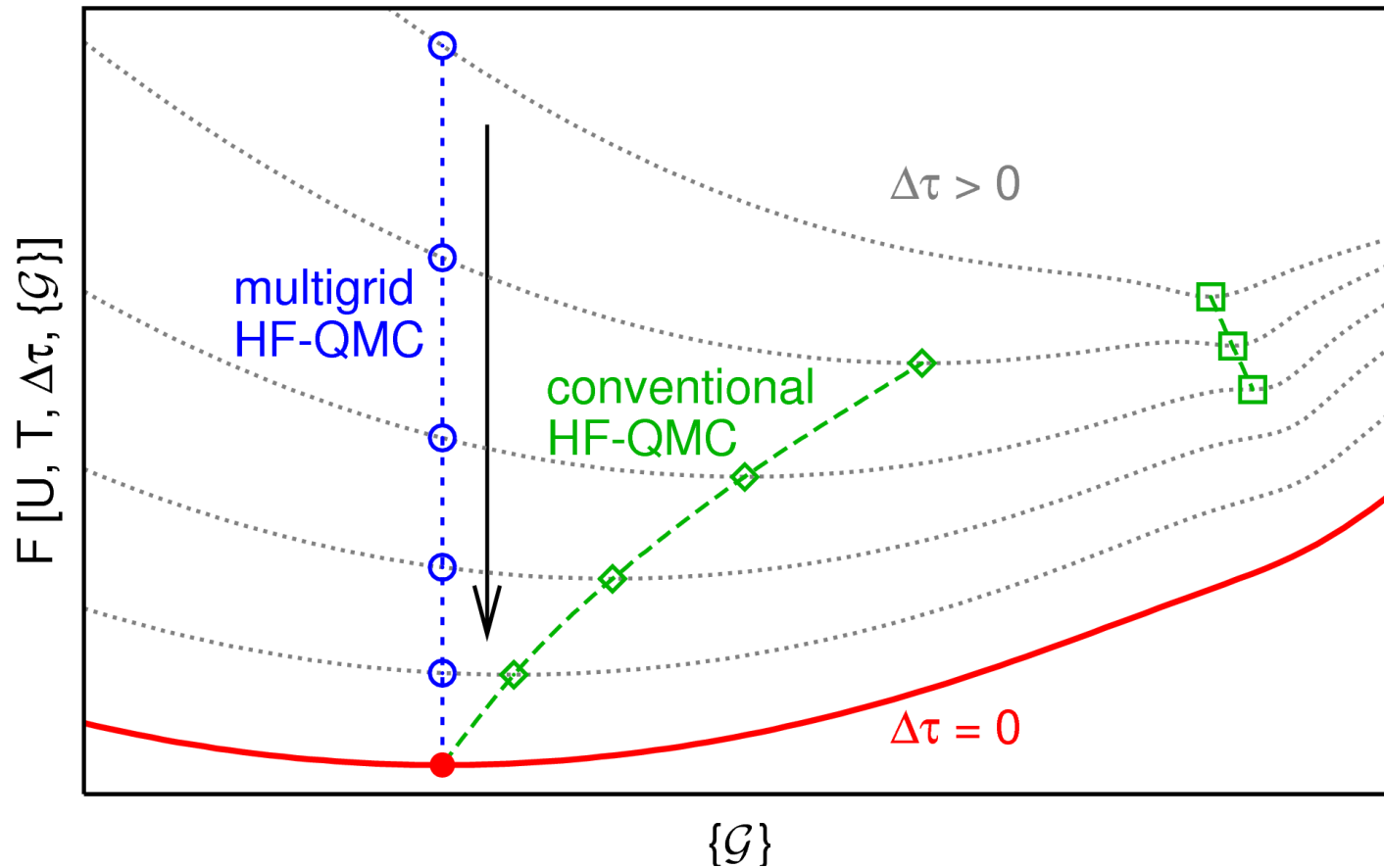
Schematic comparison via generalized Ginzburg-Landau functionals



Conventional Hirsch-Fye QMC: DMFT fixed point shifts with $\Delta\tau$

Multigrid Hirsch-Fye QMC: DMFT iteration towards exact fixed point

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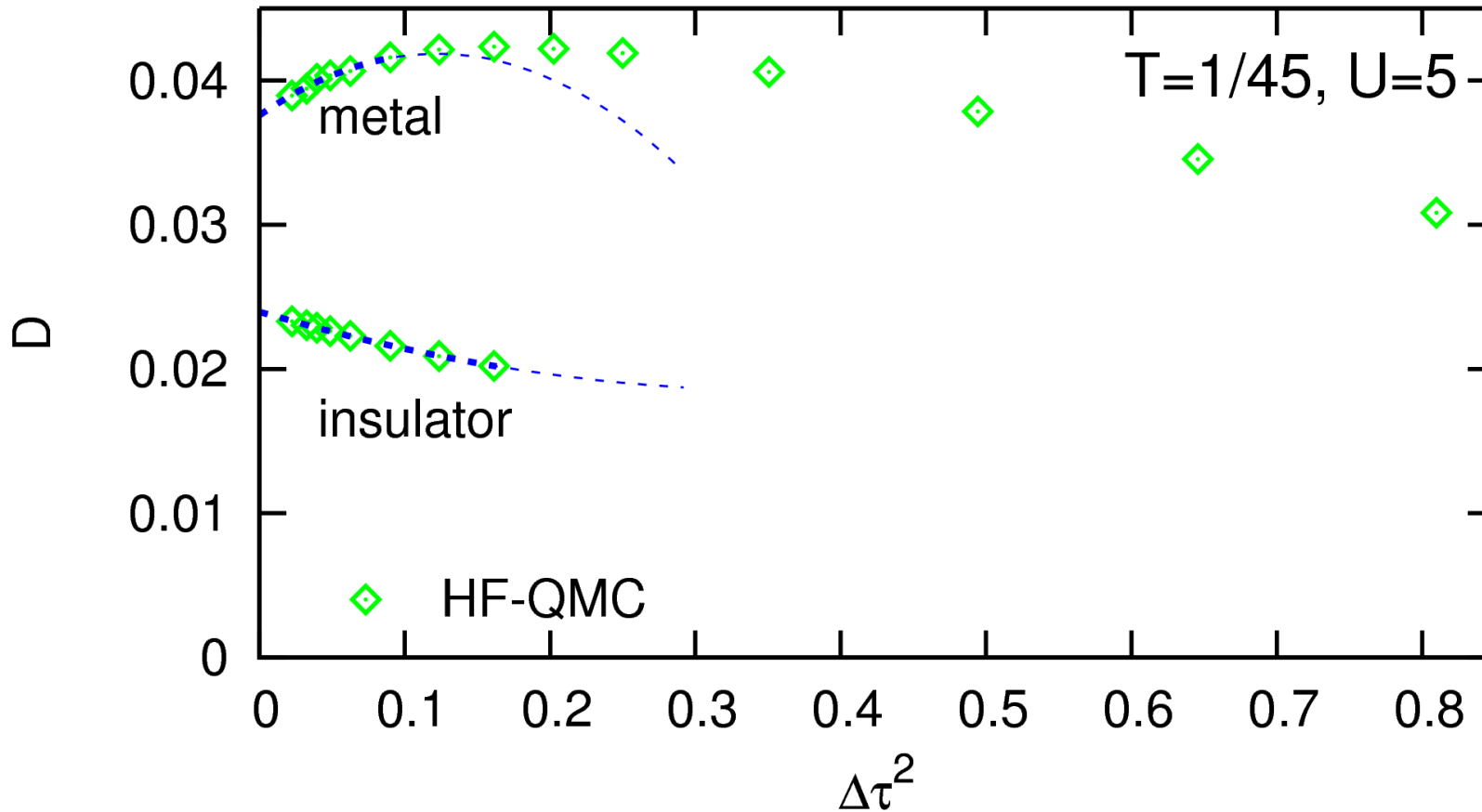


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Multigrid Hirsch-Fye QMC: DMFT iteration towards exact fixed point

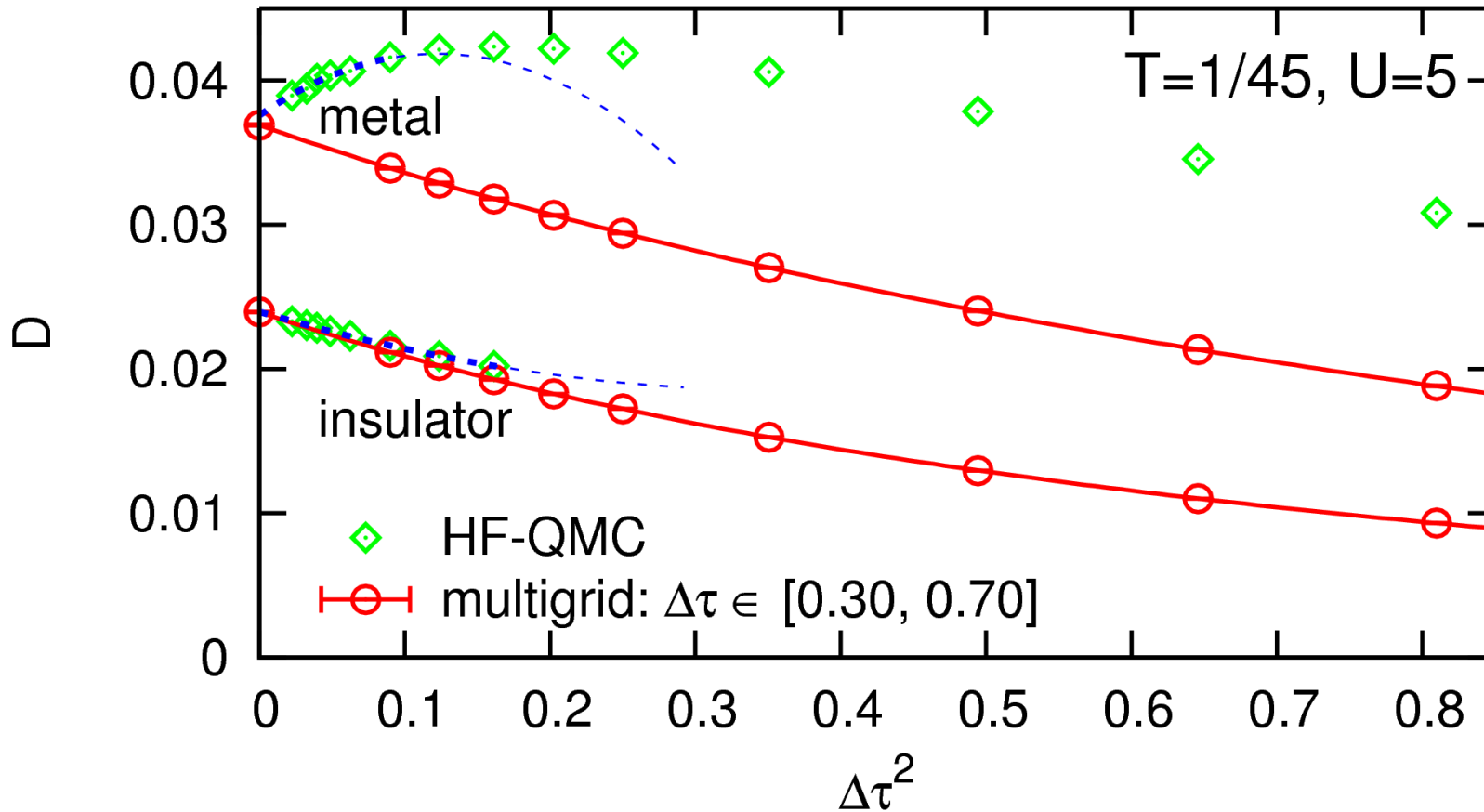
Implementation: Green function extrapolation, hierarchy of frequency scales

Comparison: double occupancy $D = \langle n_{i\uparrow} n_{i\downarrow} \rangle$ near Mott transition



Conventional HF-QMC: no insulating solution for $\Delta\tau \gtrsim 0.4$
very irregular $\Delta\tau$ dependence beyond $\Delta\tau \approx 0.3$

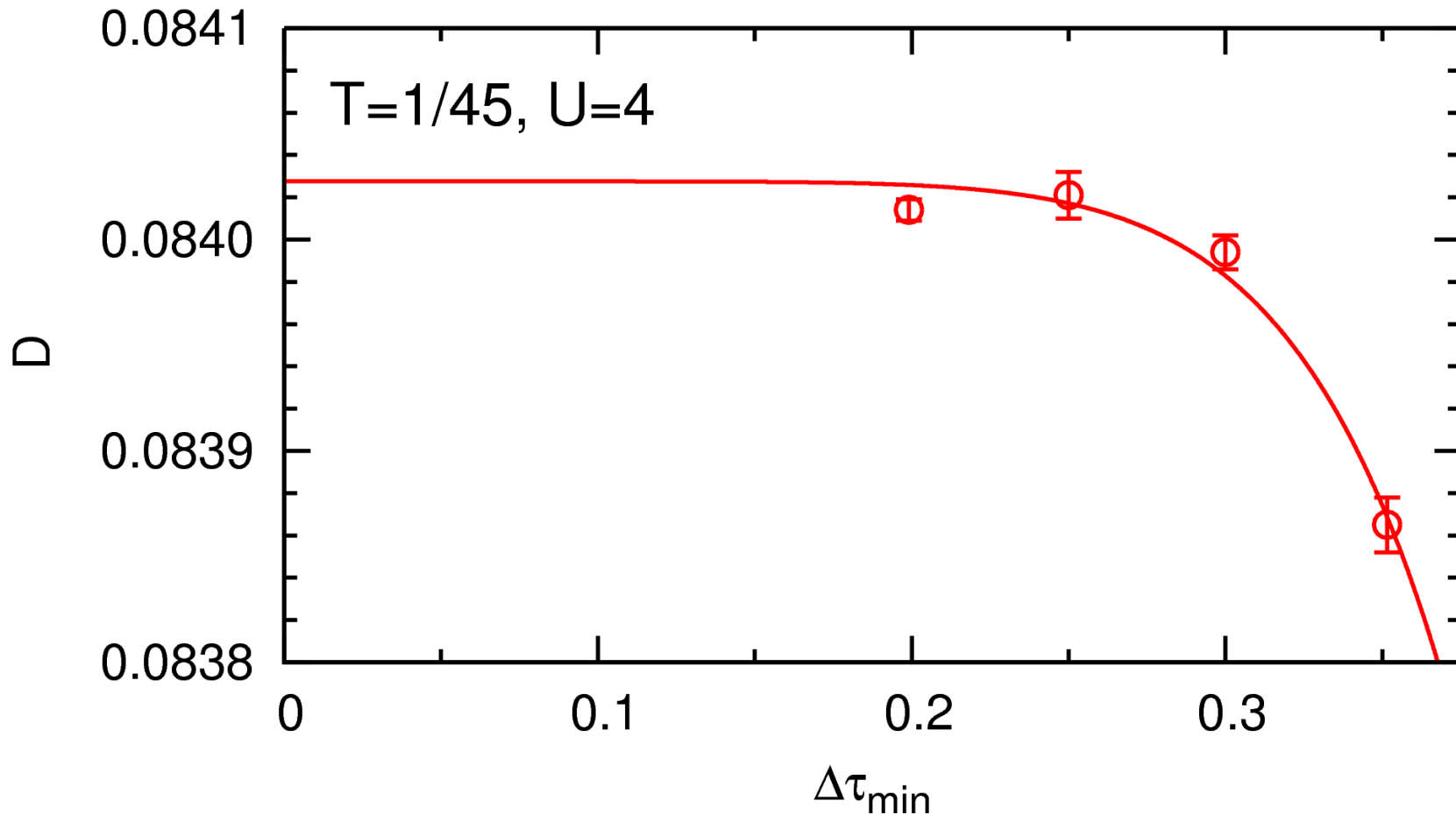
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Multigrid HF-QMC: vastly larger useful range of $\Delta\tau$

