

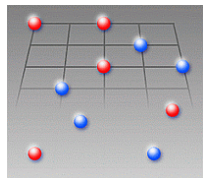
# DMFT simulations of ultracold fermions in optical lattices

Nils Blümer and Elena Gorelik

## Outline

- Methods: DMFT, Hirsch-Fye quantum Monte Carlo (HF-QMC)  
Quasi-CT algorithm: multigrid HF-QMC
- Ultracold fermions: Model systems for strongly correlated materials  
Paramagnetic Mott transitions in 3-flavor mixtures  
Impact of the lattice type  
Melting of an antiferromagnet in an optical trap

## Summary and outlook



Support by DFG within SFB/TR 49

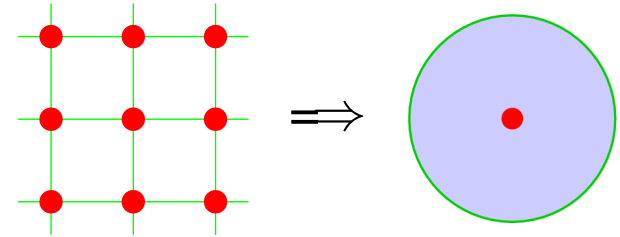
# Methods: DMFT and HF-QMC

Target: Hubbard-type models  $H = - \sum_{(i,j),\sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$

Dynamical mean-field theory (DMFT): local self-energy  $\Sigma(\mathbf{k}, \omega) \equiv \Sigma(\omega)$

[Metzner, Vollhardt, PRL (1989), Georges, Kotliar, PRL (1992), Jarrell, PRL (1992)]

- + non-perturbative  $\rightsquigarrow$  valid at MIT
- + dynamical on-site correlations preserved
- + in thermodynamic limit
- +/- exact for coordination  $Z \rightarrow \infty$

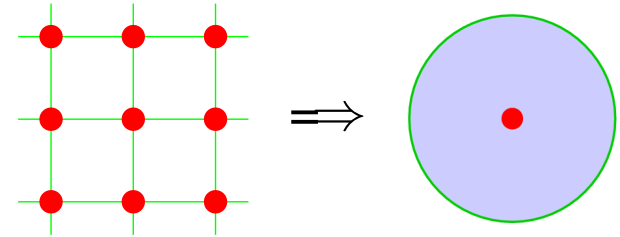


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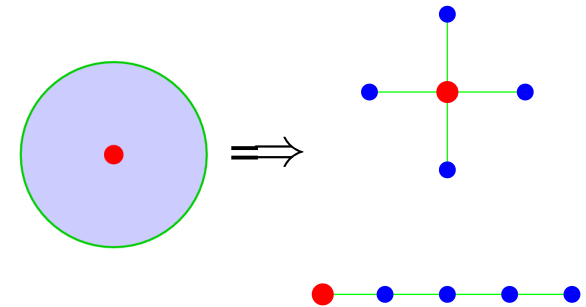
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## Numerically exact impurity solvers:

- Quantum Monte Carlo (QMC)
- Exact diagonalization (ED; large finite-size errors)
- Numerical renormalization group (NRG; 1-2 bands)
- Density matrix renormalization group (DMRG)



## Auxiliary-field QMC algorithm [Hirsch, Fye (1986)]

Green function  $G$  in imaginary time (fermionic Grassmann variables  $\psi, \psi^*$ ):

$$G_{\sigma}(\tau_2 - \tau_1) = \frac{1}{Z} \int \mathcal{D}[\psi] \mathcal{D}[\psi^*] \psi_{\sigma}(\tau_1) \psi_{\sigma}^*(\tau_2) \exp \left[ \mathcal{A}_0 - U \sum_{\sigma\sigma'} \int_0^{\beta} d\tau \psi_{\sigma}^* \psi_{\sigma} \psi_{\sigma'}^* \psi_{\sigma'} \right]$$

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(ii) Trotter decoupling  $e^{-\beta(\hat{T}+\hat{V})} \approx [e^{-\Delta\tau\hat{T}} e^{-\Delta\tau\hat{V}}]^{\Lambda}$

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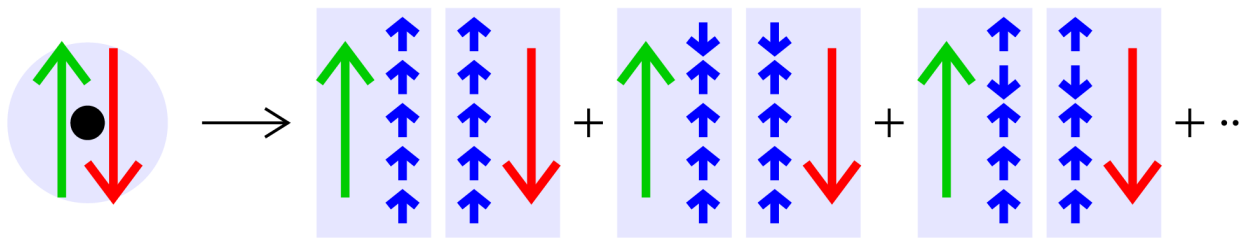
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(iii) Hubbard-Stratonovich transformation



Wick theorem:

$$G = \frac{\sum M \det\{M\}}{\sum \det\{M\}}$$

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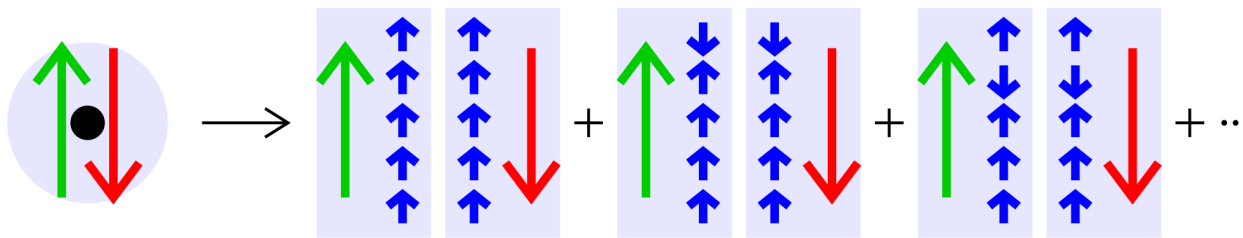
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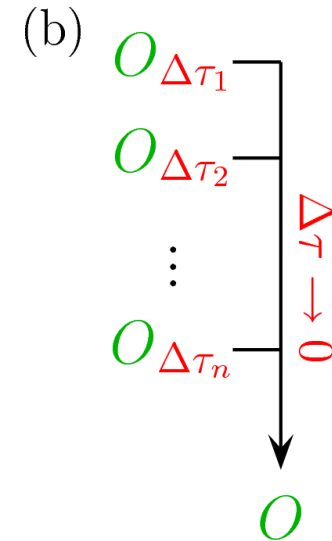
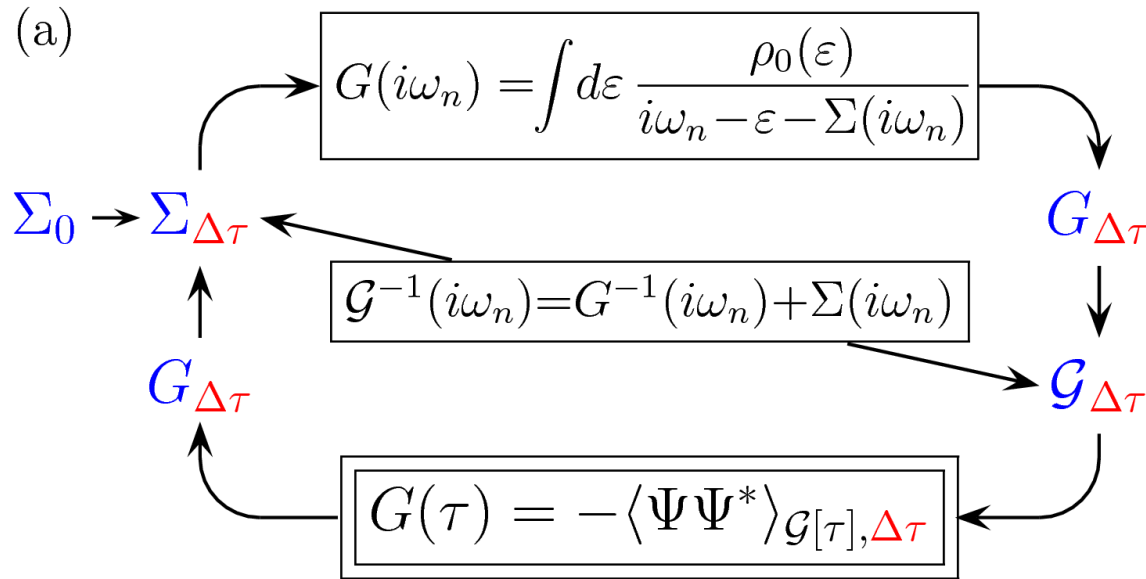
(iv) MC importance sampling over auxiliary Ising field  $\{s\}$ :  $2^{\Lambda}$  configurations

+ numerically exact,      + no sign problem,      – effort scales as  $T^{-3}$   
 (density-type interactions)

# Multigrid Hirsch-Fye quantum Monte Carlo algorithm

State of the art: (a) conventional HF-QMC

(b) *a posteriori* extrapolation of selected observables

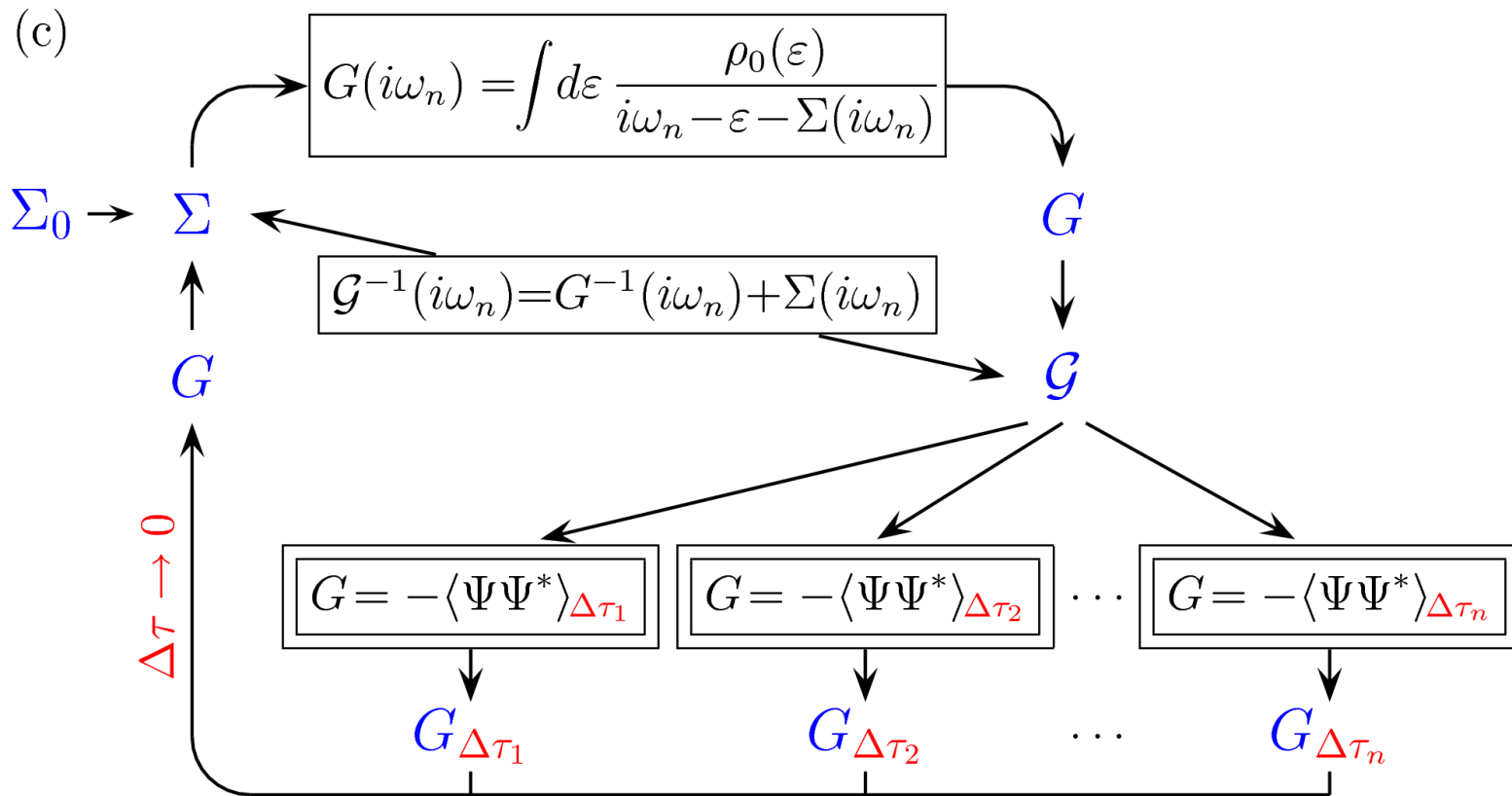




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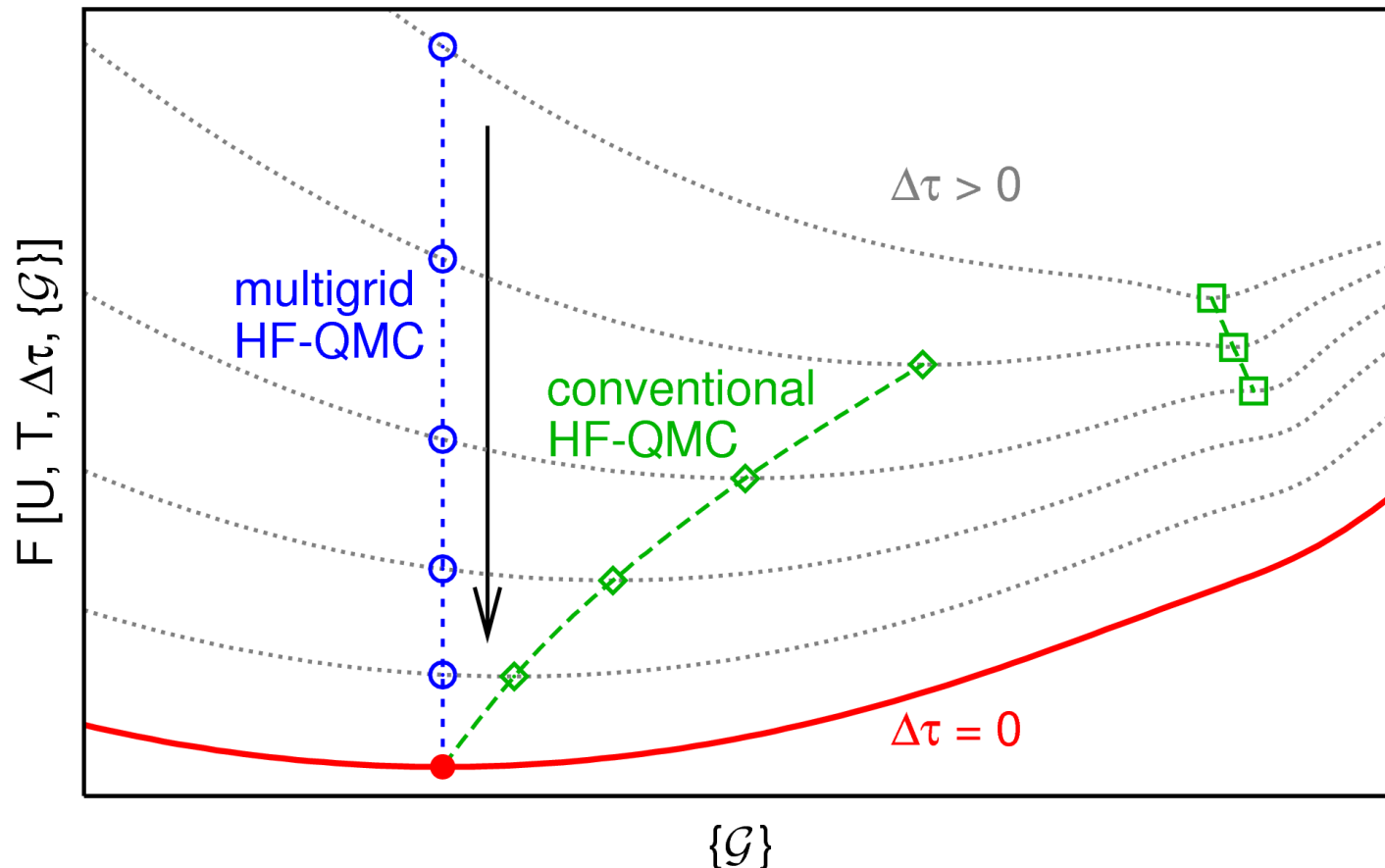
(b) *a posteriori* extrapolation of selected observables



