

Precise, efficient, and unbiased QMC simulations within DMFT

Nils Blümer, Univ. Mainz

Outline

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Minimal background

What has been achieved? What is new?

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Some more background

How does it work? Why?

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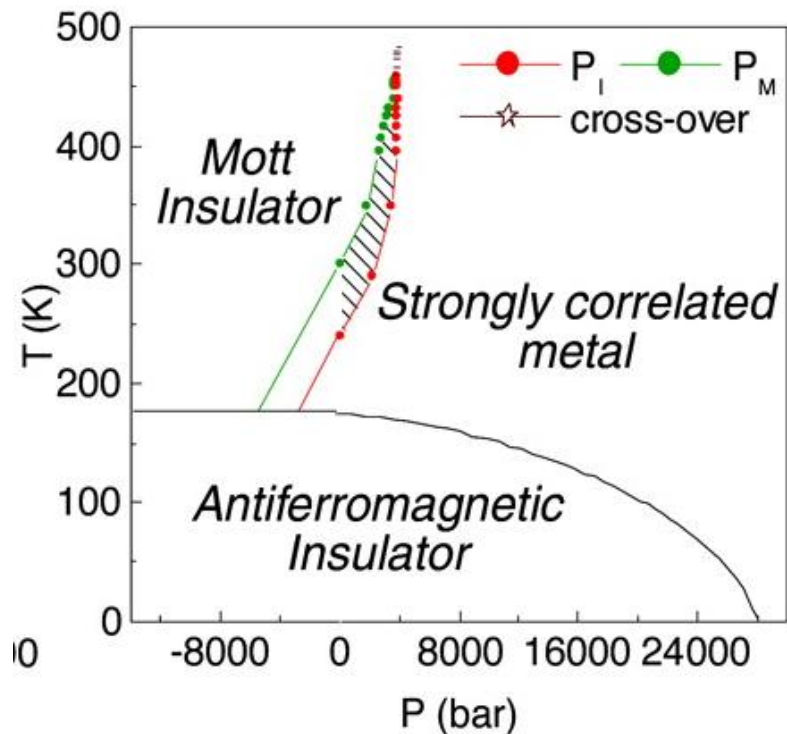
Application(s)

Minimal background

Mott metal-insulator transition

Prototype example: V_2O_3 doped with Cr/Ti and/or under pressure

Phase diagram

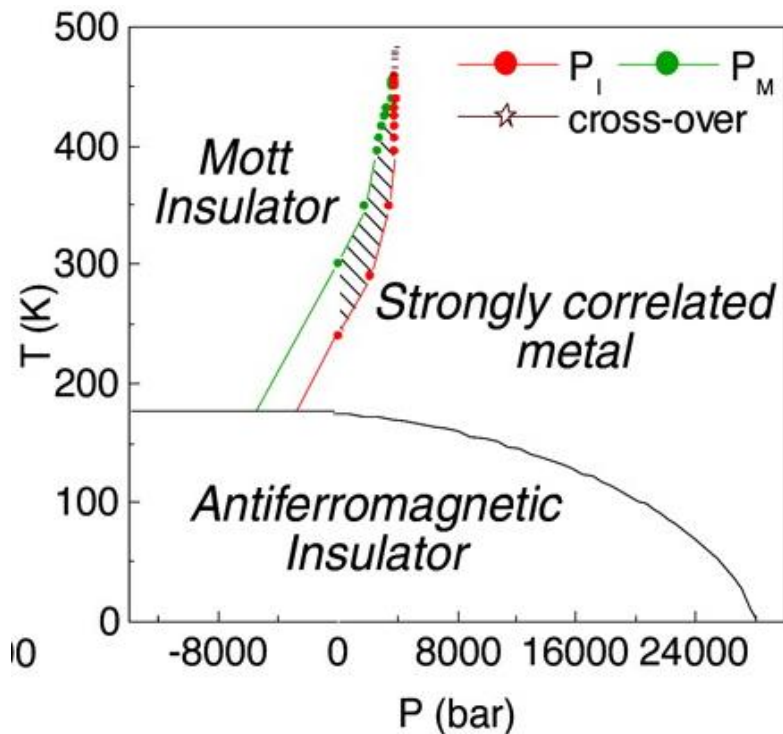


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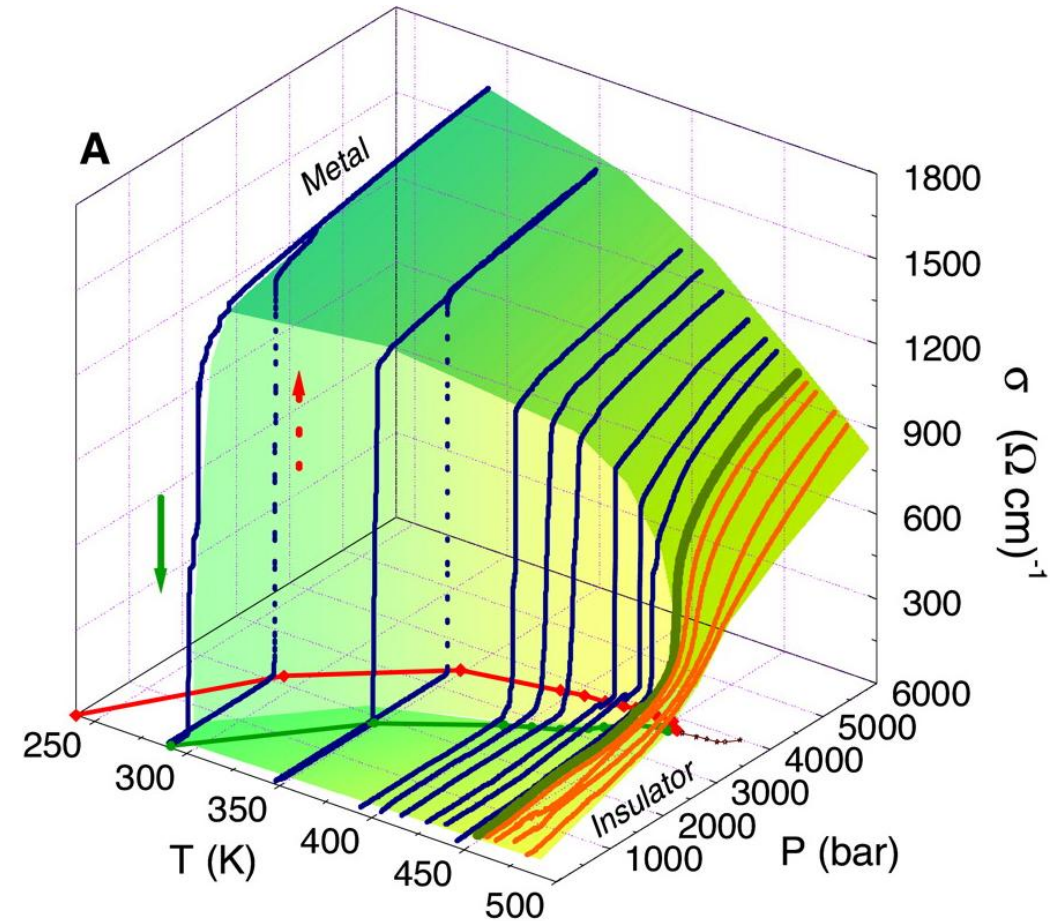
Mott metal-insulator transition

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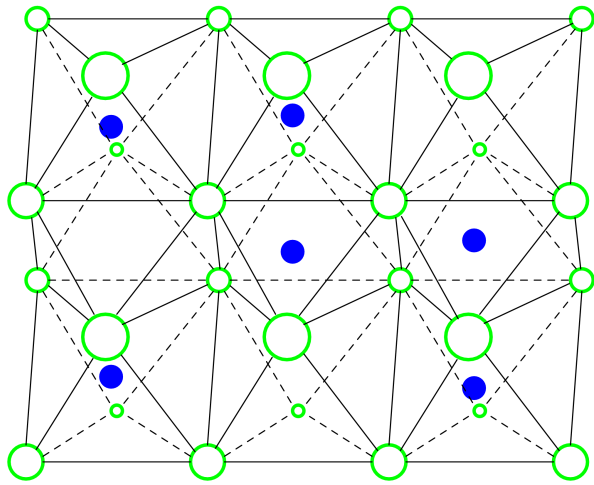
Phase diagram



Electrical conductivity



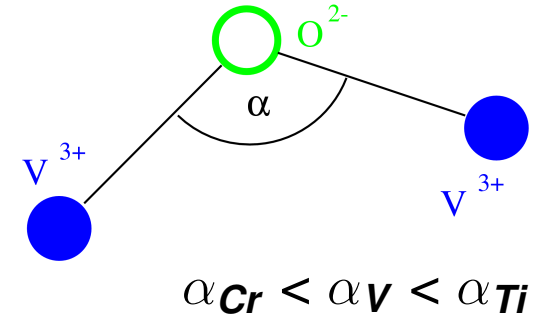
[Limelette et al., Science 302, 89 (2003)]



Corundum structure

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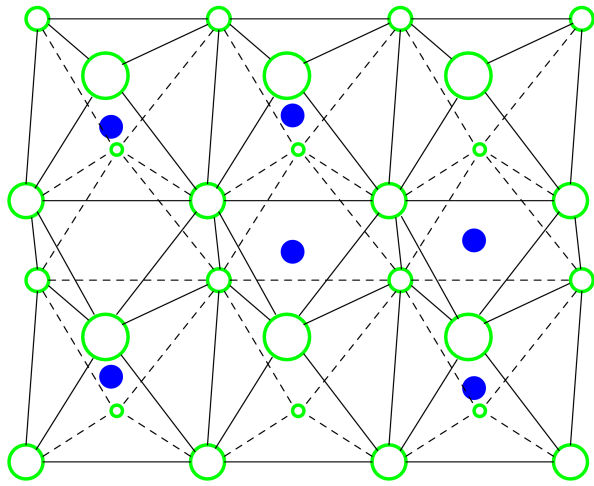
- hcp O^{2-} lattice
- V^{3+} fill 2/3 of octahedra



parameters: pressure, isovalent doping with Ti, Cr

- larger lattice distortion \rightsquigarrow less overlap \rightsquigarrow MIT

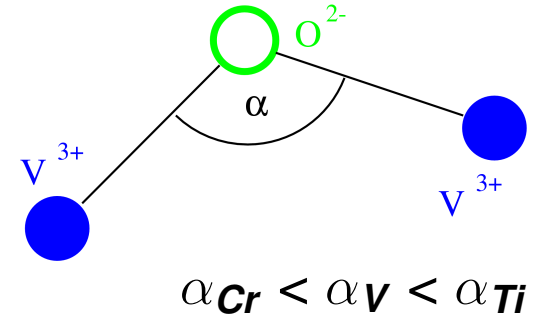
Paramagnetic, bandwidth-controlled metal-insulator transition in V_2O_3



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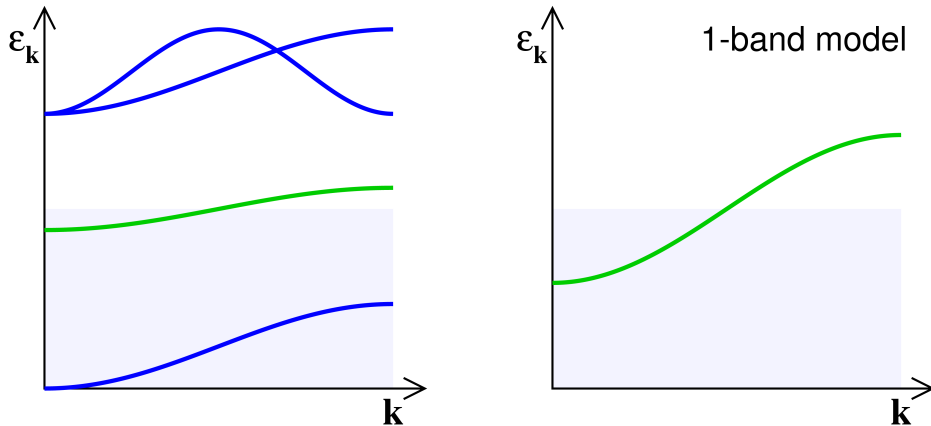


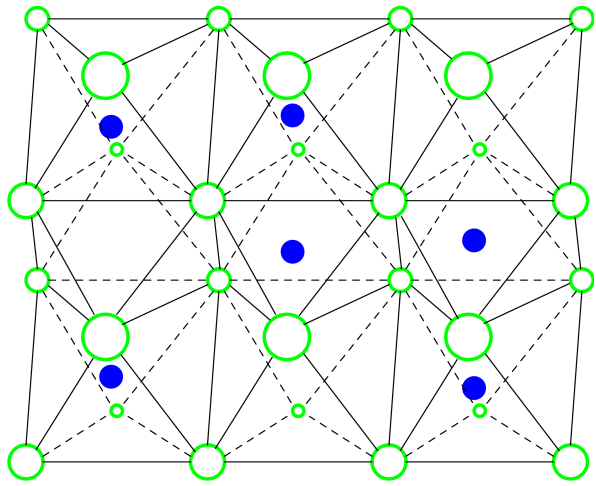
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Bloch states near Fermi energy,

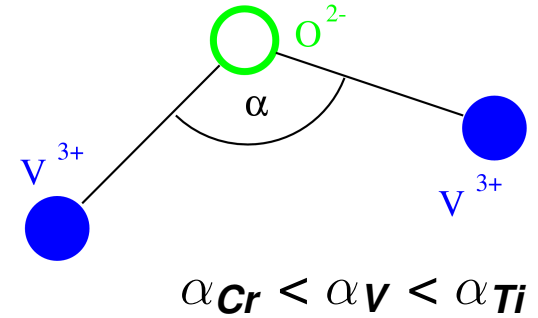




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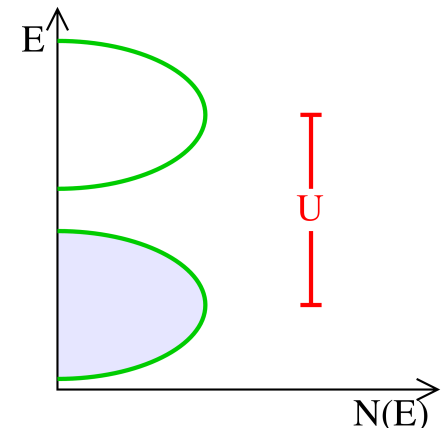
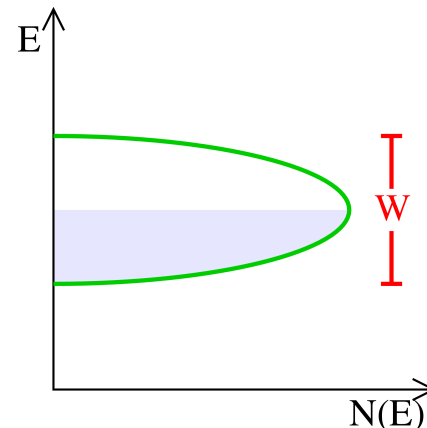
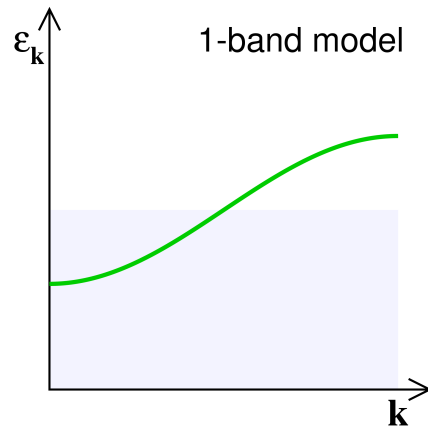
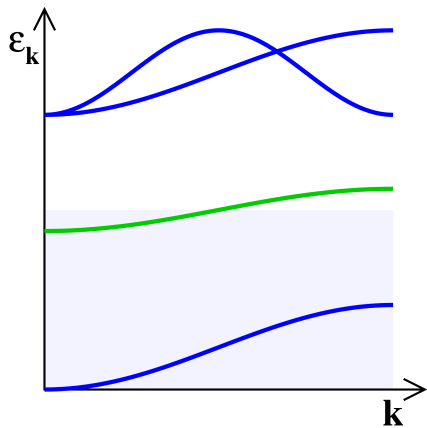
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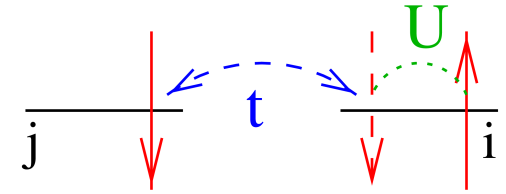
Bloch states near Fermi energy,

band-splitting by Coulomb correlations ($\sim U$)



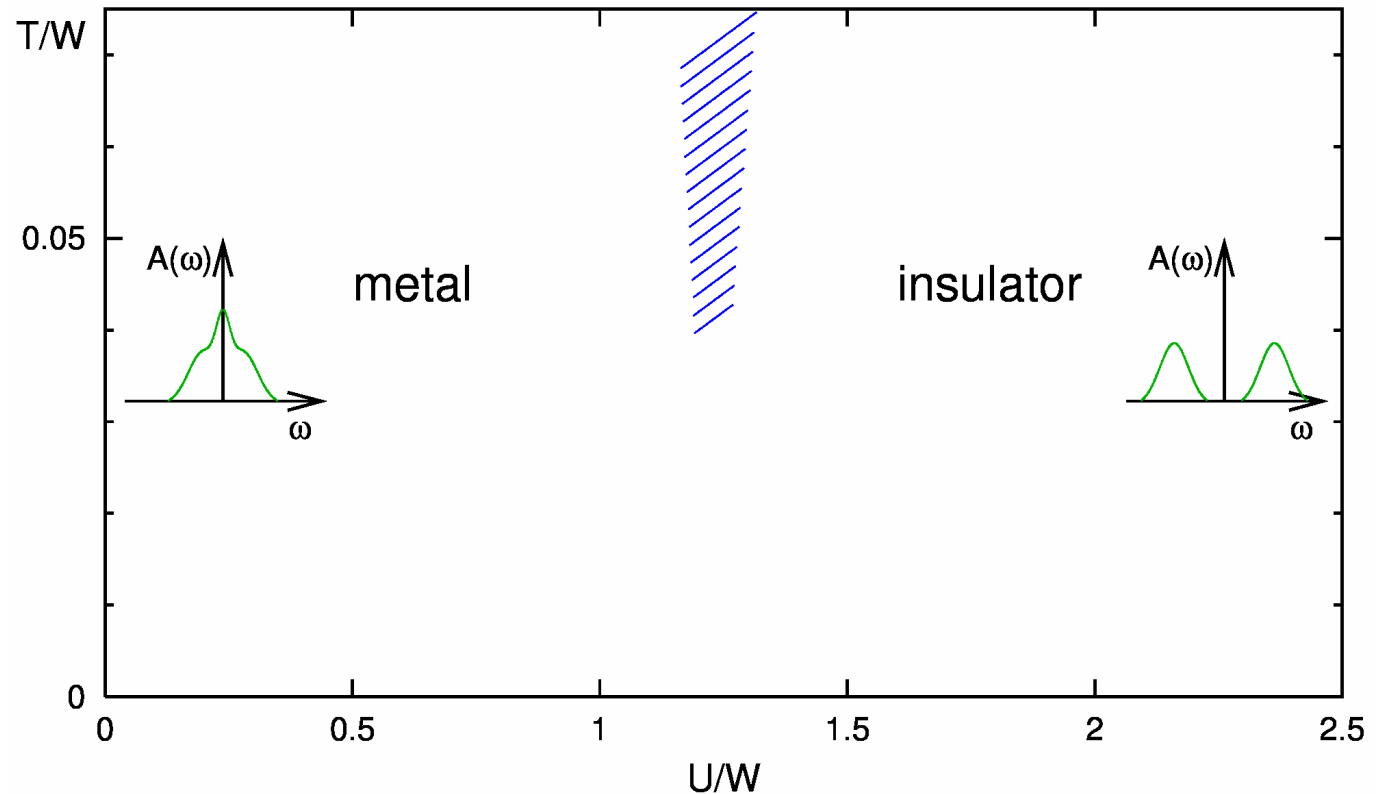
Paramagnetic MIT: no doubling of the unit cell \rightsquigarrow beyond single-particle theories!