Systematic study: impact of grid range (on double occupancy)



Multigrid HF-QMC usually “numerically exact” for $\tau_{\min} \lesssim 0.3$

No “difficult observables” for multigrid HF-QMC, higher efficiency than CT-QMC

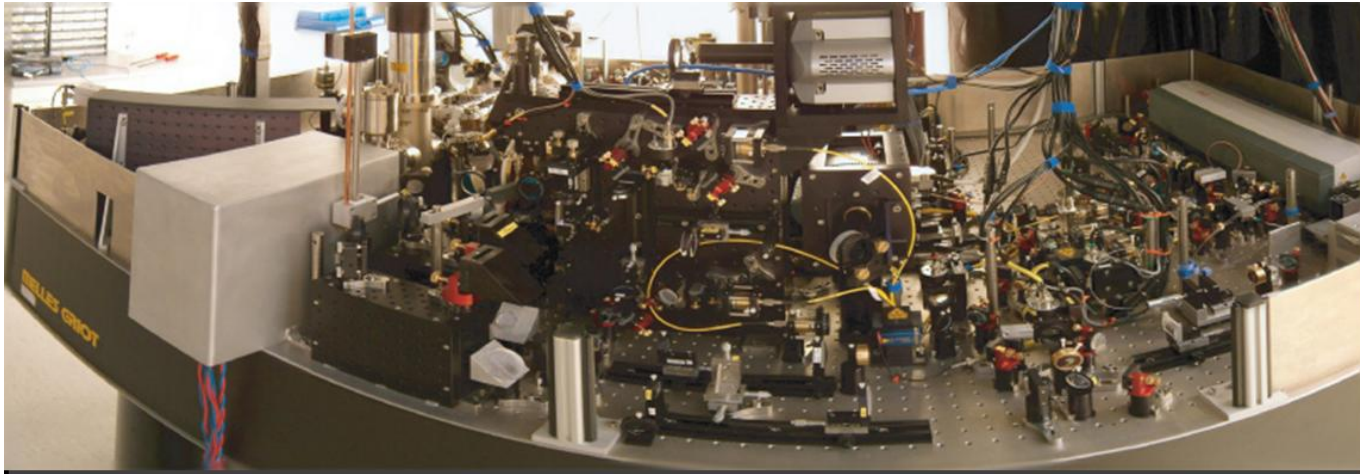
Many successful applications: spectra, high-precision c_V , 8-band calculations, . . .

Ultracold fermions on optical lattices: model systems for strongly correlated materials

Ultracold atoms are much simpler:

Ultracold fermions on optical lattices: model systems for strongly correlated materials

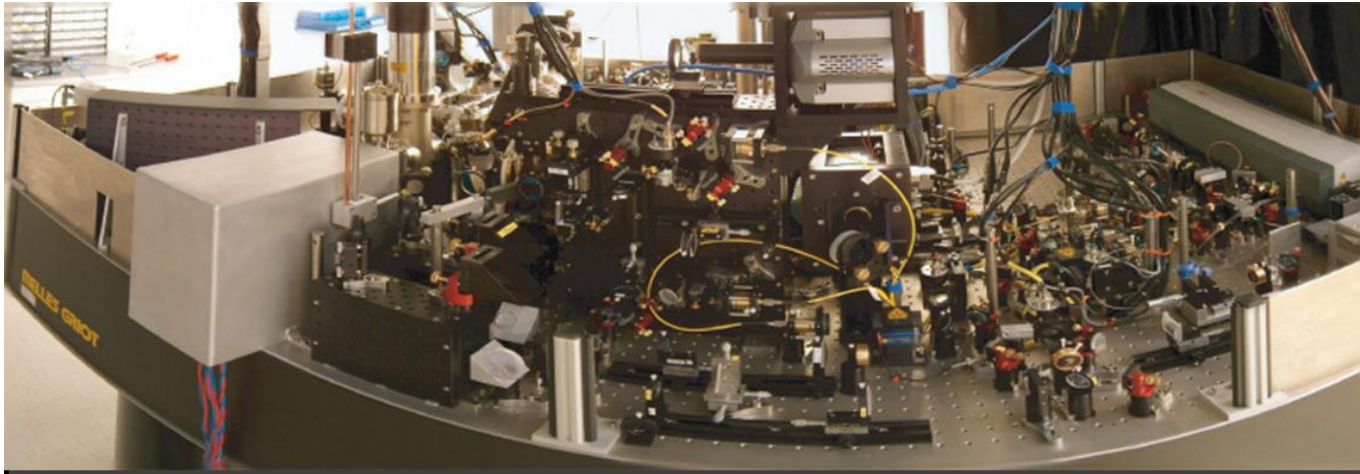
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[Photo courtesy of
U. Schneider]

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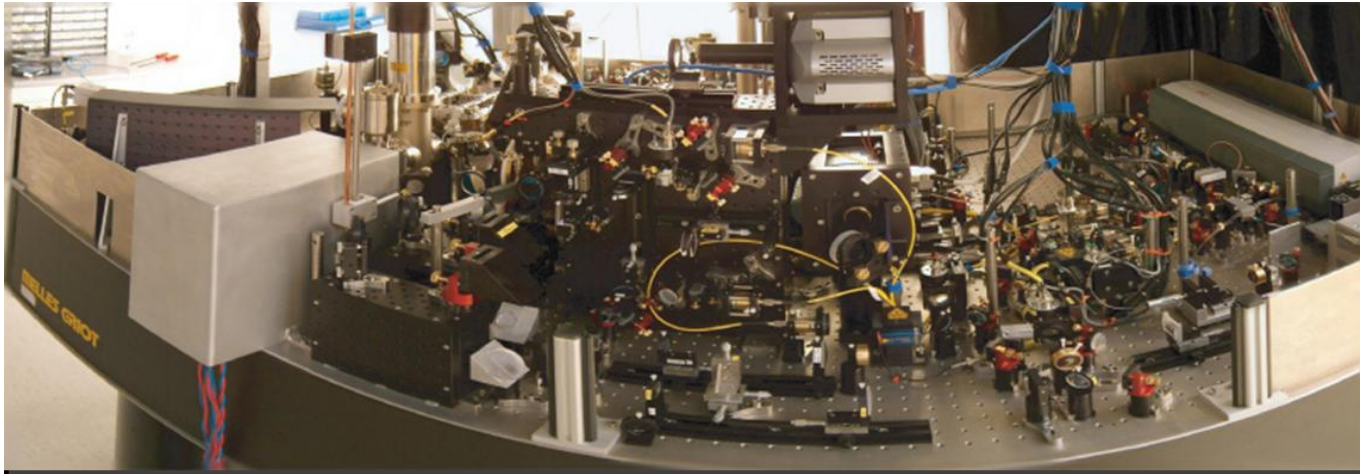
Ultracold atoms are much simpler: 1-band assumption is often accurate



[Photo courtesy of
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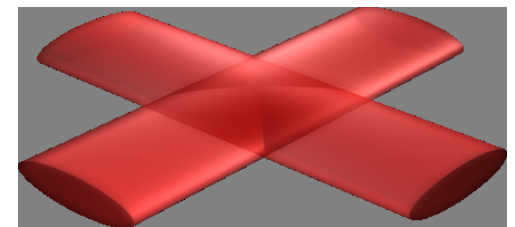
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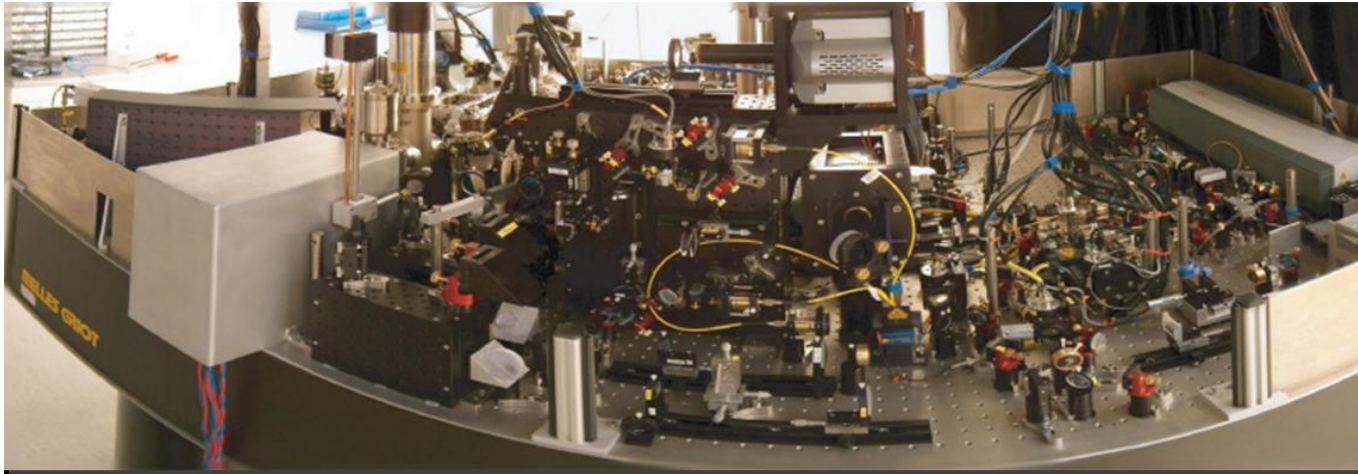
[Photo courtesy of U. Schneider]

But: trapping potential \rightsquigarrow inhomogeneous systems
finite cloud sizes



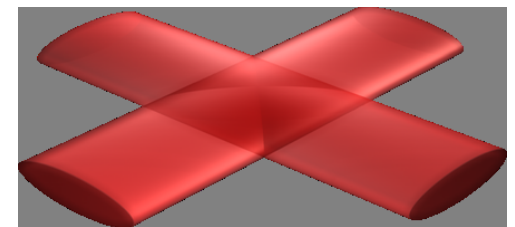
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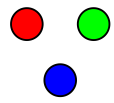
[Photo courtesy of U. Schneider]

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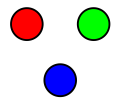
Note: more possibilities, e.g. 3-flavor systems $\begin{matrix} \uparrow \\ \downarrow \end{matrix} \longrightarrow \begin{matrix} \bullet & \bullet \\ & \bullet \end{matrix}$

Paramagnetic Mott transitions in 3-flavor mixtures



3 flavors: simplest case beyond electronic systems
1st approximation: all flavors equivalent

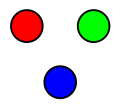
Paramagnetic Mott transitions in 3-flavor mixtures



3 flavors: simplest case beyond electronic systems
1st approximation: all flavors equivalent

- Qualitatively new physics: $U < 0$, $n = 1.5$ [Hofstetter, PRB (2004), PRL (2007)]
 - Color superconductivity
 - Trionic phase
 - ...

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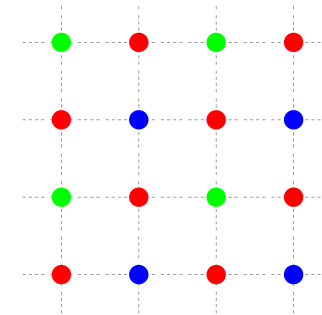
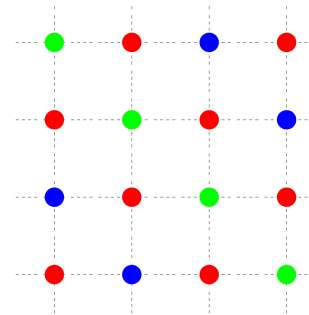
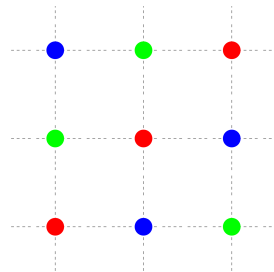
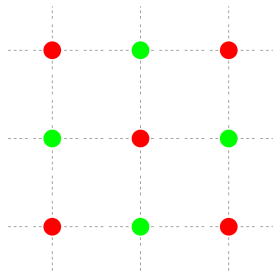


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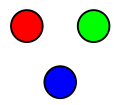
- Ordered phases: $U > 0$, $n = 1$



2 spins/flavors

3 spins/flavors

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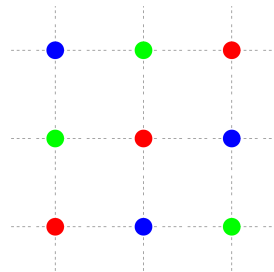
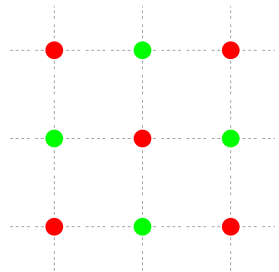


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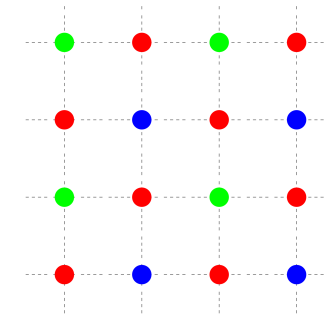
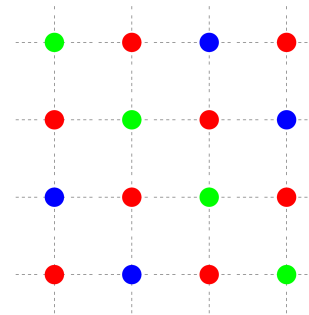
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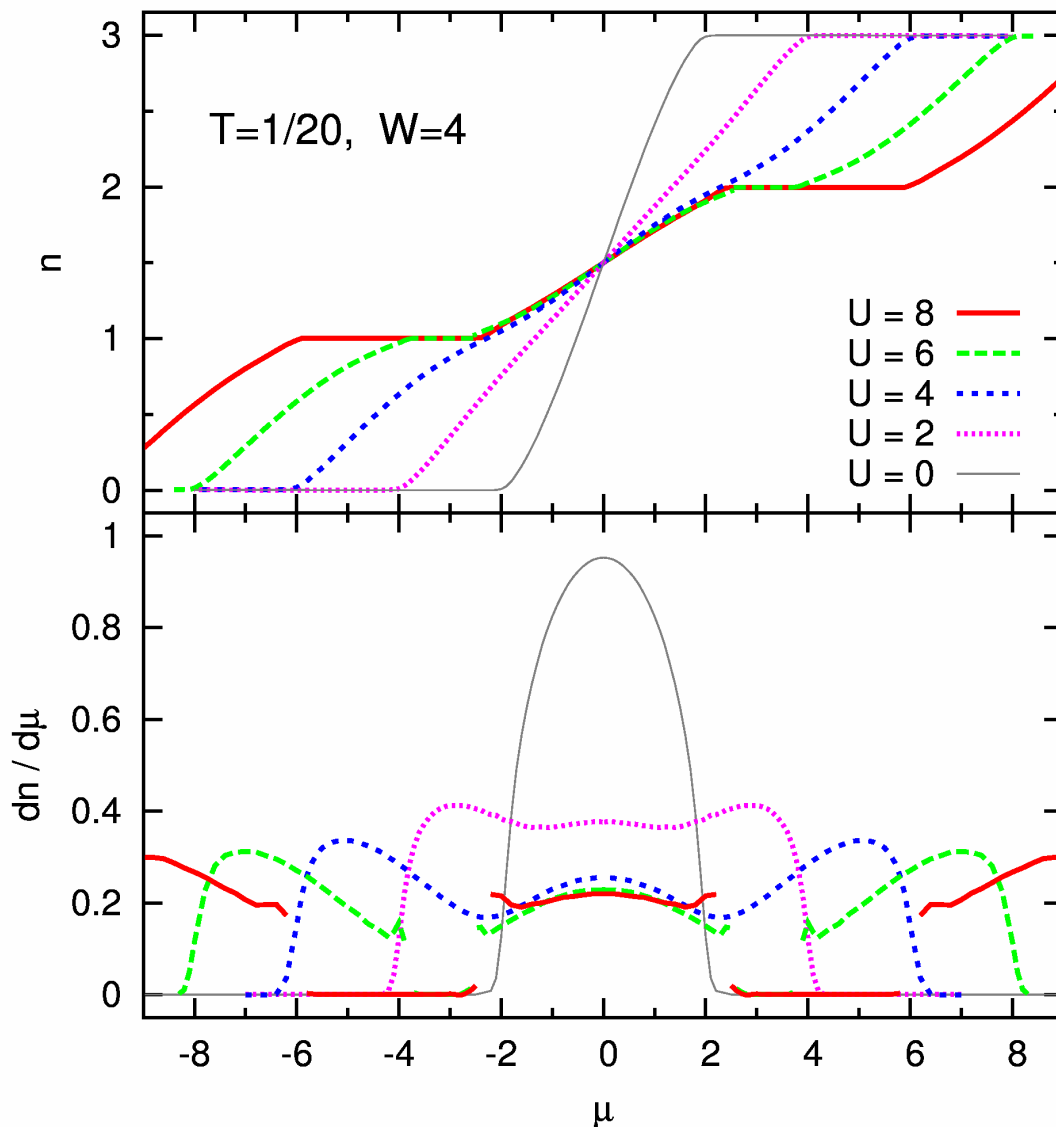
Most “electron-like”: $U > 0$, paramagnetic phase