(c) Multigrid HF-QMC: internal elimination of Trotter error

$\rightsquigarrow$  quasi continuous time algorithm [NB, arXiv:0801.1222]

# Schematic comparison via generalized Ginzburg-Landau functionals

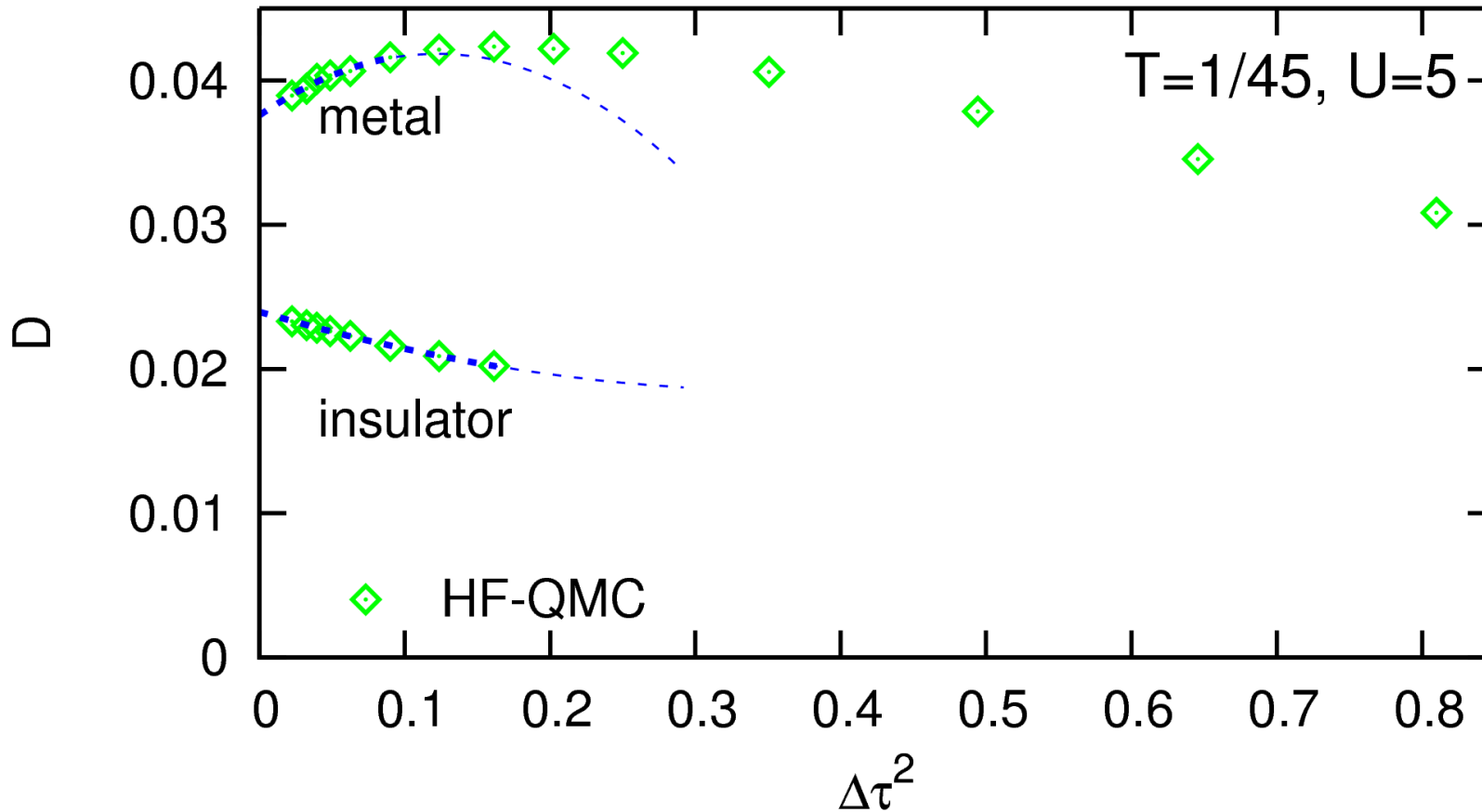


Conventional Hirsch-Fye QMC: DMFT fixed point shifts with  $\Delta\tau$

Multigrid Hirsch-Fye QMC: DMFT iteration towards exact fixed point

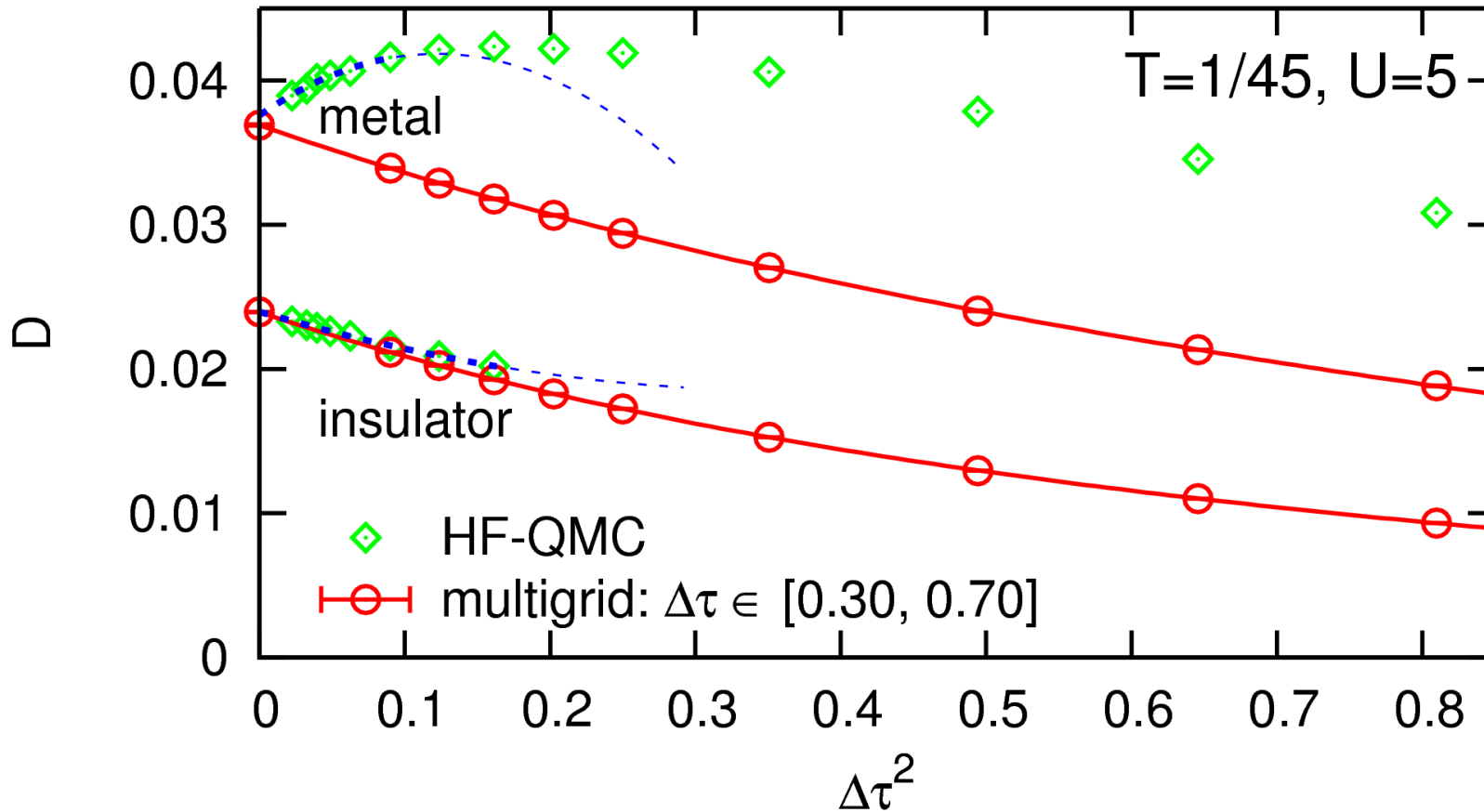
**Implementation:** Green function extrapolation, hierarchy of frequency scales, . . .

# Comparison: double occupancy $D = \langle n_{i\uparrow} n_{i\downarrow} \rangle$ near Mott transition



Conventional HF-QMC: no insulating solution for  $\Delta\tau \gtrsim 0.4$   
very irregular  $\Delta\tau$  dependence beyond  $\Delta\tau \approx 0.3$

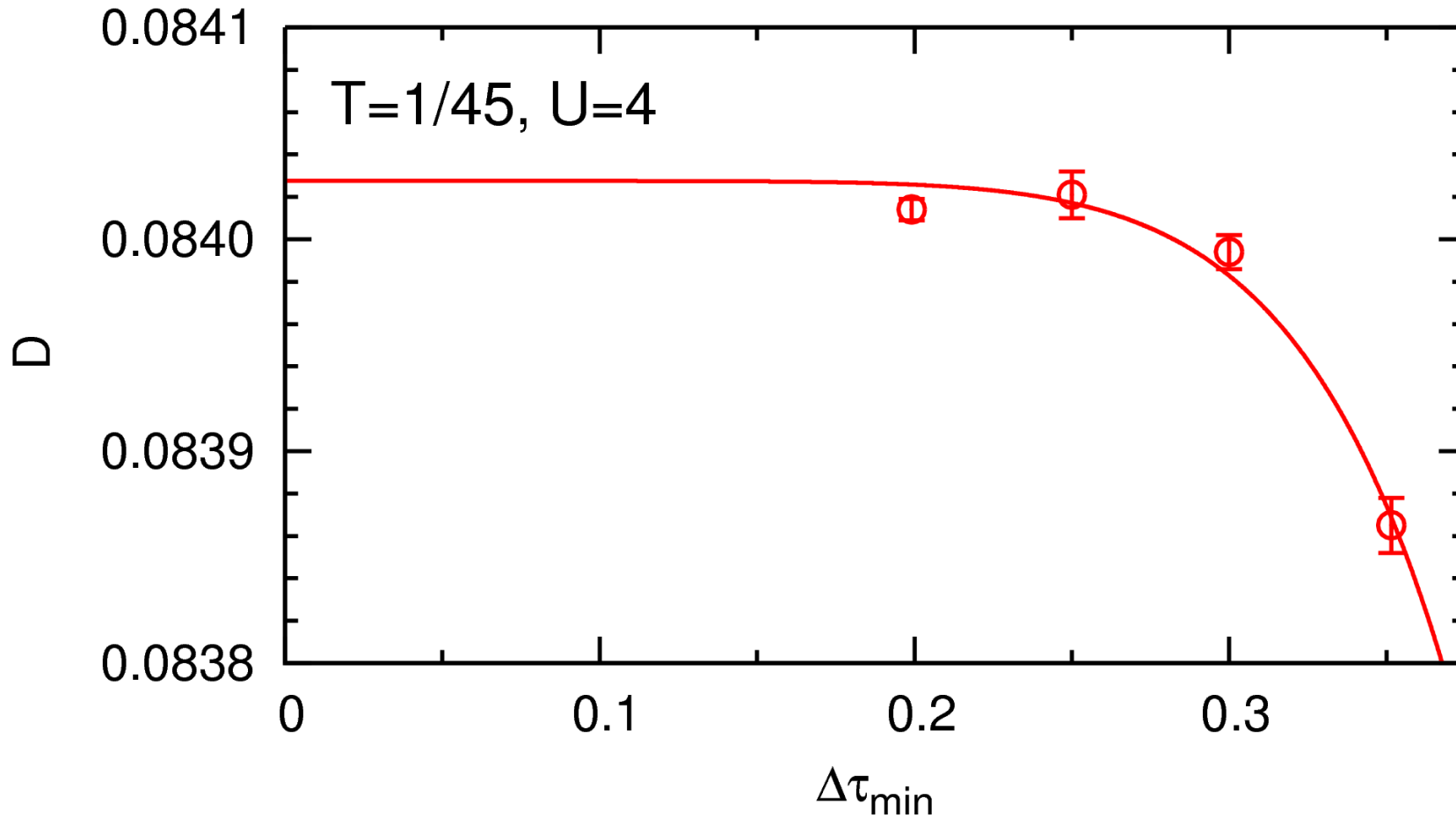
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Multigrid HF-QMC: vastly larger useful range of  $\Delta\tau$

## Systematic study: impact of grid range $[\Delta\tau_{\min}, \Delta\tau_{\max}]$



Multigrid HF-QMC usually “numerically exact” for  $\tau_{\min} \lesssim 0.3$

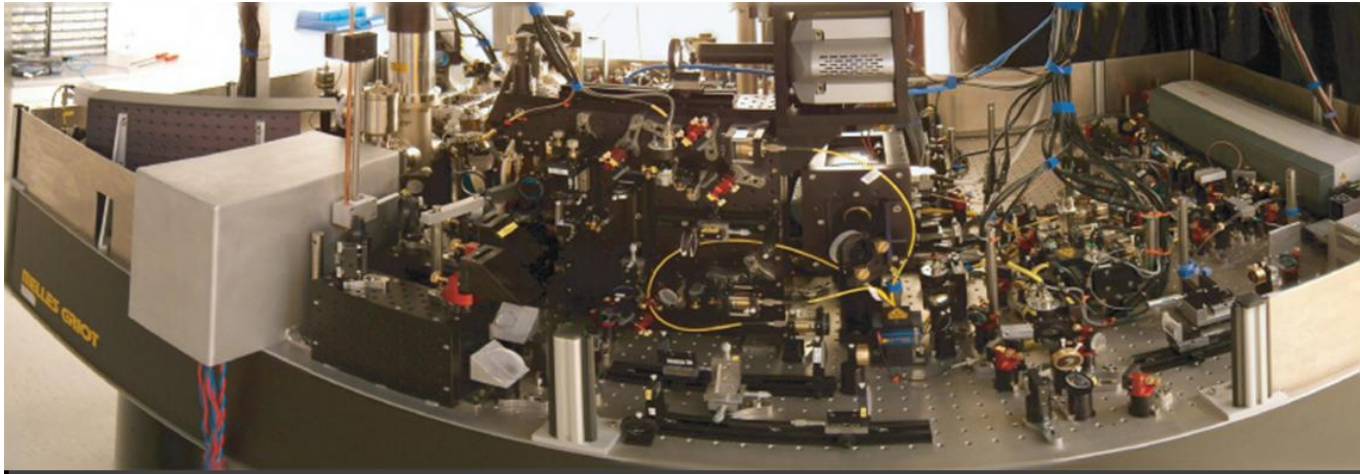
Many successful applications: spectra, high-precision  $c_v$ , 8-band calculations, . . .