Hubbard model $\hat{H} = -t \sum_{\langle i,j \rangle, \sigma} (\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \text{h.c.}) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$

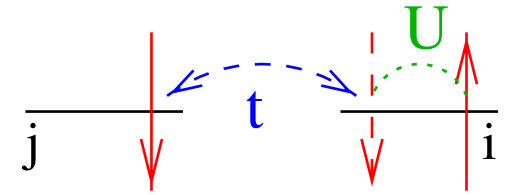


Minimal model for correlated electrons

MIT/crossover at $U/W \approx 1$ (and $n = 1$)



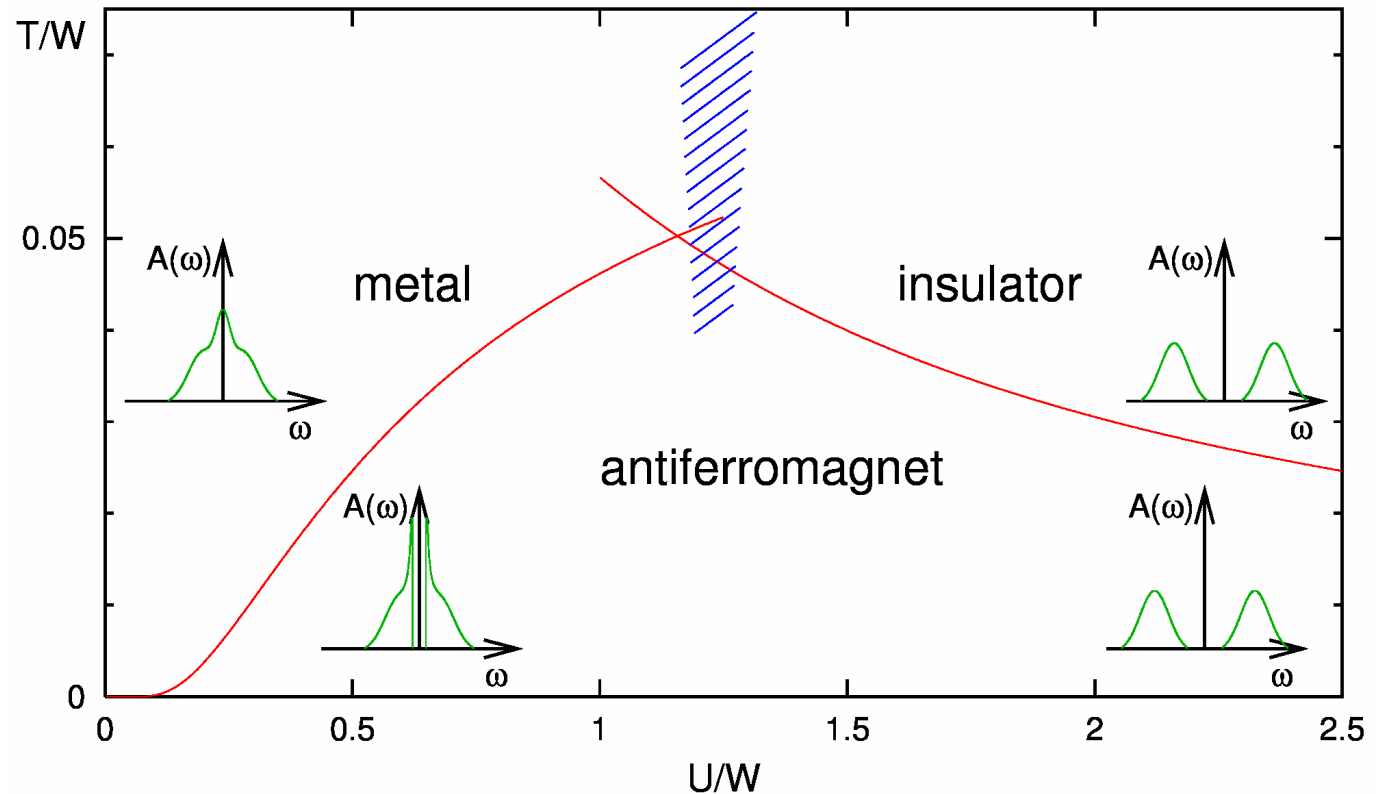
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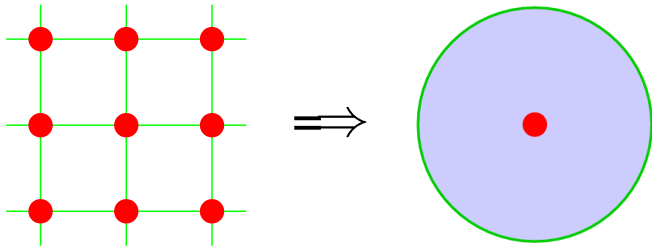
Generically antiferromagnetism at low T



Dynamical mean-field theory (DMFT)

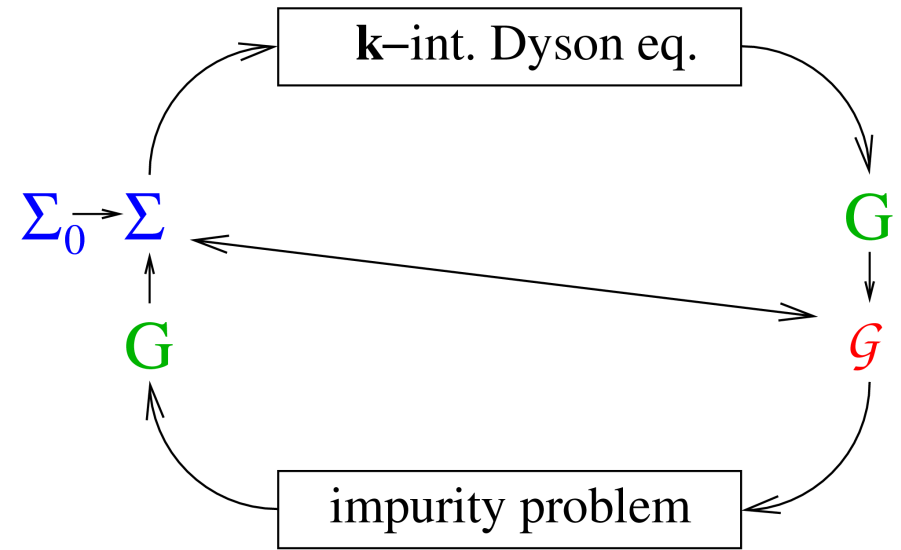
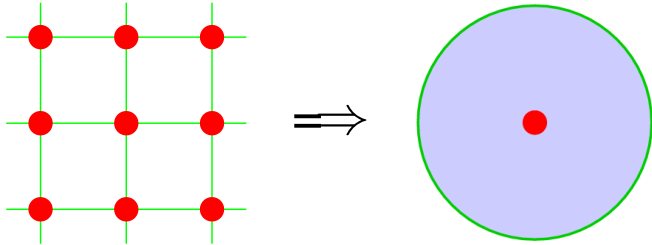
- local self-energy $\Sigma(\mathbf{k}, \omega) \equiv \Sigma(\omega)$
- mapping to impurity + self-consistency

[Metzner, Vollhardt; Georges, Kotliar; Jarrell]



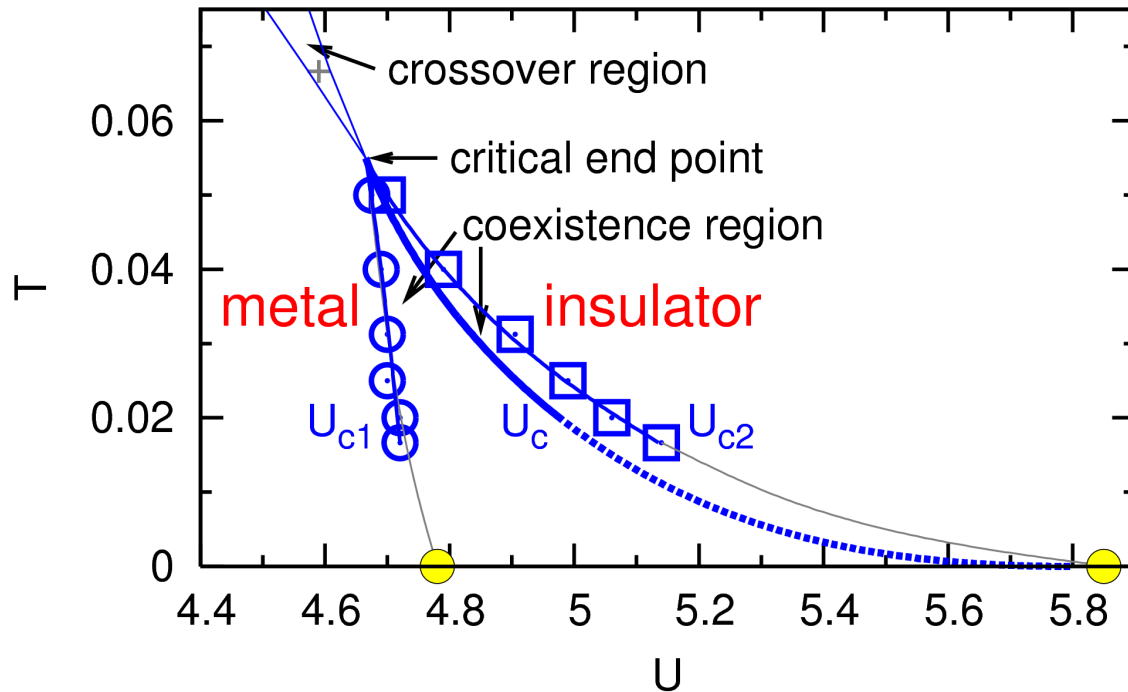
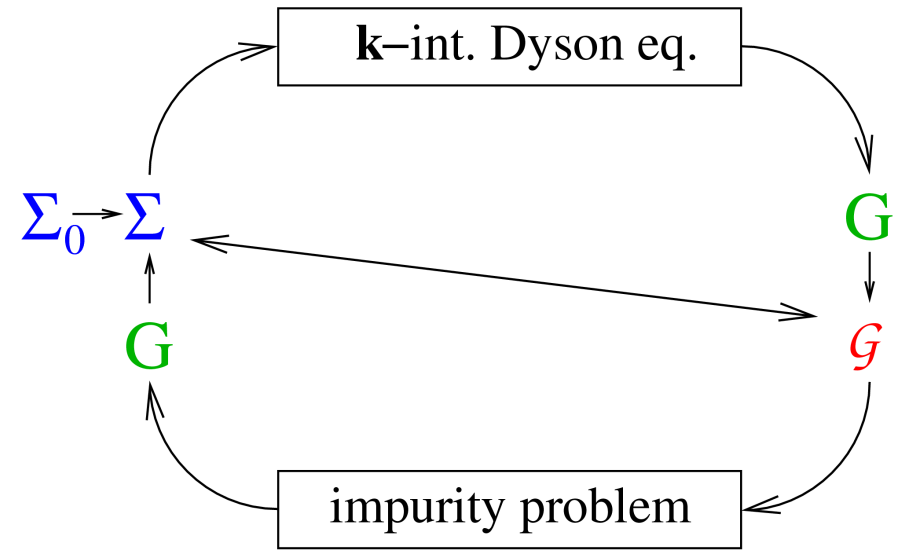
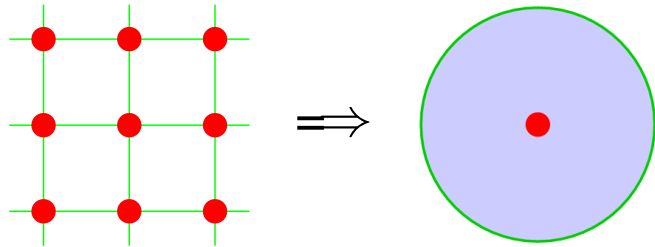
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- Georges and Krauth (1993)
- Rozenberg, Kotliar, Zhang (1994)
- Georges et al. (RMP, 1996)
- Schlipf et al. (1999)**
- Rozenberg, Chitra, Kotliar (1999)
- Krauth (2000)
- Bulla (1999, 2001)
- Joo, Oudovenko (2001)
- Tong (2001)
- Blümer (2000, 2002)**

Most results: HF-QMC

What has been achieved? What is new?

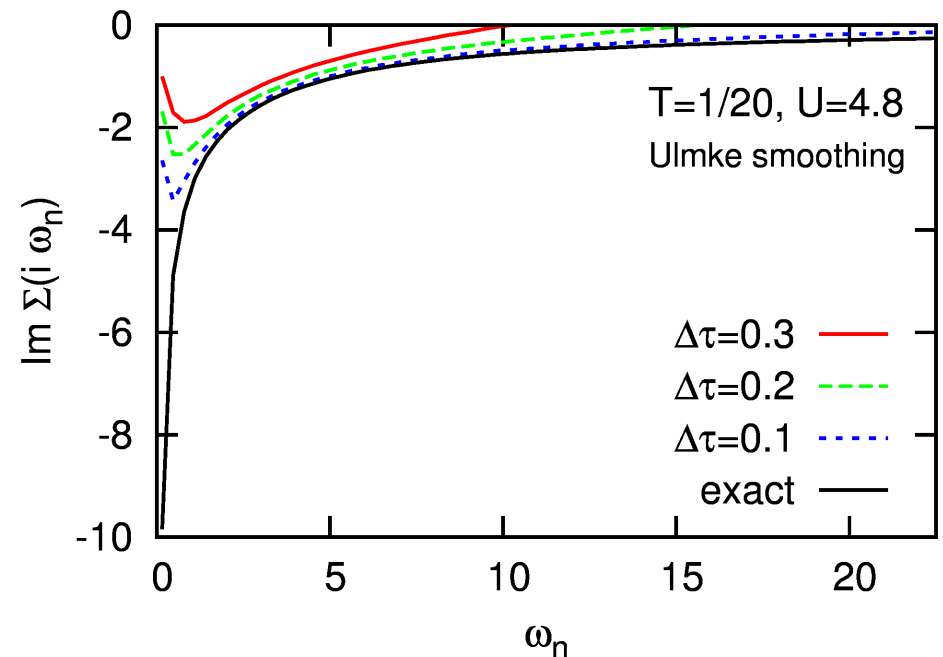
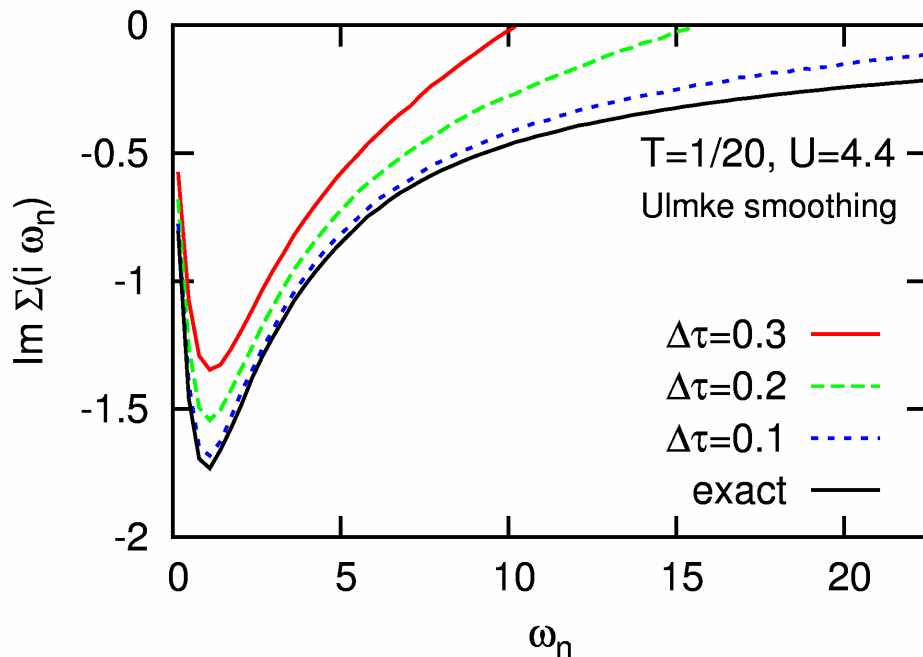
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(0) Prehistory (1992-2000): “Ulmke smoothing”

- uncontrolled bias: insulator looks metallic-like at attainable $\Delta\tau$



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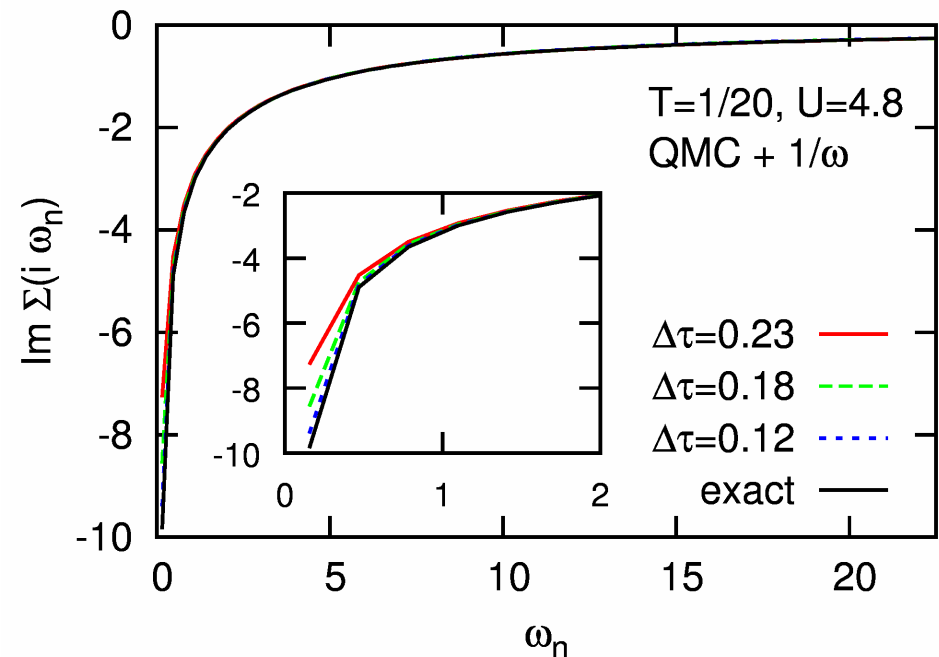
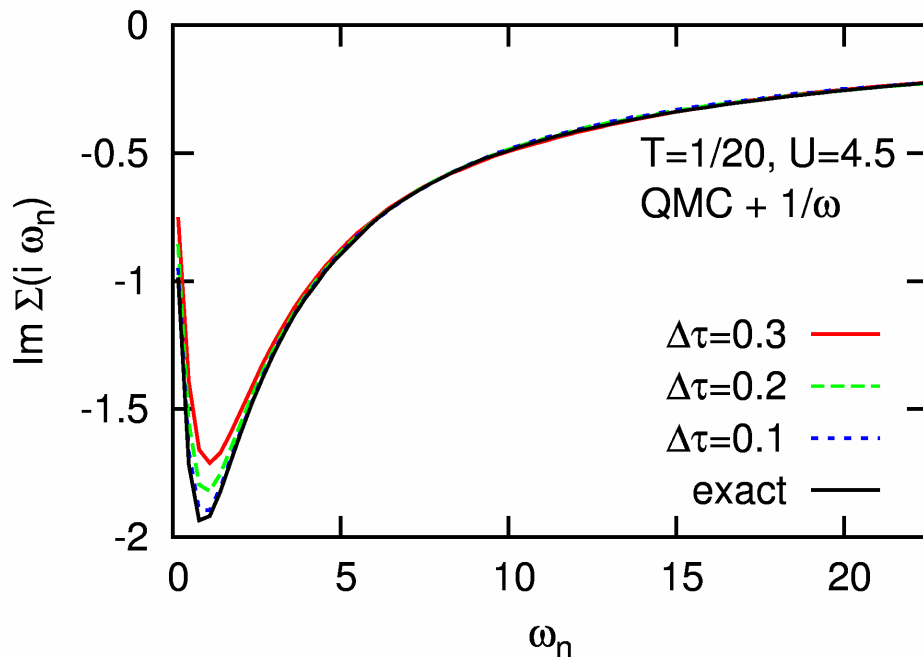
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(i) Precise (2002-2007): HF-QMC supplemented with large- ω expansion