Results at low T : particle density n and compressibility $\kappa = \frac{dn}{d\mu}$ (vs. μ)

HF-QMC, Bethe DOS ($W = 4$)

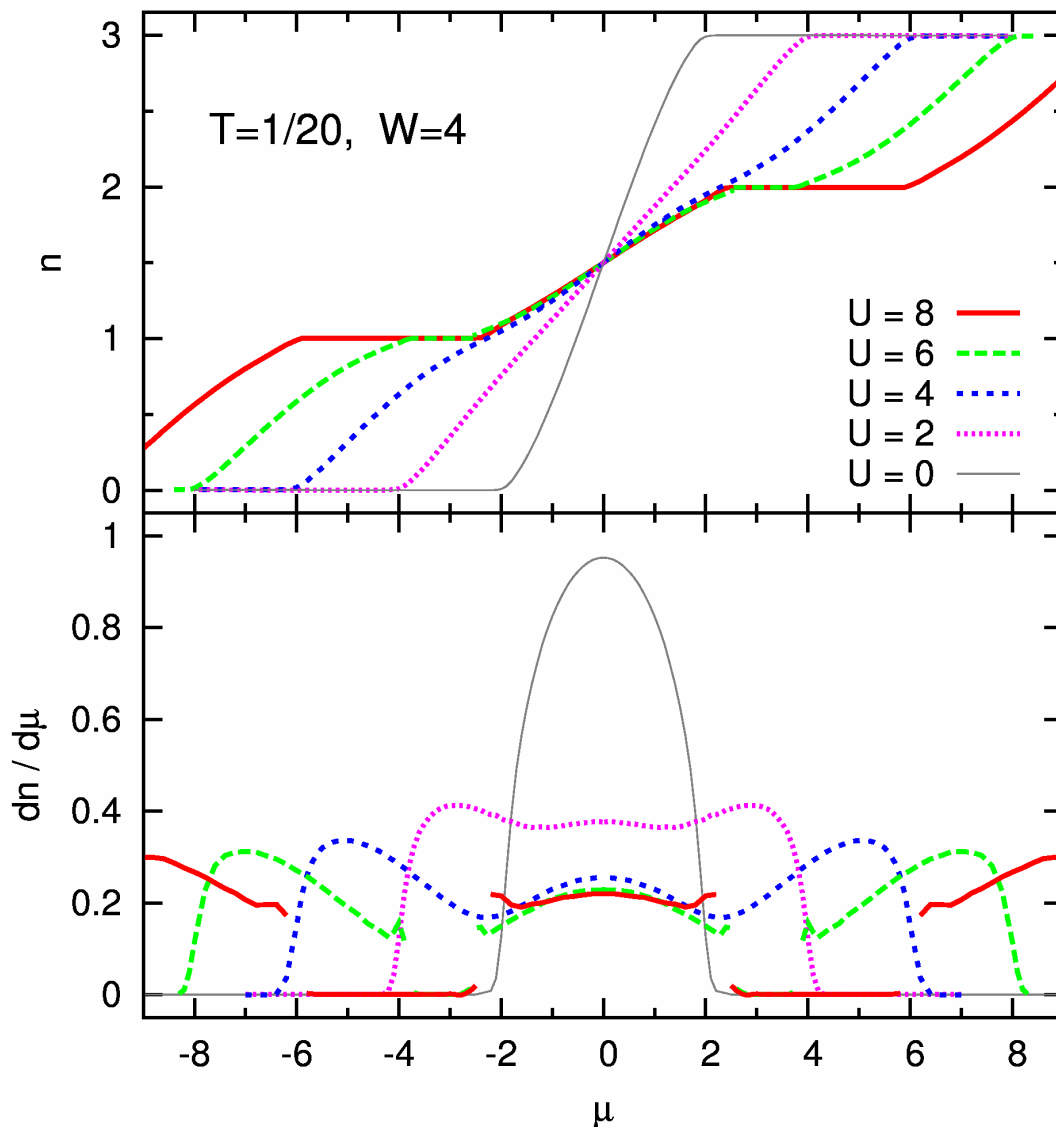
Plateaus at integer filling ($U \gtrsim 5.5$)

\rightsquigarrow incompressible Mott phases



[E. Gorelik, N. Blümer, arXiv:0904.4610]

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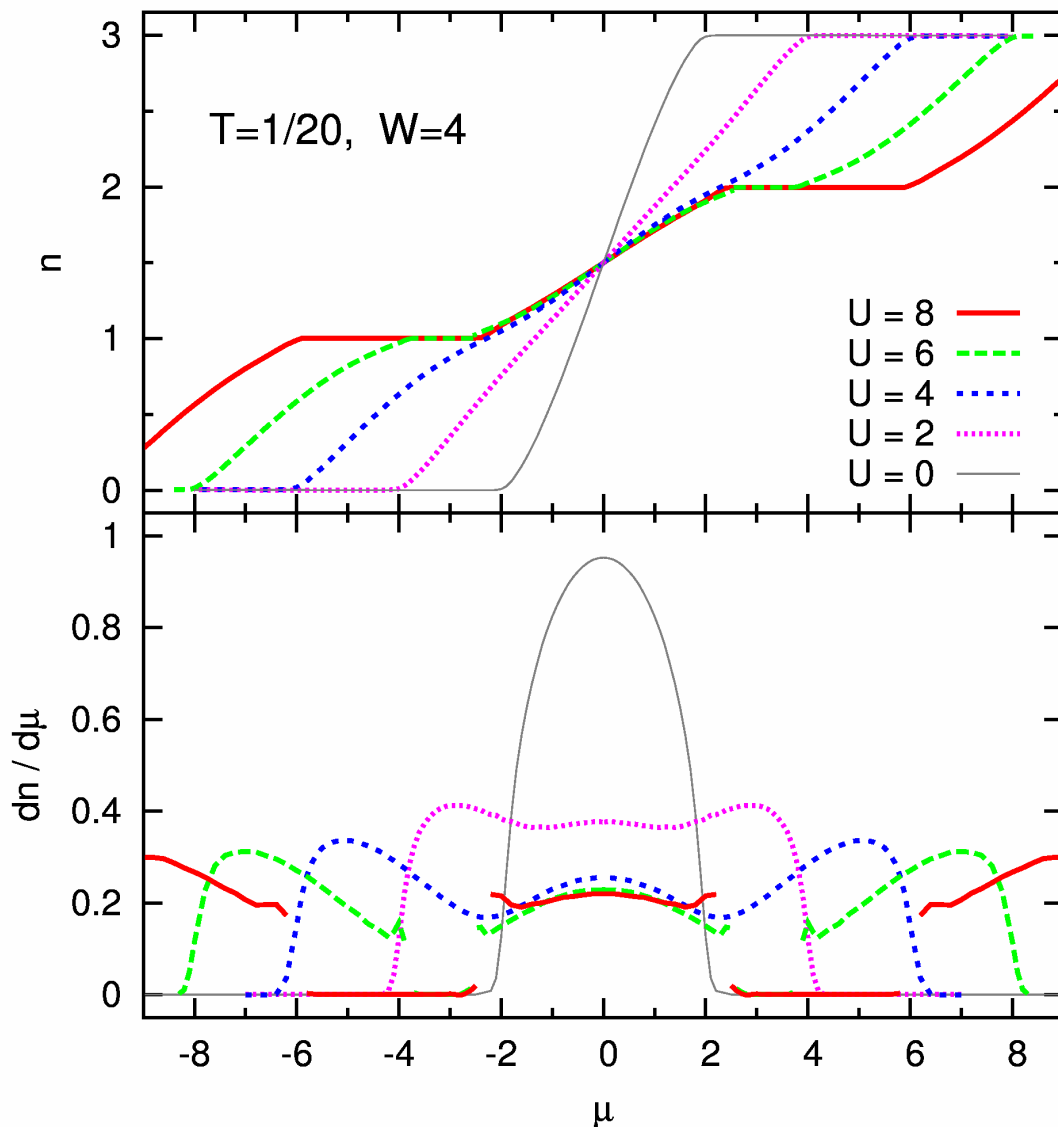
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$1 < n < 2$: semi-compressible phase

κ independent of μ, U, T

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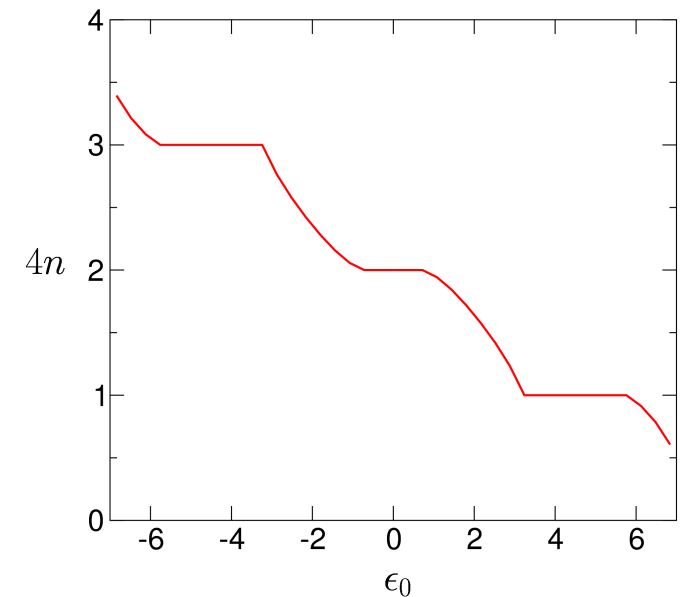
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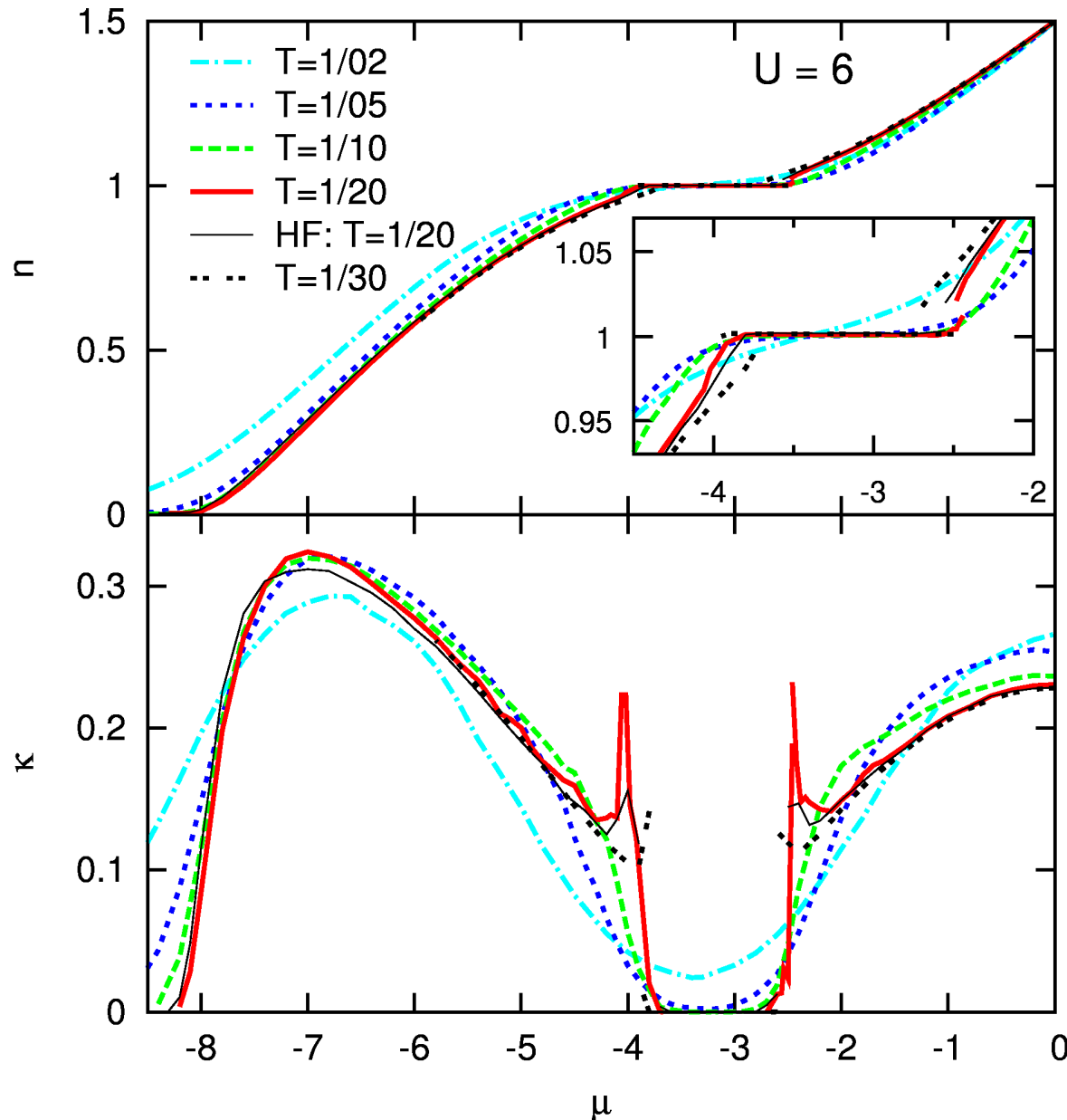
Contrast with SU(4) system:



[E. Gorelik, N. Blümer, arXiv:0904.4610]

[Florens, Georges, PRB **70**, 035114 (2004)]