# Ultracold fermions on optical lattices: model systems for strongly correlated materials

Ultracold atoms are much simpler:

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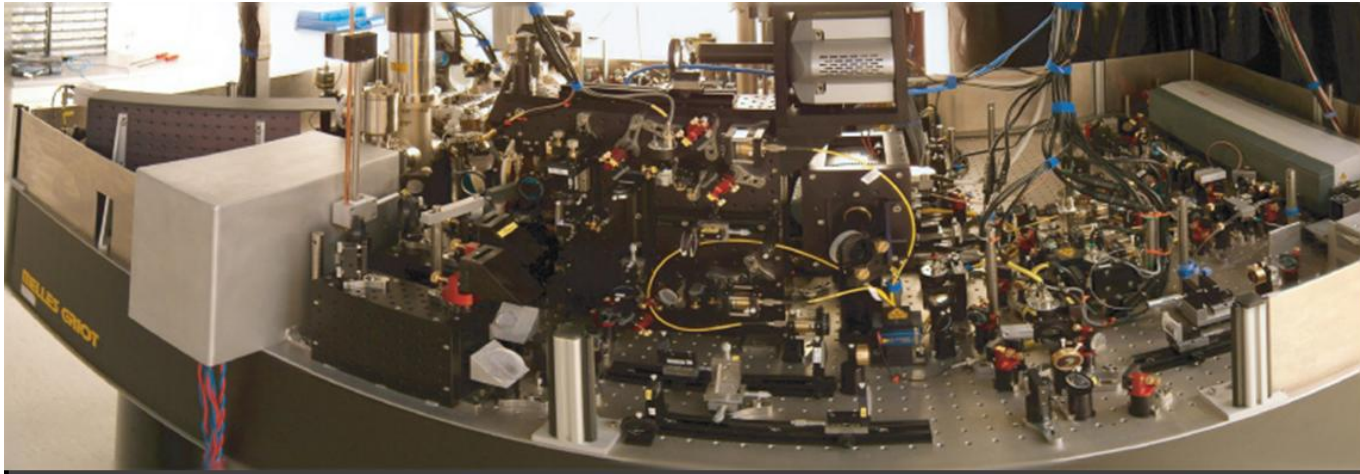
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[Photo courtesy of  
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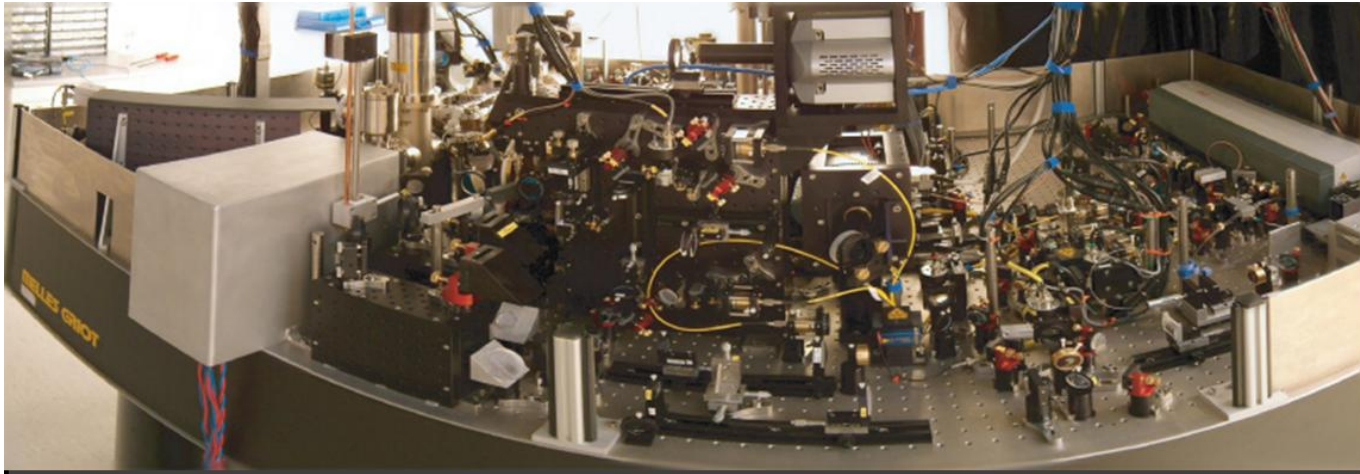


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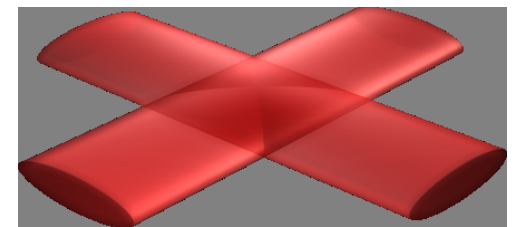
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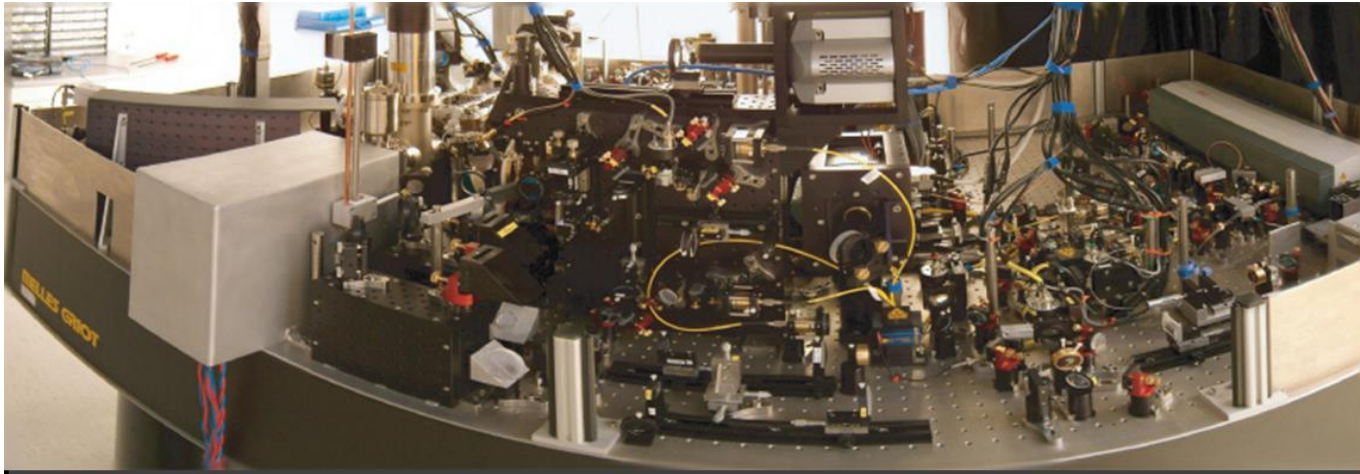
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But: trapping potential  $\rightsquigarrow$  inhomogeneous systems  
finite cloud sizes



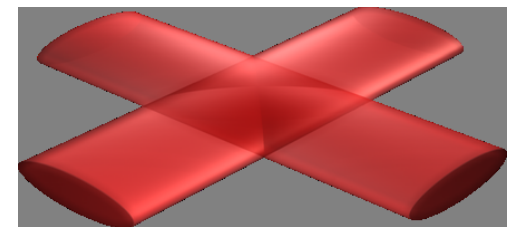
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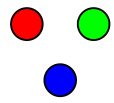
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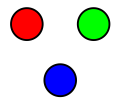
Note: more possibilities, e.g. 3-flavor systems  $\begin{matrix} \uparrow \\ \downarrow \end{matrix} \longrightarrow \begin{matrix} \bullet & \bullet \\ & \bullet \end{matrix}$

# Paramagnetic Mott transitions in 3-flavor mixtures



3 flavors: simplest case beyond electronic systems  
1<sup>st</sup> approximation: all flavors equivalent

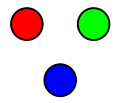
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  - Color superconductivity
  - Trionic phase
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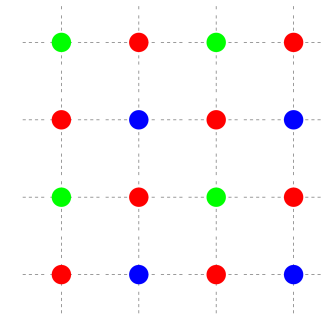
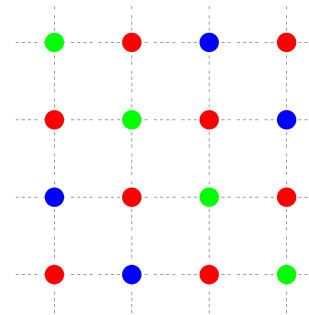
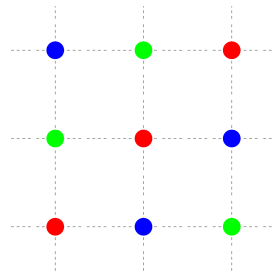
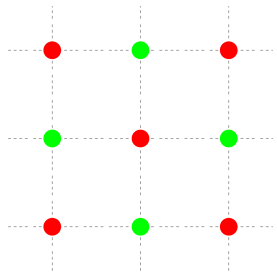


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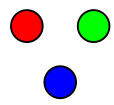
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2 spins/flavors

3 spins/flavors

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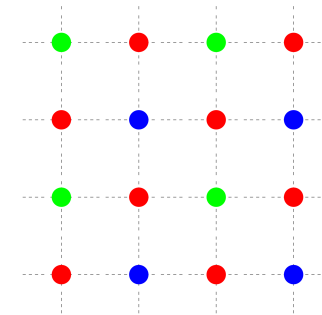
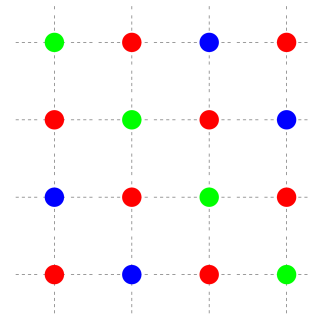
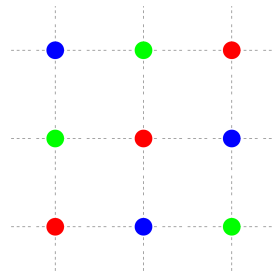
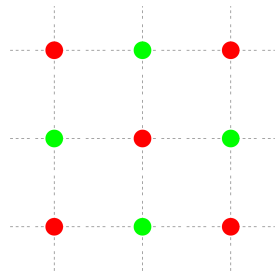


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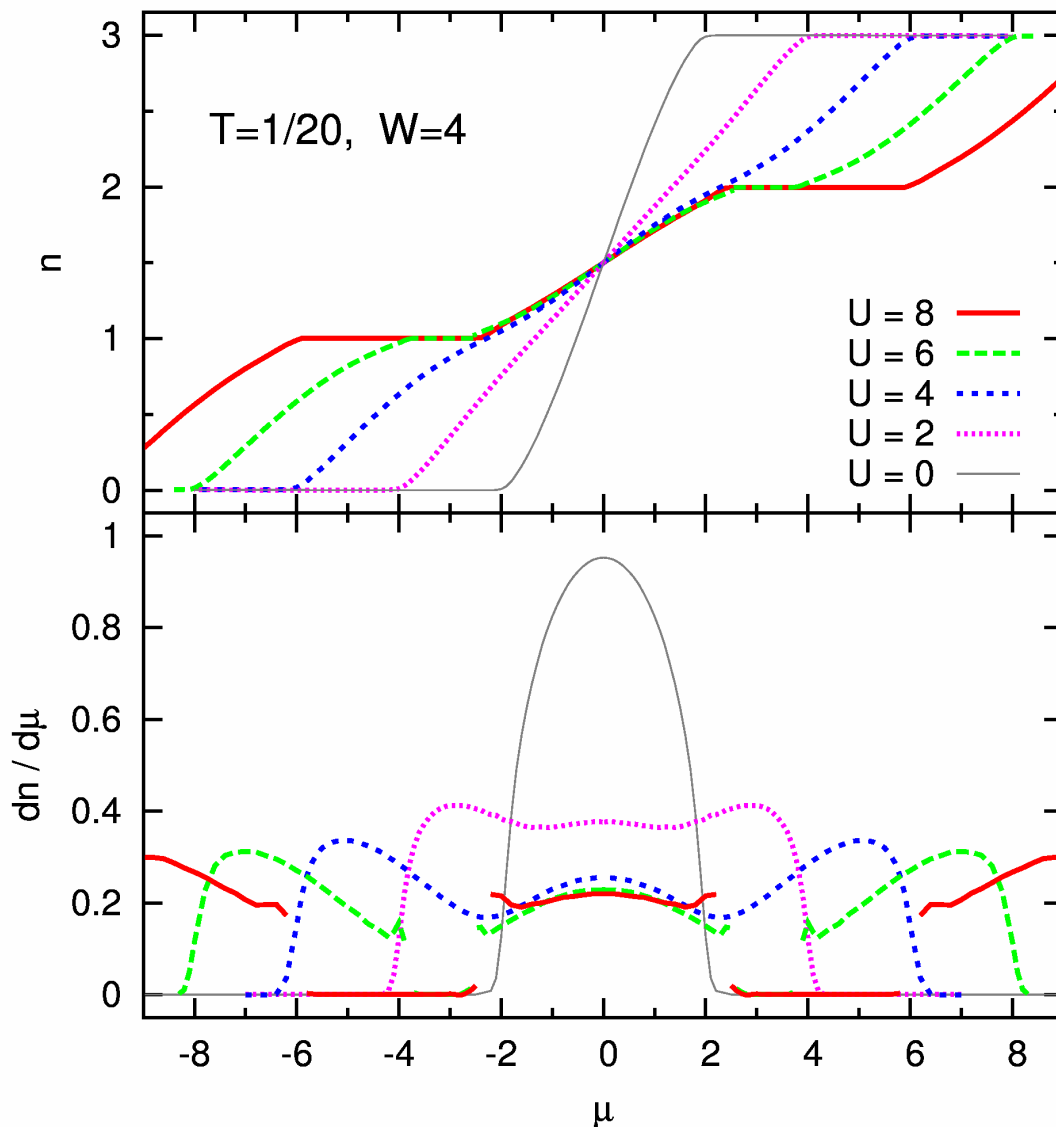
Most “electron-like”:  $U > 0$ , paramagnetic phase

# Results at low $T$ : particle density $n$ and compressibility $\kappa = \frac{dn}{d\mu}$ (vs. $\mu$ )

HF-QMC, Bethe DOS ( $W = 4$ )

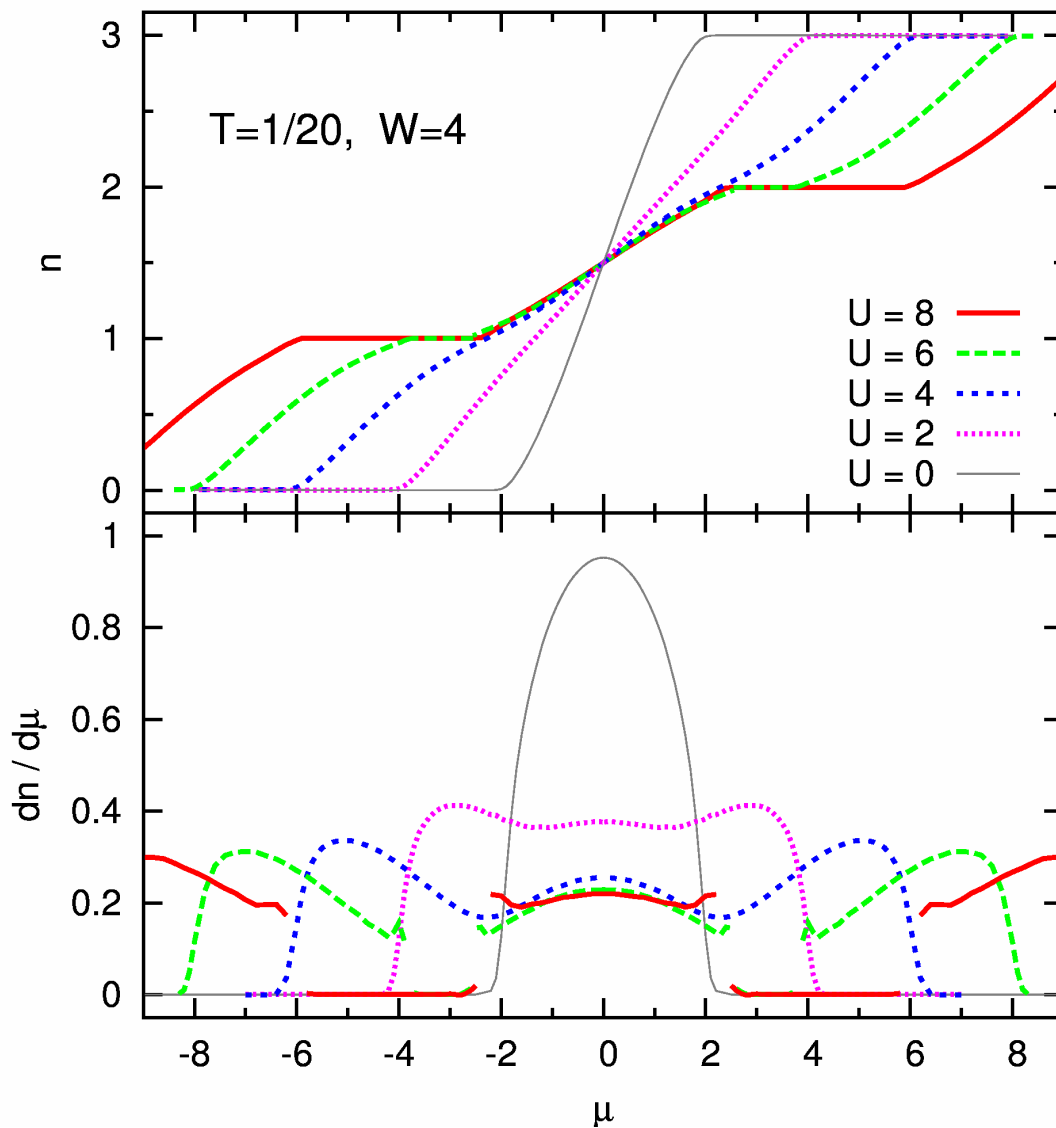
Plateaus at integer filling ( $U \gtrsim 5.5$ )