- minimal + controlled bias of raw results
- $\Delta\tau$ -extrapolation \rightsquigarrow unbiased high-precision results in favorable cases



(ii) **Efficient:** (Comparisons between HF-QMC and continuous-time QMC methods)

- Troyer group HF-QMC implementation apparently inferior
- HF-QMC needs $\Delta\tau$ -extrapolation for high accuracy (and efficiency)
- HF-QMC is competitive with CT-QMC for all test cases, superior for energy

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- Unbiased quasi-continuous-time solution of DMFT self-consistency equations
- High precision/efficiency for all observables, even close to phase transitions
- Unbiased: systematic errors smaller than statistical errors

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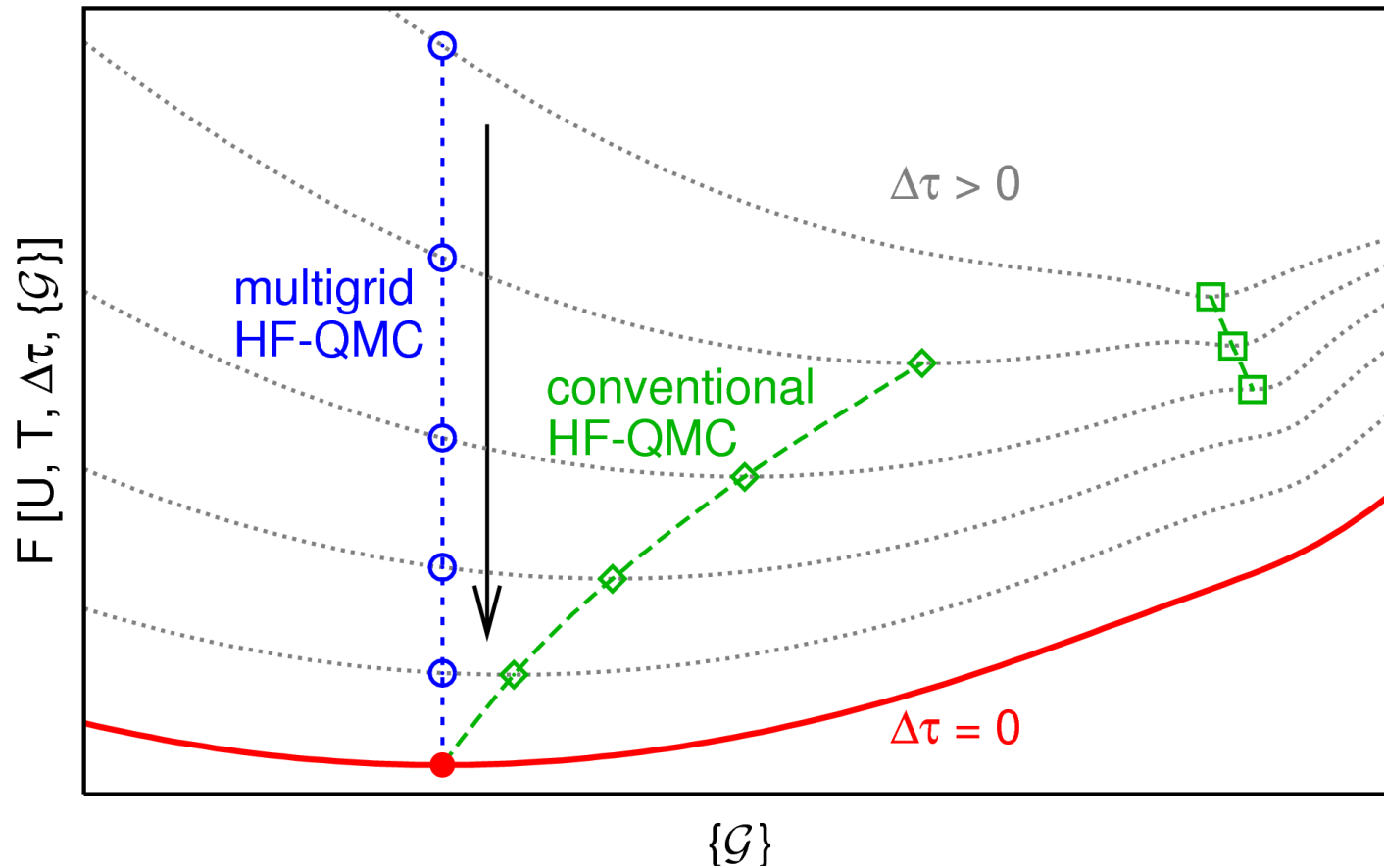
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- Significant progress since last talk:

	2/2008	5/2008	9/2008	10/2008
Precise for $M = 1, n = 1$	✓	✓	✓	✓
Unbiased for $M = 1, n = 1$	(✓)	?	✓	✓
Precise for $M > 1$			✓	✓
Precise at arbitrary filling				✓
Unbiased for $M > 1, n \neq M$				(✓)

Schematic comparison via generalized Ginzburg-Landau functionals



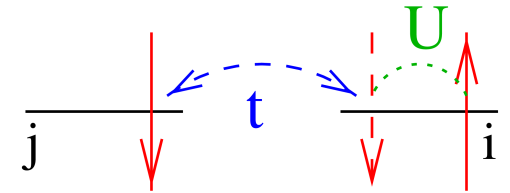
Conventional Hirsch-Fye QMC: DMFT fixed point shifts with $\Delta\tau$

Multigrid Hirsch-Fye QMC: DMFT iteration towards exact fixed point

Some more background

Hubbard model

(i) Single band:
$$\hat{H} = \sum_{(i,j),\sigma} t_{ij} (\hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \text{h.c.}) + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$



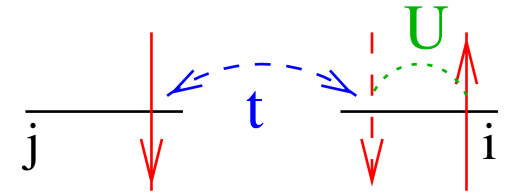
Captures important **strong-correlation phenomena**: Mott metal-insulator transition, (anti-) ferromagnetism, heavy fermions, high- T_c superconductivity (?), . . .

Few parameters: interaction U/W , temperature T/W , filling n , dispersion $\epsilon_{\mathbf{k}}$

Some more background

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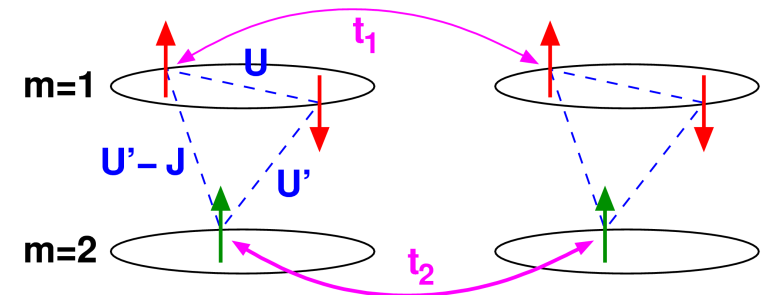
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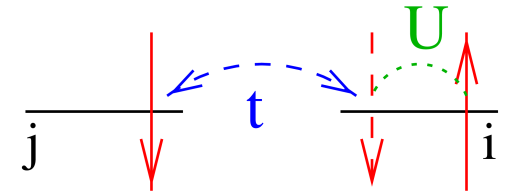
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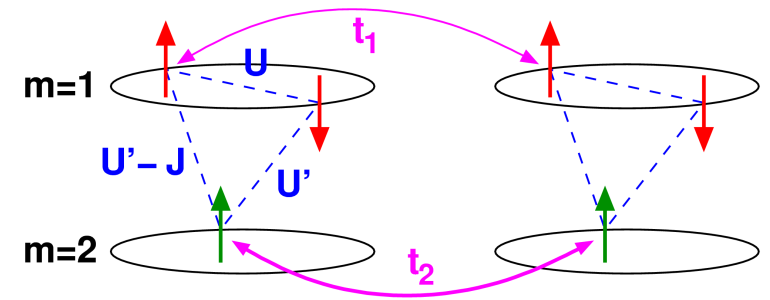


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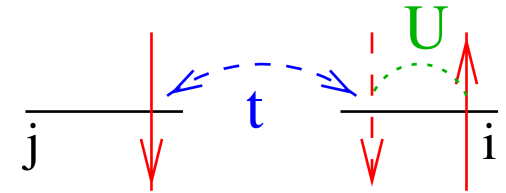
$$H = \sum_{m=1}^2 \left[- \sum_{\langle ij \rangle \sigma} t_m c_{im\sigma}^\dagger c_{jm\sigma} + U \sum_i n_{im\uparrow} n_{im\downarrow} \right] + \sum_{i\sigma\sigma'} (U' - \delta_{\sigma\sigma'} J_z) n_{i1\sigma} n_{i2\sigma'} + \frac{1}{2} J_\perp \sum_{i\sigma} \left[c_{i1\sigma}^\dagger \left(c_{i2\bar{\sigma}}^\dagger c_{i1\bar{\sigma}} + c_{i1\bar{\sigma}}^\dagger c_{i2\bar{\sigma}} \right) c_{i2\sigma} + \text{h.c.} \right]$$



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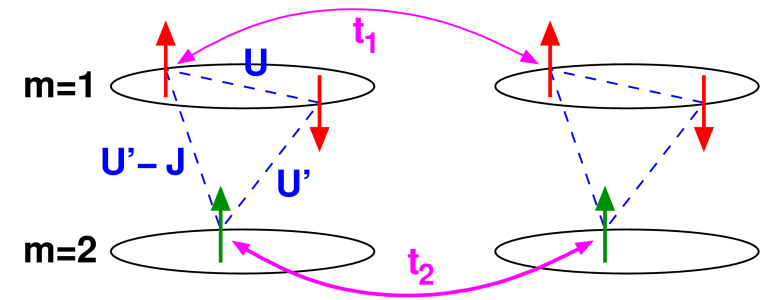


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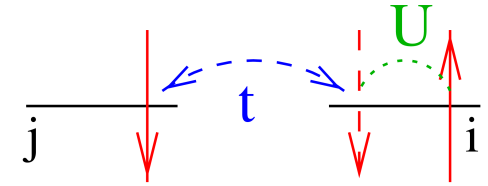
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More complexity, more realistic: OSMT, spin+orbital order, LDA+DMFT, ...

Approaches for Hubbard-type models

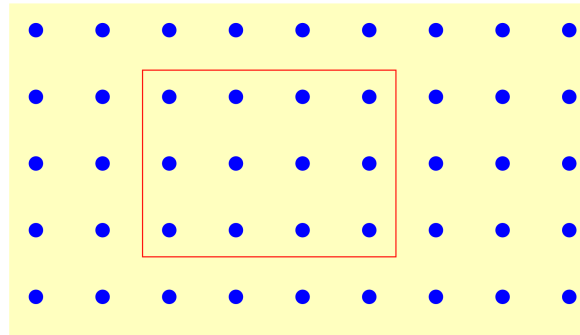
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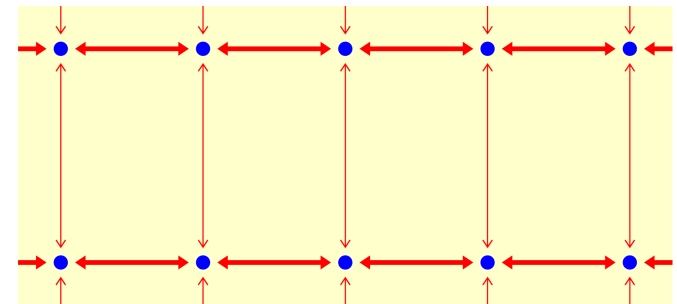
Perturbation theory

- $U \rightarrow 0$: Hartree-Fock
2nd order PT,
- $t/U \rightarrow 0$ (for $n = 1$)
 \rightsquigarrow Heisenberg model

finite clusters: ED, QMC

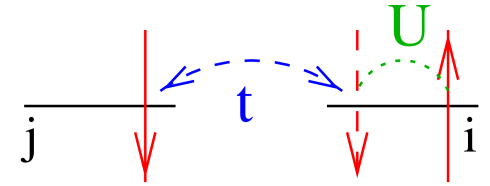


$d \rightarrow 1$: Bethe ansatz, DMRG



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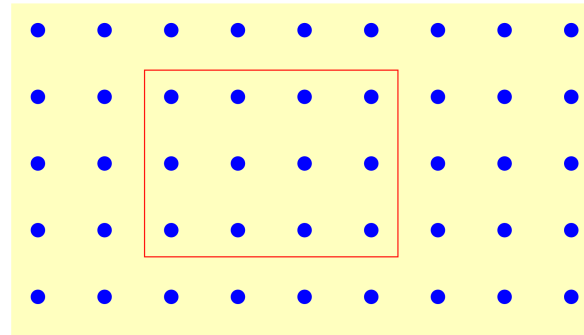
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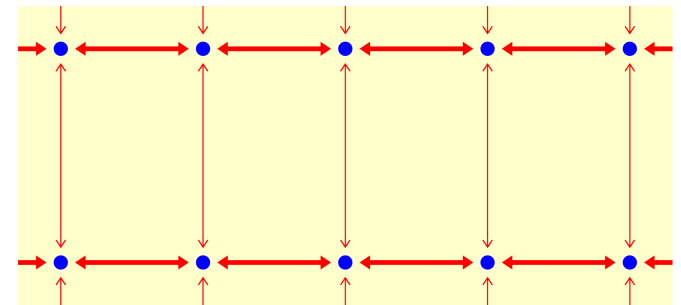
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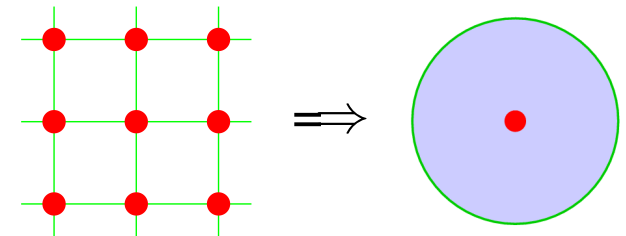
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Dynamical mean-field theory (DMFT): local self-energy $\Sigma(\mathbf{k}, \omega) \equiv \Sigma(\omega)$

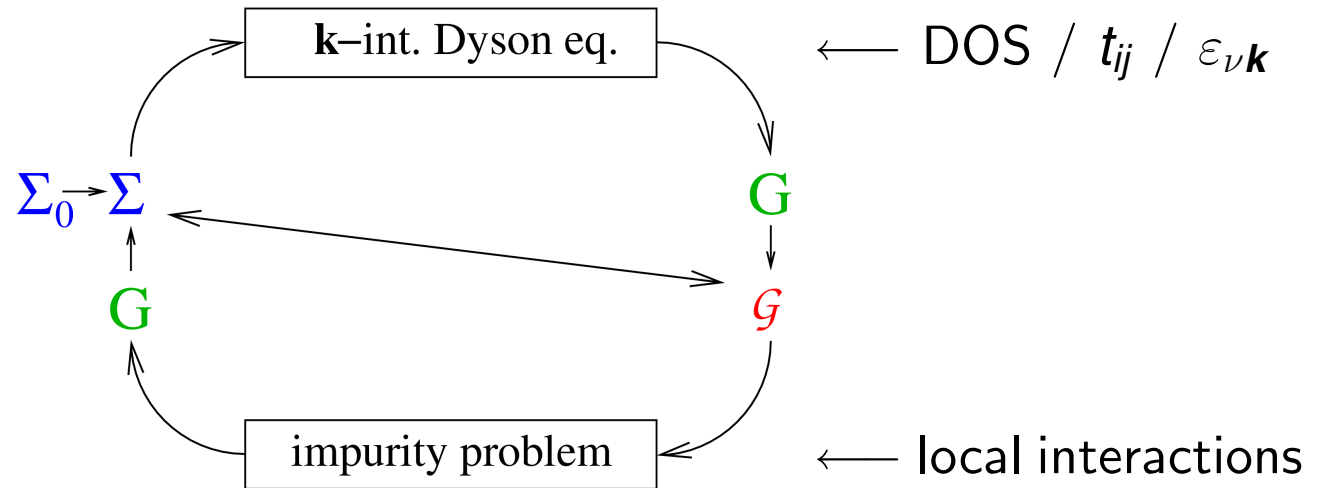
[Metzner, Vollhardt, PRL (1989), Georges, Kotliar, PRL (1992), Jarrell, PRL (1992)]

- + non-perturbative \rightsquigarrow valid at MIT
- + dynamical on-site correlations preserved
- + in thermodynamic limit \rightsquigarrow resolves MIT
- +/- exact for coordination $Z \rightarrow \infty$



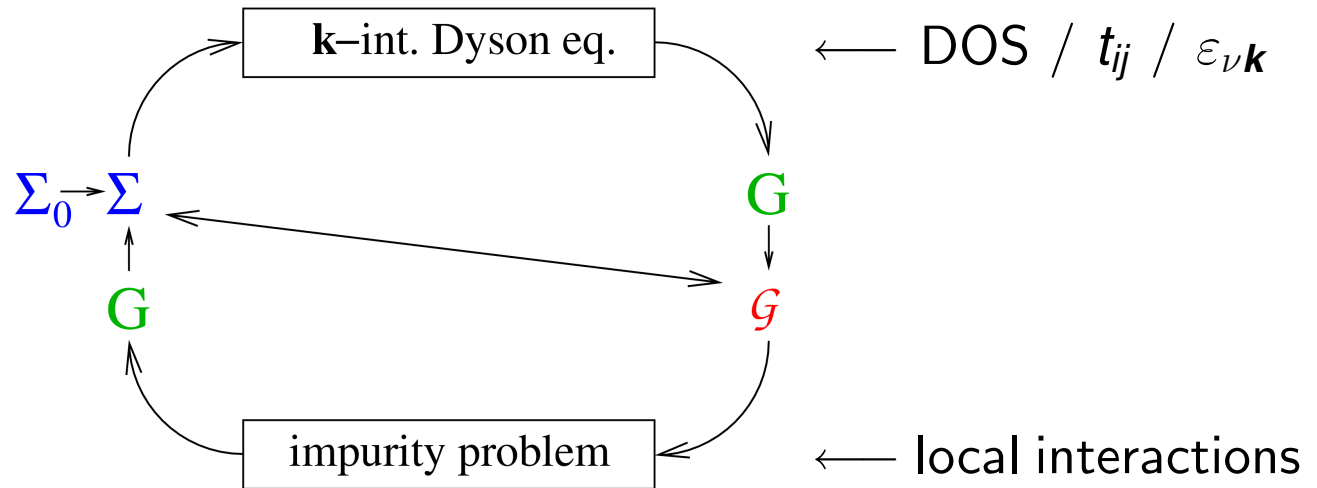
Iterative solution of DMFT equations

0. Initialize self-energy
1. Solve Dyson equation
2. Solve **single impurity Anderson model (SIAM)**



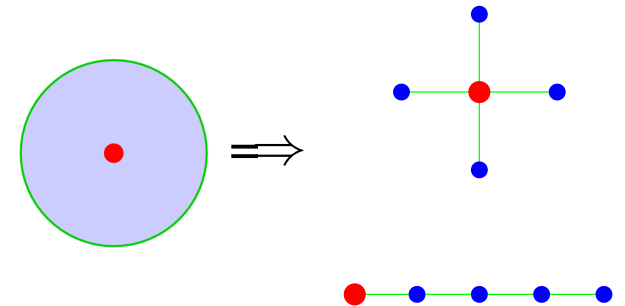
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Impurity solver:

- Iterative perturbation theory (IPT; not controlled)
- **Quantum Monte Carlo (QMC)**
- Exact diagonalization (ED; large finite-size errors)
- Numerical renormalization group (NRG; 1-2 bands)
- Density matrix renormalization group (DMRG)
- Self-energy functional theory (SFT) + ED



Auxiliary-field QMC algorithm [Hirsch, Fye (1986)]

Green function G in imaginary time (fermionic Grassmann variables ψ, ψ^*):

$$G_{\sigma}(\tau_2 - \tau_1) = \frac{1}{Z} \int \mathcal{D}[\psi] \mathcal{D}[\psi^*] \psi_{\sigma}(\tau_1) \psi_{\sigma}^*(\tau_2) \exp \left[\mathcal{A}_0 - U \sum_{\sigma\sigma'} \int_0^{\beta} d\tau \psi_{\sigma}^* \psi_{\sigma} \psi_{\sigma'}^* \psi_{\sigma'} \right]$$

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- Discretization $\beta = \Lambda \Delta\tau$,
- Trotter decoupling $e^{-\beta(\hat{T}+\hat{V})} = \lim_{\Lambda \rightarrow \infty} [e^{-\Delta\tau \hat{T}} e^{-\Delta\tau \hat{V}}]^{\Lambda}$

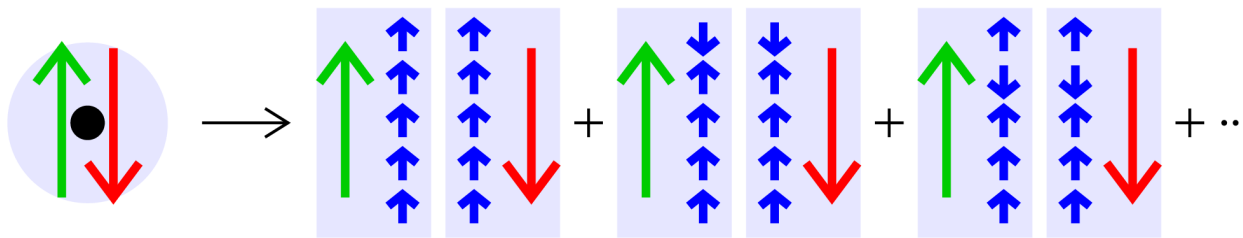
Auxiliary-field QMC algorithm [Hirsch, Fye (1986)]

Green function G in imaginary time (fermionic Grassmann variables ψ, ψ^*):

$$G_{\sigma}(\tau_2 - \tau_1) = \frac{1}{Z} \int \mathcal{D}[\psi] \mathcal{D}[\psi^*] \psi_{\sigma}(\tau_1) \psi_{\sigma}^*(\tau_2) \exp \left[\mathcal{A}_0 - U \sum_{\sigma\sigma'} \int_0^{\beta} d\tau \psi_{\sigma}^* \psi_{\sigma} \psi_{\sigma'}^* \psi_{\sigma'} \right]$$

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Wick theorem:

$$G = \frac{\sum M \det\{M\}}{\sum \det\{M\}}$$

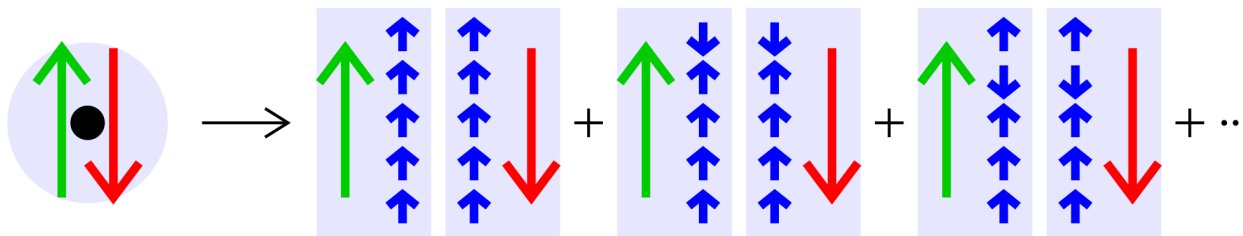
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Metropolis MC importance sampling over auxiliary Ising field $\{s\}$: 2^{Λ} configurations

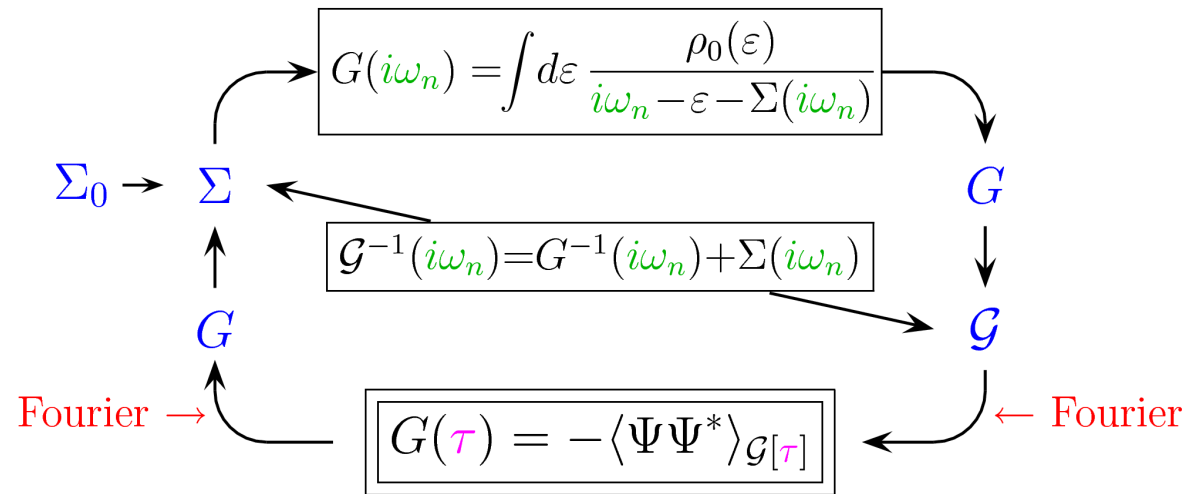
+ numerically exact, + no sign problem (density-type int.), – effort scales as T^{-3}

How does it work? Why?

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(i) Fourier trafos in DMFT-QMC cycle

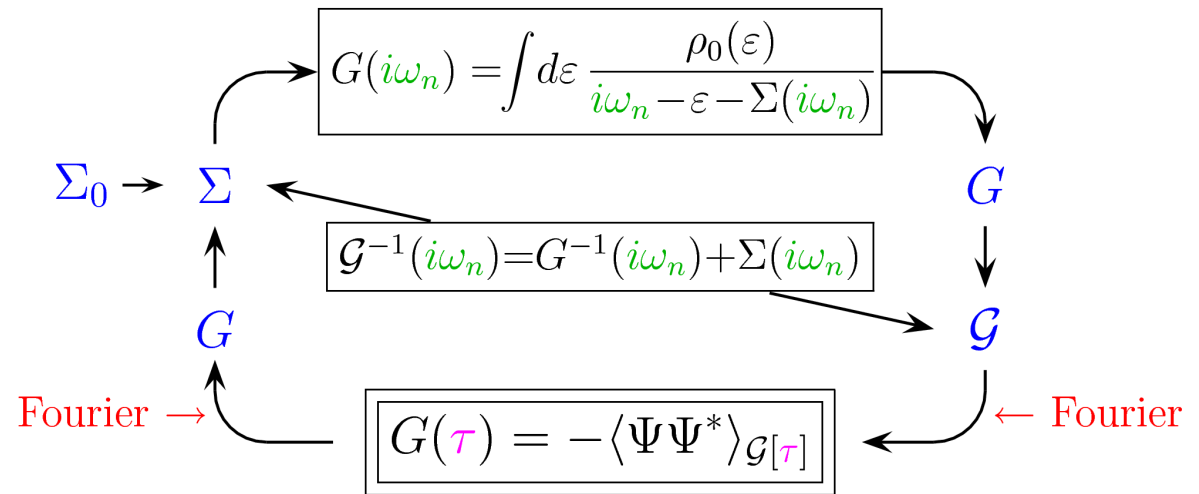
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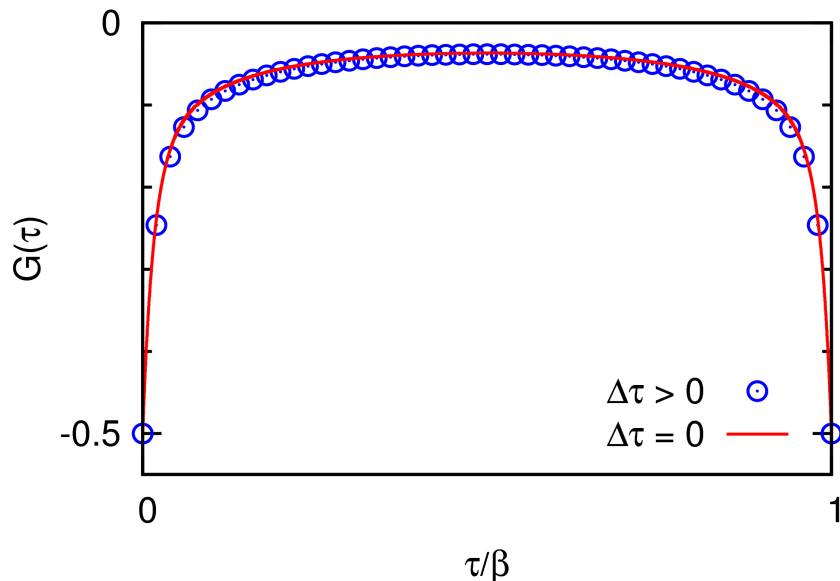
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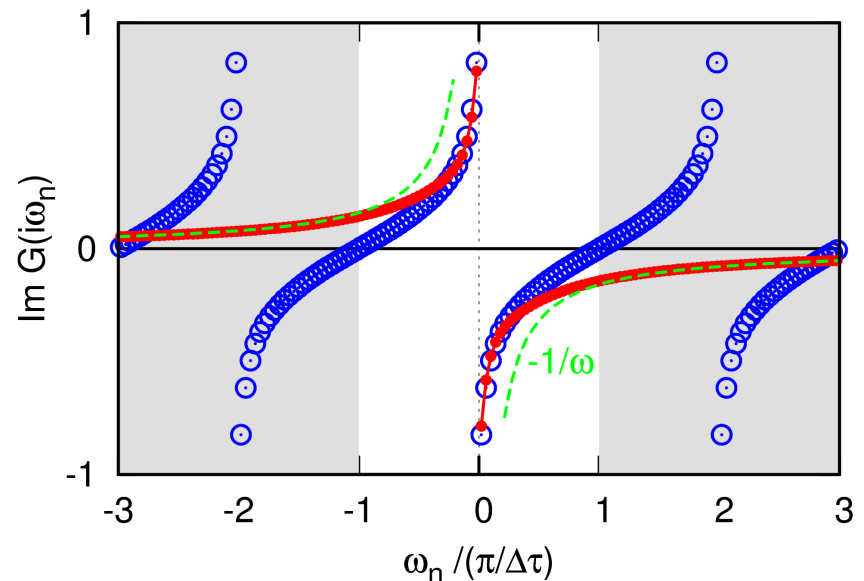
Iterative solution of DMFT equations (for imaginary-time impurity solver)



Naive discrete Fourier transformation \rightsquigarrow oscillations (instead of $G(\omega) \xrightarrow{\omega \rightarrow \infty} 1/\omega$)



naive FT
→



Possible solution: interpolate $G_{\text{QMC}}(\tau)$, e.g., by cubic splines [Jarrell, Krauth, Gull, . . .]

But: $\frac{d^2 G(\tau)}{d\tau^2}$ maximal for $\tau \rightarrow 0, \beta \rightsquigarrow$ natural boundary conditions inappropriate

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[Oudovenko]

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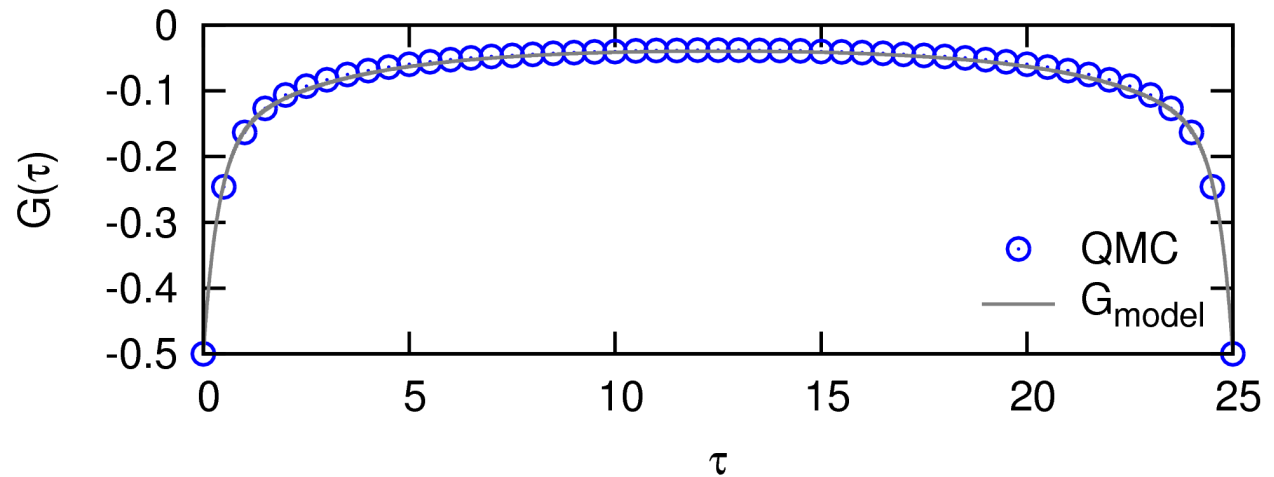
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$$\Sigma_{\sigma}(\omega) = U\left(\langle \hat{n}_{-\sigma} \rangle - \frac{1}{2}\right) \omega^0 + U^2 \langle \hat{n}_{-\sigma} \rangle (1 - \langle \hat{n}_{-\sigma} \rangle) \omega^{-1} + \mathcal{O}(\omega^{-2})$$

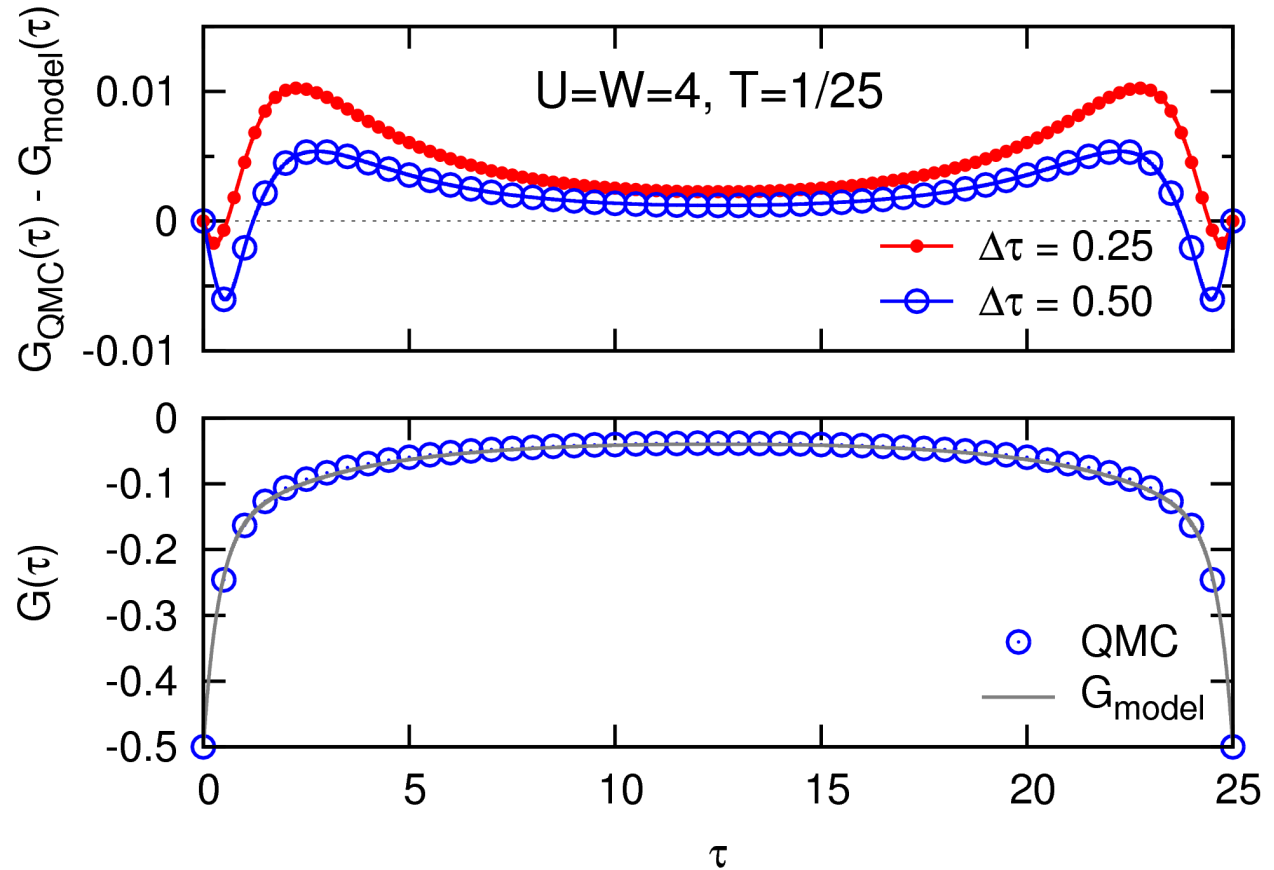
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multi-band case:
additional terms