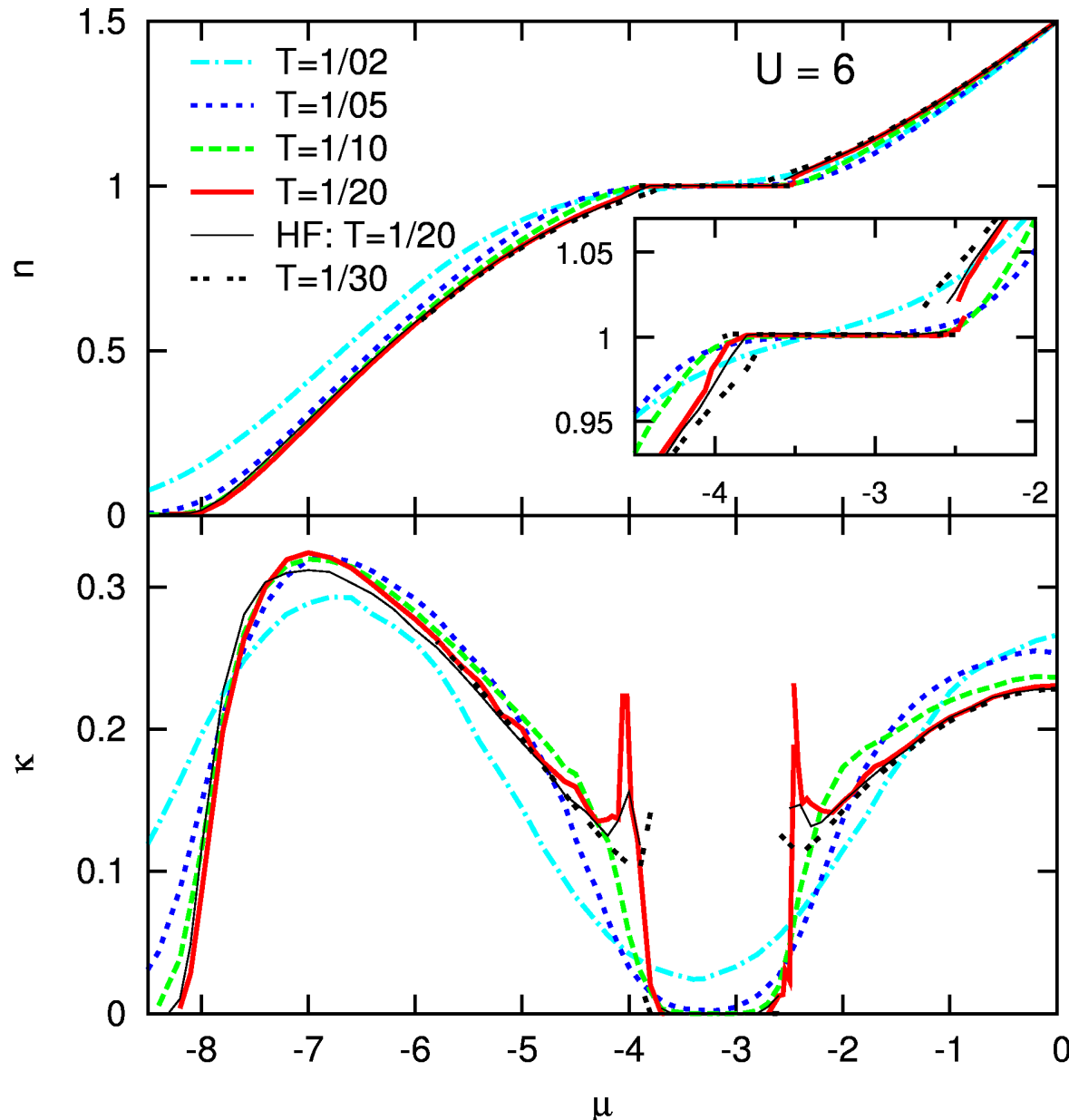
T dependence of density n and compressibility κ



Multigrad HF-QMC results
(also HF-QMC at $T = 1/20$):

Critical temperature $T^* \approx 1/20$

T dependence of density n and compressibility κ

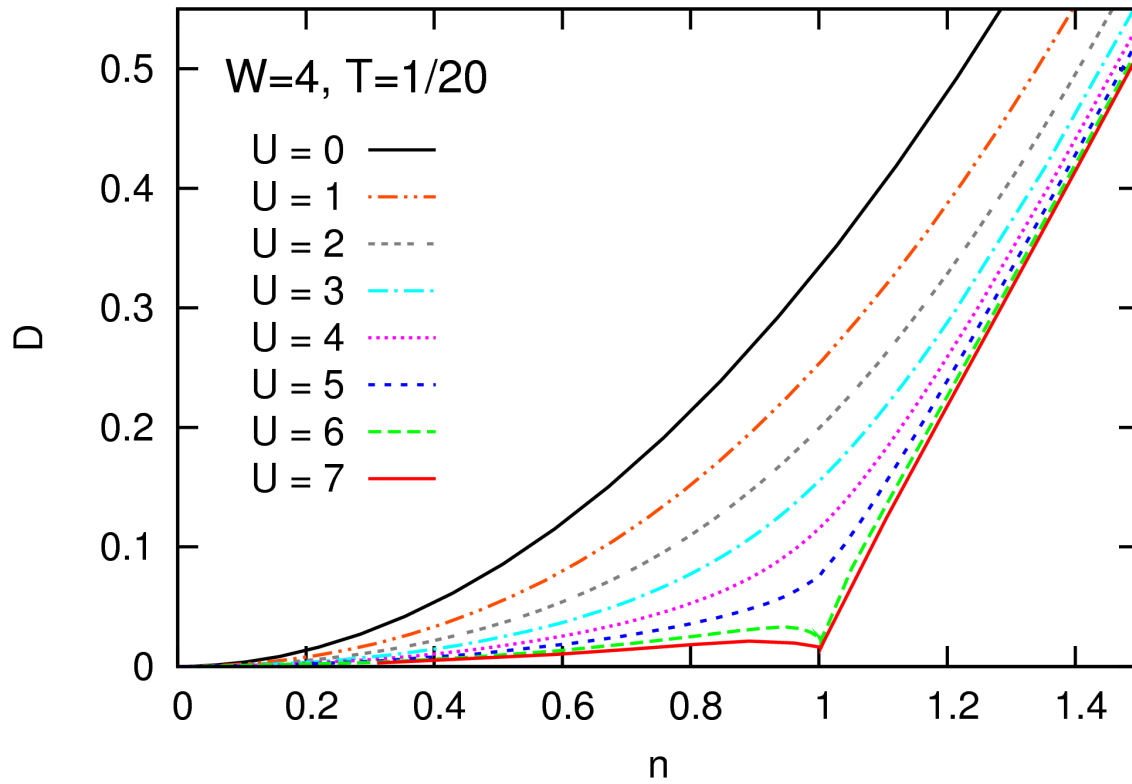


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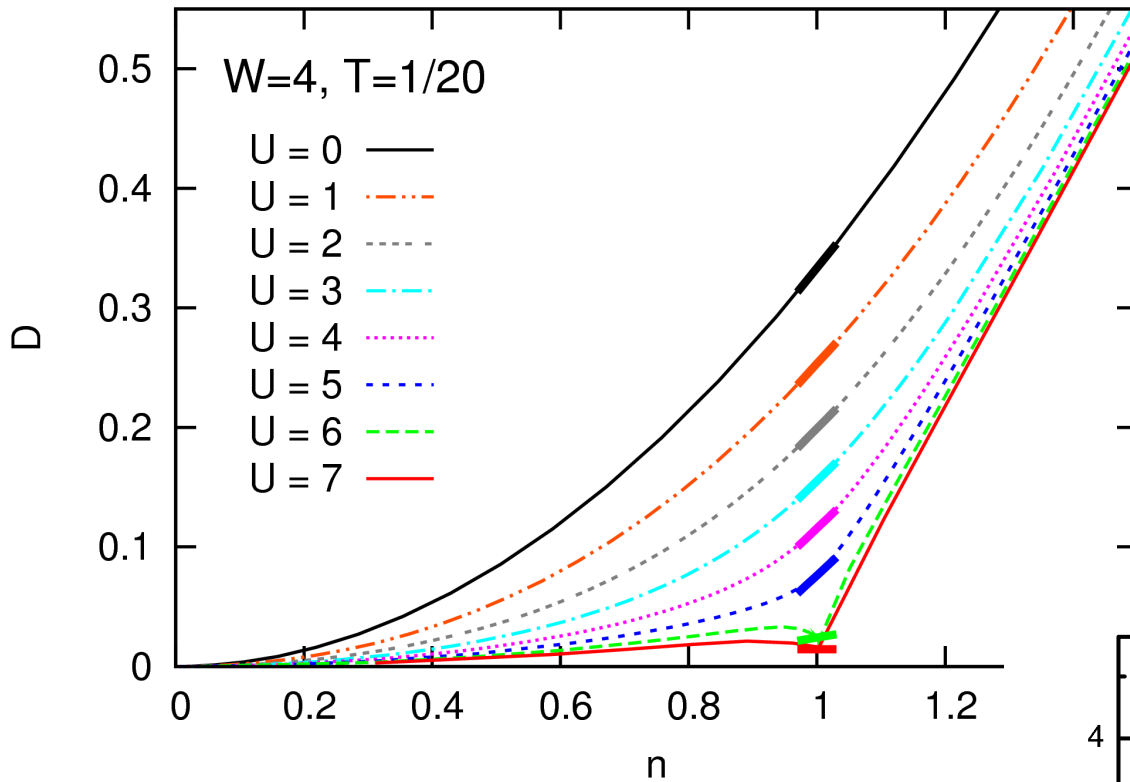
Important for experiments:
Signatures of Mott transition
persist to high temperatures:

nearly complete suppression of
 κ (at $n \approx 1$) up to $T \approx 1/5$.



3-spin/ flavor system:

Pair occupancy vs. density



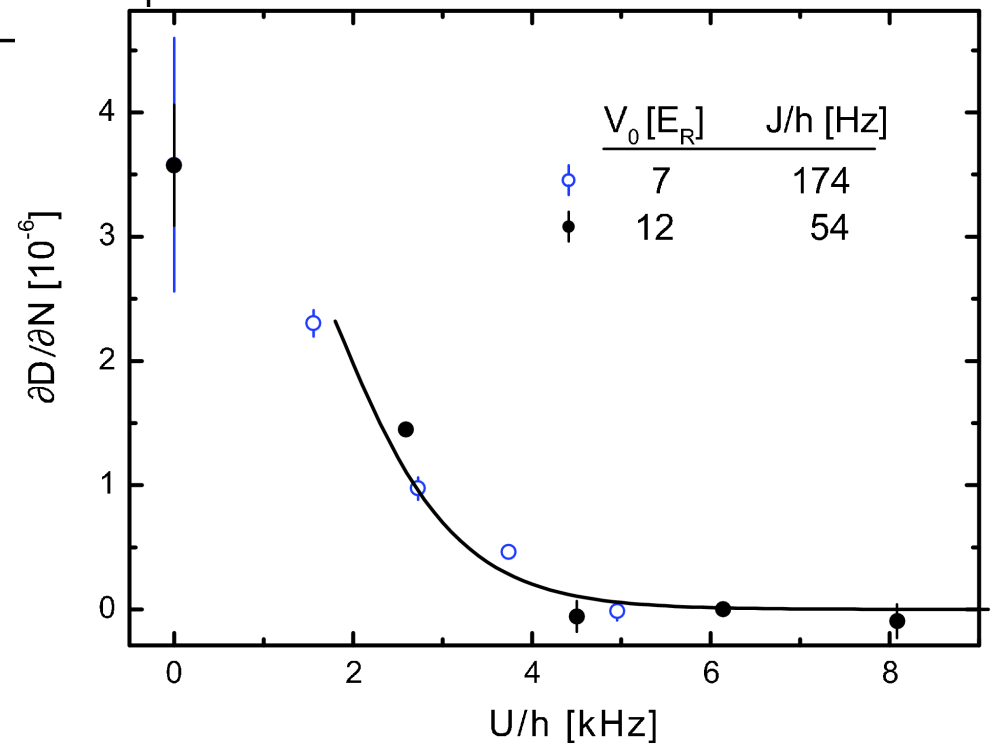
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Pair occupancy vs. density

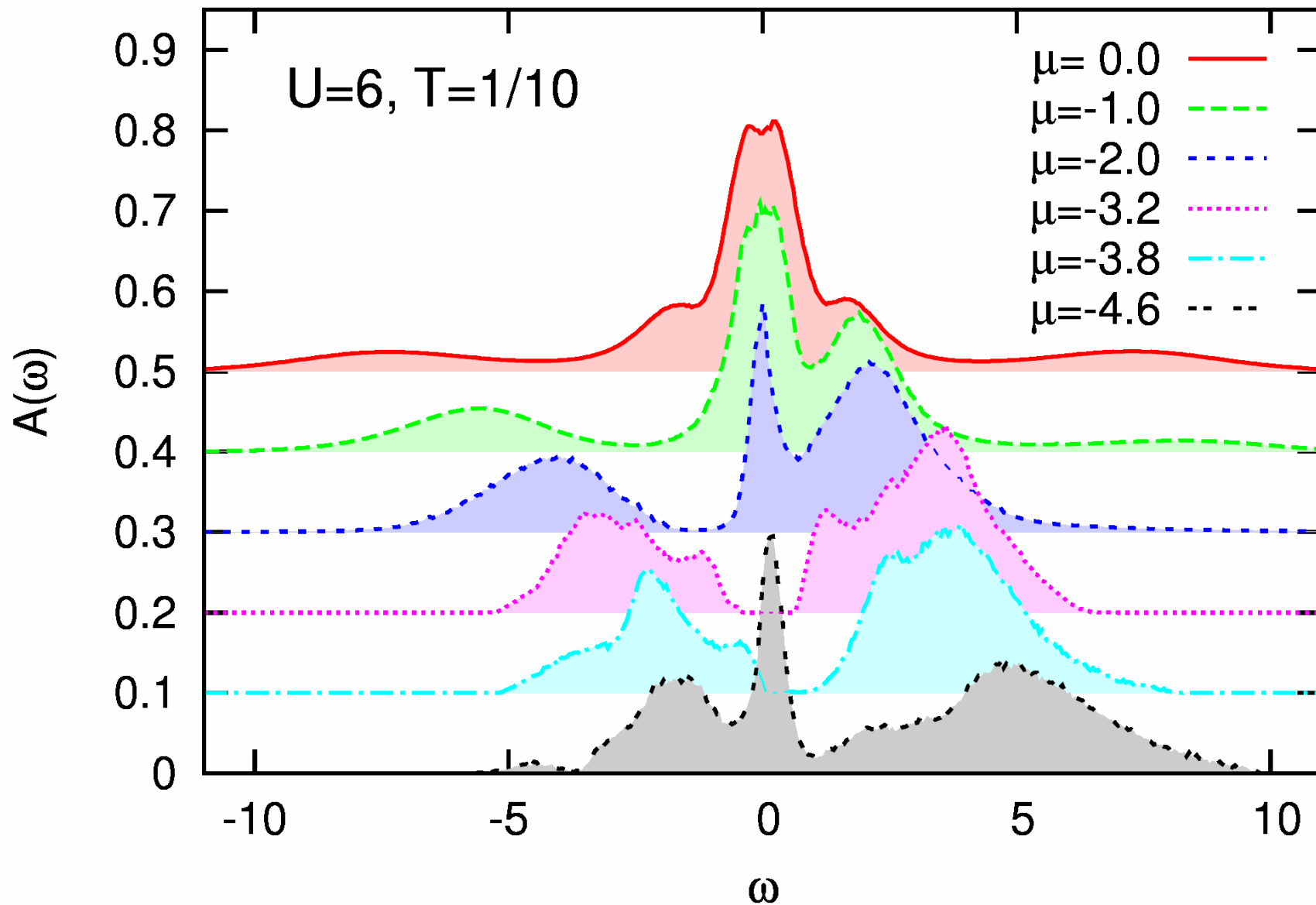
Experiment: 2-spin system

Transition to an incompressible phase

[Jördens et al., Nature (2008)]