$\rightsquigarrow$  incompressible Mott phases



[E. Gorelik, N. Blümer, arXiv:0904.4610]

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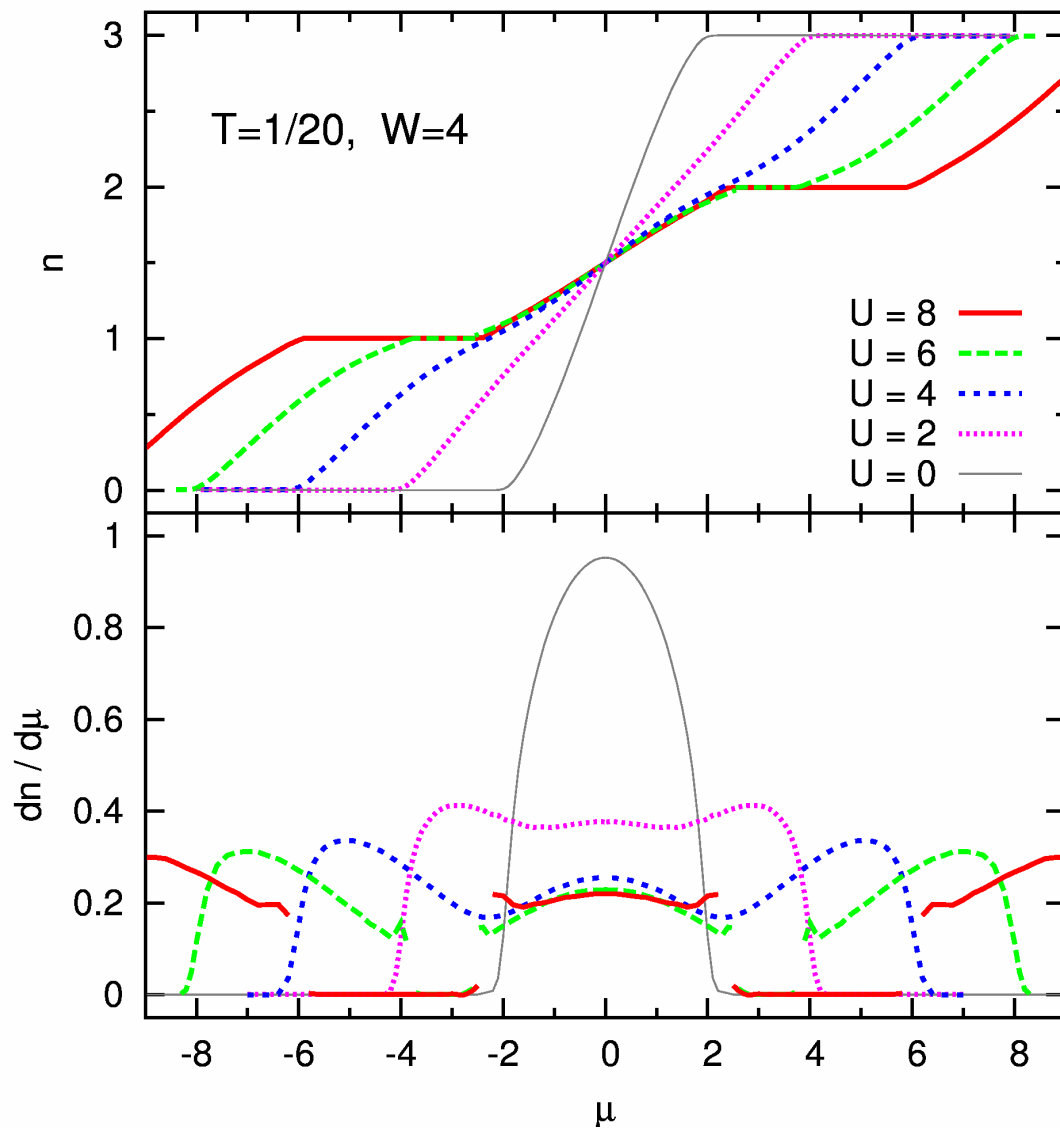
$1 < n < 2$ : semi-compressible phase

$\kappa$  independent of  $\mu, U, T$

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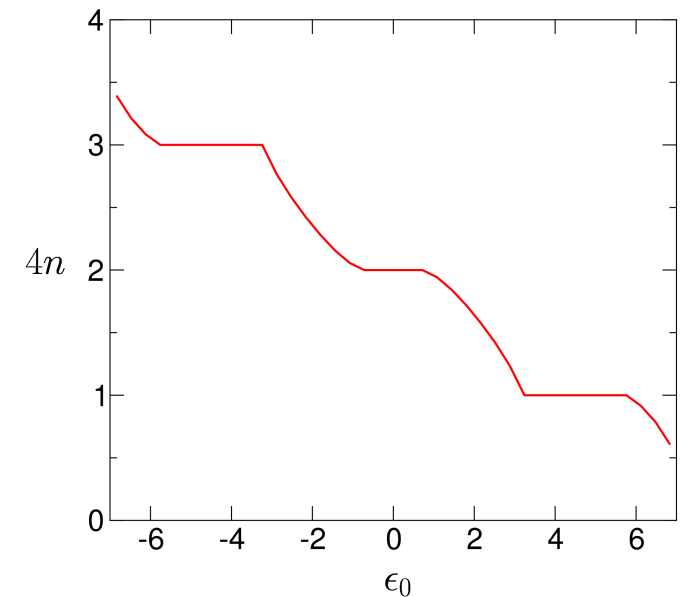
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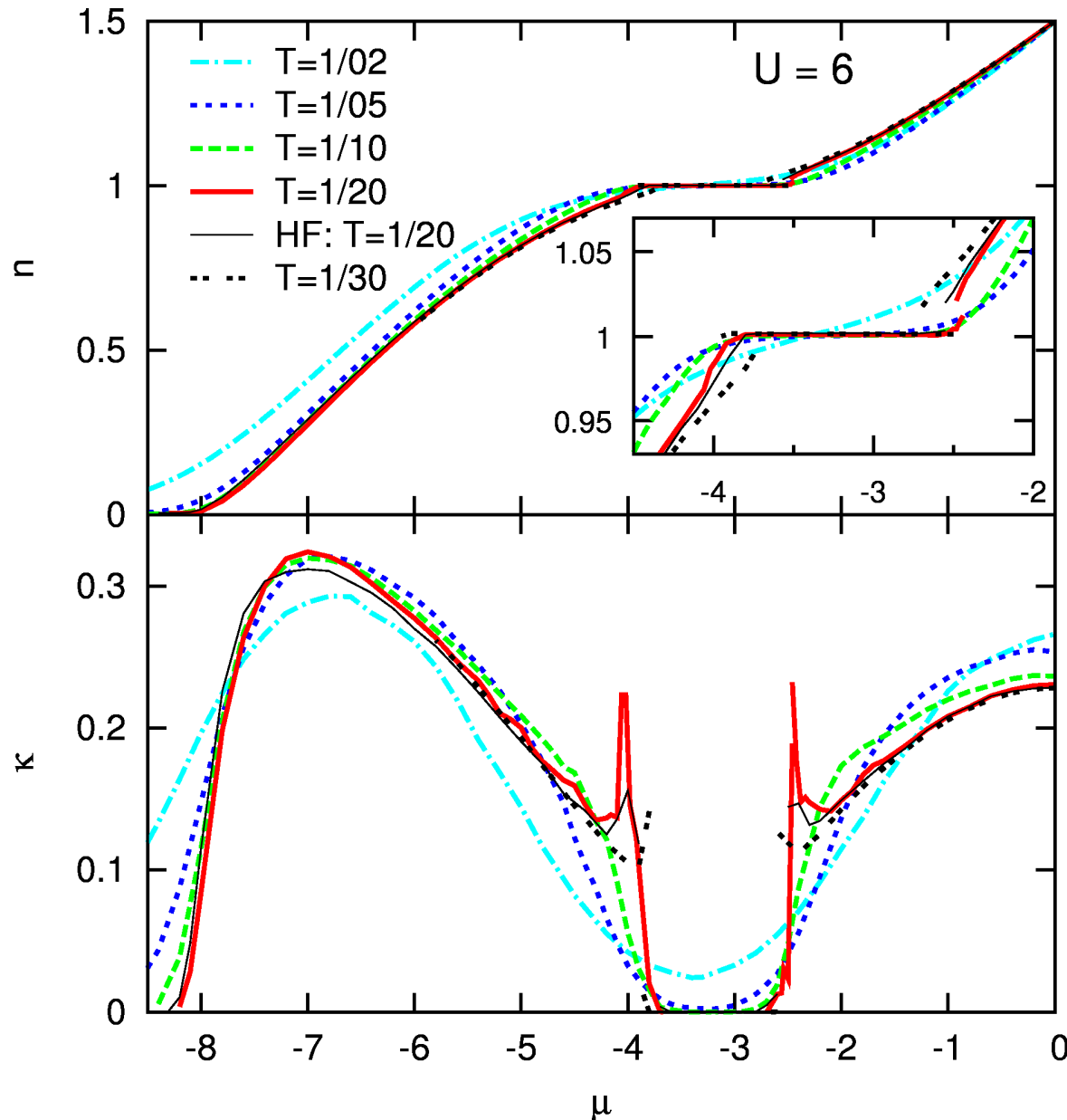
Contrast with SU(4) system:



[E. Gorelik, N. Blümer, arXiv:0904.4610]

[Florens, Georges, PRB **70**, 035114 (2004)]

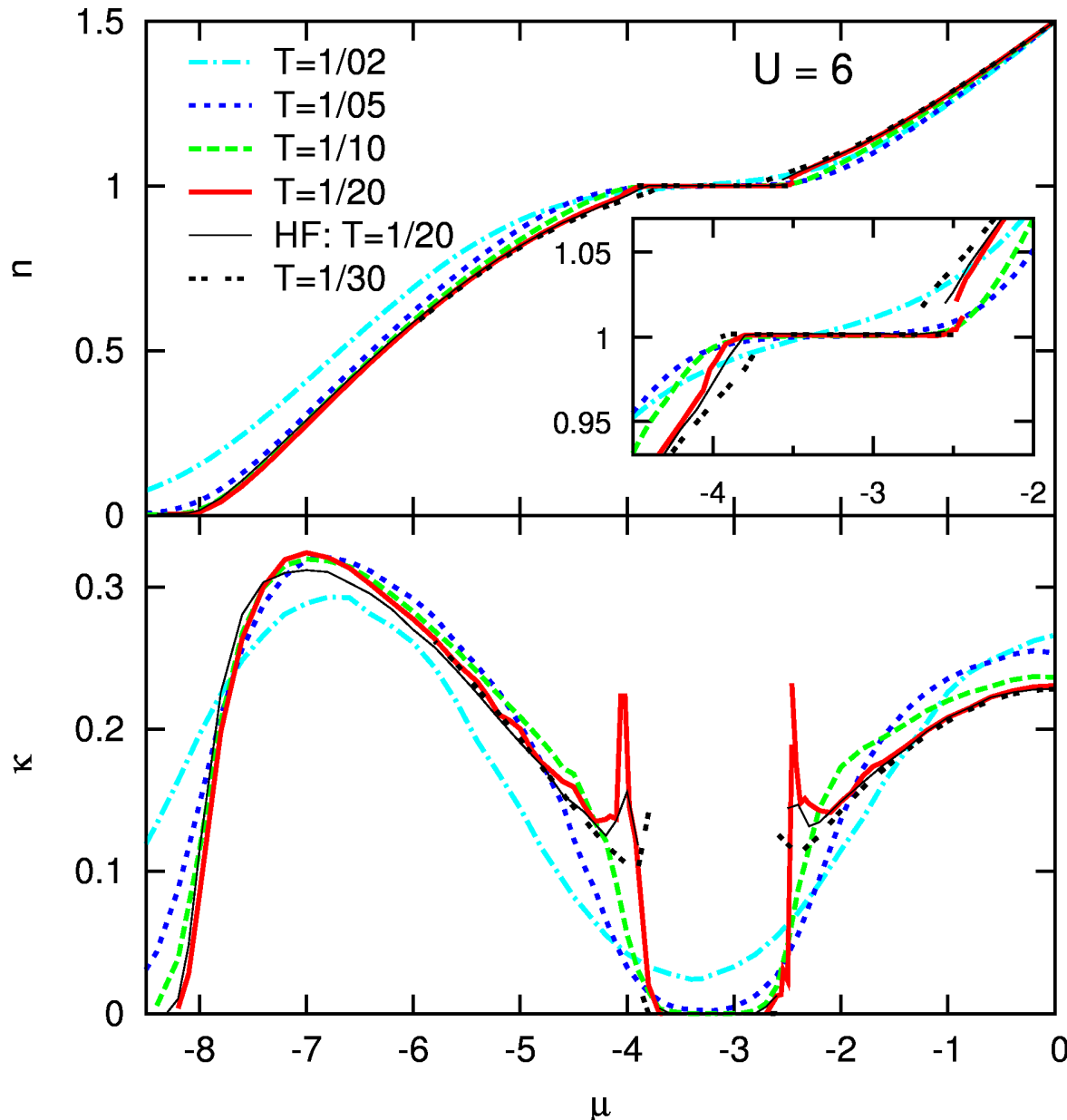
# $T$ dependence of density $n$ and compressibility $\kappa$



Multigrid HF-QMC results  
(also HF-QMC at  $T = 1/20$ ):

Critical temperature  $T^* \approx 1/20$

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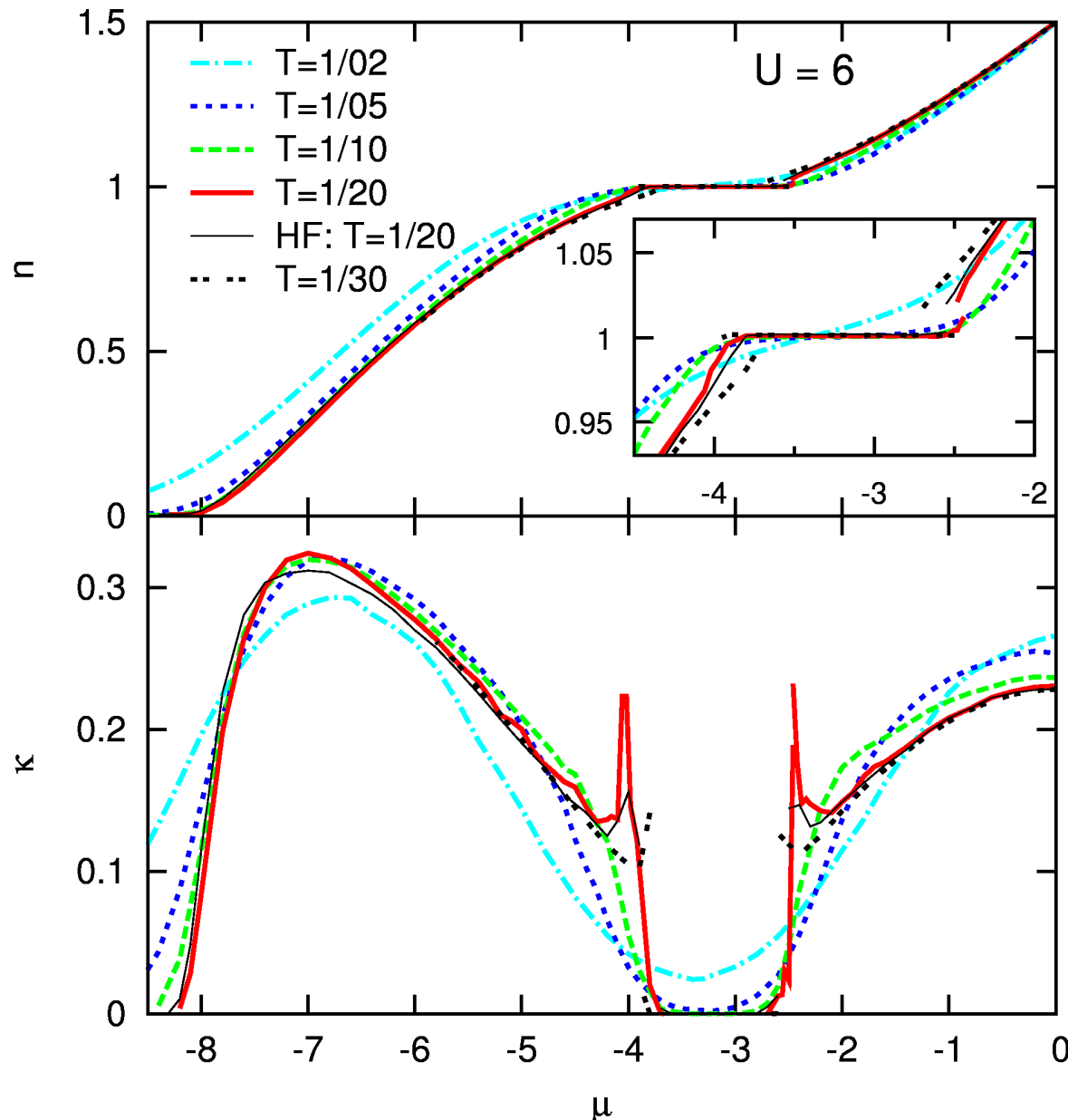
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**Important for experiments:**