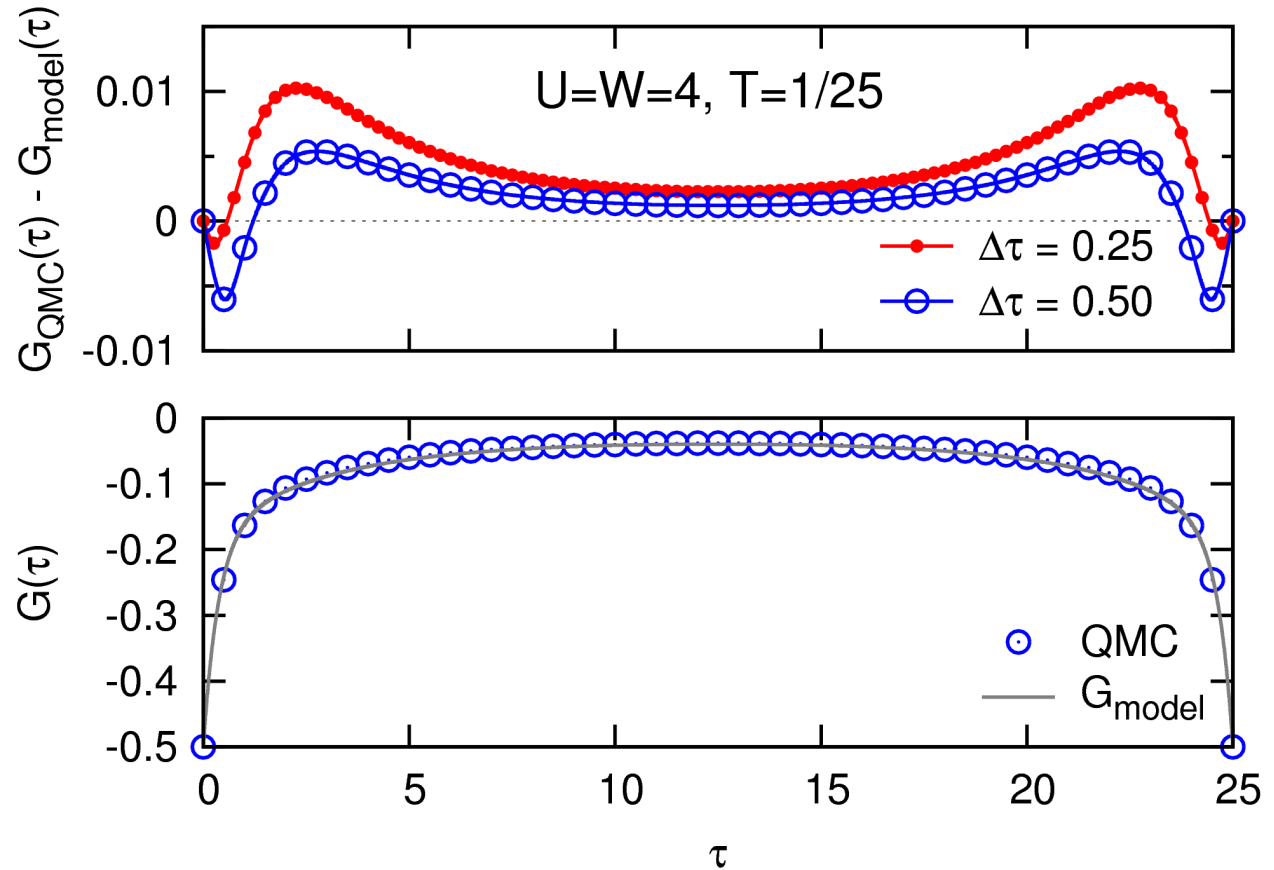
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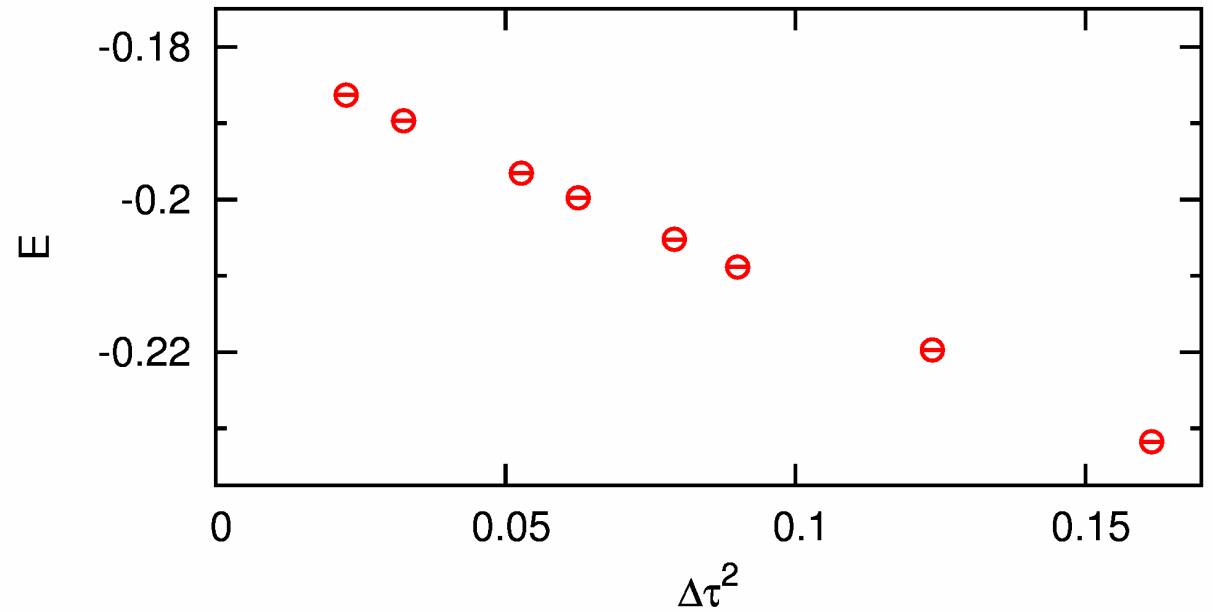
multi-band case:
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Current project: replace spline interpolation by smooth fit!

Extrapolation $\Delta\tau \rightarrow 0$
can improve accuracy
of observable estimates
by several orders of
magnitude (\sim same cost)

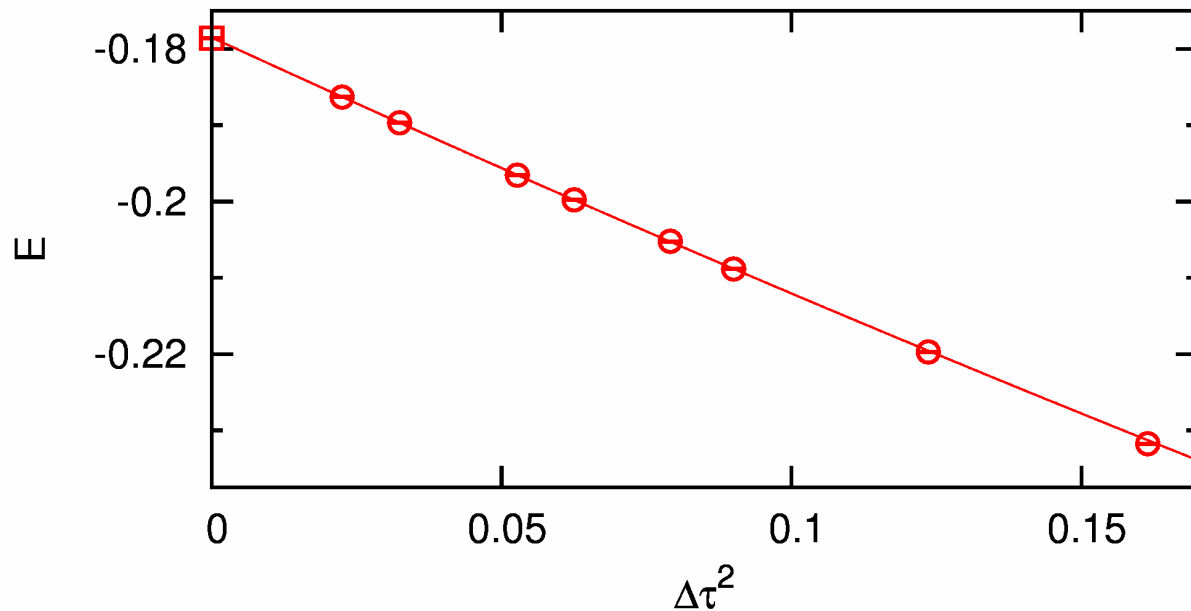
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Example: energy E for
 $U = W = 4$ (Bethe
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[NB, PRB (2007)]

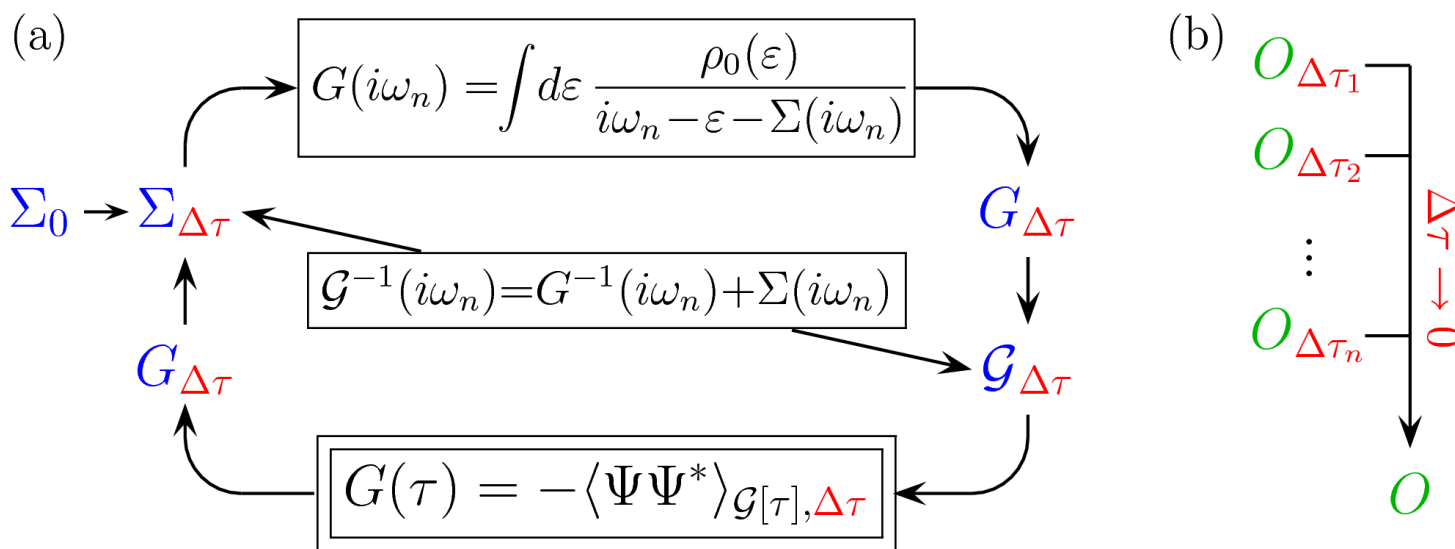


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Self-consistency
 cycle using
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 HF-QMC

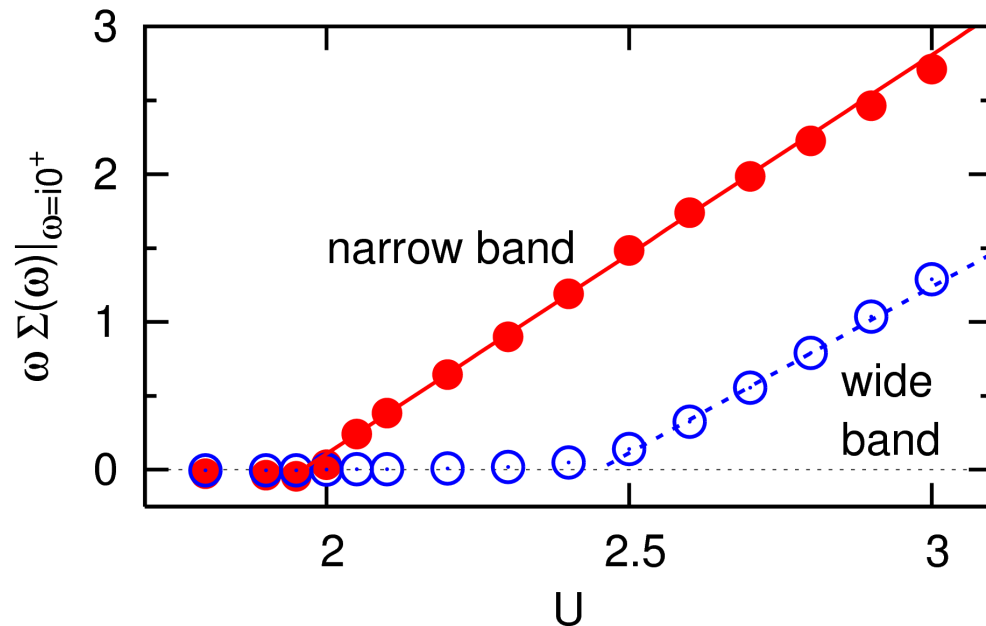


Selected applications

High-precision calculations for 1-band model, extrapolation $T \rightarrow 0$:

benchmark results (E , D , Z) unmatched by ED, DMRG, PQMC, NRG
revealed errors in weak-coupling expansions

Orbital-selective Mott transitions in 2-band model



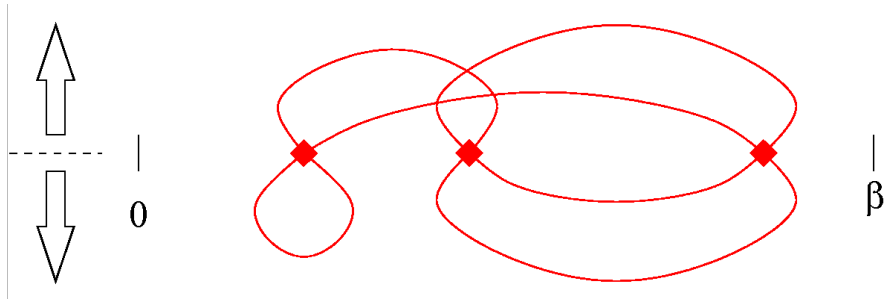
[C. Knecht, NB, and P.G.J. van Dongen, *PRB* 72, 081103(R) (2005)]

Efficiency of QMC DMFT solvers

New development: continuous-time QMC algorithms

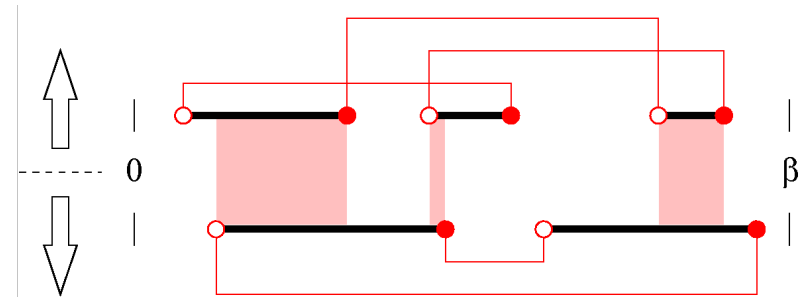
1. weak-coupling expansion

[Rubtsov, Savkin, Lichtenstein, PRB (2005)]



2. hybridization expansion

[Werner et al., PRL (2006)]

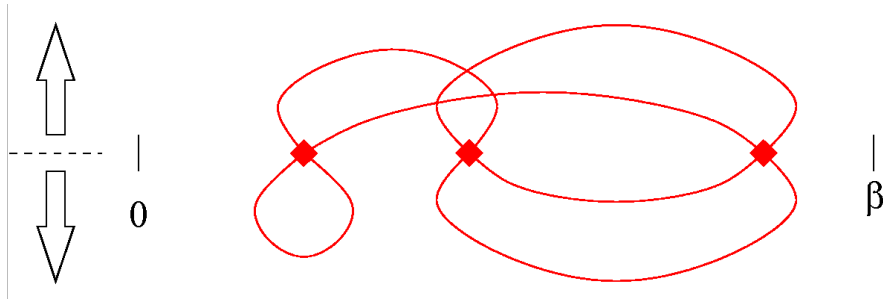


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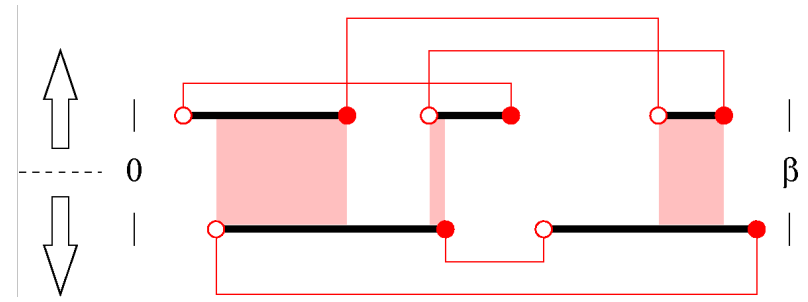
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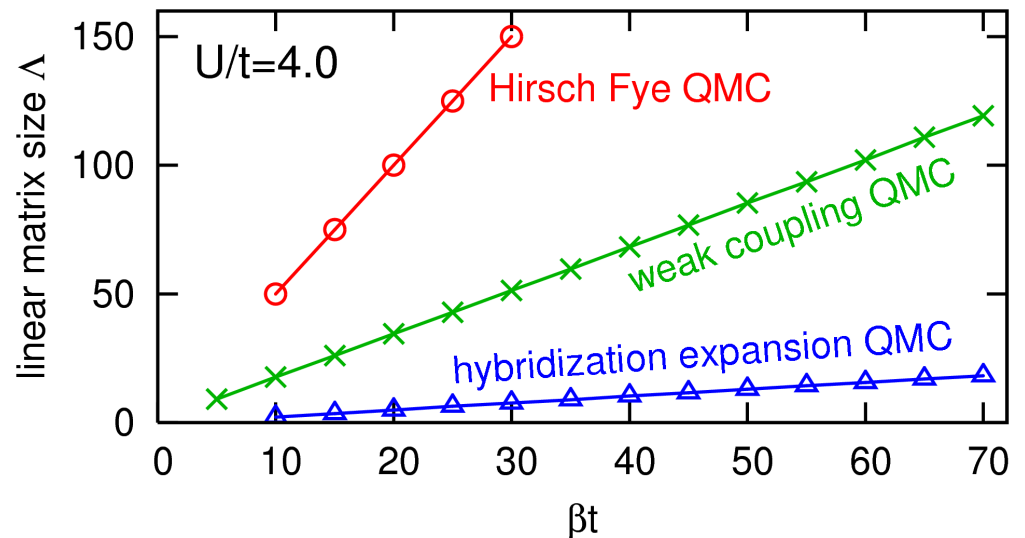
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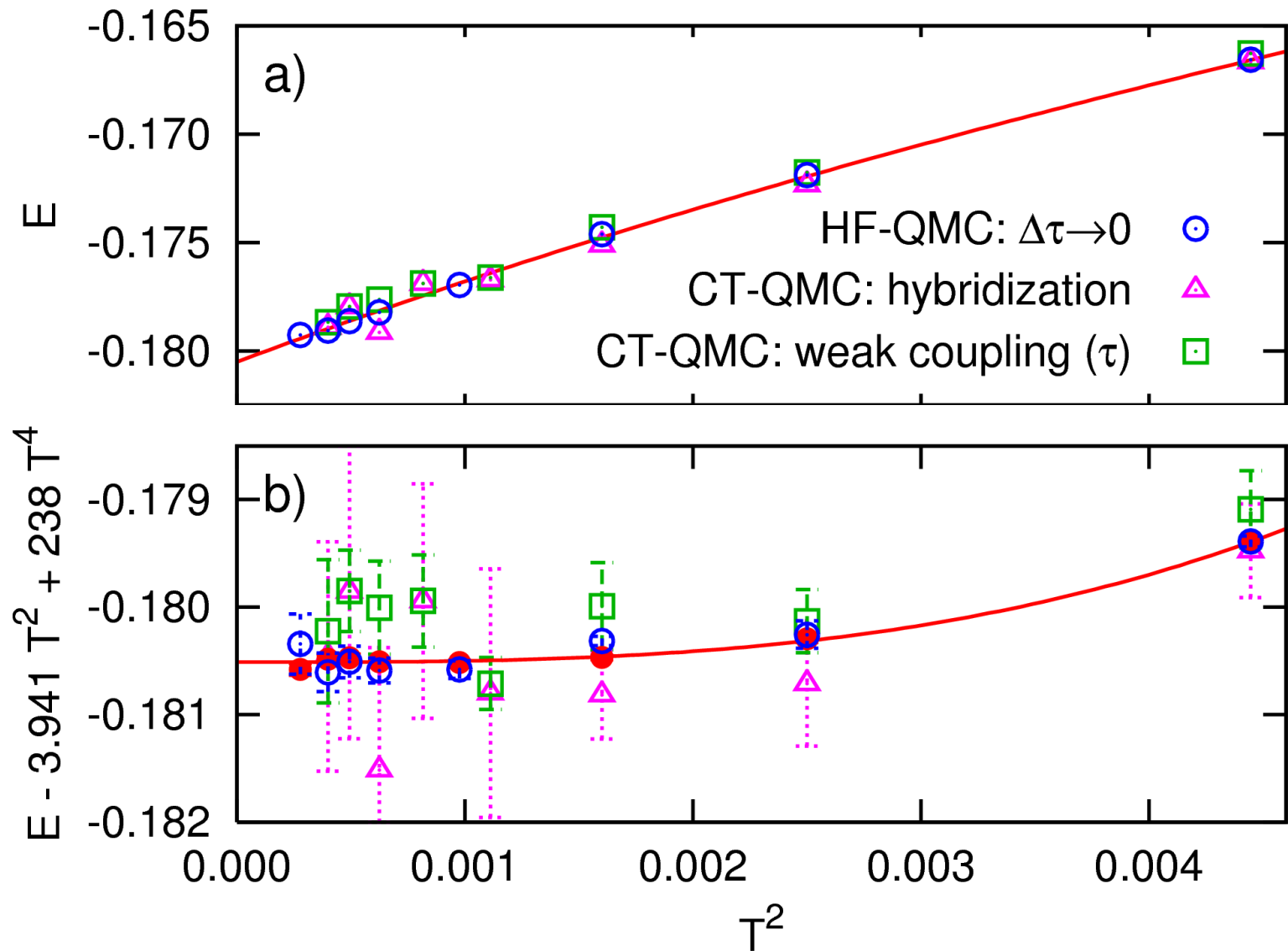