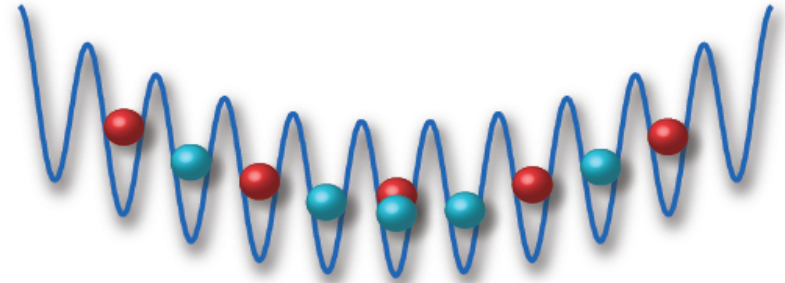
Local spectral function



Melting of an antiferromagnet in an optical trap

Now include trapping potential, e.g.: $V_i = Vr_i^2$

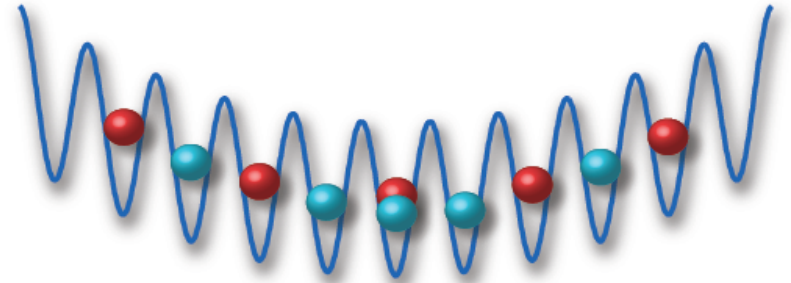
$$H = - \sum_{(ij),\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow} + \sum_{i,\sigma} V_i n_{i\sigma}$$



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Real-space DMFT: use local self-energy in inhomogeneous system

\rightsquigarrow N single-site impurities, coupled by modified lattice Dyson equation:

$$\left[G_\sigma(i\omega_n) \right]_{ij}^{-1} = (\mu_\sigma + i\omega_n) \delta_{ij} - t_{ij} - (V_i + \Sigma_{i\sigma}(i\omega_n)) \delta_{ij}$$

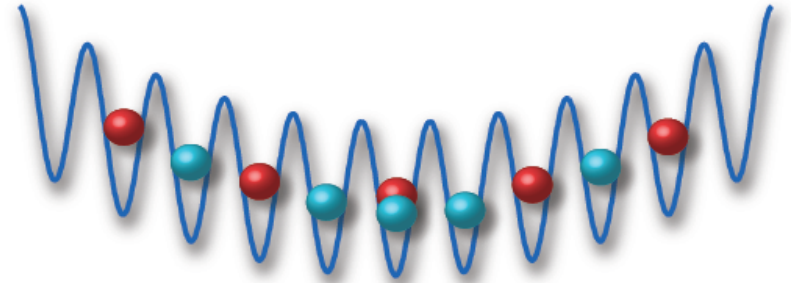
[M. Snoek, I. Titvinidze, C. Toke, K. Byczuk, and W. Hofstetter, *New Journal of Physics* (2008);
R. Helmes, T. A. Costi, and A. Rosch, *PRL* (2008)]

Also: **inhomogeneous DMFT** (for Falicov-Kimball model) [Freericks]

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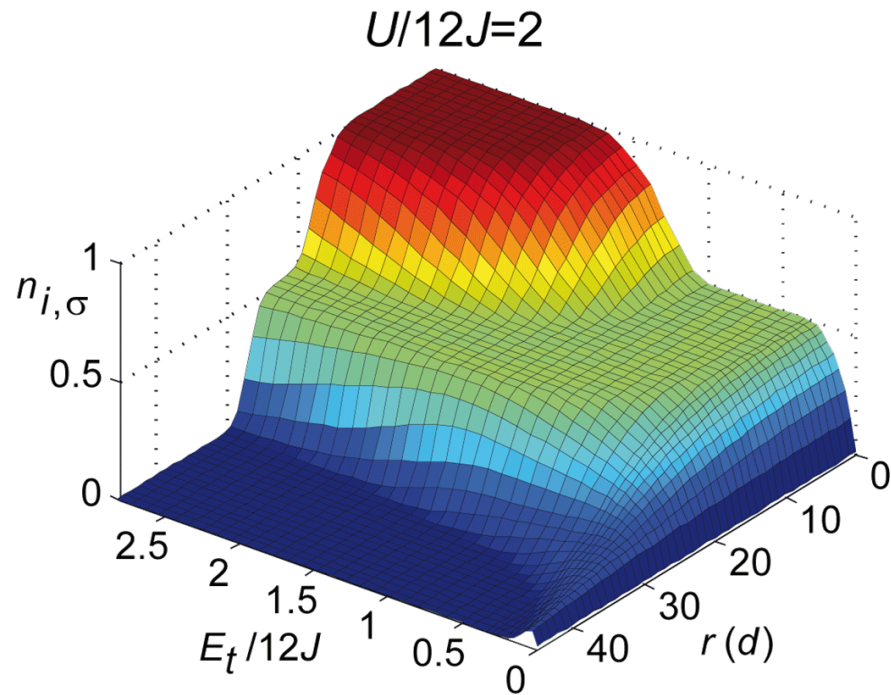
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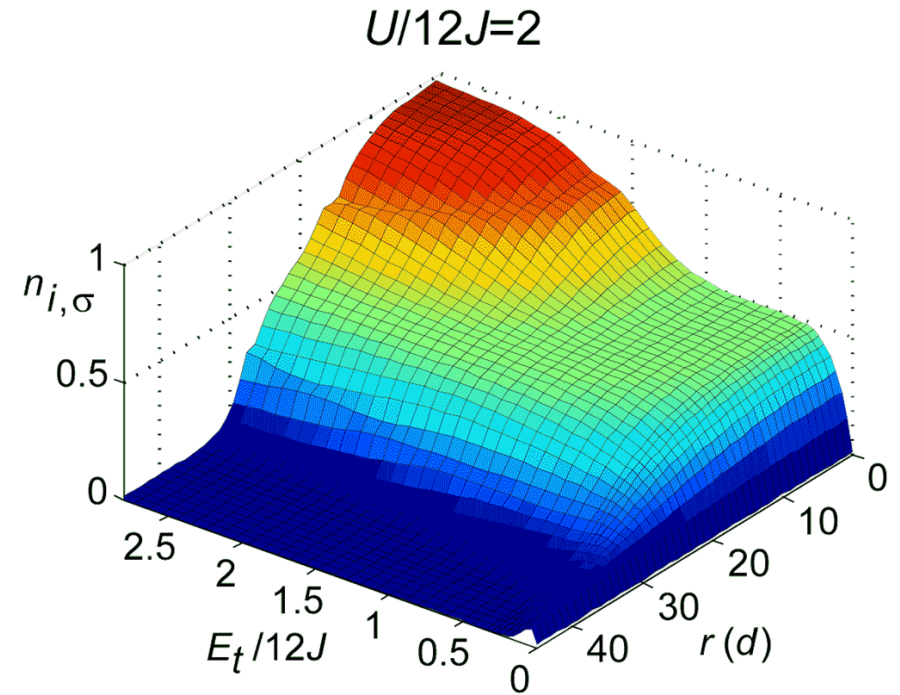
Note: impurity problem is site-parallel, lattice Dyson equation is frequency-parallel

All previous implementations: **RDMFT+NRG**

NRG: problematic at elevated temperatures



$$T = 0.07t$$

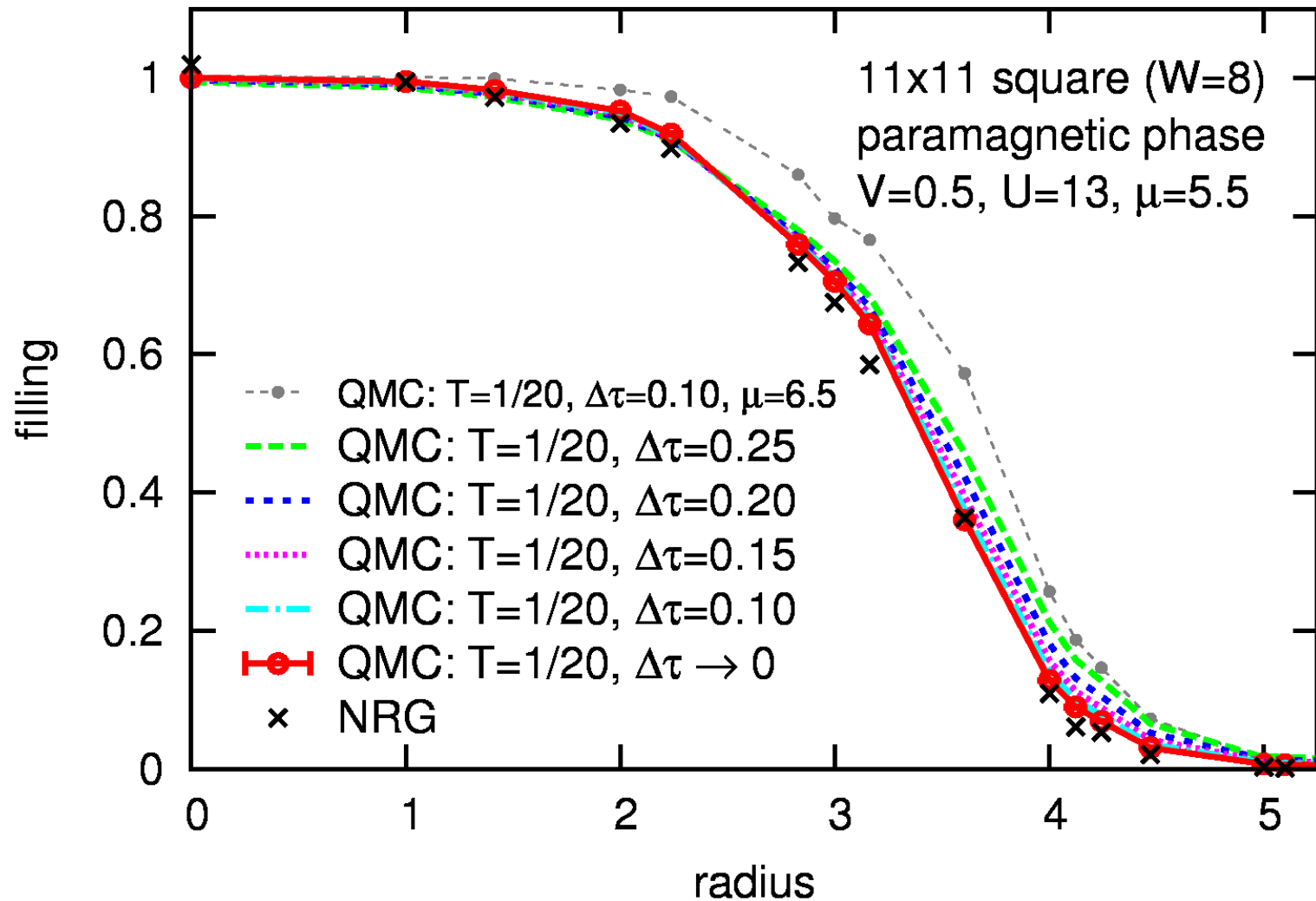


$$T = 0.15t$$

Additional plateau/kinks at $n_{\sigma} \approx 0.8$ for $T = 0.15t$ [Rosch group, courtesy of U. Schneider]

However: experimental temperatures are high \rightsquigarrow advantage for QMC!

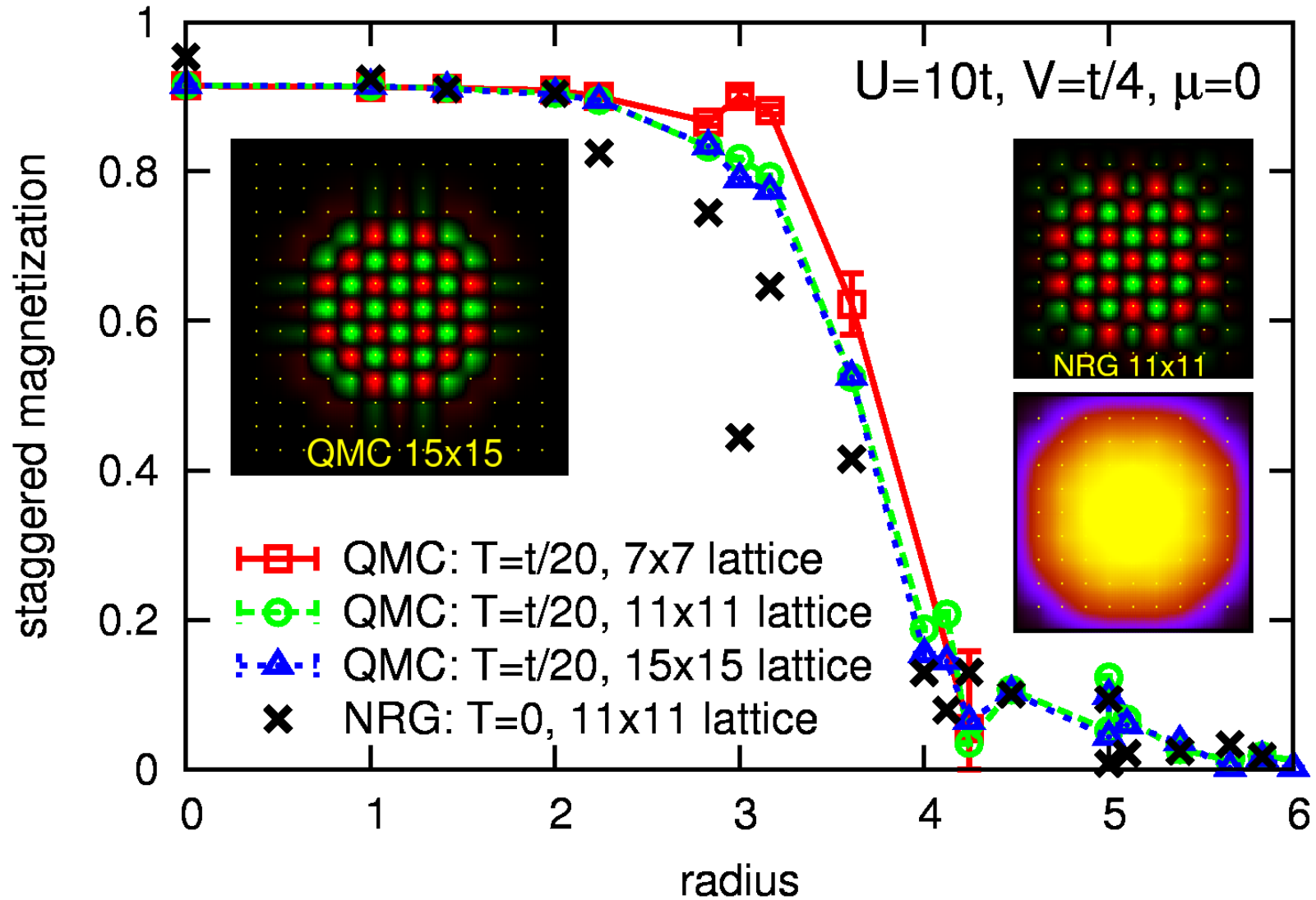
Real-space DMFT results for paramagnetic phase: QMC vs. NRG



Good agreement QMC \leftrightarrow NRG (after choosing same μ)

[NRG data by I. Titvinidze (collaboration within SFB/TR 49)]