Signatures of Mott transition  
persist to high temperatures:

nearly complete suppression of  
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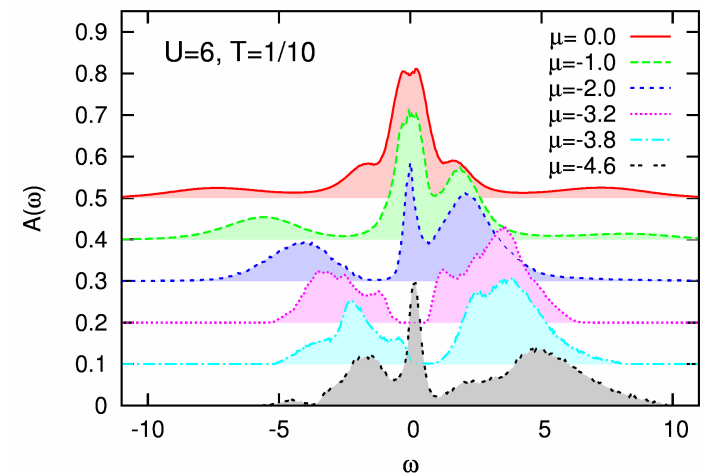
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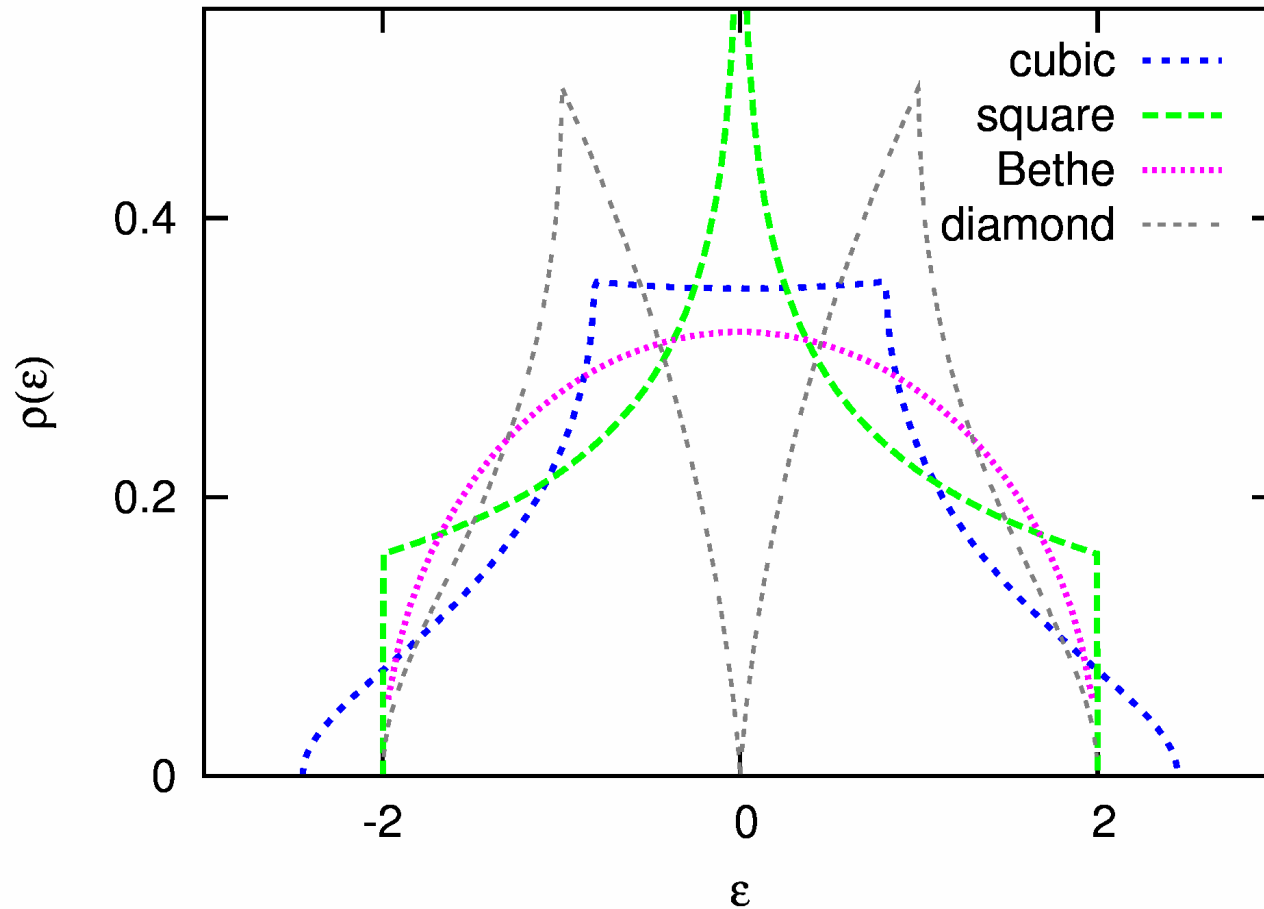
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**skip:** pair occupancy, spectra



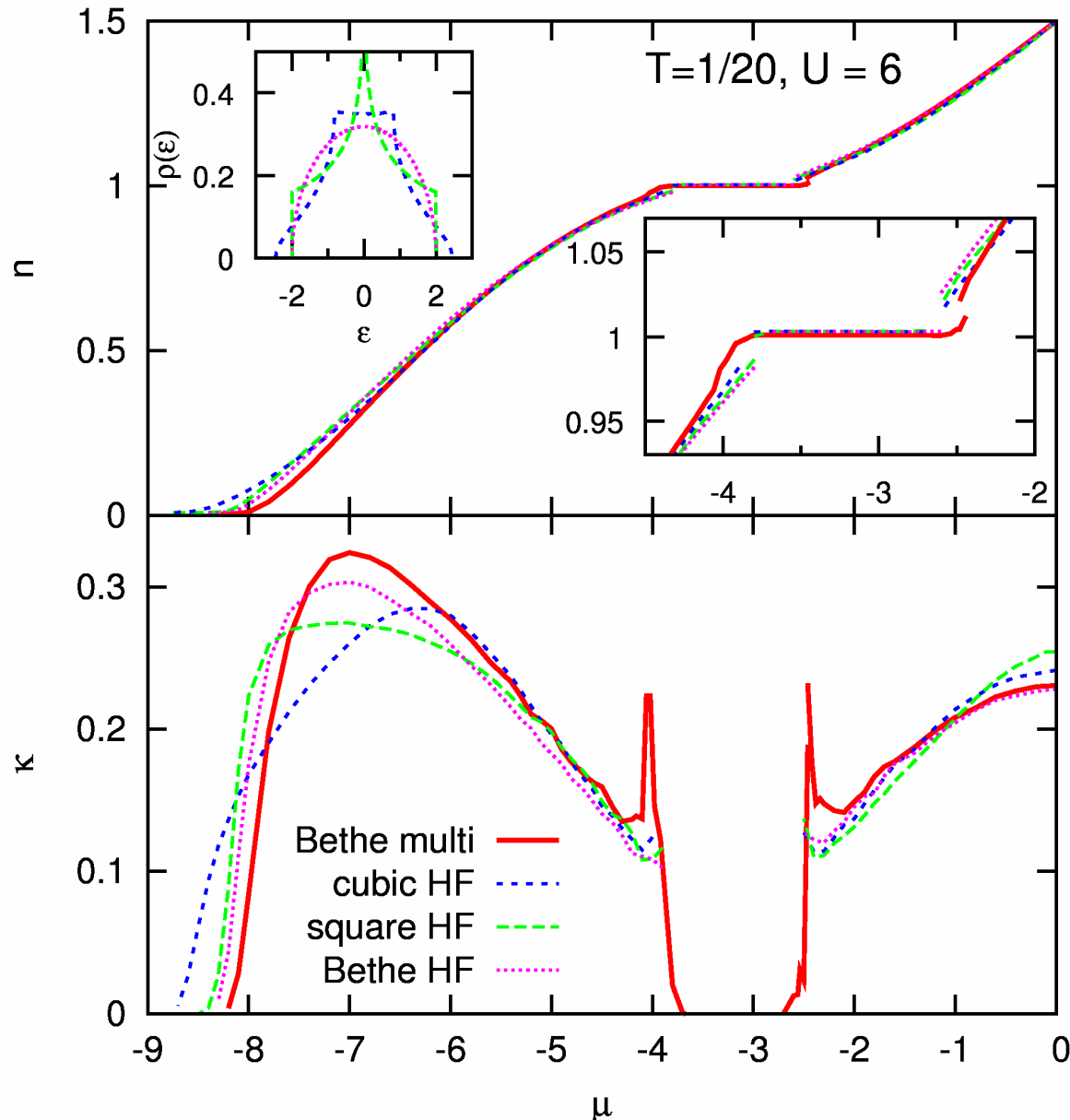
# Impact of the lattice type



Noninteracting densities of states (variance  $\int \epsilon^2 \rho(\epsilon) d\epsilon = 1$ )

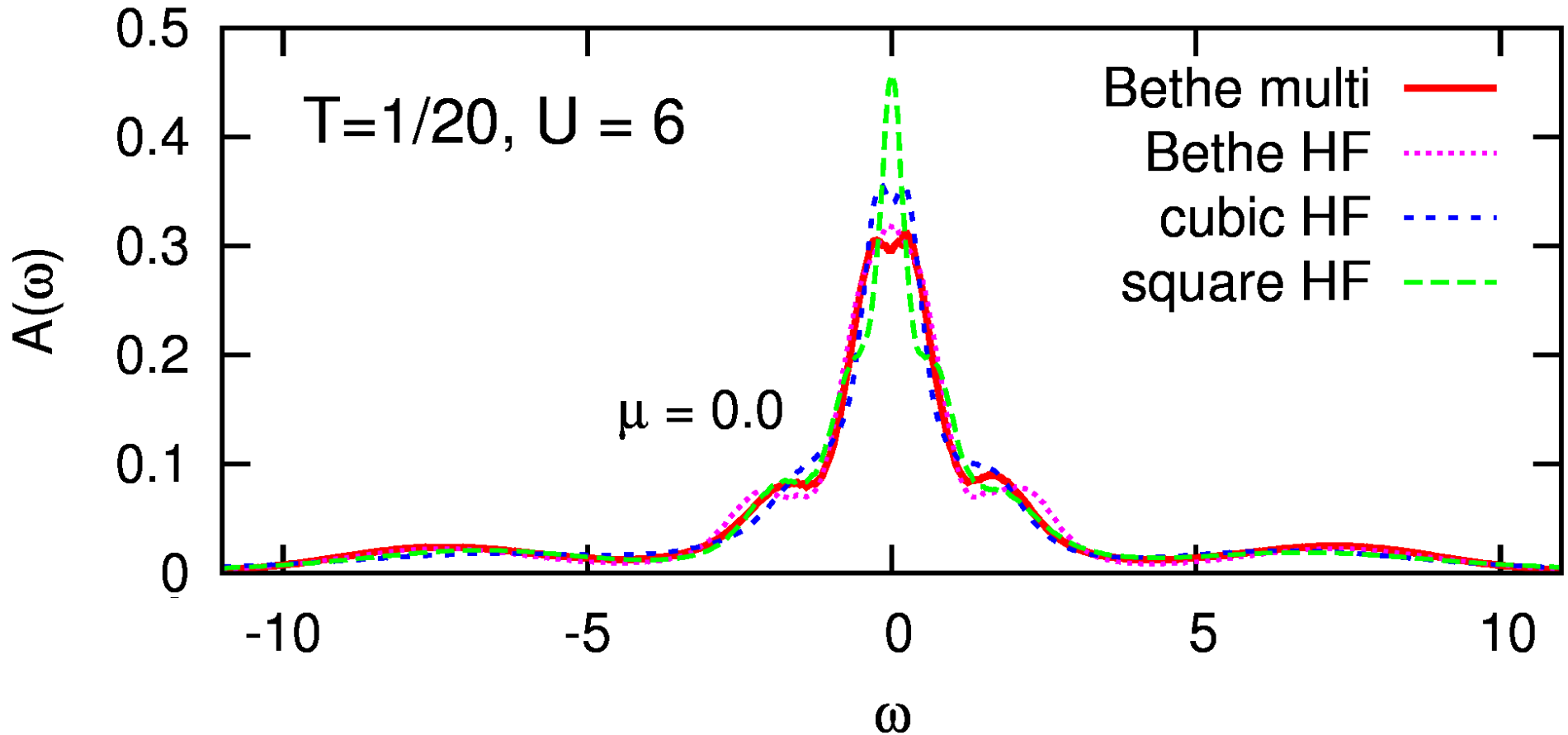
**Question:** Would DMFT results for the cubic (square) lattice differ significantly?

# Dependence of filling and compressibility (vs. $\mu$ ) on the lattice type



- minor differences for  $n \geq 0.5$
- nearly identical gap width
- significant lattice impact only near the band edges (and at  $\mu \approx 0$ )
- not shown:  
double occupancy  $D(n)$   
indistinguishable

# Spectral function $A(\omega)$ for different lattice types

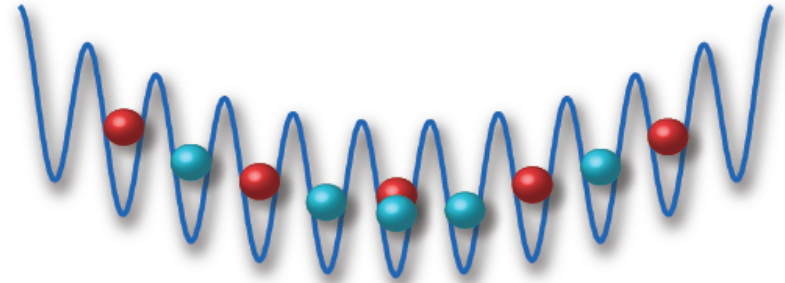


Strong lattice sensitivity at small  $\omega$  in Fermi liquid regime

# Melting of an antiferromagnet in an optical trap

Now include trapping potential, e.g.:  $V_i = Vr_i^2$

$$H = - \sum_{(ij),\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow} + \sum_{i,\sigma} V_i n_{i\sigma}$$

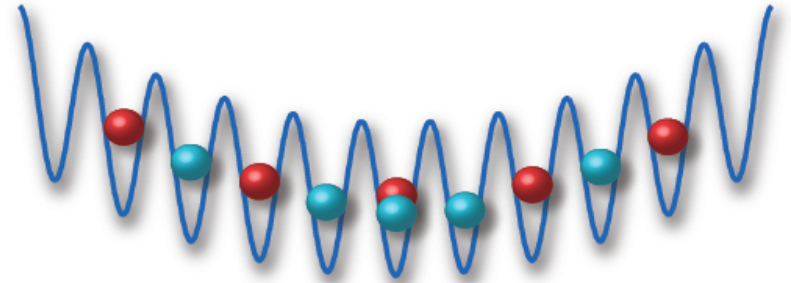




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Real-space DMFT: use local self-energy in inhomogeneous system

$\rightsquigarrow$   $N$  single-site impurities, coupled by modified lattice Dyson equation:

$$\left[ G_\sigma(i\omega_n) \right]_{ij}^{-1} = (\mu_\sigma + i\omega_n) \delta_{ij} - t_{ij} - (V_i + \Sigma_{i\sigma}(i\omega_n)) \delta_{ij}$$