CT-QMC methods: smaller matrices

All QMC methods: effort $\propto \Lambda^3$

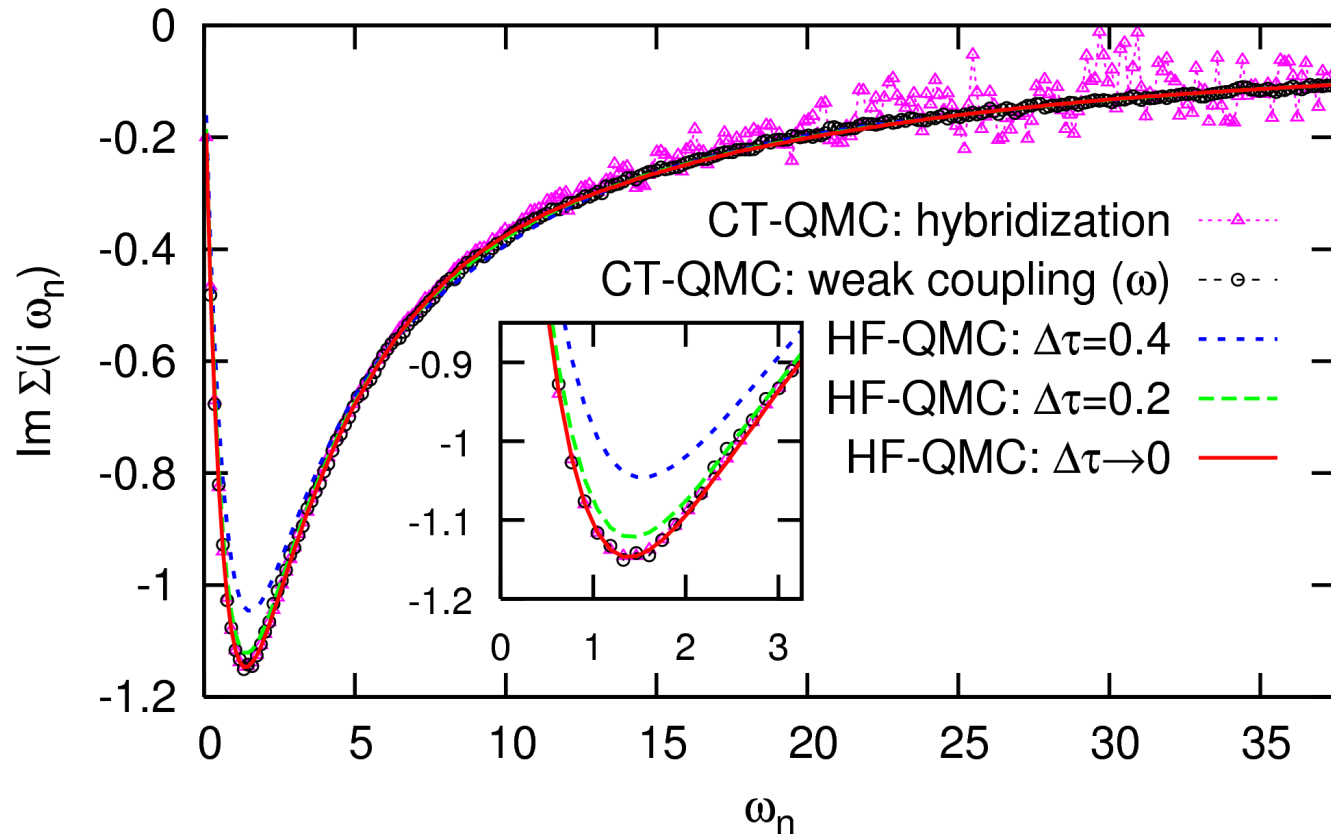


Comparisons at constant CPU time: total energy (at $U = W = 4$)



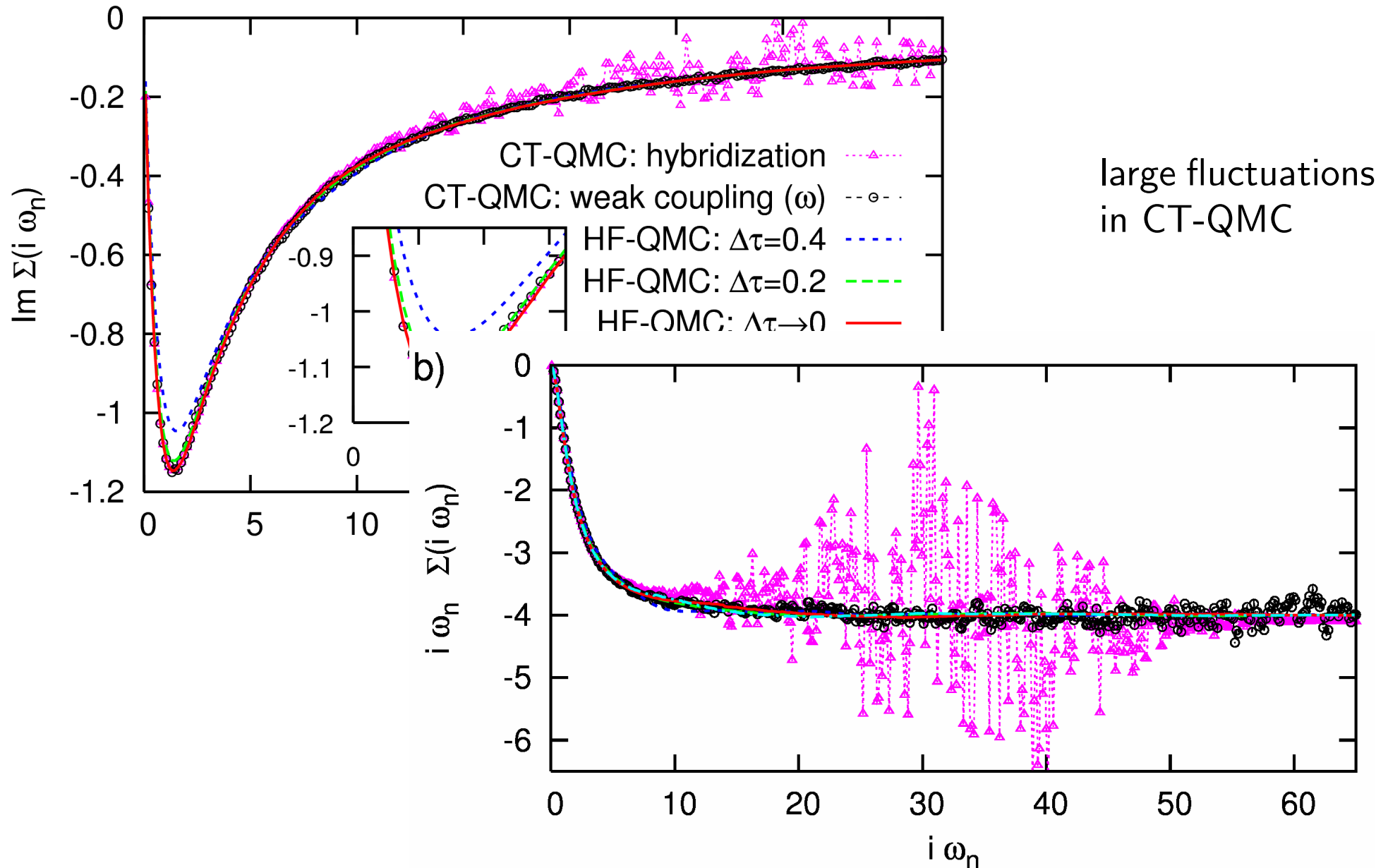
HF-QMC more efficient (higher precision at same cost) [NB, PRB 76, 205120 (2007)]

Comparison of self energies (at $U = W = 4$)



large fluctuations
in CT-QMC

Comparison of self energies (at $U = W = 4$)



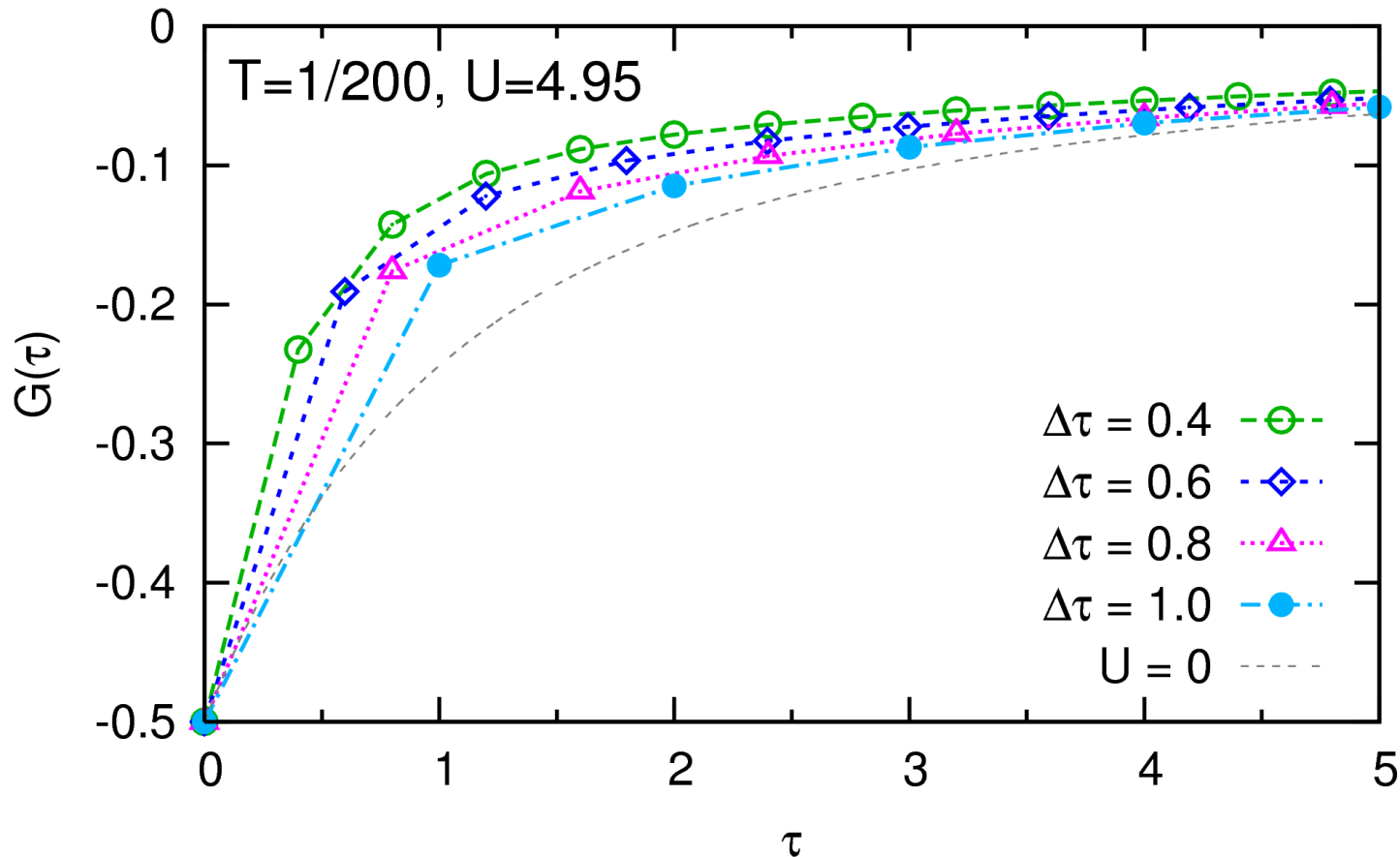
Unbiased Green functions and spectra from HF-QMC

State of the art: analytic continuation (using MEM) of imaginary-time
Green function at fixed finite (often large) $\Delta\tau \rightsquigarrow$ bias

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Reason: no obvious extrapolation scheme for $G(\tau)$

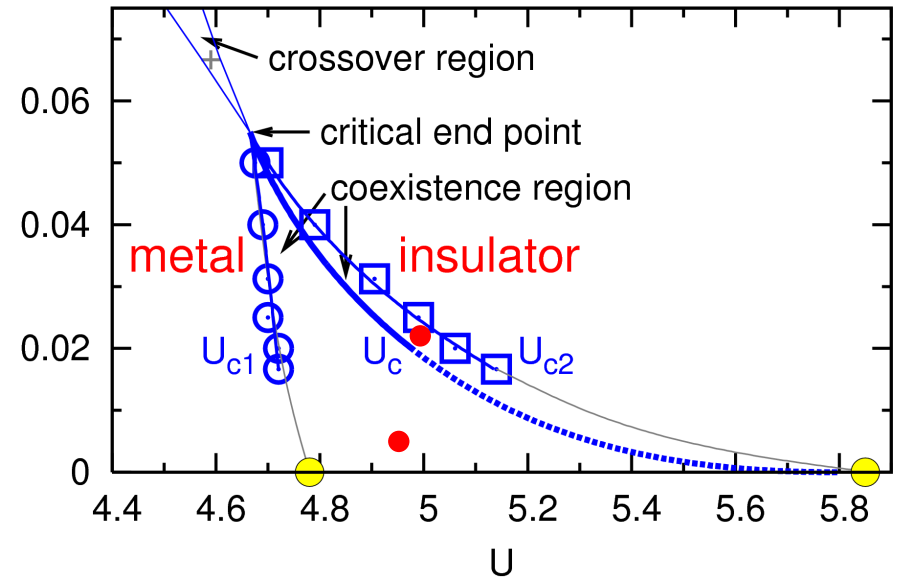
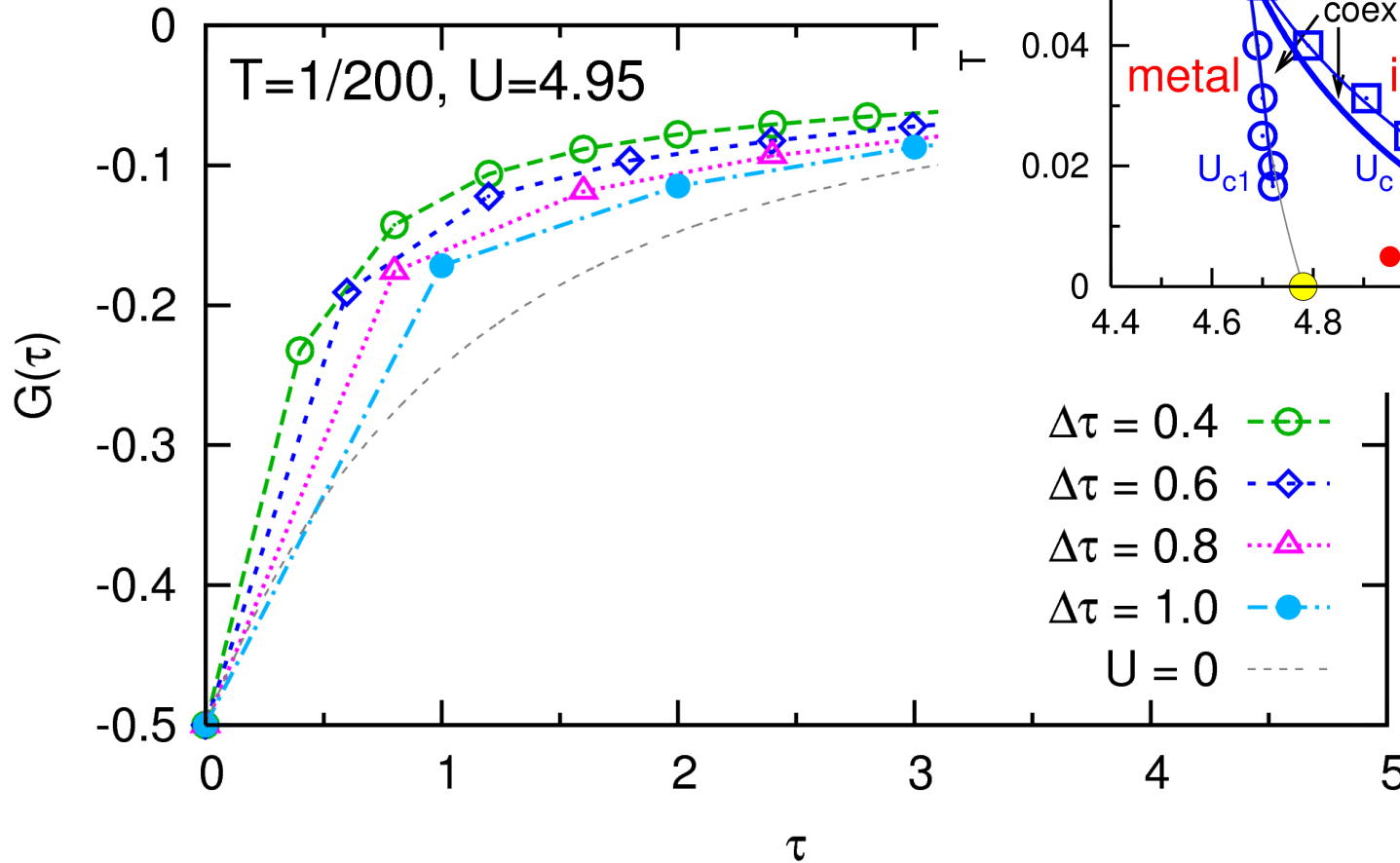


Low temperature (“beyond HF-QMC”): large $\Delta\tau \rightsquigarrow$ large biases [NB, arXiv:0712.1290]

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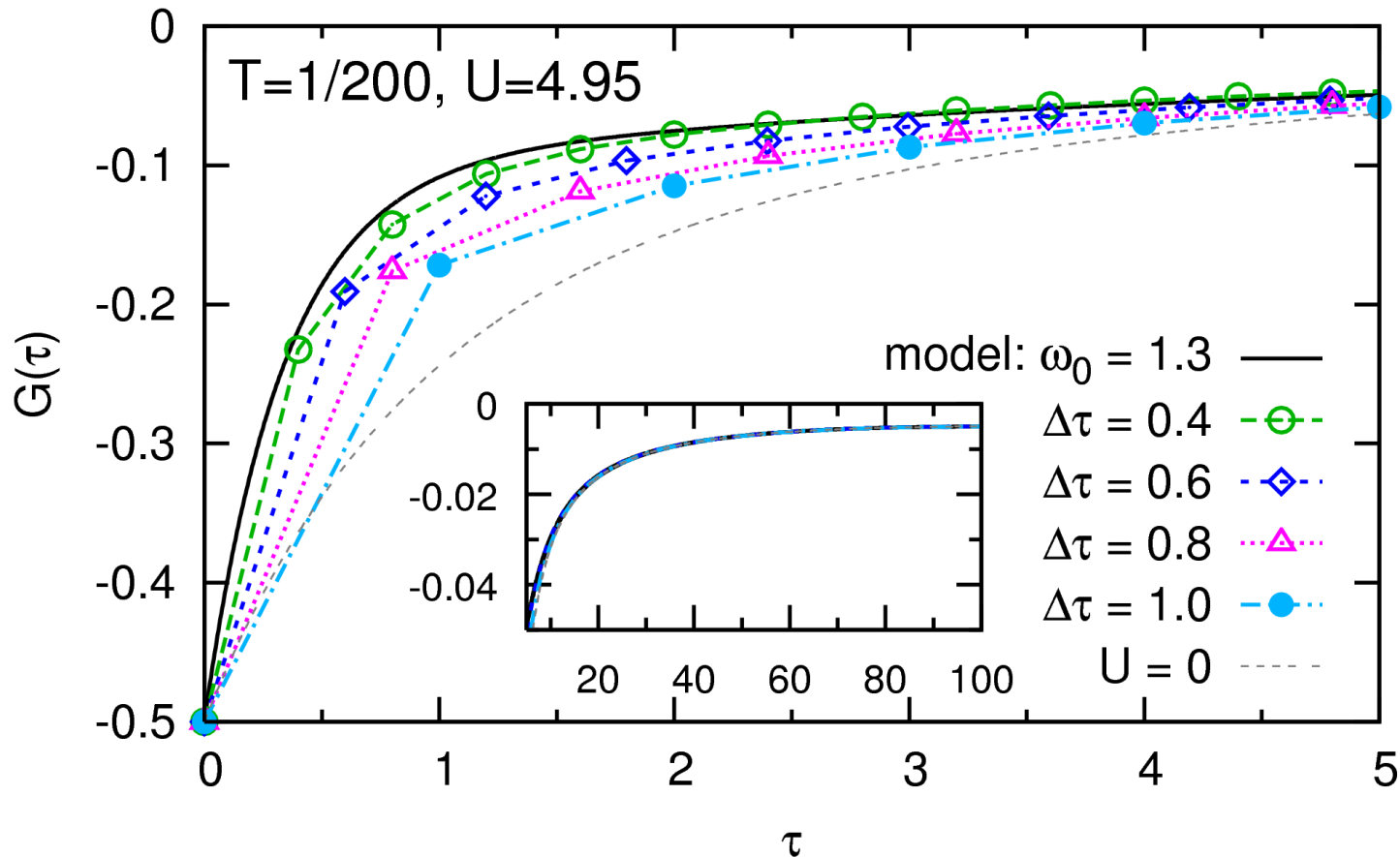