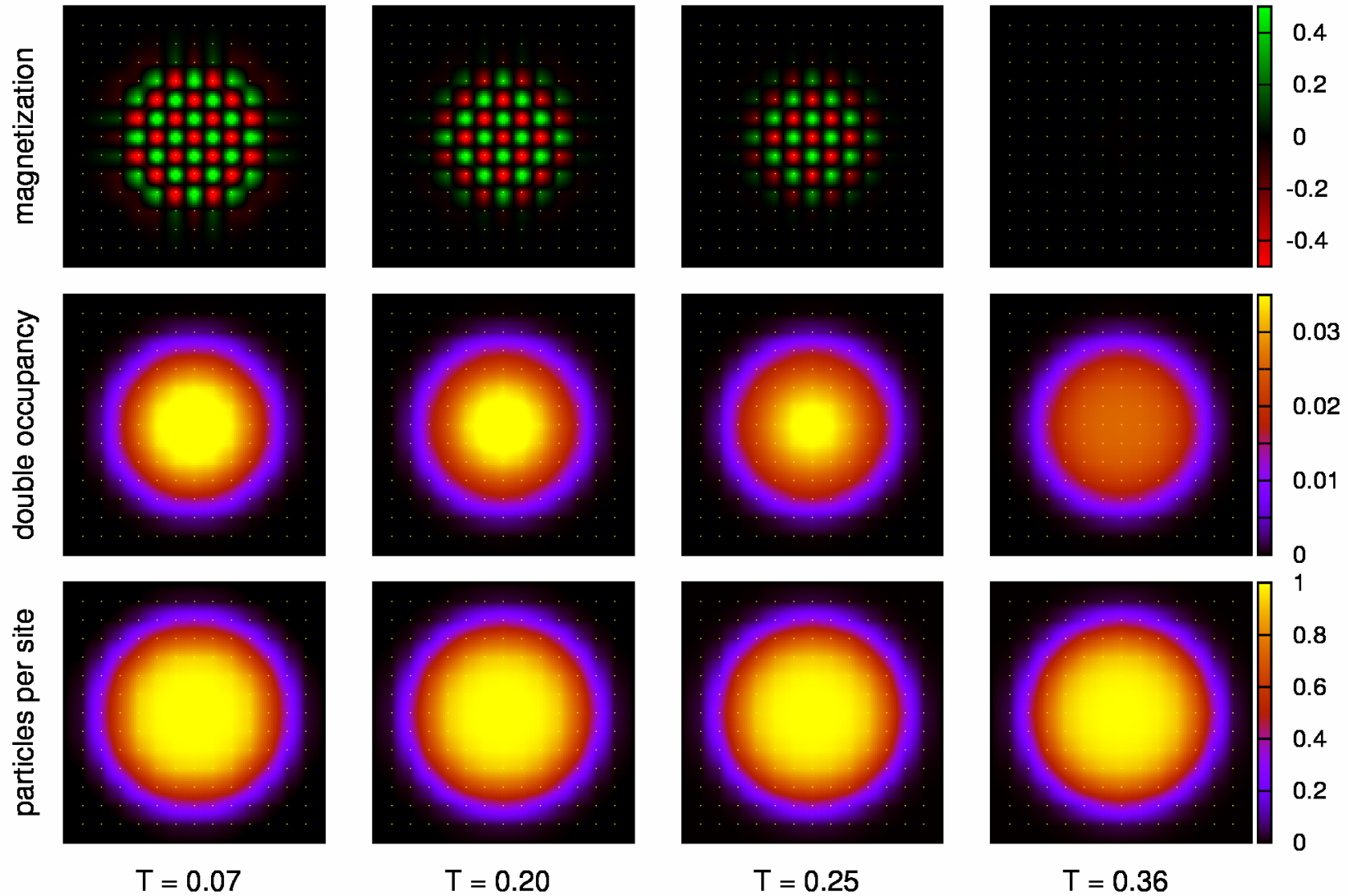
Real-space DMFT results for AF phase: QMC vs. NRG



Finite-size effects surprisingly small; QMC apparently more accurate (even at low T)

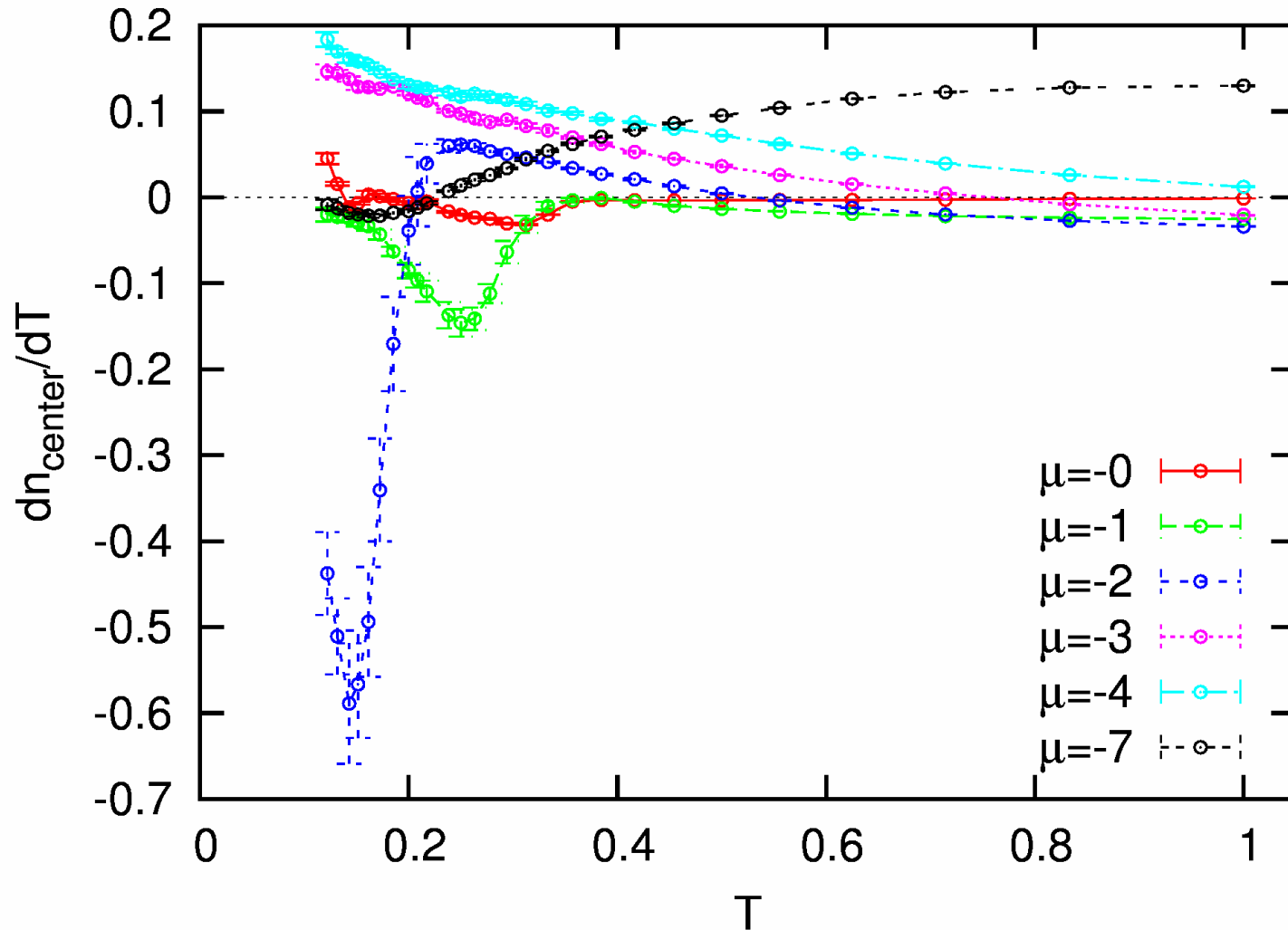
Melting of a central antiferromagnetic phase

Real-space DMFT-QMC results for 15x15 lattice at $t=1$, $U=10$, $V=0.25$, $\mu'=0$



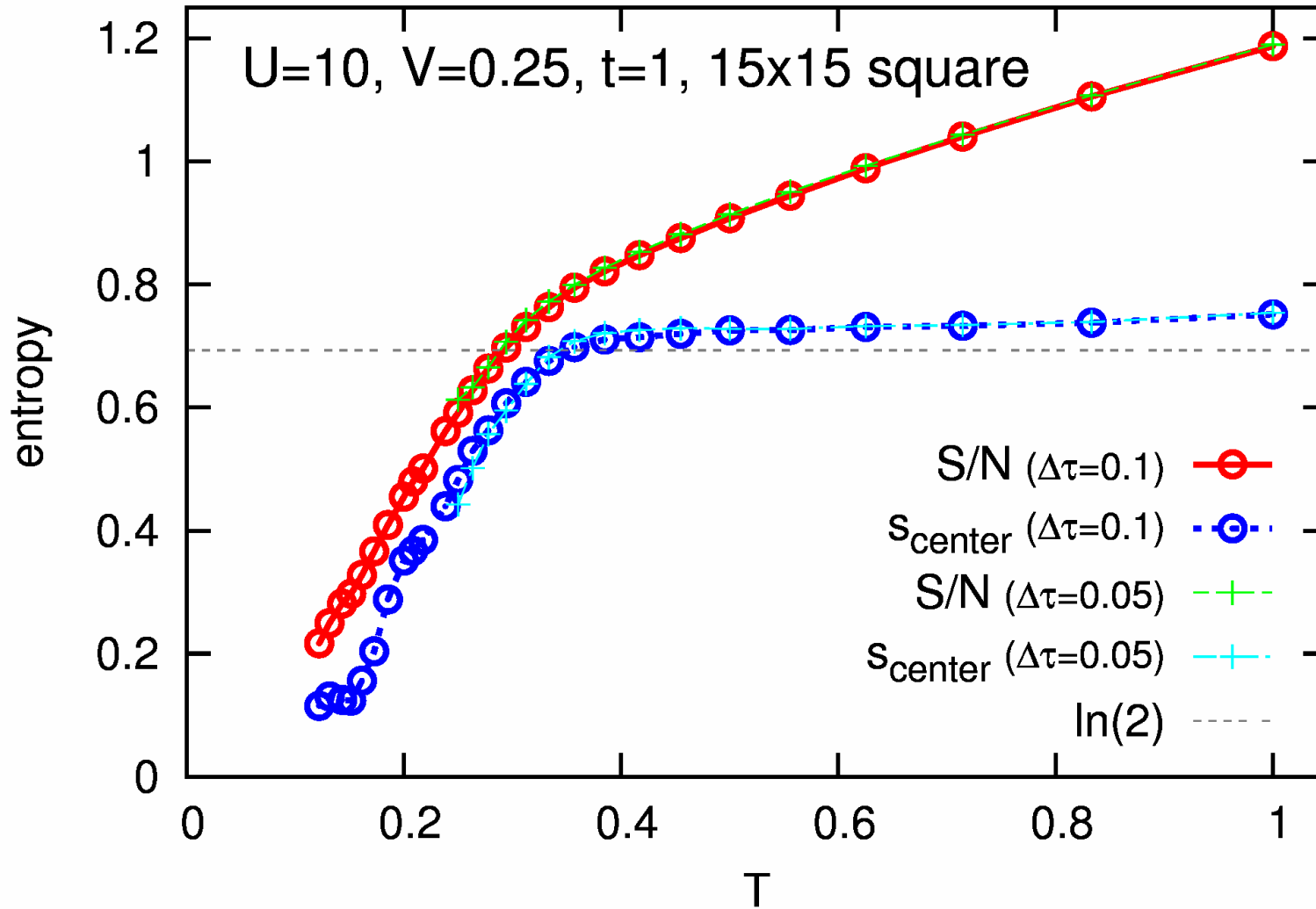
Antiferromagnetic order signaled by enhanced double occupancy - entropy?

Entropy: no direct computation, but from relations such as $dS/d\mu = dN/dT$



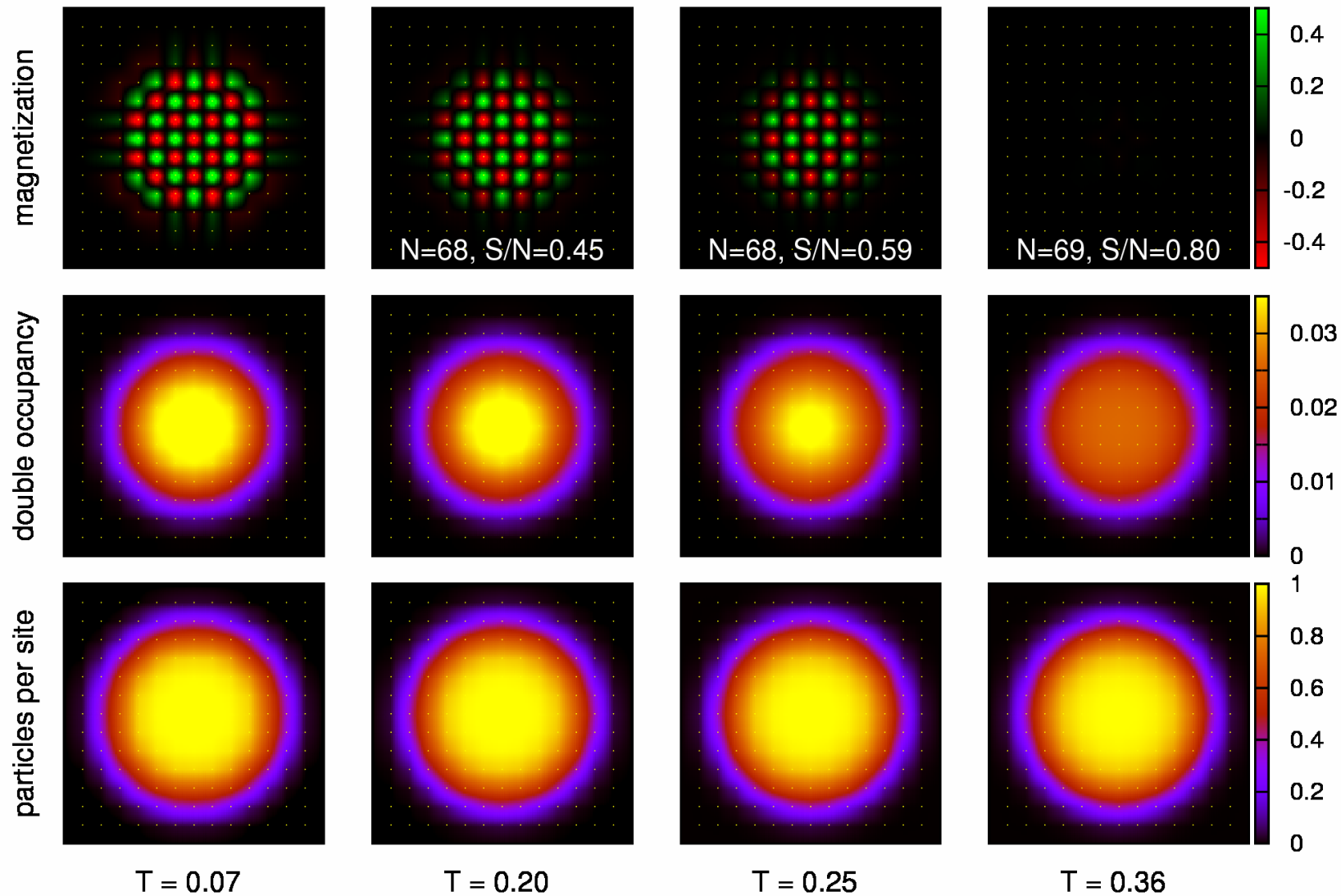
Example: derivative of central density (at $U = 10, V = 0.25$) for various μ

Strong negative peak at Neel temperature (\rightsquigarrow need fine integration grid)