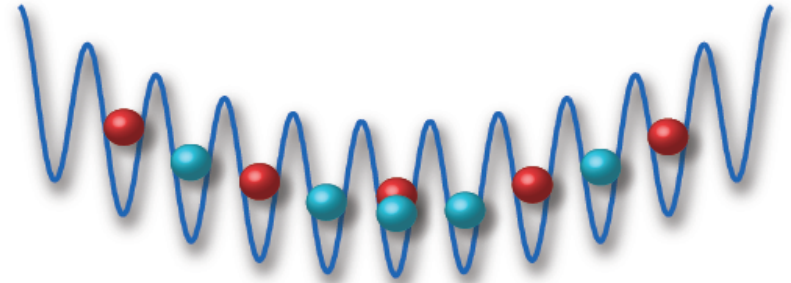
[M. Snoek, I. Titvinidze, C. Toke, K. Byczuk, and W. Hofstetter, *New Journal of Physics* (2008);  
R. Helmes, T. A. Costi, and A. Rosch, *PRL* (2008)]

Also: **inhomogeneous DMFT** (for Falicov-Kimball model) [Freericks]

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$$\left[ G_\sigma(i\omega_n) \right]_{ij}^{-1} = (\mu_\sigma + i\omega_n) \delta_{ij} - t_{ij} - (V_i + \Sigma_{i\sigma}(i\omega_n)) \delta_{ij}$$

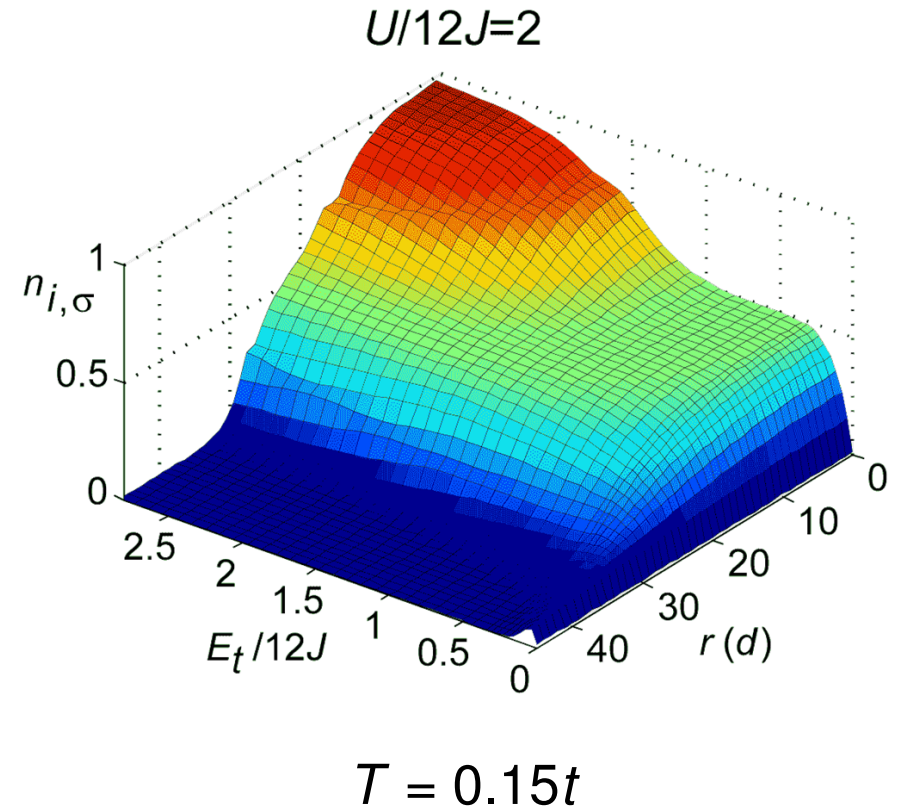
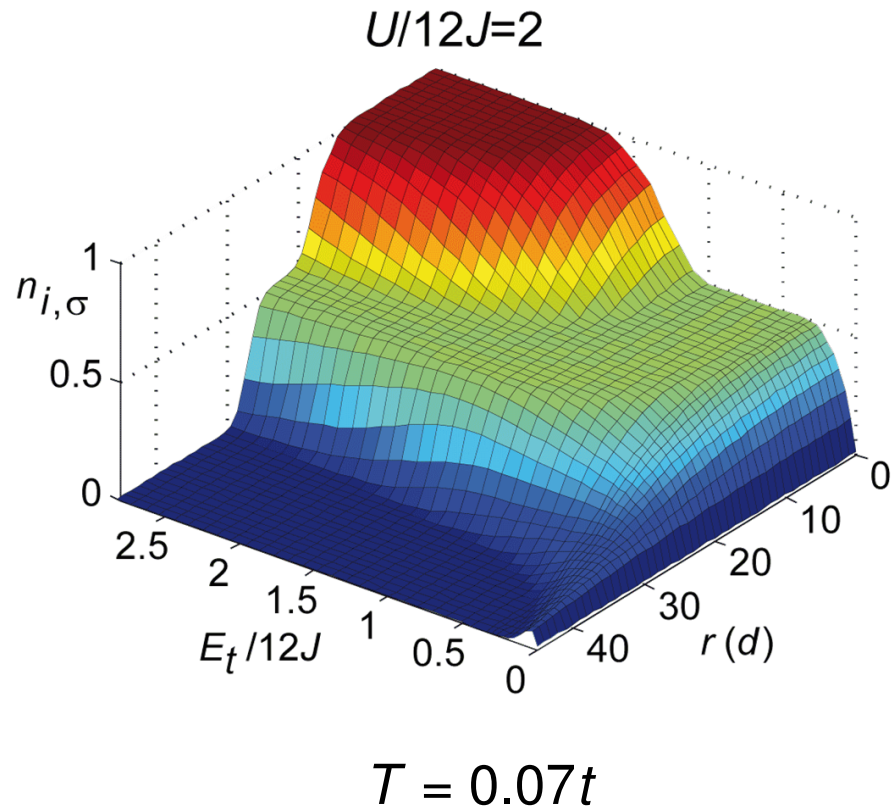
[M. Snoek, I. Titvinidze, C. Toke, K. Byczuk, and W. Hofstetter, *New Journal of Physics* (2008);  
R. Helmes, T. A. Costi, and A. Rosch, *PRL* (2008)]

Also: **inhomogeneous DMFT** (for Falicov-Kimball model) [Freericks]

**Note:** impurity problem is site-parallel, lattice Dyson equation is frequency-parallel

All previous implementations: **RDMFT+NRG**

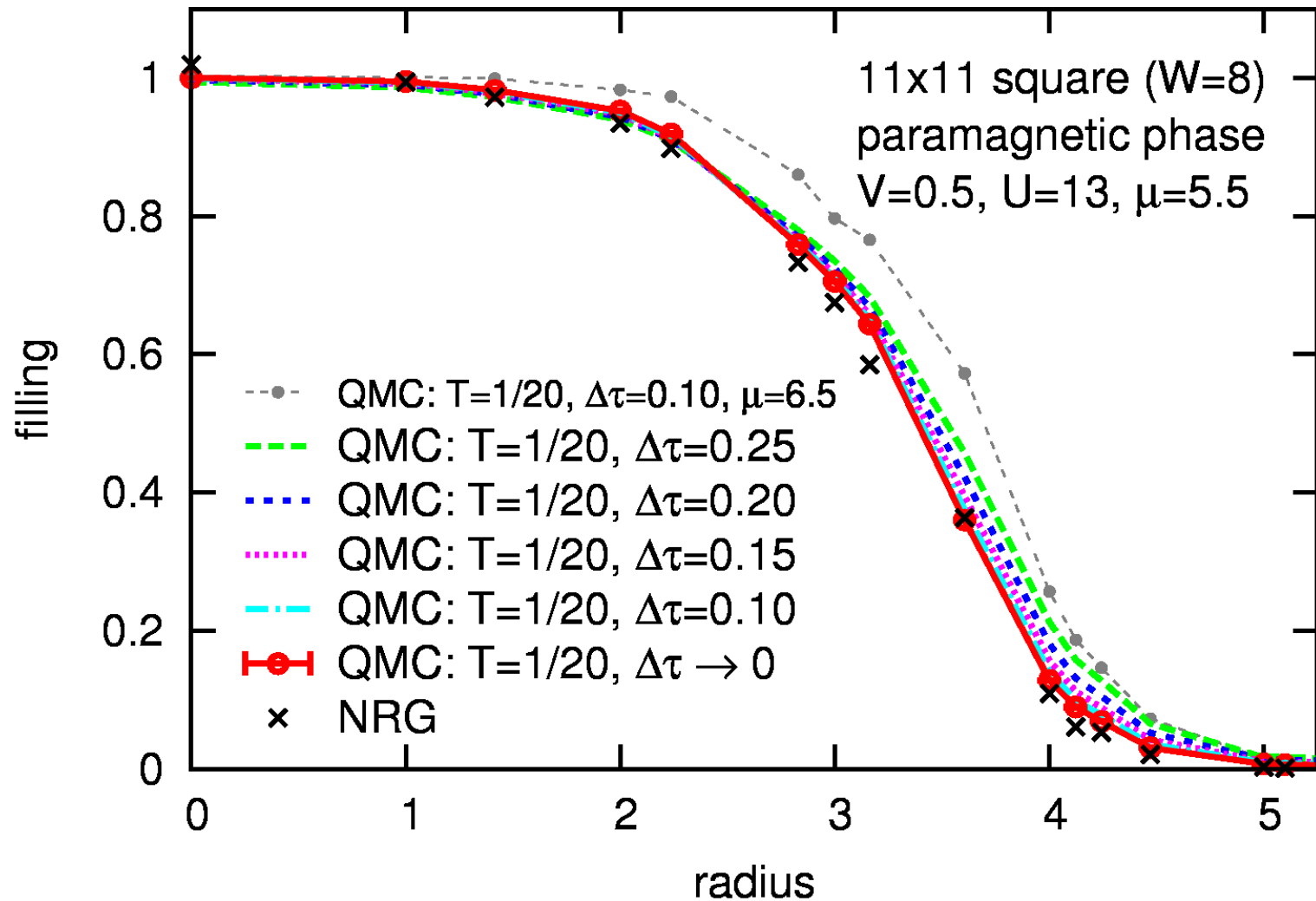
# NRG: problematic at elevated temperatures



Additional plateau/kinks at  $n_{\sigma} \approx 0.8$  for  $T = 0.15t$  [Rosch group, courtesy of U. Schneider]

However: experimental temperatures are high  $\rightsquigarrow$  advantage for QMC!

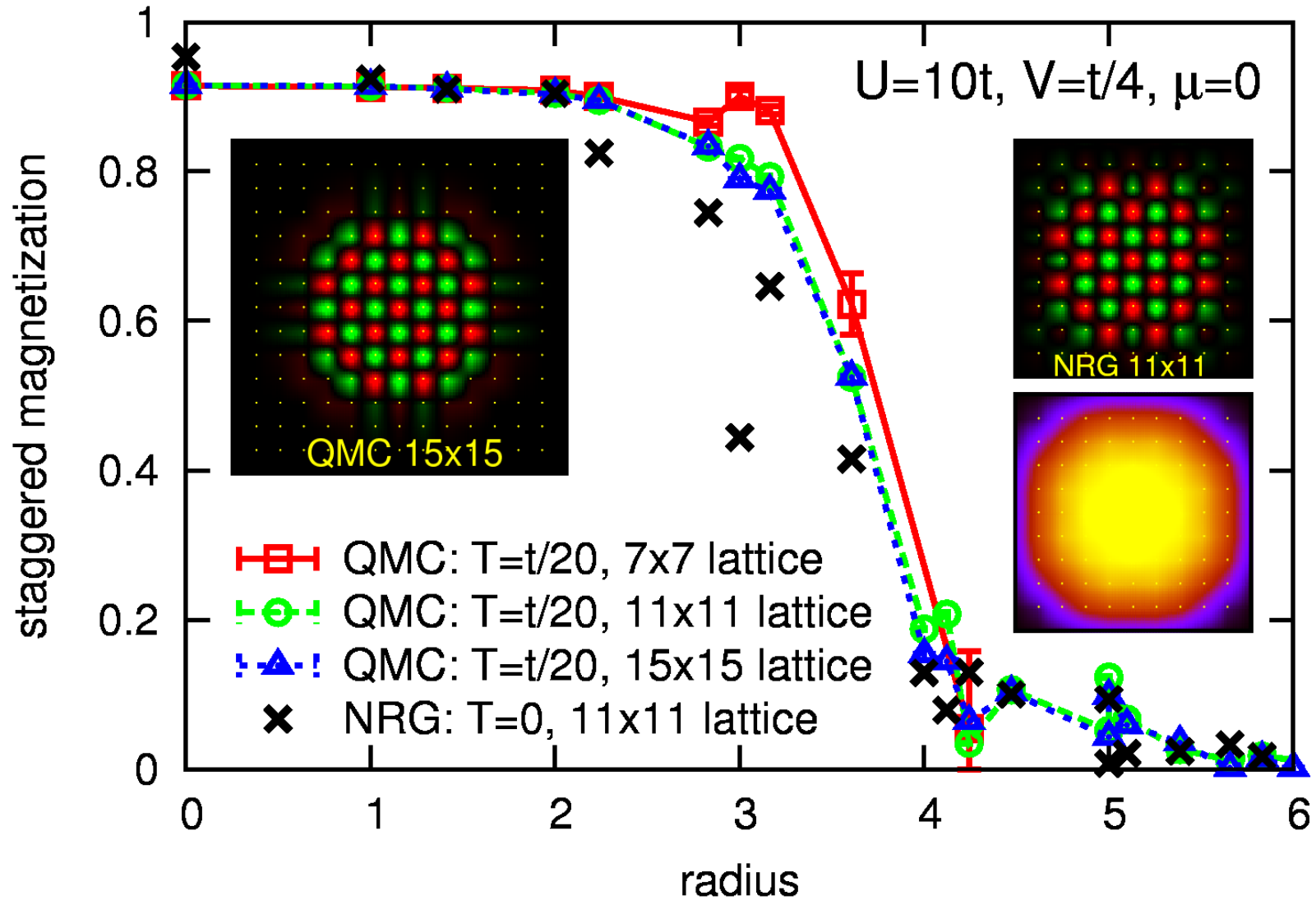
# Real-space DMFT results for paramagnetic phase: QMC vs. NRG



Good agreement QMC  $\leftrightarrow$  NRG (after choosing same  $\mu$ )

[NRG data by I. Titvinidze (collaboration within SFB/TR 49)]