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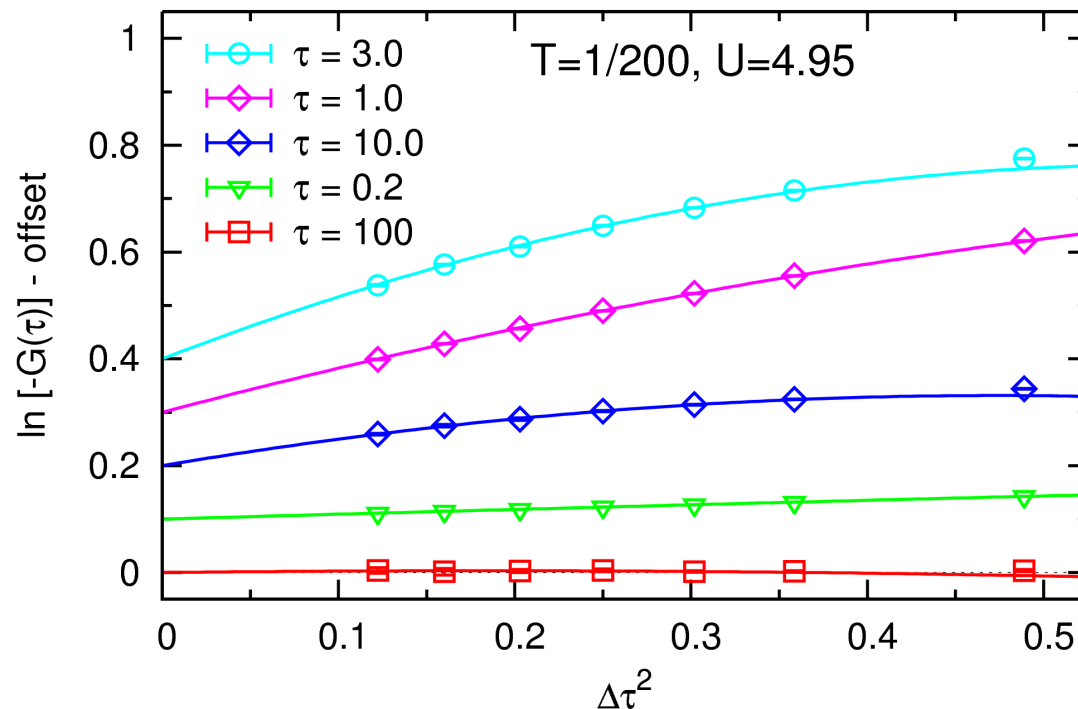
New Green function extrapolation scheme

- For each $\Delta\tau$:
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 - average $\log[-G_{\Delta\tau}(\tau)]$ over iterations (\sim geometric av. for $G_{\Delta\tau}(\tau)$)
 - interpolate via difference Green function $G_{\Delta\tau}(\tau) - G_{\text{model}}(\tau)$

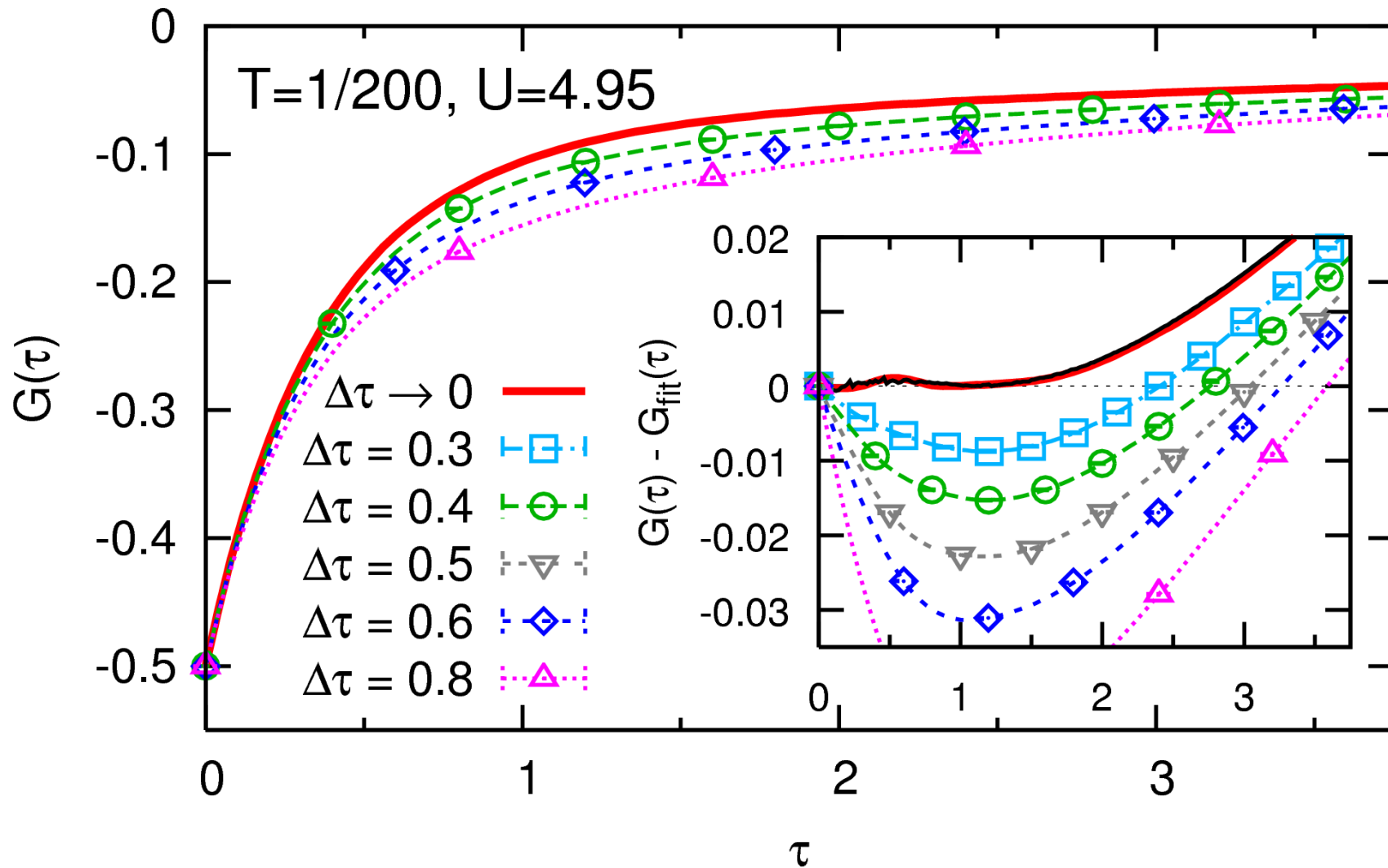
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- Extrapolate $\log[-G(\tau)]$ using cubic least-squares fits, overweighting low $\Delta\tau$



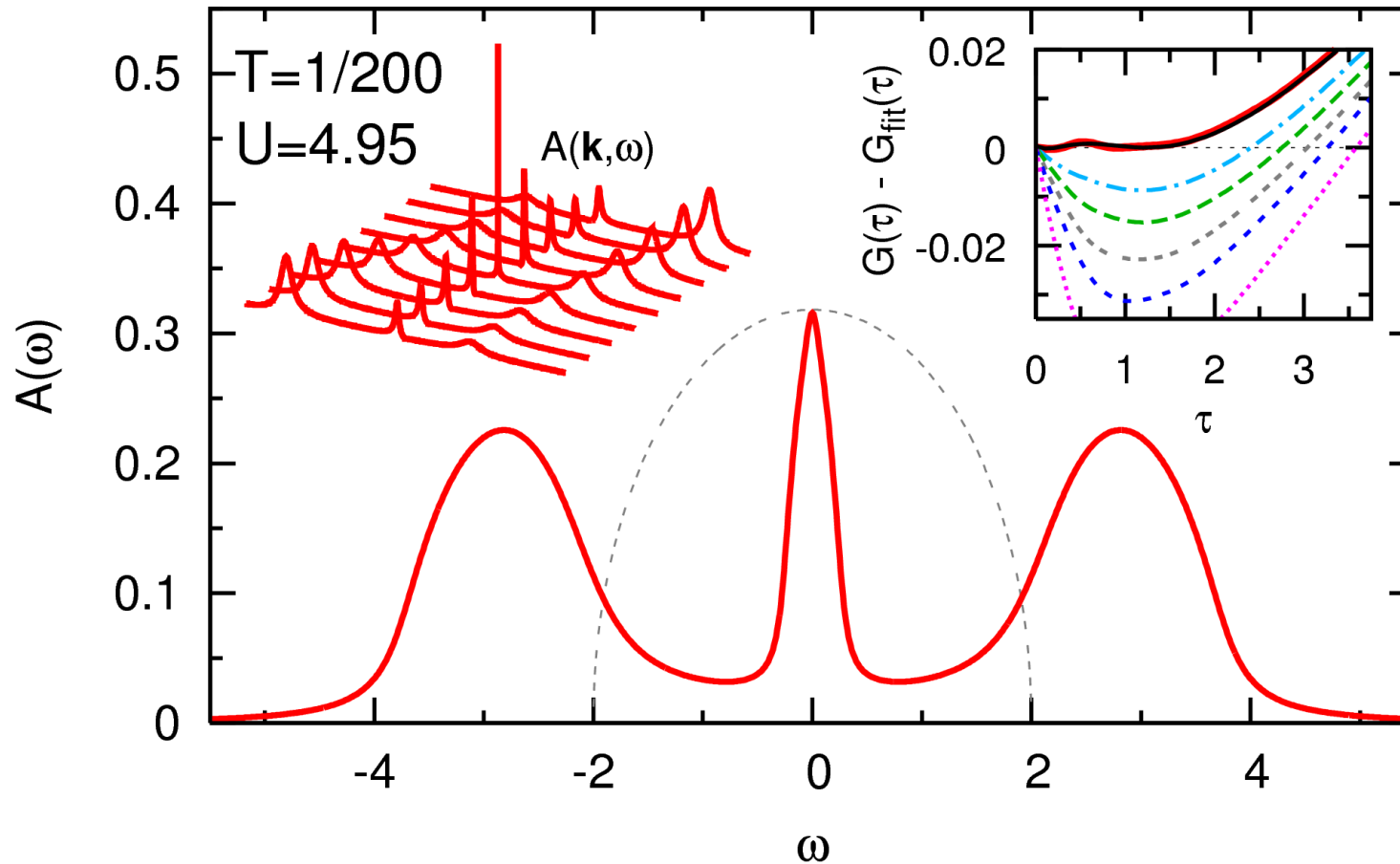
Result: unbiased, numerically exact Green function



[NB, arXiv:0712.1290]

Excellent agreement with hybridization expansion CT-QMC [Werner et al., PRL (2006)]

Analytic continuation using Padé approximant for self-energy



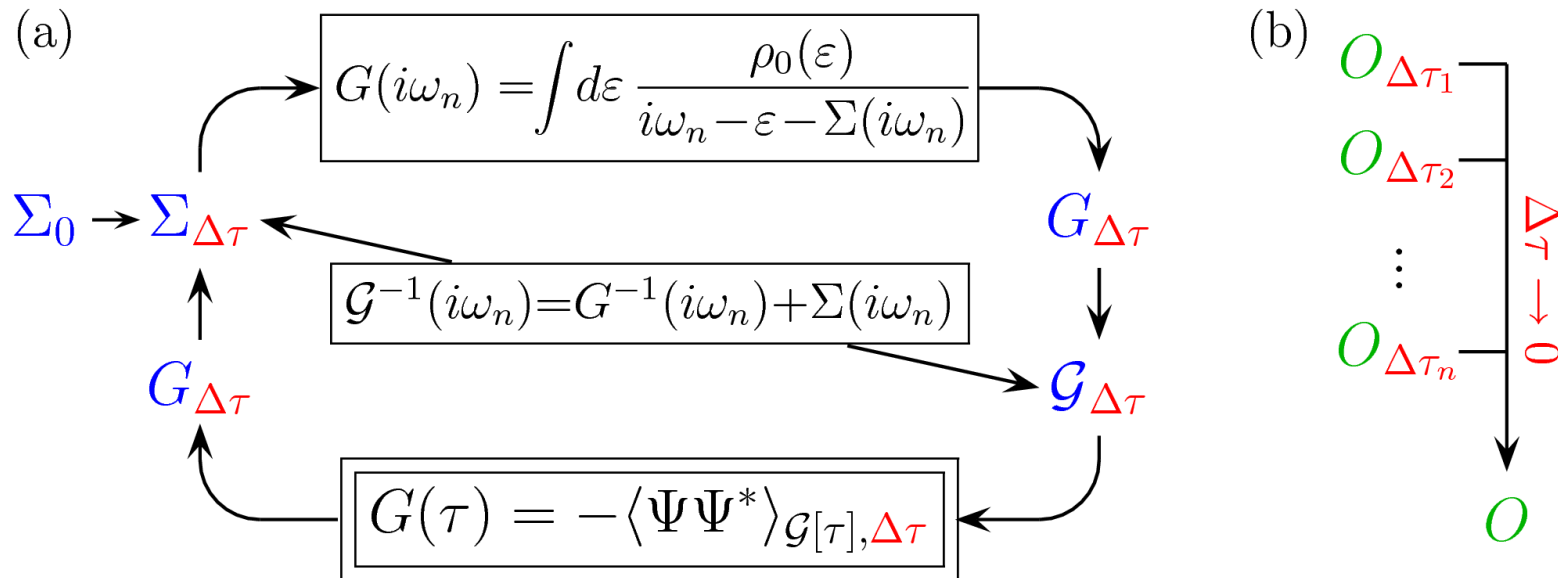
First spectra without discretization error from HF-QMC, at ultra-low T

Method directly applicable, e.g., to LDA+DMFT calculations [NB, [arXiv:0712.1290](https://arxiv.org/abs/0712.1290)]

Multigrid Hirsch-Fye quantum Monte Carlo algorithm

State of the art: (a) conventional HF-QMC

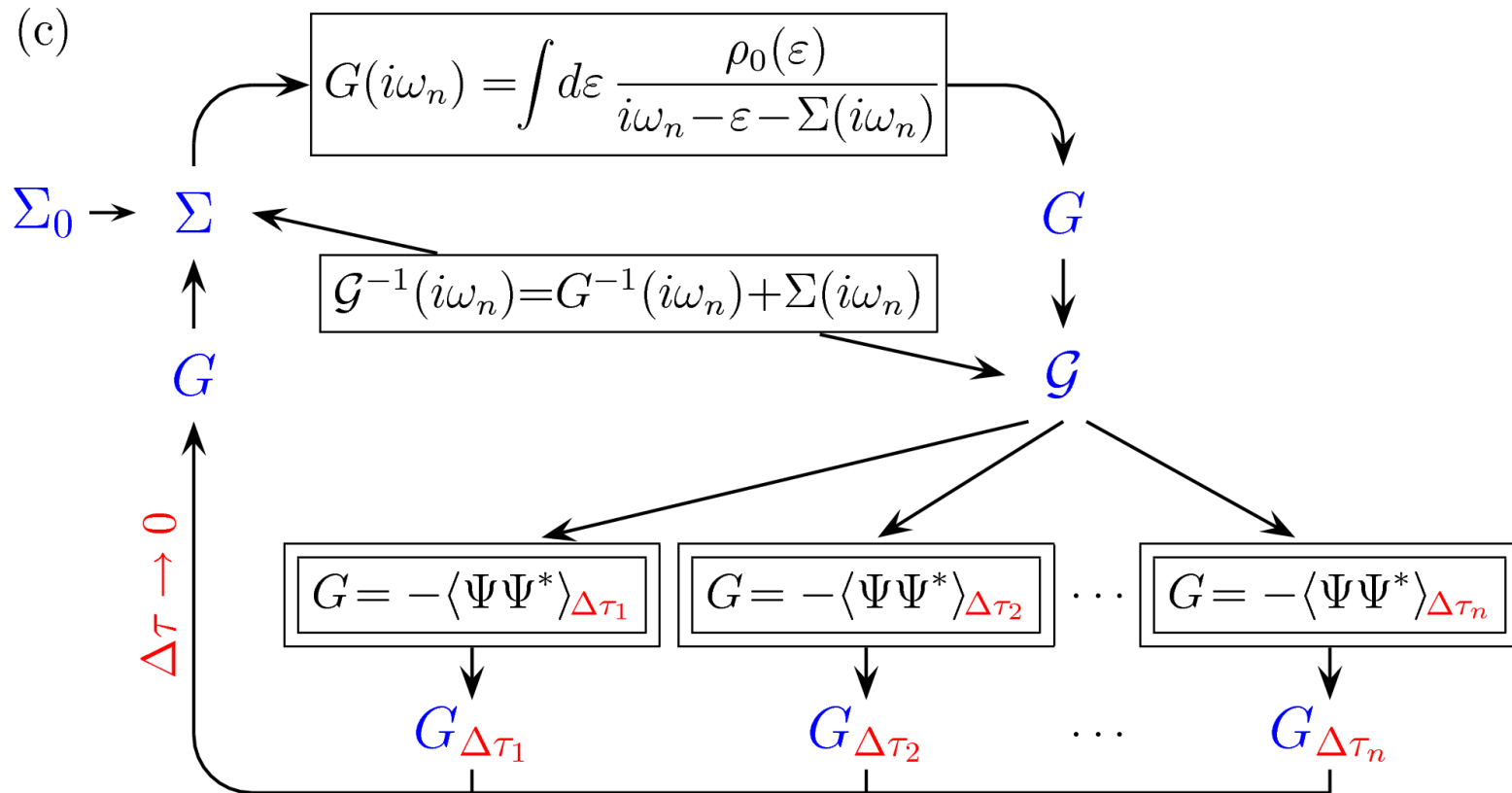
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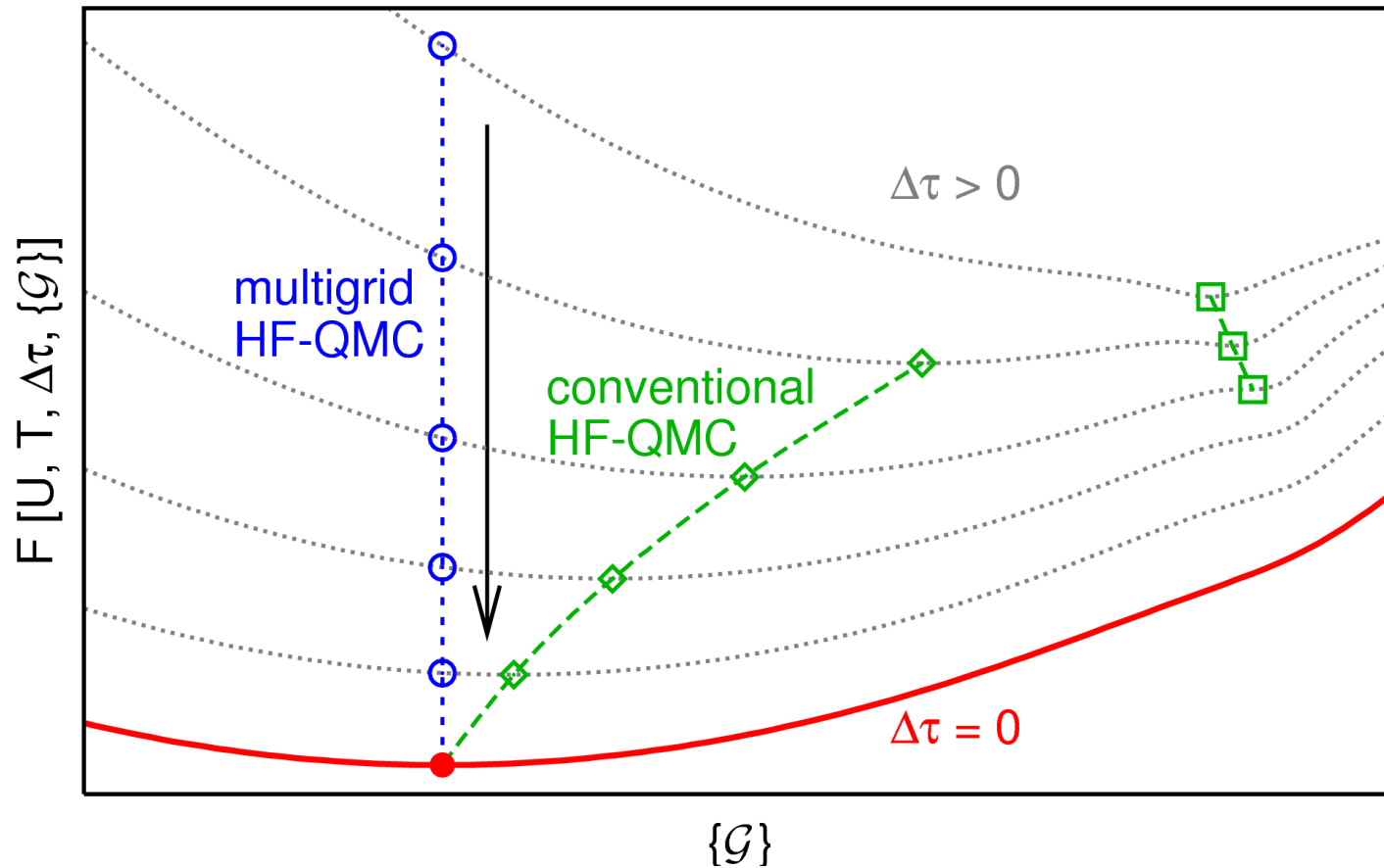
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(c) Multigrid HF-QMC: internal elimination of Trotter error