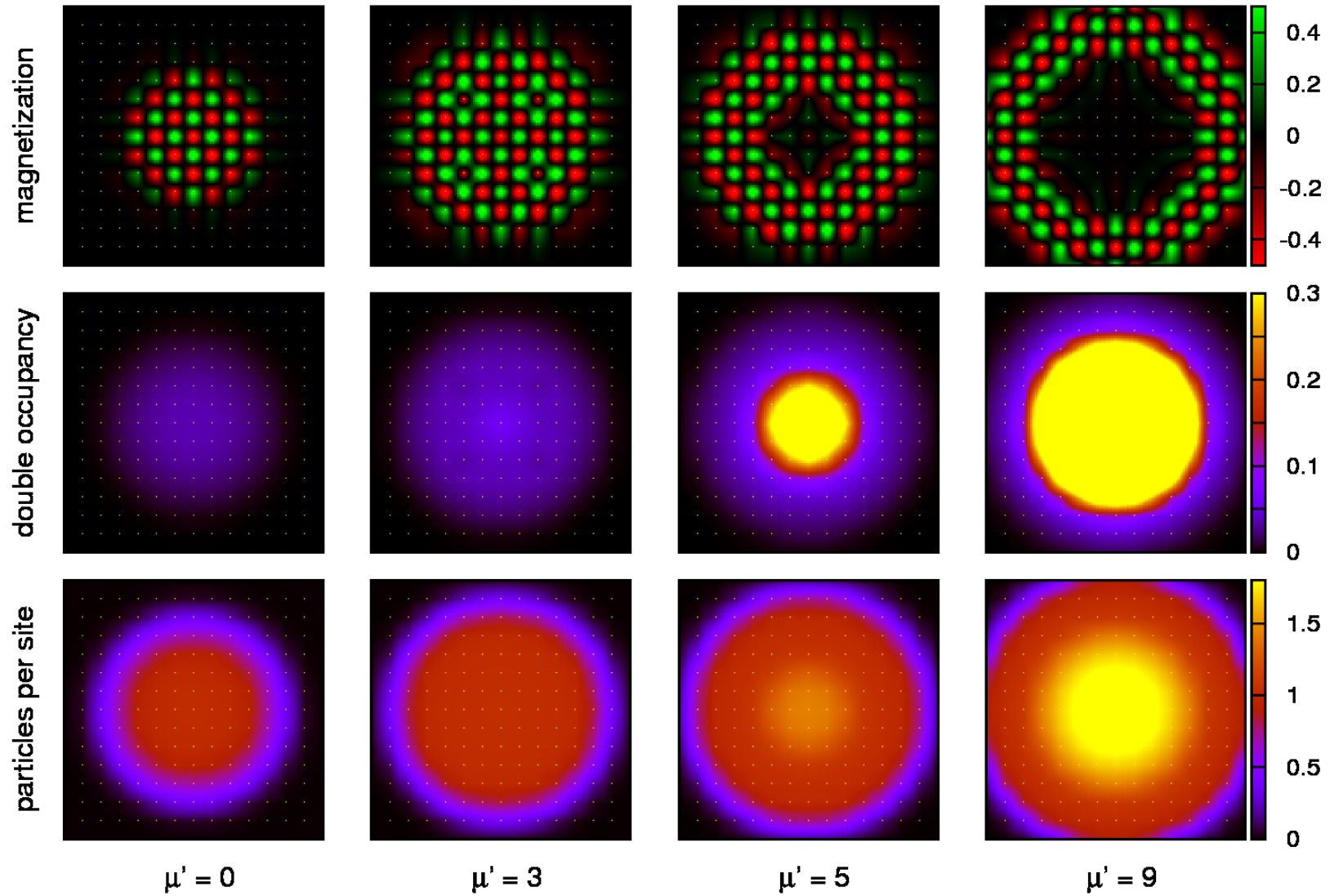
very small discretization dependence

Real-space DMFT-QMC results for 15x15 lattice at $t=1$, $U=10$, $V=0.25$, $\mu'=0$



Effect of filling on the antiferromagnetic phase

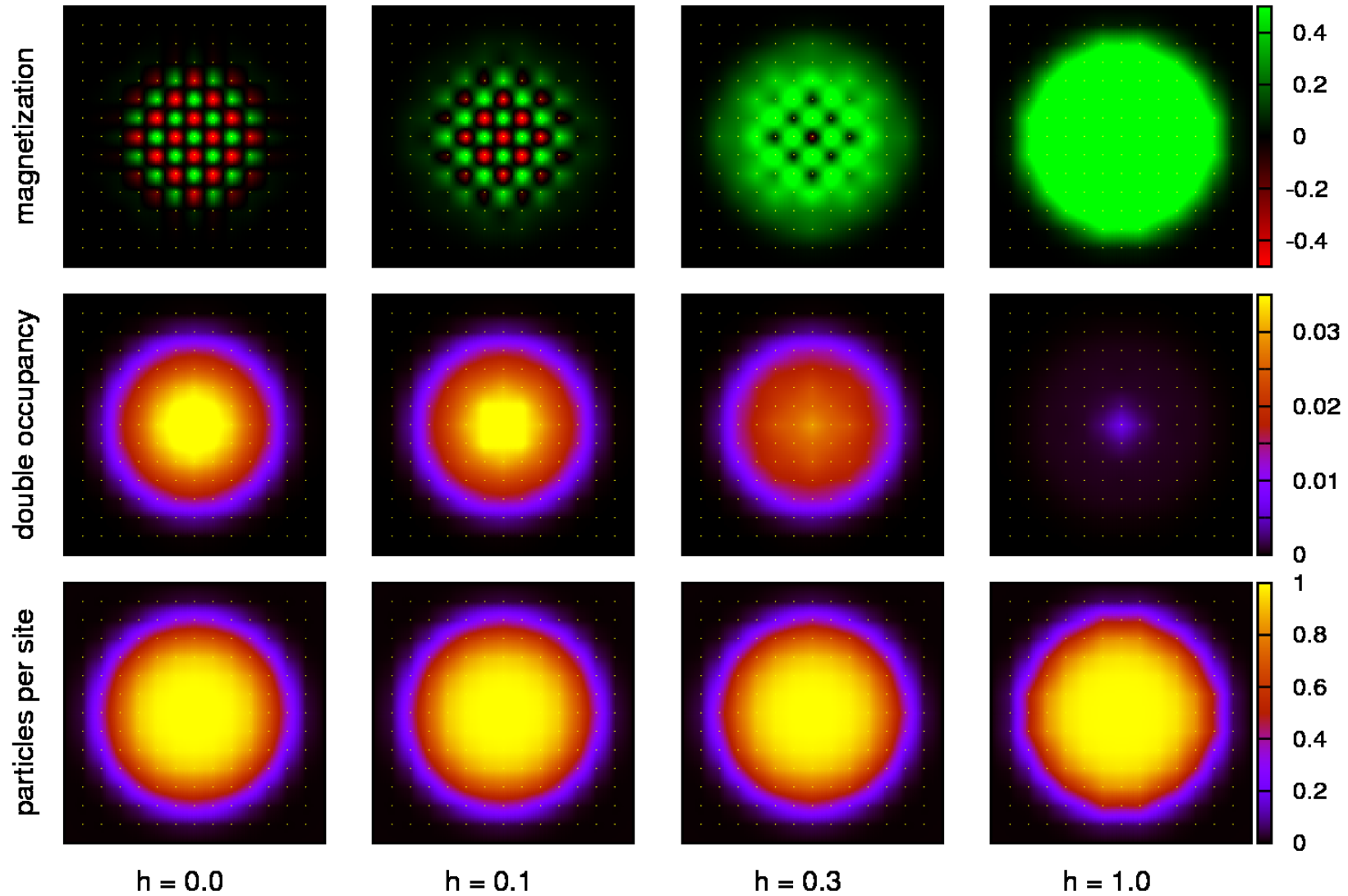
Real-space DMFT-QMC results for 15x15 lattice at $t=1$, $U=10$, $V=0.25$, $T=0.1$



Buildup of metallic core \rightarrow AF ring/shell

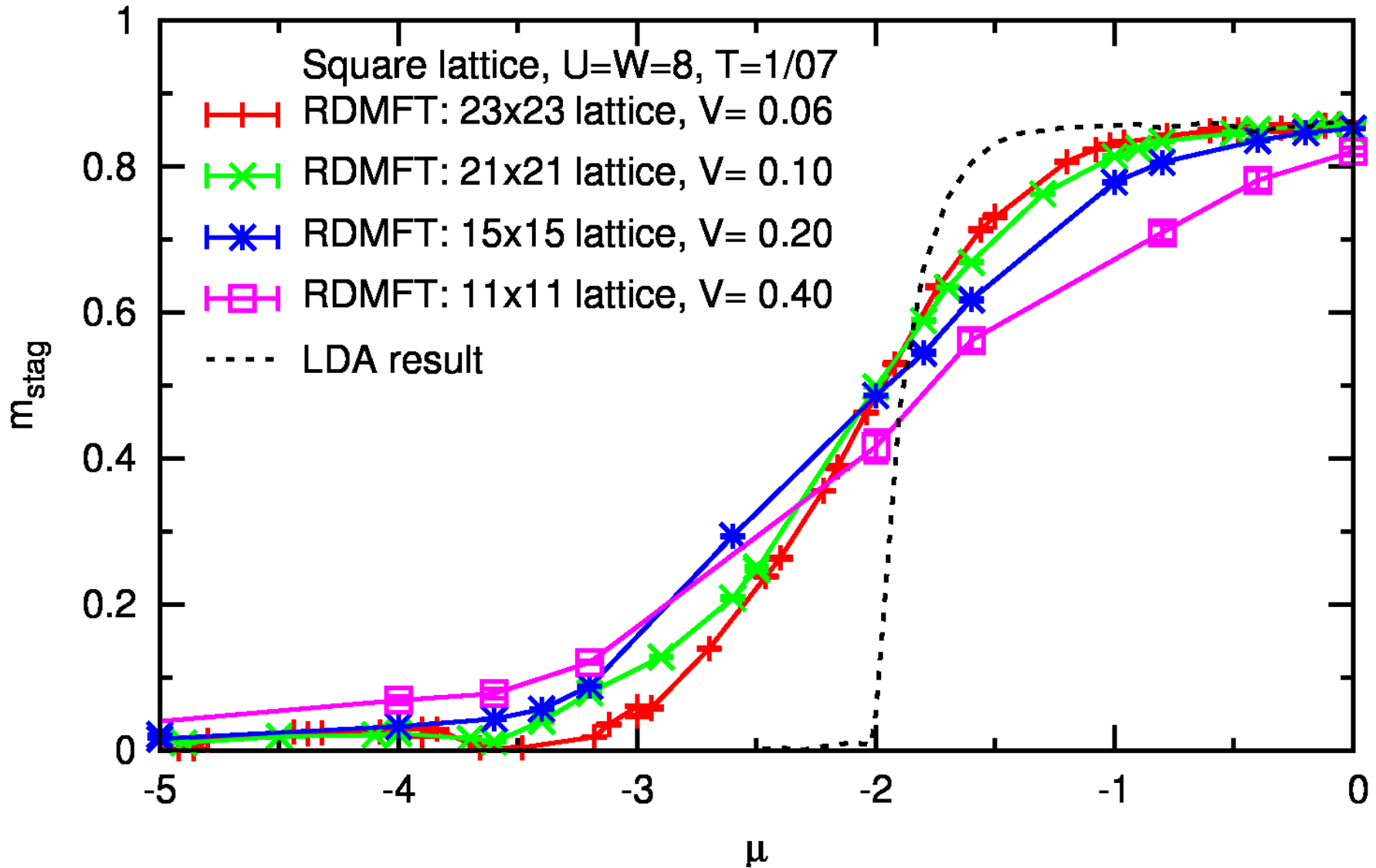
Effect of imbalance on the antiferromagnetic phase

Real-space DMFT-QMC results for 15x15 lattice at $t=1$, $U=10$, $V=0.25$, $T=0.2$



AF survives strong imbalance ($h = 0.3$); $h = 1$ nearly fully polarized

RDMFT: strong proximity effects (not in local μ approximation)



Summary

Multigrid HF-QMC method: numerically exact (quasi CT) + efficient

Mott transition for 3 degenerate flavors in (U, T, μ) space

Novel semi-compressible phase, spectra, small lattice effects

Real-space DMFT

Efficient and flexible RDMFT-QMC code

Melting of an antiferromagnet, entropy, imbalance – LDA deficient

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Outlook

3D calculations for realistic trap parameters and system sizes

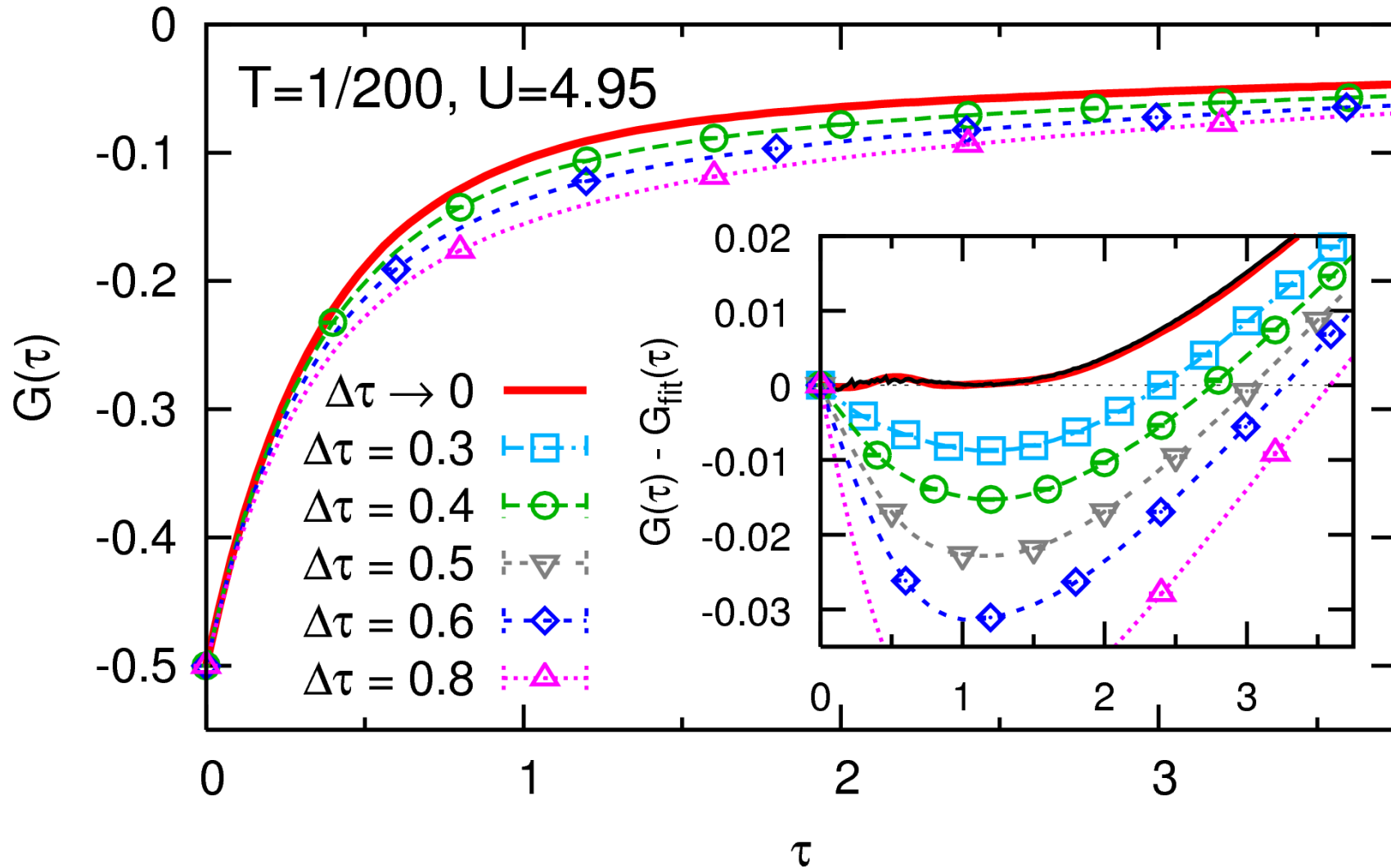
Inequivalent spins/flavors: OSMT-like physics, ordered phases

Impact of higher Bloch bands

Spin-off: solids with large unit cells (distortions, surfaces, impurities, . . .)