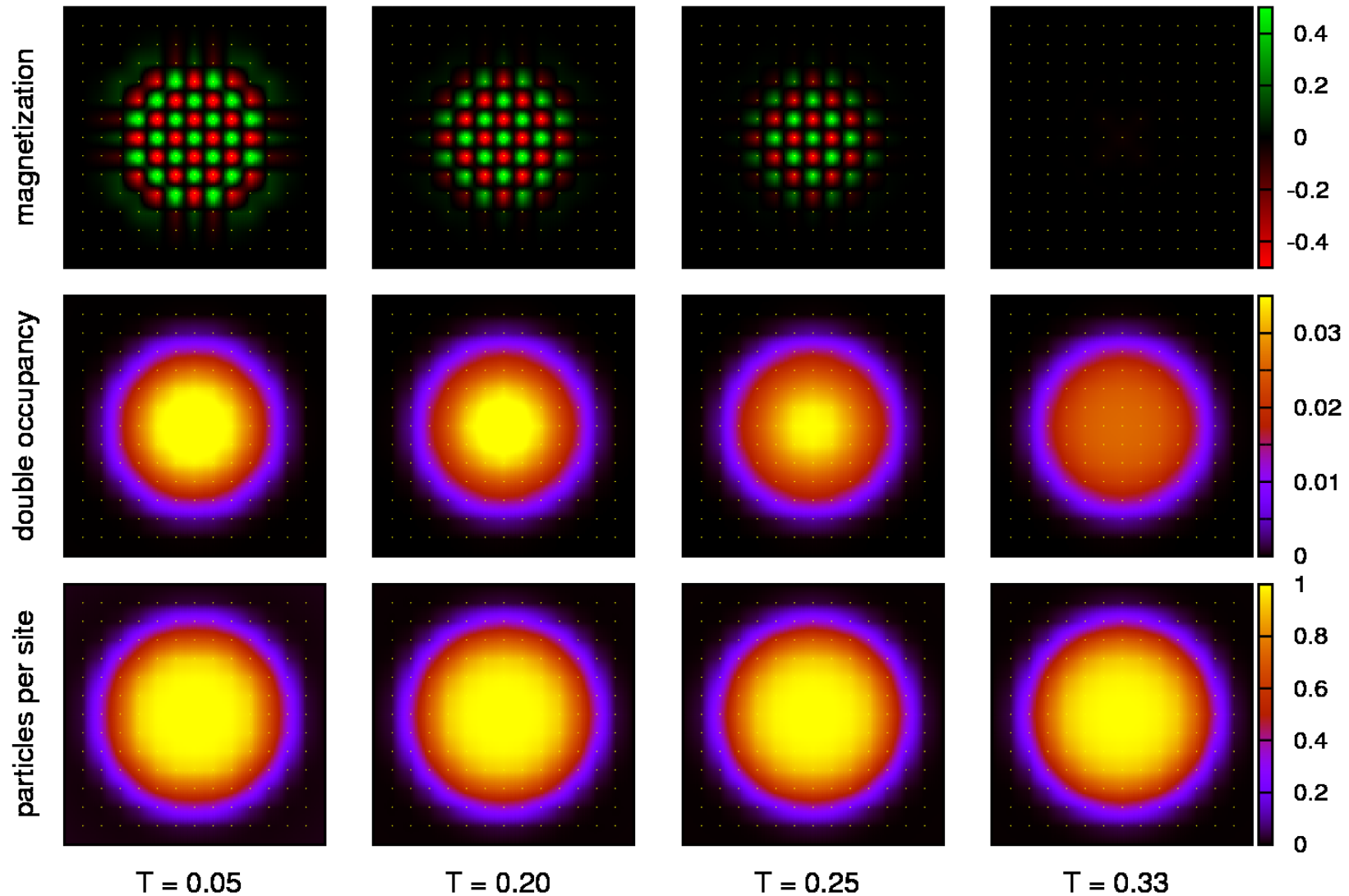
# Real-space DMFT results for AF phase: QMC vs. NRG



Finite-size effects surprisingly small; QMC apparently more accurate (even at low  $T$ )

# Melting of a central antiferromagnetic phase

Real-space DMFT-QMC results for 15x15 lattice at  $t=1$ ,  $U=10$ ,  $V=0.25$ ,  $\mu'=0$

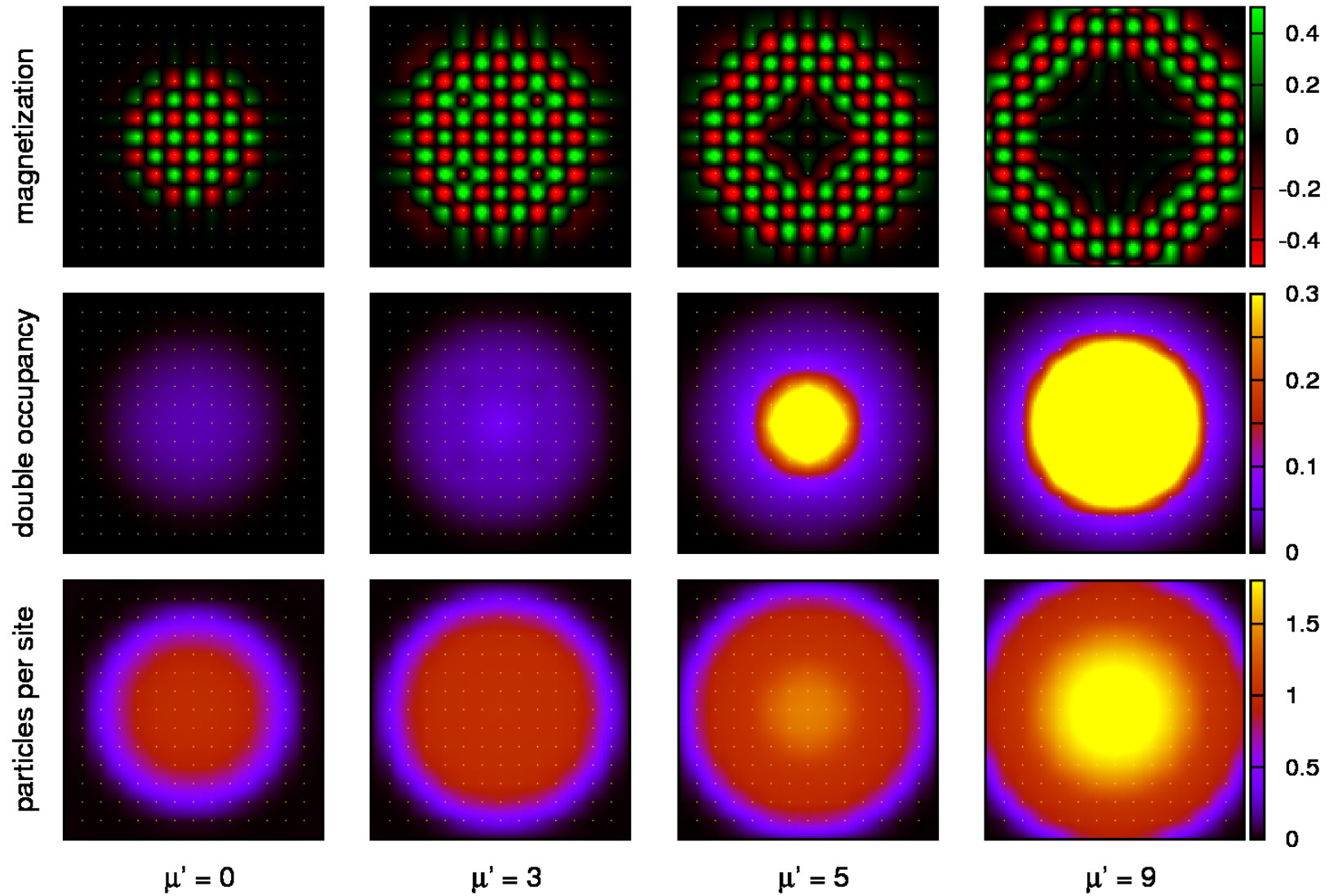


Antiferromagnetic order signaled by enhanced double occupancy



# Effect of filling on the antiferromagnetic phase

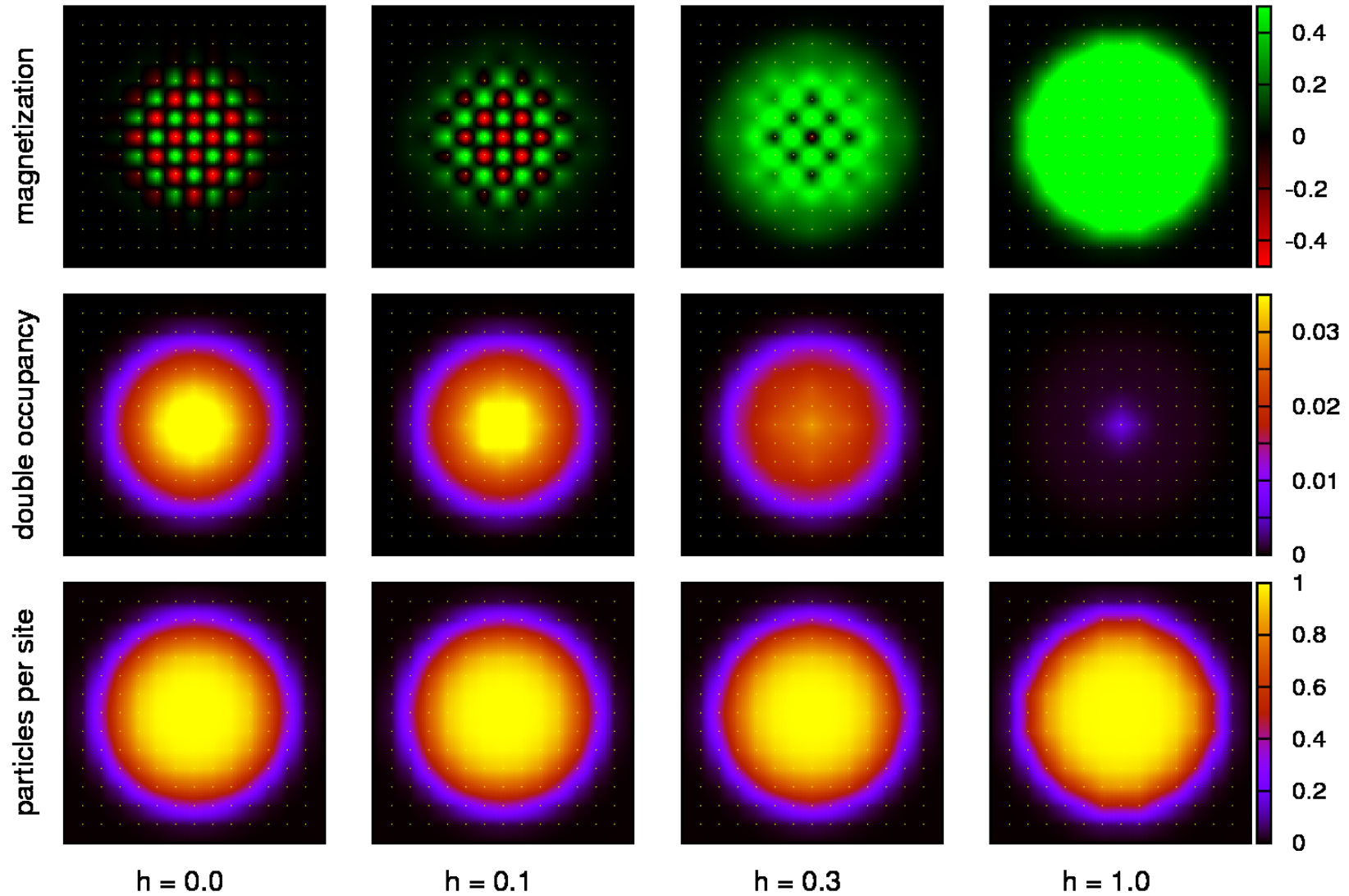
Real-space DMFT-QMC results for 15x15 lattice at  $t=1$ ,  $U=10$ ,  $V=0.25$ ,  $T=0.1$



Buildup of metallic core  $\rightarrow$  AF ring/shell

# Effect of imbalance on the antiferromagnetic phase

Real-space DMFT-QMC results for 15x15 lattice at  $t=1$ ,  $U=10$ ,  $V=0.25$ ,  $T=0.2$



AF survives strong imbalance ( $h = 0.3$ );  $h = 1$  nearly fully polarized



# RDMFT-QMC

- arbitrary:
  - potential (also periodic, modulated, with impurities, missing sites etc.)
  - hopping matrix + lattice topology
  - dimension (within DMFT)
  - number of flavors and bands
  - precision (cheap with multigrid)
- wide temperature range
- ordered phases can be suppressed
- scales to thousands of sites/particles
- todo:
  - integrated multigrid  $\Delta\tau$  extrapolation
  - energy  $\longrightarrow$  entropy, free energy

# Summary

Multigrid HF-QMC method: numerically exact (quasi CT) + efficient

Mott transition for 3 degenerate flavors in  $(U, T, \mu)$  space

Novel semi-compressible phase

Bethe lattice approximation surprisingly accurate

## Real-space DMFT

Efficient and flexible RDMFT-QMC code

Melting of an antiferromagnet, imbalance, LDA deficient

# Outlook

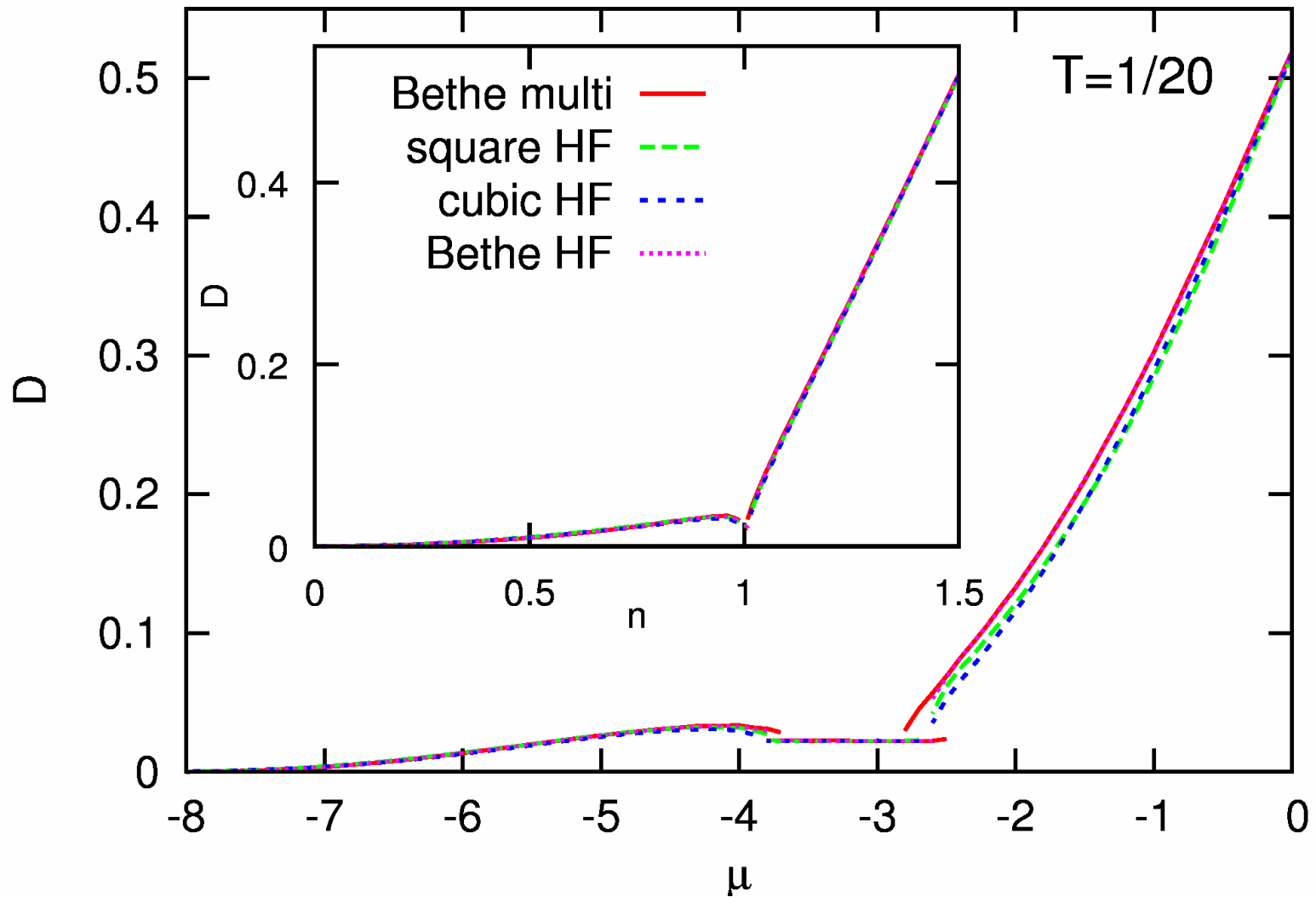
3D calculations for realistic trap parameters and system sizes