\rightsquigarrow quasi continuous time algorithm [NB, arXiv:0801.1222]

Schematic comparison via generalized Ginzburg-Landau functionals

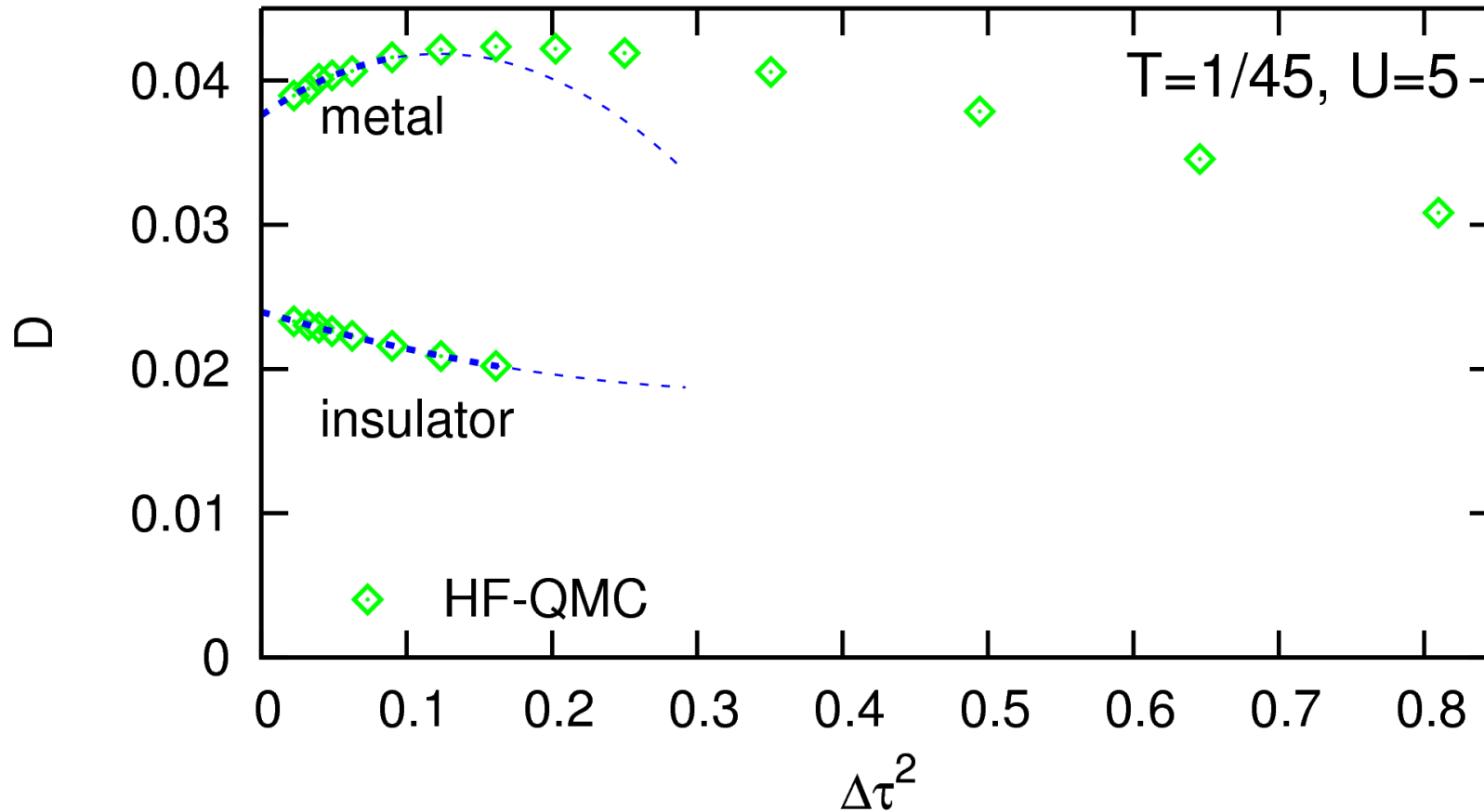


Conventional Hirsch-Fye QMC: DMFT fixed point shifts with $\Delta\tau$

Multigrid Hirsch-Fye QMC: DMFT iteration towards exact fixed point

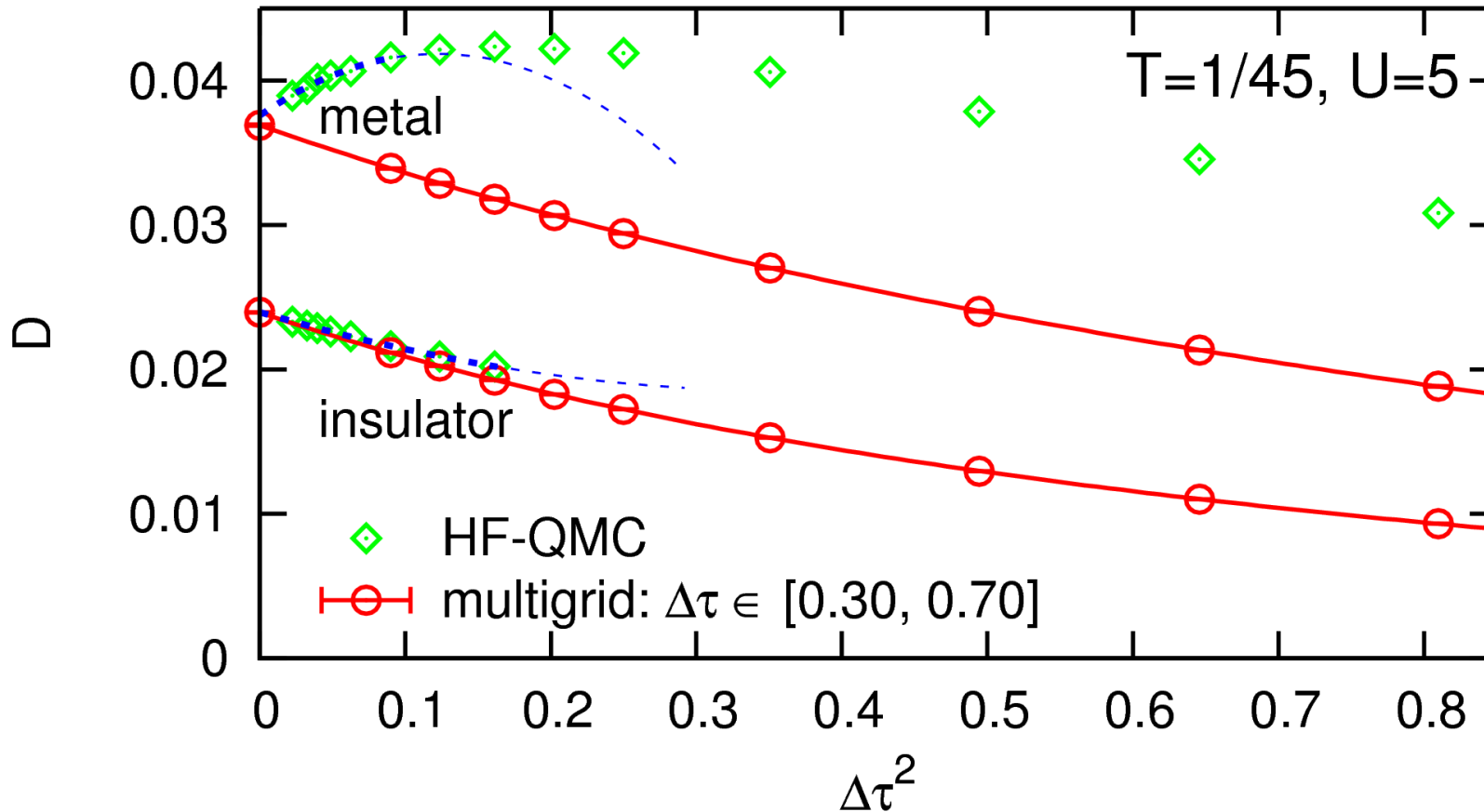
Implementation: Green function extrapolation, hierarchy of frequency scales

Comparison: double occupancy $D = \langle n_{i\uparrow} n_{i\downarrow} \rangle$ near Mott transition



Conventional HF-QMC: no insulating solution for $\Delta\tau \gtrsim 0.4$
very irregular $\Delta\tau$ dependence beyond $\Delta\tau \approx 0.3$

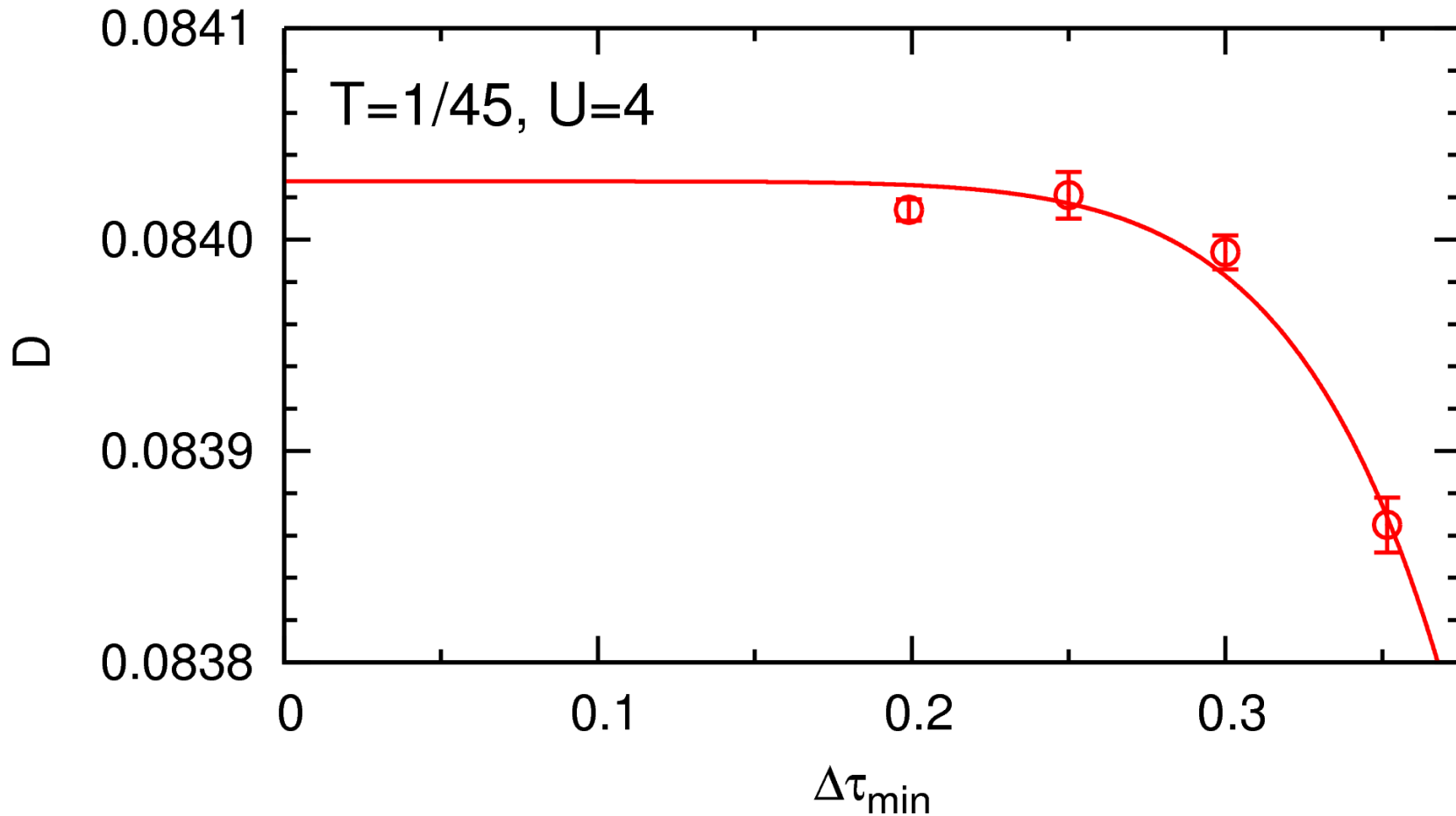
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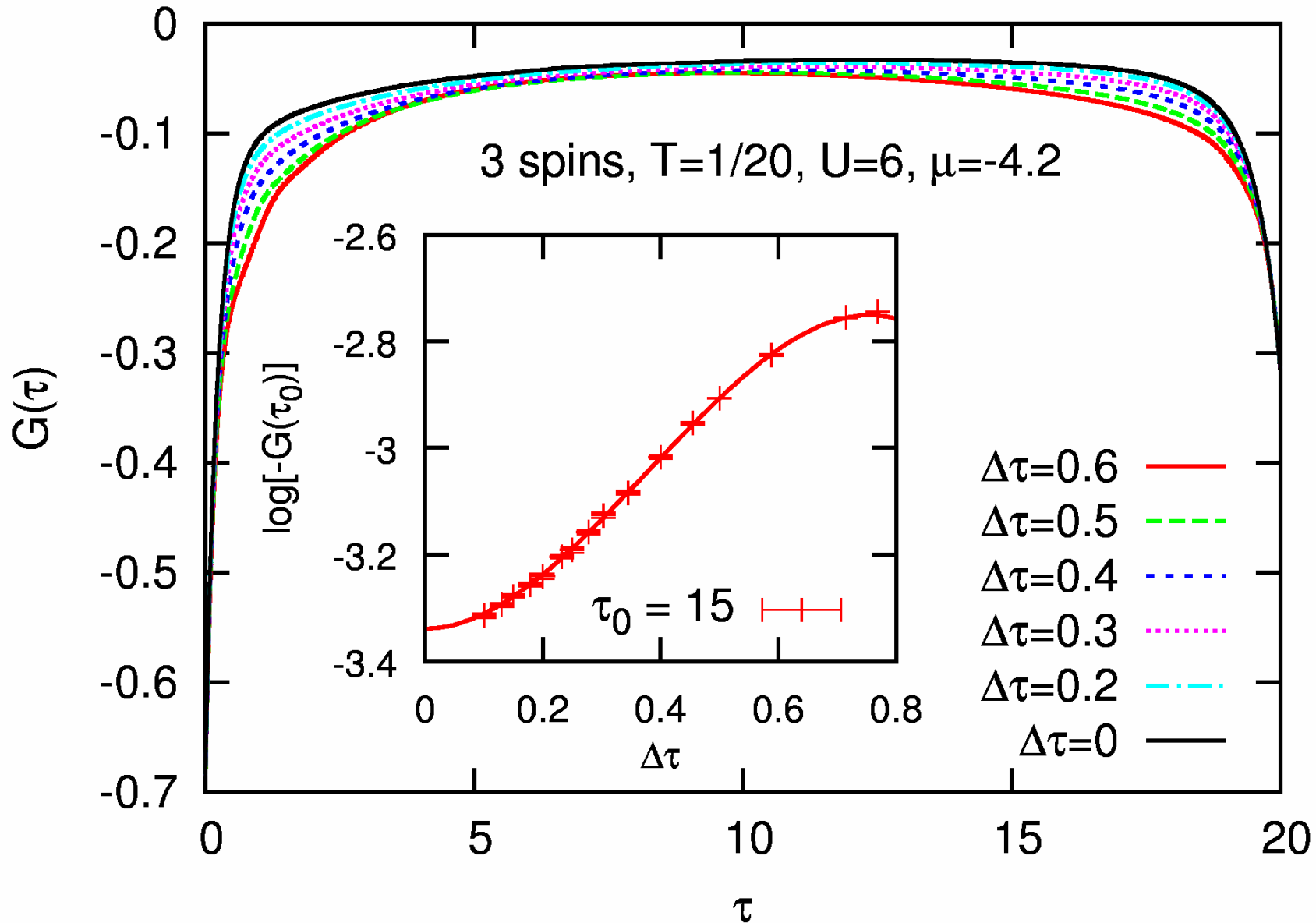
Multigrid HF-QMC: vastly larger useful range of $\Delta\tau$

Systematic study: impact of grid range (on double occupancy)



Multigrid HF-QMC usually “numerically exact” for $\tau_{\min} \lesssim 0.3$

General (metallic) test case for $\Delta\tau$ extrapolation of $G(\tau)$



Summary

Auxiliary-field Hirsch-Fye QMC + high-frequency corrections

Efficiency of QMC DMFT solvers: HF-QMC competitive (for not too low T)

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On request: Spectral weight transfer at Mott transition, high-precision specific heat, superlinear MPI + OpenMP scaling

New project: real-space DMFT+QMC for ultracold atom clouds on optical lattices

Not yet considered: spin-flip terms, superconductivity, . . .

Acknowledgements:

Carsten Knecht, **Elena Gorelik**, Eberhard Jakobi, Peter van Dongen;

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