Thanks to: Peter van Dongen Forschungsfonds 2007 and DFG (in SFB/TR 49)

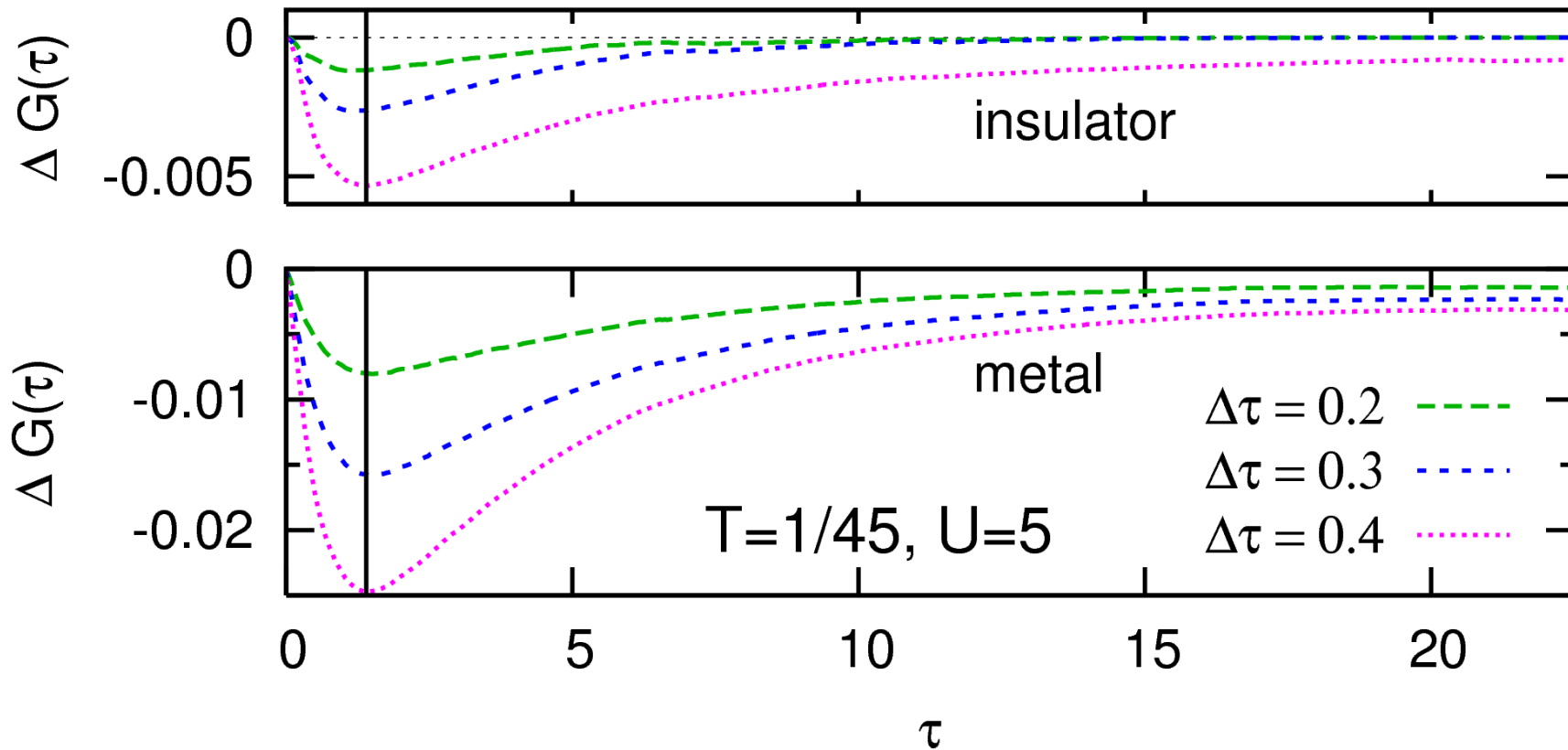
Illustration: interpolation and extrapolation of Green functions



[NB, arXiv:0712.1290]

Excellent agreement with hybridization expansion CT-QMC [Werner et al., PRL (2006)]

Low- τ resolution limited by $\Delta\tau$? **No!**

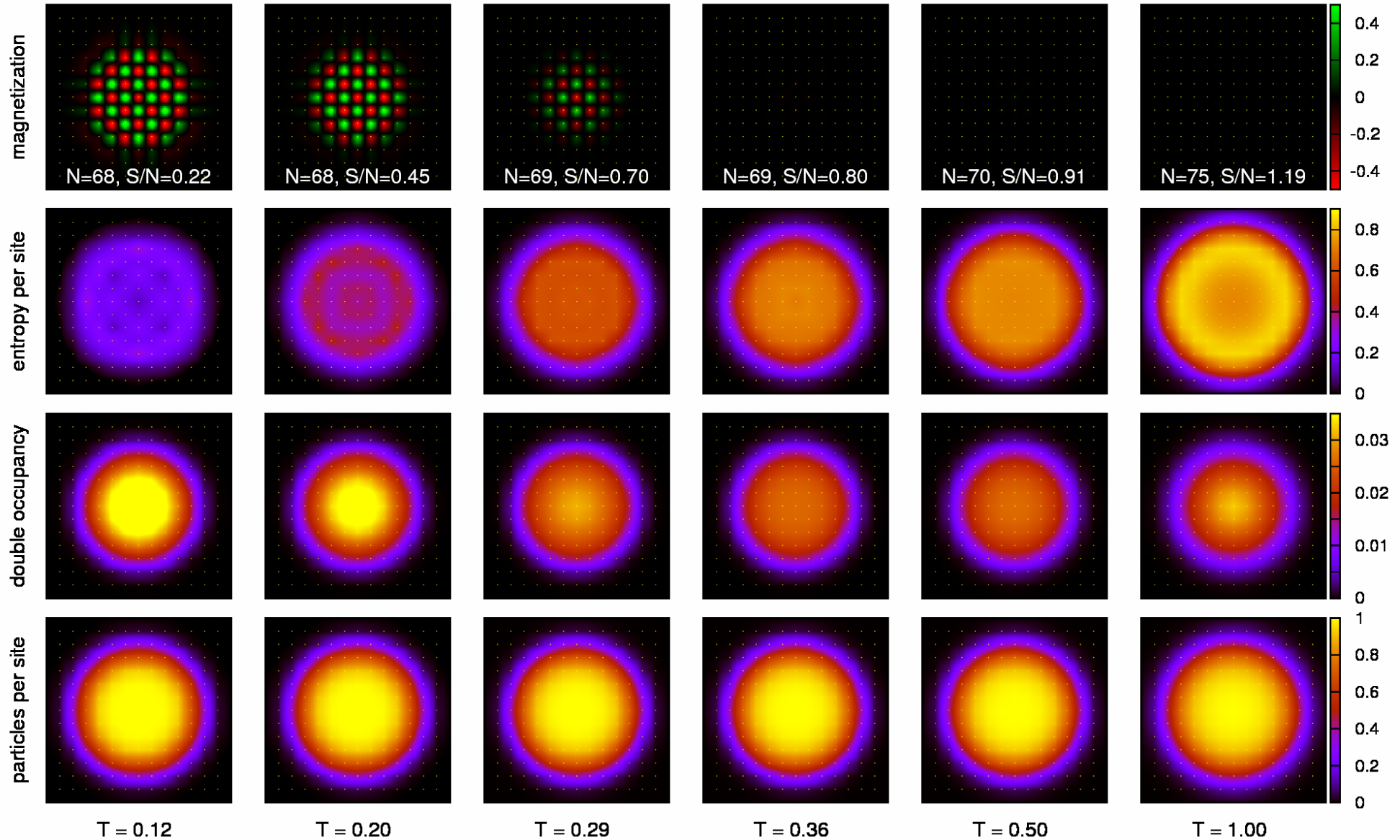


Uniform $\Delta\tau$ dependence, position of max. error independent of $\Delta\tau$ and phase!

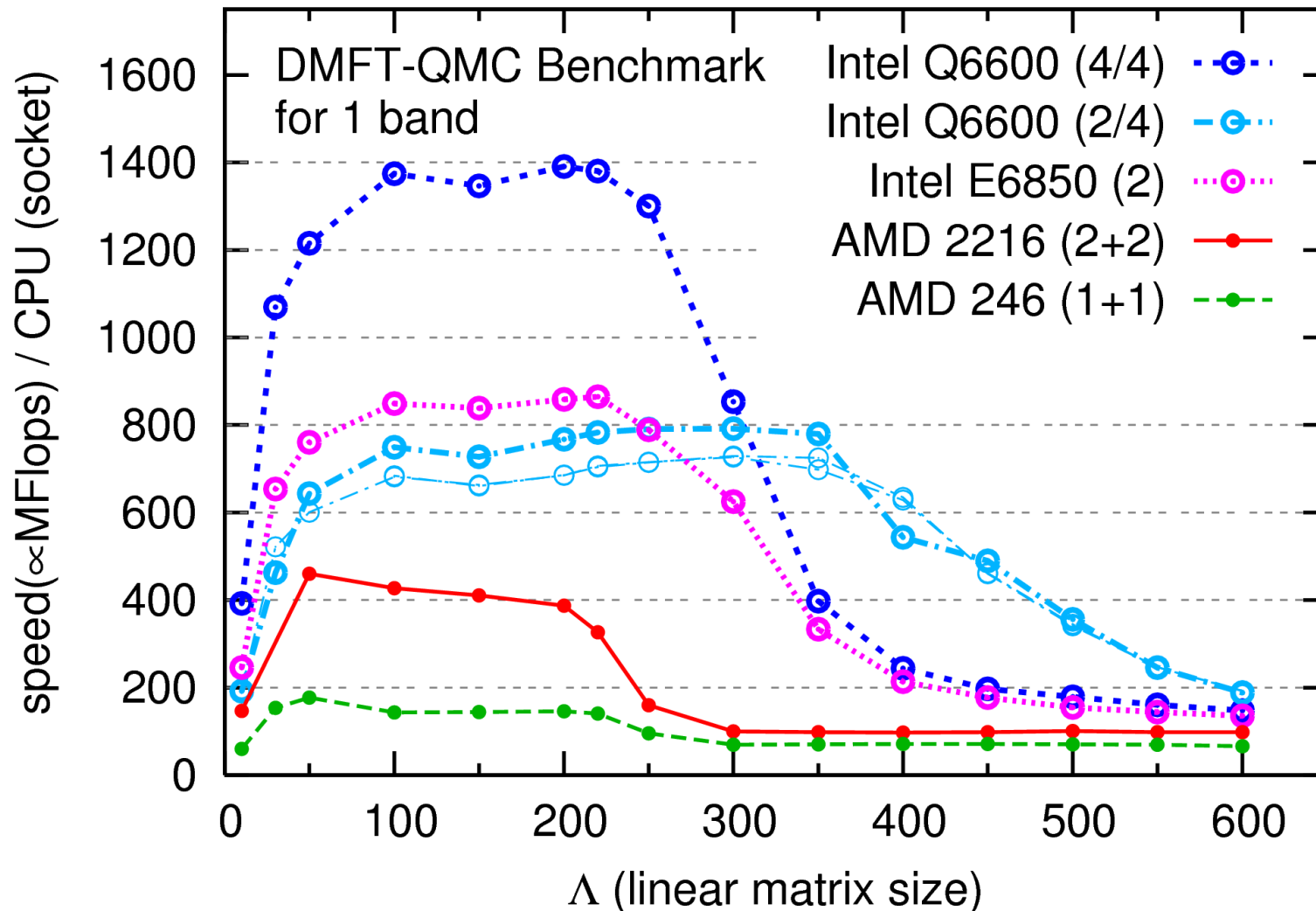
Entropy distribution

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N. Bluemer, E. Gorelik, 2009/10/29



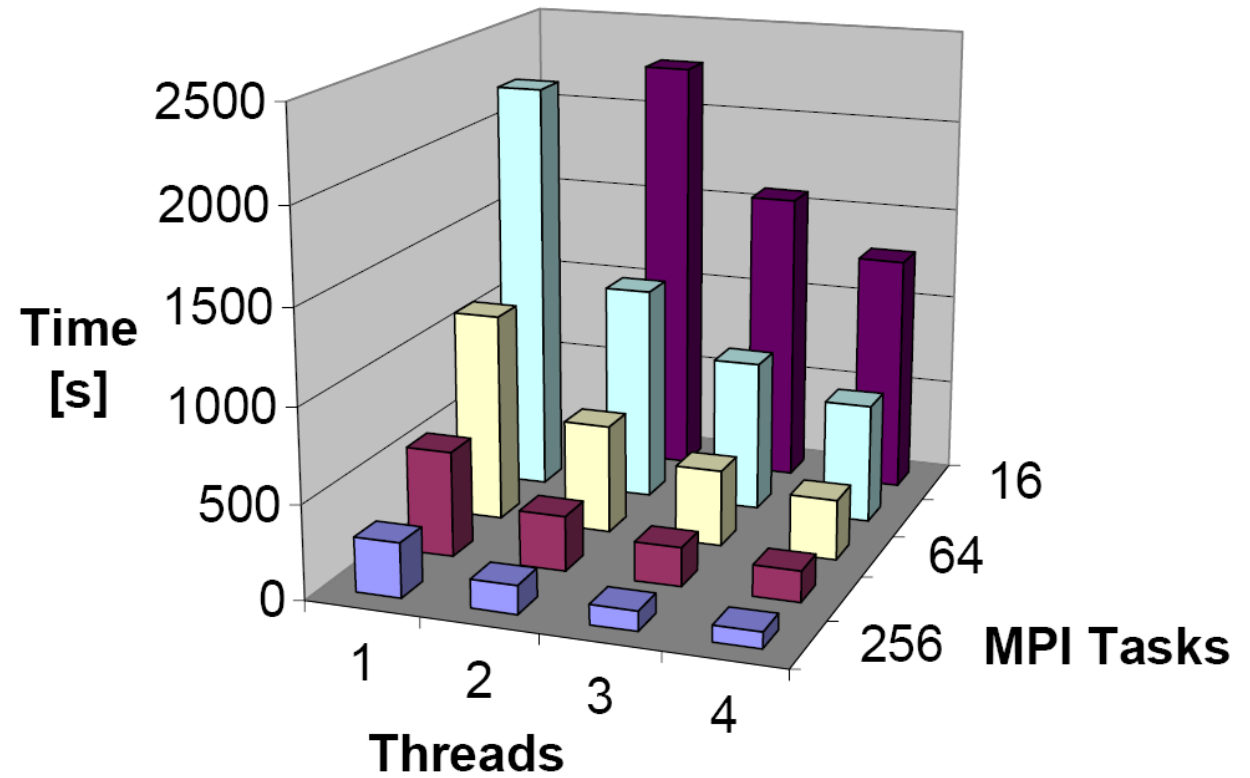
Benchmarks



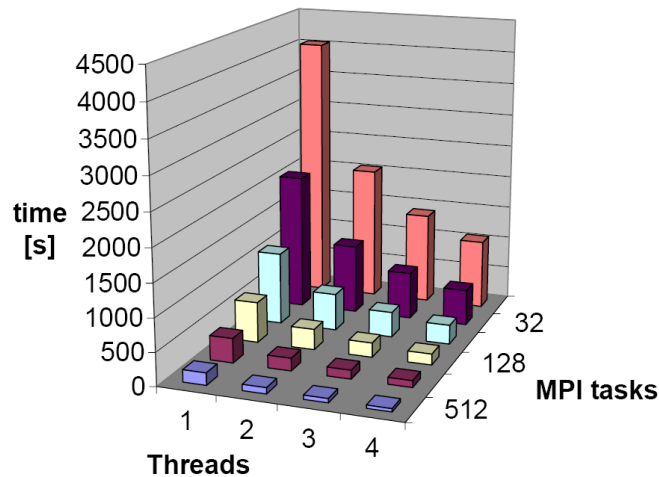
HF-QMC profits strongly from modern large-cache architectures

New (4/2008):
 hybrid parallelization
 (MPI + OpenMP)

DMFT-QMC L=200 SMP JuGene



DMFT-QMC L=400 JUGENE



Very good scaling: speed roughly linear with number of CPU cores

Superlinear scaling on JUMP