Inequivalent spins/flavors: OSMT-like physics, ordered phases

Impact of higher Bloch bands

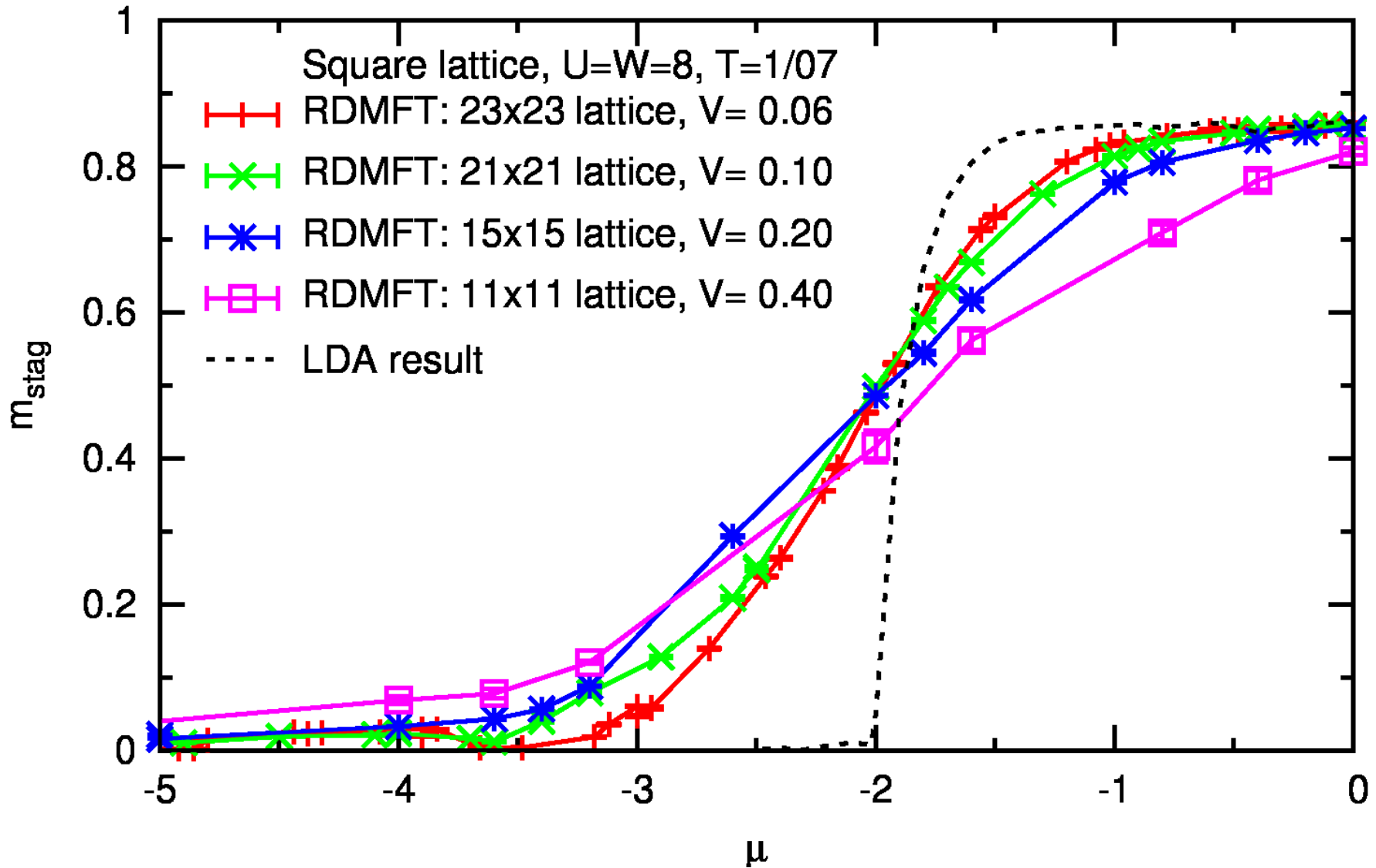
Spin-off: solids with large unit cells (distortions, surfaces, impurities, . . . )

# Dependence of pair occupancy $D$ on the lattice type

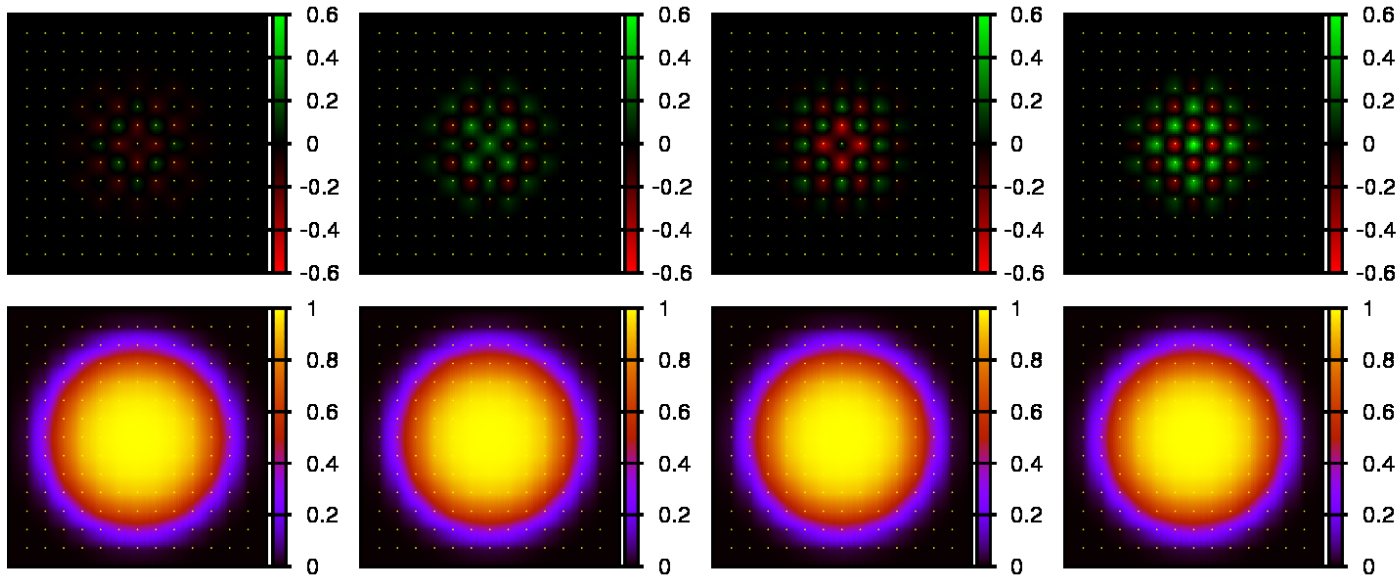


- Small differences in  $D(\mu)$  curves are due to the density effects
- $D(n)$  curves are identical within the line width

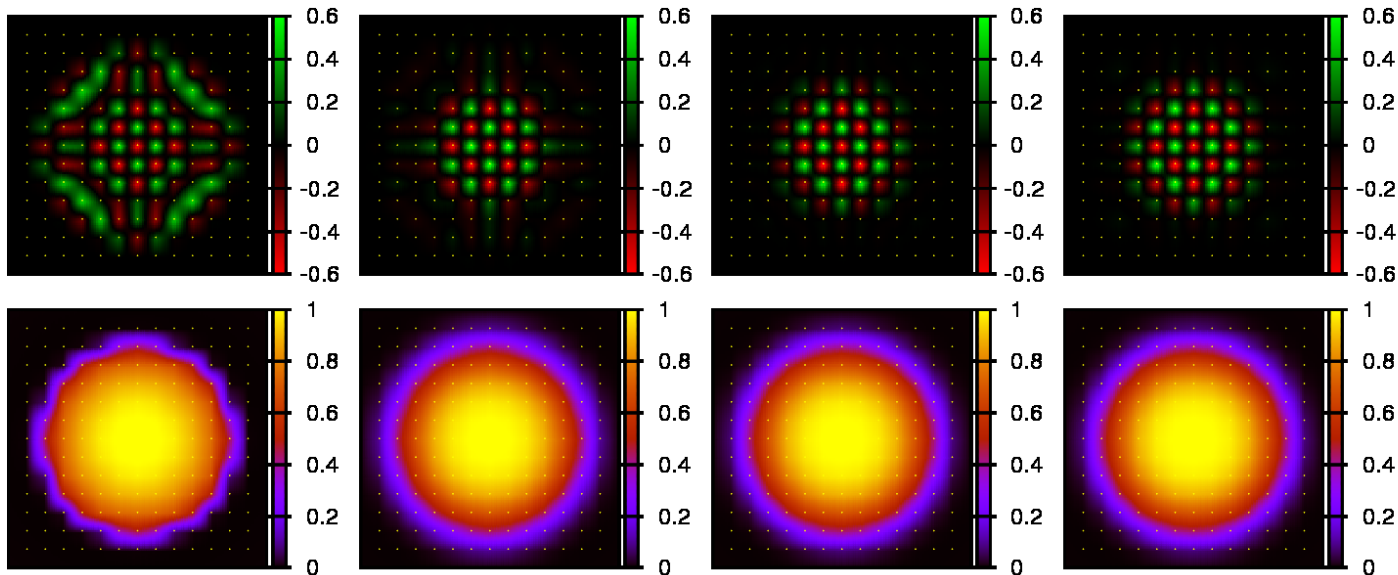
# RDMFT: strong proximity effects (not in local $\mu$ approximation)



# RDMFT: fast/slow convergence depending on initialization

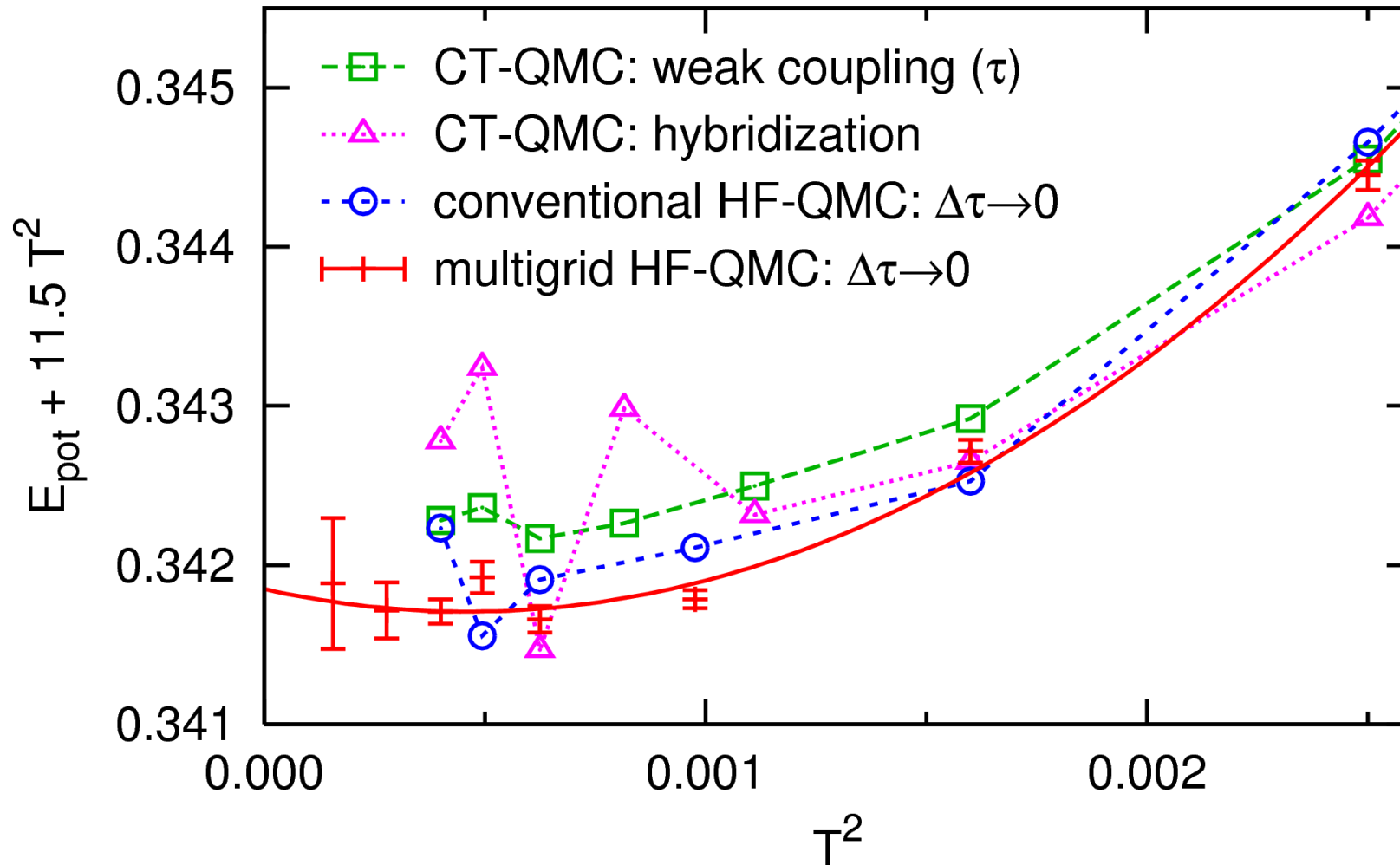


$U=10$ ,  $V=0.25$ ,  
 $T=1/5$ , its 5-8



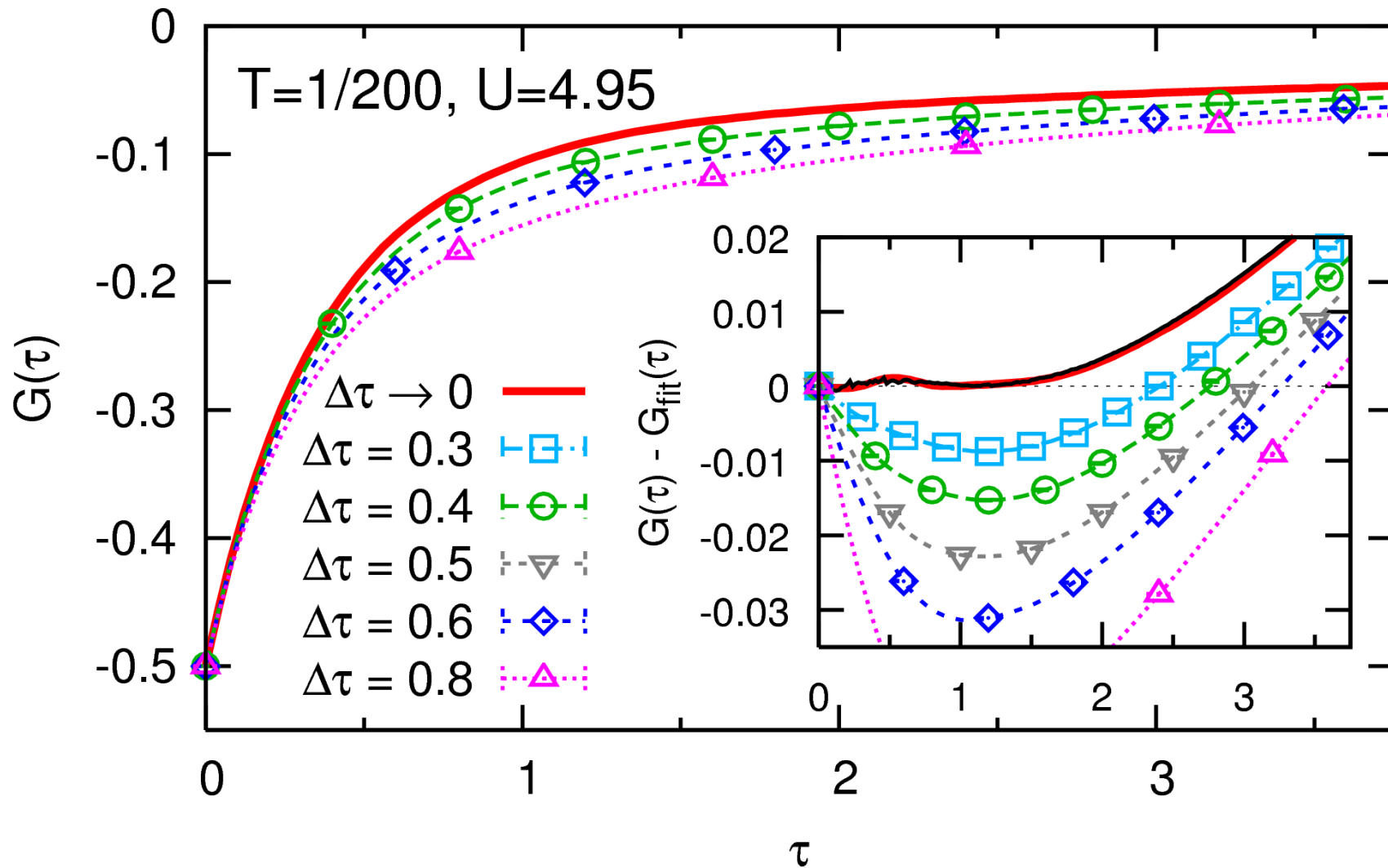
$U=8$ ,  $V=0.2$ ,  
 $T=1/7$ , its 1-5

Efficiency: potential energy  $E_{\text{pot}} = UD$  (at  $U = W = 4$ )



No more “difficult observables” for multigrid HF-QMC  
Higher precision than CT-QMC methods at same effort

# Result: unbiased, numerically exact Green function



[NB, arXiv:0712.1290]

Excellent agreement with hybridization expansion CT-QMC [Werner et al., PRL (2006)]